

# **ESTUDI DE VARIANTS DE SIGNIFICAT DESCONEGUT EN LA SÍNDROME DE LYNCH**

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## ESTUDI DE VARIANTS DE SIGNIFICAT DESCONEGUT EN LA SÍNDROME DE LYNCH

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*A la meva família*



## AGRAÏMENTS

Doncs per fi sóc aquí, escrivint la part de la tesi que més gent llegirà, la que més em costarà, la més emotiva, la que significa que la tesi s'ha acabat i una nova etapa s'obre davant meu, amb la incertesa que això comporta. Aquests agraïments són un recordatori de la gent amb qui he conviscut aquest temps durant el que hem compartit moments irrepetibles. A tots, moltes gràcies.

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...que tot sigui nou...

...mirar de sorprendre't. Gràcies vida!

## ABREVIATURES I ACRÒNIMS

AA	aminoàcid
ACVR2A	de l'anglès, <i>activin A receptor, type IIA</i>
ADP	adenosina difosfat; de l'anglès <i>adenosine diphosphate</i>
AFAP	poliposi adenomatosa familiar atenuada; de l'anglès <i>Attenuated familial adenomatous polyposis</i>
APC	de l'anglès, <i>adenomatous polyposis coli</i>
ATP	adenosina trifosfat; de l'anglès, <i>adenosine-5'-triphosphate</i>
ATPasa	adenosina trifosfatasa; de l'anglès, <i>adenosine-5'-triphosphatase</i>
ASE	expressió específica d'al·lel; de l'anglès, <i>allelic specific expression</i>
BRAF	de l'anglès, <i>v-raf murine sarcoma viral oncogene homolog B1</i>
CCR	càncer colorectal
cDNA	DNA complementari; de l'anglès, <i>complementary DNA</i>
CE	càncer d'endometri
CIN	inestabilitat cromosòmica; de l'anglès <i>chromosomal instability</i>
CIMP	via del fenotip metilador d'illes CpG; de l'anglès <i>CpG island methylator phenotype</i>
CMMR-D	síndrome de dèficit constitucional de reparació MMR; de l'anglès <i>Constitutional mismatch repair-deficiency syndrome</i>
CSCE	electroforesis capilar sensible a conformació; de l'anglès <i>conformation sensitive capillary electrophoresis</i>
DGGE	electroforesi en gel de gradient desnaturalitzant; de l'anglès, <i>denaturing gradient gel electrophoresis</i>
DHPLC	cromatografia líquida desnaturalitzant d'alt rendiment; de l'anglès, <i>denaturing high performance liquid chromatography</i>
DNA	àcid desoxirribonucleic; de l'anglès, <i>deoxyribonucleic acid</i>
ESE	element exònic activador de l' <i>splicing</i> ; de l'anglès, <i>exonic splicing enhancer</i>
EXO I	de l'anglès, exonuclease 1
EXO VII	de l'anglès, exonuclease 7
EXO V	de l'anglès, exonuclease 5
FAP	poliposi adenomatosa familiar; de l'anglès <i>Familial adenomatous polyposis</i>
FBXW7	de l'anglès, <i>F-box and WD repeat domain containing 7</i>
FOBT	test de sang oculta en femetes; de l'anglès <i>faecal occult blood test</i>
HRM	alta resolució de curves de fusió; de l'anglès <i>high resolution melting</i>
HNPPCC	càncer colorectal hereditari no polipós; de l'anglès Hereditary non-polyposis colorectal cancer
ICO	Institut Català d'Oncologia
IHQ	inmunohistoquímica
kDa	quilodaltons
KRAS	de l'anglès, <i>v-Ki-ras2 Kirsten rat sarcoma viral oncogene homolog</i>
LOH	pèrdua d'heterozigositat; de l'anglès, <i>loss of heterozygosity</i>
LOVD	de l'anglès, <i>Leiden Open Variation Database</i>
LR-PCR	PCR de llarg abast; de l'anglès, <i>Long range-PCR</i>
MAP	poliposis associada al gen <i>MYH</i> ; de l'anglès <i>MYH associated polyposis</i>
MCC	de l'anglès, <i>mutated in colorectal cancers</i>

MGMT	de l'anglès, <i>O-6-methylguanine-DNA methyltransferase</i>
MLH1	de l'anglès, <i>mutL homolog 1, colon cancer, nonpolyposis type 2 (E. coli)</i>
MLPA	amplificació dependent de lligasa de múltiples sondes; de l'anglès, <i>multiplex ligation-dependent probe amplification</i>
MMR	de l'anglès, mismatch repair
mRNA	àcid ribonucleic missatger; de l'anglès <i>messenger RNA</i>
MSH2	de l'anglès, <i>mutS homolog 2, colon cancer, nonpolyposis type 1 (E. coli)</i>
MSH3	de l'anglès, <i>mutS homolog 3 (E.Coli)</i>
MSH6	de l'anglès, <i>mutS homolog 6 (E.Coli)</i>
MSI	inestabilitat de microsatèl·lits; de l'anglès <i>microsatellite instability</i>
MSI-H	inestabilitat de microsatèl·lits elevada; de l'anglès <i>microsatellite instability high</i>
MSI-L	inestabilitat de microsatèl·lits baixa; de l'anglès <i>microsatellite instability low</i>
MS-MLPA	MLPA per metilació específica; de l'anglès <i>methylation-specific MLPA</i>
MSS	sense inestabilitat de microsatèl·lits; de l'anglès <i>microsatellite stable</i>
MYH	de l'anglès, <i>mutY homolog (E. coli)</i>
NGS	seqüenciació de nova generació; de l'anglès <i>Next-generation sequencing</i>
NMD	de l'anglès, <i>nonsense mediated mRNA decay</i>
NRAS	de l'anglès, <i>neuroblastoma RAS viral (v-ras) oncogene homolog</i>
PCNA	de l'anglès, <i>proliferating cell nuclear antigen</i>
PCR	de l'anglès, <i>polymerase chain reaction</i>
PI3KCA	de l'anglès, <i>phosphatidyl inositol 3-kinase catalytic subunit</i>
PMS1	de l'anglès, <i>PMS1 postmeiotic segregation increased 1 (S. cerevisiae)</i>
PMS2	de l'anglès, <i>PMS2 postmeiotic segregation increased 2 (S. cerevisiae)</i>
PMS2CL	de l'anglès, <i>PMS2 C-terminal like pseudogene</i>
RNA	àcid ribonucleic, de l'anglès, <i>ribonucleic acid</i>
RPA	de l'anglès, <i>replication protein A</i>
RT-PCR	de l'anglès, <i>reverse transcription polymerase chain reaction</i>
SMAD4	de l'anglès, <i>SMAD family member 4</i>
SLC9A9	de l'anglès, <i>solute carrier family 9, subfamily A, member 9</i>
SSB	de l'anglès, single-stranded DNA-binding protein
SSCP	polimorfisme de conformació de cadena senzilla; de l'anglès, <i>single strand conformation polymorphism</i>
STRs	de l'anglès <i>short tandem repeats</i>
TGF $\beta$ R2	de l'anglès, <i>transforming growth factor, beta receptor II</i>
TCF7L2	de l'anglès, <i>transcription factor 7-like 2</i>
TP53	de l'anglès, <i>tumor protein p53</i>
VSD	variant de significat desconegut

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## ***INTRODUCCIÓ***

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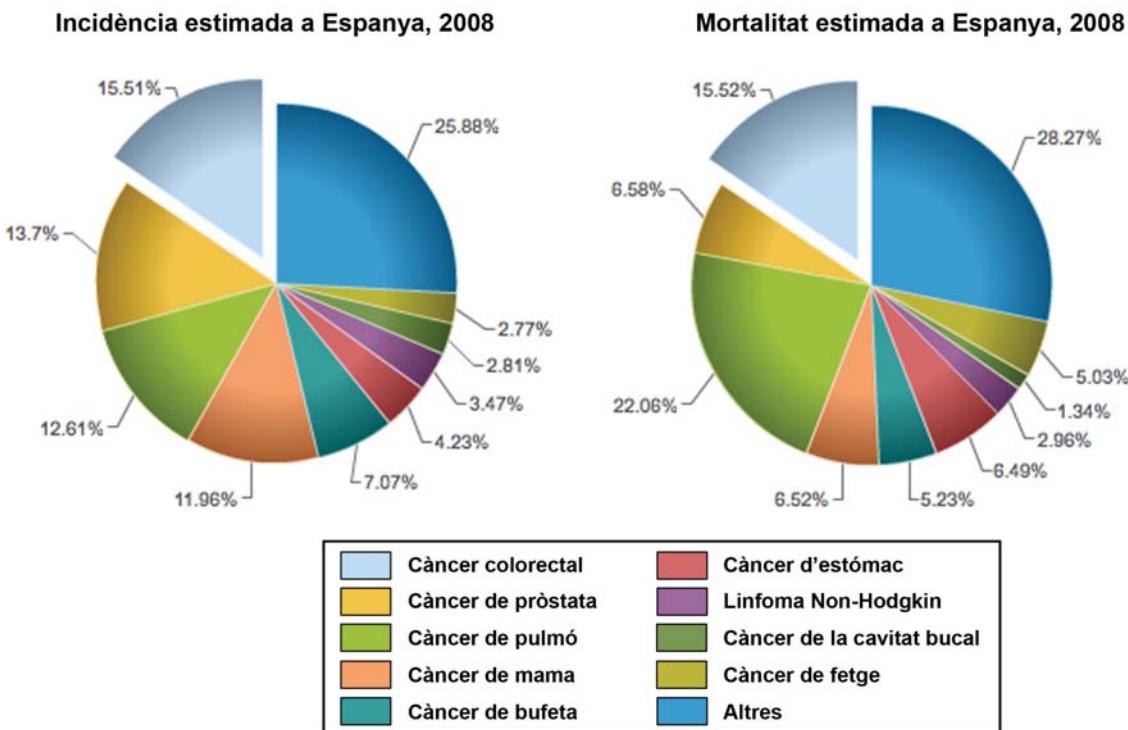
## 1. EL CÀNCER COLORECTAL

### 1.1 Epidemiologia del càncer colorectal

El càncer colorectal (CCR), és el tercer tipus de càncer més freqüent en homes i el segon en dones a nivell mundial. L'any 2008, es van comptabilitzar més de 1,2 milions de nous casos al món, i més de mig milió de persones van morir a causa d'aquesta malaltia (Ferlay, et al., 2010). Les taxes d'incidència més elevades es donen a Austràlia, Nova Zelanda, Europa i Amèrica del Nord, mentre que les taxes més baixes es donen a l'Àfrica i al centre i sud de l'Àsia. Pel que fa les taxes de mortalitat, aquestes estan disminuint en alguns països occidentals, degut en gran part com a resultat d'un millor tractament, una major sensibilització i detecció primerenca, mentre que segueixen augmentant en molts països amb recursos i infraestructures sanitàries limitades, particularment a l'Europa de l'Est i l'Amèrica del Sud i Central (Jemal, et al., 2011). Alguns factors de risc a tenir en compte pel desenvolupament del CCR són el tabaquisme, la manca d'activitat física, el sobrepès, l'obesitat, el consum de carn vermella o processada, i el consum excessiu d'alcohol (Boyle and Levin, 2008; Ferrari, et al., 2007; Giovannucci, 2006).

A Espanya, el CCR és la neoplàsia més freqüent si tenim en compte els dos sexes alhora (15,51% de freqüència relativa), amb 28000 casos nous diagnosticats cada any. A més, és la tercera neoplàsia més freqüent en homes (14,9%), després de les de pròstata (22,56%) i pulmó (17,96%), i la segona neoplàsia en incidència en dones (16,45%), després del càncer de mama (30,49%) (Bray, et al., 2012; Ferlay, et al., 2010) (Figura 1). Per altra banda, el CCR representa la segona causa de mort per càncer a Espanya tant en homes com en dones, després del càncer de pulmó i de mama, respectivament, així com si considerem ambdós sexes alhora (Bray, et al., 2012; Ferlay, et al., 2010) (Figura 1).

En els països desenvolupats, més del 90% dels casos diagnosticats de CCR es donen en individus majors de 50 anys. La presentació de variants hereditàries mostra un avançament de 10-15 anys respecte a les mateixes localitzacions esporàdiques. El CCR s'inicia amb l'aparició de pòlips adenomatosos benignes a l'epiteli colorectal, que amb el temps es transformaran en tumors malignes. En el desenvolupament del CCR hi intervenen factors genètics i ambientals. Així, el CCR és el resultat d'un procés gradual d'adquisició de noves característiques per part de les cèl·lules epiteliais, resultant de la continua acumulació de múltiples canvis genètics i epigenètics.



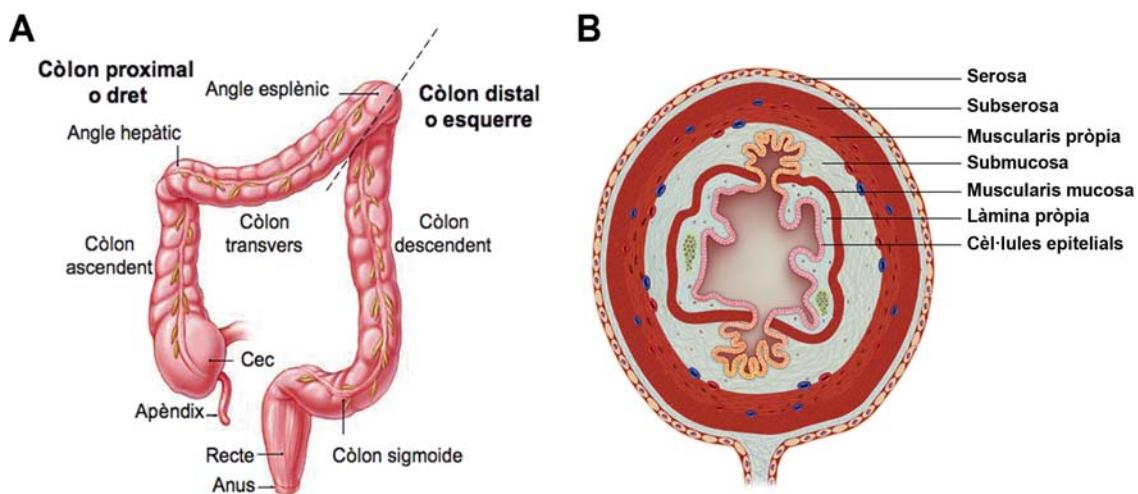
**Figura 1. Incidència i mortalitat estimada dels diferents tipus de càncer en ambdós sexes a Espanya l'any 2008.** Adaptat de Ferlay, et al., 2010.

## 1.2 Anatomia i histologia del còlon i recte

El còlon i el recte són la part terminal del tracte digestiu. Tots dos formen el budell gruixut que té una longitud aproximada de 1,5 metres. La funció del còlon és la d'absorir nutrients, electròlits i aigua dels aliments ingerits per incorporar-los al torrent sanguini. El recte fa de reservori del material abans de l'expulsió. Anatòmicament el còlon es subdivideix en còlon ascendent, transvers, descendent i sigmoide, tot i que a nivell clínico-patològic sol dividir-se en còlon proximal o dret (que comprèn l'ascendent i el transvers fins l'angle esplènic) i distal o esquerra (que comprèn el descendent, el sigmoide i el recte) (Figura 2A). Histològicament, el gruix del tub està format per diferents capes, des de la llum fins a l'exterior: mucosa (formada de cèl·lules epitelials, làmina pròpia i muscularis mucosa), submucosa, muscularis pròpia, subserosa i serosa (Figura 2B).

L'epiteli del còlon té invaginacions que formen unes glàndules intestinals anomenades criptes de Lieberkühn, que incrementen la superfície del budell. És precisament a les criptes, on es regula l'homeòstasi de l'epiteli colònic gràcies a un equilibri dinàmic entre producció i mort cel·lular, necessari degut a l'elevada toxicitat química i estrès mecànic que pateix aquest epiteli (Nathke, 2004). Al fons de les criptes es troba el reservori de les cèl·lules mare, que van renovant les cèl·lules de l'epiteli en

un procés de proliferació, diferenciació i migració cap al lumen del budell. Les cèl·lules mare són cèl·lules pluripotents o progenitors no diferenciades que ocupen la tercera part més basal de la cripta i es divideixen cada 12 hores aproximadament (van de Wetering, et al., 2002). A la regió mitjana de la cripta, les cèl·lules progenitors es diferencien en: enteròcits (absorbeixen aigua i nutrients), cèl·lules caliciformes (productores de mucina) i cèl·lules neuroendocrines (productores d'hormones). Quan les cèl·lules arriben a la superfície epitelial pateixen un procés d'apoptosi i/o extrusió cap al lumen. El trajecte de les cèl·lules des de la base de la cripta fins a la seva extrusió dura aproximadament entre 3 i 5 dies (Nathke, 2004; Radtke and Clevers, 2005).



**Figura 2.** Representació esquemàtica del còlon. **A.** Parts del còlon. **B.** Capes de la paret del còlon.

### 1.3 Classificació i estadiatge del càncer de còlon i recte

En el cas del CCR, l'estadi tumoral és el factor pronòstic més important i el principal determinant del tractament dels pacients. Tant és així, que en estadis inicials la cirurgia acostuma a ser l'únic tractament necessari, mentre que en estadis més avançats són necessàries quimioteràpia i/o radioteràpia adjuvant i/o neoadjuvant. L'evolució clínica i els estadis del tumor es defineixen en funció del seu grau d'invasió i infiltració a través de la paret de l'intestí gros, del seu grau de disseminació ganglionar i de la presència de metàstasis a distància. La classificació de Dukes és el sistema de classificació original dels càncers de còlon i recte (Dukes, 1932). Es basa en el grau d'infiltració del tumor primari a través de les diverses capes de l'intestí gros i l'affectació a òrgans veïns i ganglis. Posteriorment es va desenvolupar la classificació d'Astler-Coller (Astler and Coller, 1954), la qual diferencia la carcinogènesis del CCR en 6 grups (Taula 1).

**Taula 1. Classificació de Dukes modificada per Astler-Coller.**

Estadis	Extensió del tumor
<b>A</b>	El tumor està limitat a la mucosa
<b>B1</b>	El tumor envaeix fins la muscularis pròpia
<b>B2</b>	El tumor travessa la muscularis pròpia fins la capa serosa
<b>C1</b>	Igual que l'estadi B1 més metàstasi ganglionar
<b>C2</b>	Igual que l'estadi B2 més metàstasi ganglionar
<b>D</b>	Metàstasi a distància o invasió parietal d'òrgans adjacents

Més recentment, es va definir una tercera classificació per tal d'evitar confusions en les dues classificacions anteriors, és el sistema TNM de la *International Union Against Cancer* (Fleming, et al., 1997) (Taula 2). Aquest sistema ens permet classificar els pacients en diversos estadis amb pronòstics associats diferents.

**Taula 2. Classificació TNM per al càncer colorectal.**

Categories T	Extensió que ocupa el tumor primari
<b>Tx</b>	La informació és incompleta i no es pot definir l'extensió del tumor
<b>Tis</b>	Carcinoma <i>in situ</i> . És l'estadi més inicial, el tumor no ha travessat la mucosa
<b>T1</b>	El tumor ha travessat la mucosa, la muscularis mucosa, i envaeix la submucosa
<b>T2</b>	El tumor ha travessat la mucosa, la muscularis mucosa, la submucosa i envaeix la muscularis pròpia
<b>T3</b>	El tumor ha travessat la mucosa, la muscularis mucosa, la submucosa, la muscularis pròpia, i envaeix la subserosa sense arribar a cap teixit veí
<b>T4</b>	El tumor envaeix altres òrgans o estructures
Categories N	Absència o presència de metàstasi als ganglis limfàtics
<b>Nx</b>	La informació és incompleta i no es coneix l'afectació de ganglis limfàtics
<b>N0</b>	No hi ha cap gangli afectat
<b>N1</b>	1-3 ganglis afectats
<b>N2</b>	4 o més ganglis afectats
Categories M	Absència o presència de metàstasi a distància
<b>Mx</b>	La informació és incompleta i no hi ha descripció de metàstasi a distància
<b>M0</b>	No hi ha metàstasi a distància
<b>M1</b>	Presència de metàstasi a distància

El CCR en les primeres etapes no sol produir símptomes específics, i no són diferents dels originats per altres malalties benignes comunes en la nostra societat. És per aquest motiu que són molt importants les proves de detecció precoç, com el test de

sang oculta en femtes (FOBT) o la colonoscòpia, entre d'altres, ja que la detecció precoç millora notablement la supervivència dels pacients. Tot i així, el CCR pot presentar símptomes variats i inespecífics, com ara: canvi en el ritme de les deposicions de diverses setmanes de duració (diarrea, restrenyiment i sensació d'evacuació incompleta després de les deposicions), anèmia ferropènica no detectada prèviament i sense una causa que la pugui justificar, presència de sang a les femtes, molèsties abdominals repetides i d'intensitat progressiva sense causa coneguda (dolor, inflamació i acumulació de gasos que poden augmentar amb la ingestió) o pèrdua de pes sense causa coneguda.

#### 1.4 La carcinogènesis colorectal

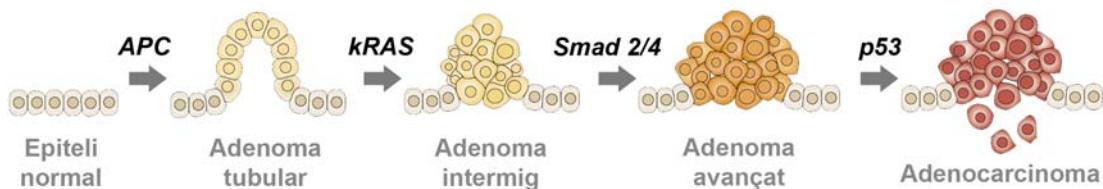
El primer model molecular de carcinogènesis colorectal va ser descrit per Fearon i Vogelstein l'any 1990 (Fearon and Vogelstein, 1990). Aquest model oferia la primera aproximació a la base molecular de la seqüència adenoma-carcinoma i postula que un número reduït d'alteracions genètiques (entre 4 i 6 alteracions) que activen oncògens (com pot ser *KRAS*) o inactiven gens supressors tumorals (ST) (per exemple: *APC*, *SMAD4*, *TP53*) són necessaris perquè una cèl·lula epitelial colònica mostri totes les característiques pròpies de la neoplàsia (revisat a Hanahan and Weinberg, 2000; Hanahan and Weinberg, 2011).

En un estudi recent, s'ha analitzat una sèrie de tumors colorectals, i s'han identificat diferents perfils de mutació. Hi ha tumors que presenten hipermutacions somàtiques mentre que d'altres no en presenten. Tot i així, aquests dos tipus de tumors comparteixen alguns gens mutats. Els tumors sense hipermutació presenten mutacions en *APC*, *TP53*, *KRAS*, *PIK3CA*, *FBXW7*, *SMAD4*, *TCF7L2* i *NRAS*, entre d'altres. En canvi, els tumors amb hipermutació presenten altres gens mutats com *ACVR2A*, *APC*, *TGF $\beta$ R2*, *MSH3*, *MSH6*, *SLC9A9* i *TCF7L2*, així com mutacions en *BRAF*. Cal destacar que dos dels gens típicament mutats en els tumors sense hipermutacions tenen una freqüència molt menor en els tumors amb hipermutacions: *TP53* (60% versus 20%) i *APC* (81% versus 51%). Això indica que aquests dos tipus de tumors presenten un procés de tumorgènesi amb diferents esdeveniments genètics. A més, els tumors amb hipermutacions però que presenten *MLH1* silenciat i inestabilitat de microsatèl·lits mostren unes diferències addicionals en el perfil de mutació (Cancer Genome Atlas, 2012).

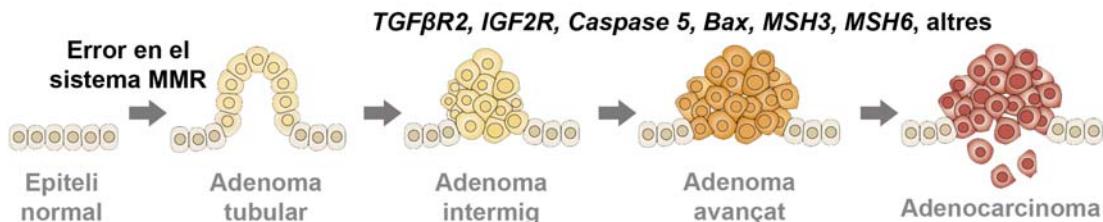
Es postula que la inestabilitat genètica augmentada en les cèl·lules tumorals permet l'acumulació de múltiples alteracions genètiques (revisat a Hanahan and Weinberg,

2011). Actualment, es coneix que el procés de carcinogènesis és altament heterogeni, i s'ha postulat que aquesta heterogeneïtat ve condicionada, en part, pel tipus d'instabilitat genètica present en una determinada cèl·lula tumoral. Segons aquesta instabilitat s'accepta l'existència de tres vies de carcinogènesis colorectal: la via de la instabilitat cromosòmica (CIN), la via de la instabilitat de microsatèl·lits (MSI) i la via del fenotip metilador d'il·les CpG (CIMP) (Figura 3).

**A. Via de la instabilitat cromosòmica - Mutacions en gens ST i oncogens/ LOH / Aneuploidia**



**B. Via de la instabilitat de microsatèl·lits - Sistema MMR - Síndrome de Lynch**



**C. Via del fenotip metilador - Hipermetilació illes CpG - Silenciament gens**

**Via Inestabilitat de Microsatèl·lits**

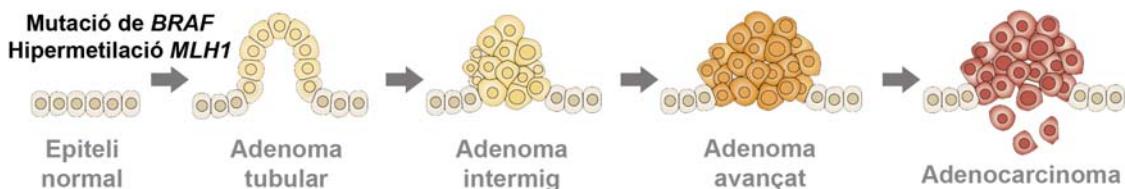


Figura 3. Vies de carcinogènesis colorectal. Modificat de Ahnen, 2011.

**Via de la inestabilitat cromosòmica**

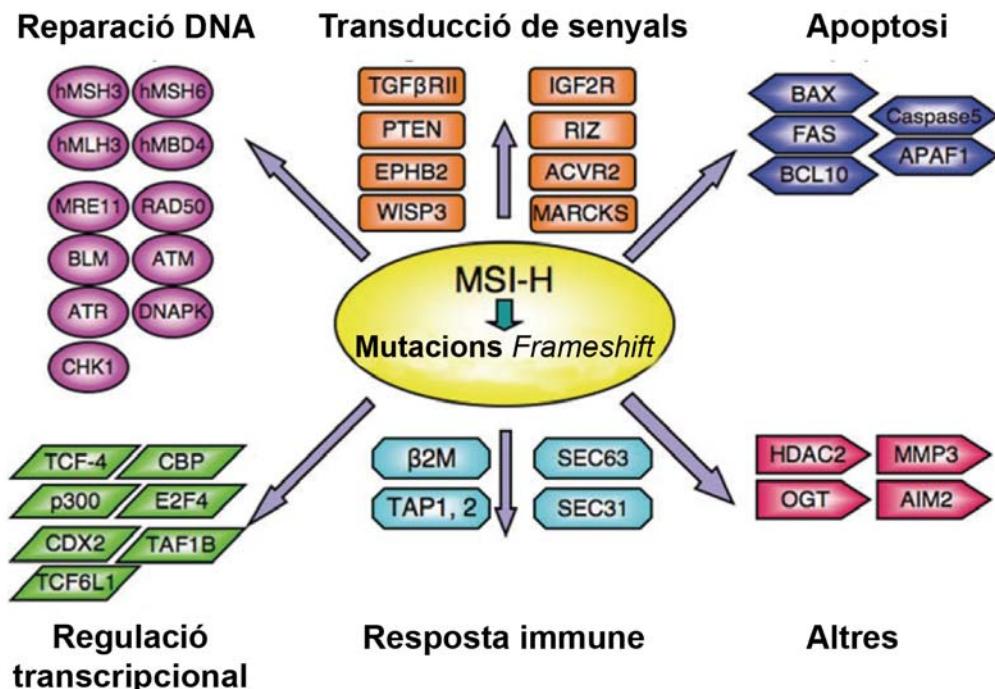
Aproximadament entre un 80-85% de tots els casos de CCR segueixen la via “tradicional”, anomenada també via de la instabilitat cromosòmica (CIN) o fenotip supressor (Grady and Carethers, 2008). Aquesta via segueix una carcinogènesis seqüencial en que l'adquisició i acumulació de diferents alteracions a nivell molecular genera l'aparició d'estadis més avançats del procés cancerós (Figura 3). Els canvis genòmics inclouen l'activació de proto-oncògens com *KRAS*, i la inactivació de com a

mínim tres gens supressors tumorals, com ara la pèrdua de *APC*, pèrdua de *p53* i la pèrdua de heterozigositat del braç llarg del cromosoma 18 (Weinberg, 2006). Recentment, s'han descrit mutacions que impliquen altres gens, com per exemple *TGFβ2R* i *PIK3CA*, i que s'han inclòs en el model de seqüència adenoma-carcinoma (Armaghani, et al., 2012; Cancer Genome Atlas, 2012). A més, els tumors CIN mostren freqüentment un cariotip anòmal, amb pèrdues i guanys cromosòmics.

### **Via de la inestabilitat de microsatèl·lits**

Els microsatèl·lits, també anomenats *short tandem repeats* (STRs), són seqüències repetitives del DNA, composades per repeticions de 1 a 5 nucleòtids, distribuïdes per tot el genoma (Abeloff, 1995). La inestabilitat de microsatèl·lits (MSI) és defineix com l'augment o disminució de la longitud d'un microsatèl·lit determinat en una cèl·lula en comparació amb la seva longitud en línia germinal. Aquesta variació és, per tant, un canvi somàtic.

Entre el 7 i el 15% de tots els casos de CCR -dependent de l'origen geogràfic dels pacients- mostren MSI, com també el 95% dels tumors de pacients amb síndrome de Lynch (Aaltonen, et al., 1993; Gonzalez-Garcia, et al., 2000; Ionov, et al., 1993; Pedroni, et al., 1999). Aquesta inestabilitat és produïda per la inactivació dels gens del sistema de reparació de desaparellaments erronis (gens *Mismatch repair*, MMR), donant lloc a la no correcció dels errors produïts durant la replicació del DNA. Els microsatèl·lits són particularment susceptibles a errors durant aquest procés, ja que la seva estructura repetitiva propicia que la DNA polimerasa “s'equivoqui” al copiar la cadena motlle (Ionov, et al., 1993). Quan els microsatèl·lits es troben en una regió codificant, la no reparació d'aquests errors pot provocar la generació de mutacions de canvi de pauta de lectura (*frameshift*), que com a resultat donaran lloc a la pèrdua de funció de la proteïna i que condiciona l'espectre mutacional característic d'aquests tumors. A la Figura 4, es mostren gens relacionats amb la tumorogènesi que són diana de la MSI, alguns d'aquests gens, com per exemple *TGFβR2* (Markowitz, et al., 1995), o *ACVR2A* (Jung, et al., 2004) són gens supressors tumorals. Es pensa que aquest fet provoca l'acceleració en el procés de la carcinogènesi, escurçat-lo en el temps.



**Figura 4. Representació dels gens diana en els tumors amb inestabilitat de microsatèl·lits.**  
Adaptat d'Imai and Yamamoto, 2008.

#### Via del fenotip metilador d'il·les CpG

La metilació d'il·les CpG del promotor d'alguns gens caracteritzen l'anomenat fenotip CIMP, descrit per primer cop per Toyota (Toyota, et al., 1999). Els dinucleòtids CG estan localitzats en *clusters* (il·les CpG) en la regió del promotor i normalment es troben no metilats. Quan aquestes il·les es troben metilades de forma aberrant, típicament en gens supressors tumorals, el resultat és un silenciament transcripcional del gen. Això proporciona un mecanisme alternatiu de pèrdua de funció dels gens supressors tumorals en càncer (Wong, et al., 2007). Alguns gens implicats en la carcinogènesi colorectal es troben silenciat per la hipermetilació del DNA, com poden ser *APC*, *MCC*, *MLH1*, *MGMT*, i d'altres. S'ha descrit, que alguns factors ambientals com el tabaquisme i l'edat avançada correlacionen amb un augment de l'estat de metilació (Samowitz, et al., 2006; Toyota, et al., 1999; Toyota and Issa, 1999).

Encara que el CIMP es va establir com a un fenotip epigenètic únic en el CCR (Ogino, et al., 2007; Samowitz, et al., 2005; Weisenberger, et al., 2006), hi ha una gran controvèrsia sobre els marcadors que el defineixen, l'existència de diferents tipus de CIMP (CIMP 2, CIMP-alt, CIMP-baix) (Grady and Carethers, 2008) i fins i tot la relació d'aquests subtipus amb l'estat de MSI (Kang, 2011) o amb els diferents subtipus epigenètics (alt, intermedi i baix) (Kaneda and Yagi, 2011).

Recentment, s'ha detectat que la majoria (63%) dels tumors colorectals que presenten un genotip hipermetilat mostren silenciament del gen *MLH1*, una elevada freqüència de mutacions en *BRAF* i una baixa freqüència de mutacions en *APC* i *KRAS*. Aquest perfil mutacional és significativament diferent dels tumors que no presenten hipermetilació. Per altra banda, el 17% de les tumors amb hipermetilació no presenten silenciament de *MLH1*, i presenten una elevada taxa de mutacions, així com una incidència elevada de mutacions en els gens *KRAS* i *APC*, però no en el gen *BRAF*. Així doncs, els CCR que presenten hipermetilació inclouen dos subgrups amb unes vies de malignitat diferent. Els autors suggereixen que l'estudi d'expressió del gen *MLH1* i l'anàlisi de mutacions en els gens *APC*, *KRAS*, *BRAF* en CCR poden ser d'utilitat pel diagnòstic i podrien influir en les decisions terapèutiques d'aquest tipus de tumors (Cancer Genome Atlas, 2012; Donehower, et al., 2012).

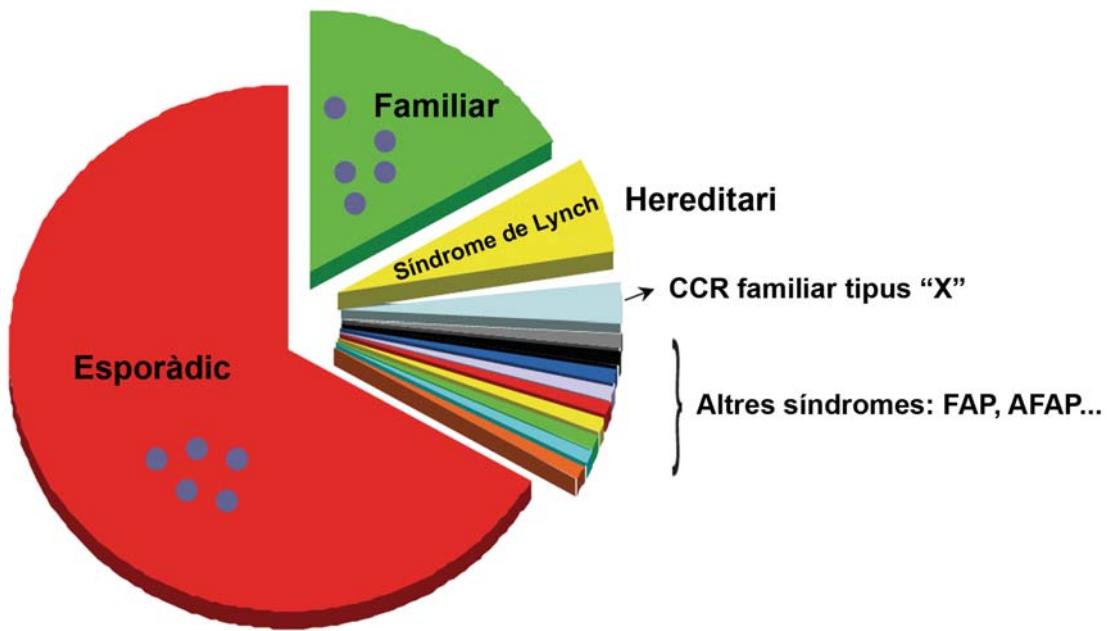
### **1.5 Història familiar i càncer colorectal**

En funció del patró d'herència, el CCR pot ser classificat com a esporàdic, hereditari o familiar. La major part dels casos de CCR corresponen a formes esporàdiques (70-80%), desenvolupant-se per acumulació d'alteracions genètiques al llarg de la vida i on l'estil de vida juga un paper molt important. S'estima que en un 20-25% dels casos de CCR pot existir un component hereditari associat, encara no ben establert, que es coneix com CCR familiar. En aquestes famílies, el CCR es desenvolupa amb una freqüència superior a la del CCR esporàdic però no segueix el patró característic d'una síndrome hereditària (Burt, 2000; Winawer, et al., 1997).

Una petita proporció dels CCR corresponen a formes hereditàries (<5%). Aquestes es poden dividir en dos tipus: les poliposes i les no poliposes. Entre les síndromes poliposes hi trobem la Poliposi Adenomatosa Familiar clàssica (FAP) i la Poliposi Adenomatosa Familiar Atenuada (AFAP) (<1%), la poliposi associada al gen *MYH* (*MAP*, *MYH Associated Polyposis*) (<1%) i les poliposis hamartomatoses, un grup poc freqüent de poliposis. La síndrome no poliposa més important és la síndrome de Lynch (de la Chapelle, 2004) (Figura 5).

L'estudi de les dues síndromes hereditàries més freqüents –FAP i la síndrome de Lynch– ha resultat de gran importància per la comprensió i maneig del CCR. Així, el seu estudi ha permès conèixer els mecanismes implicats en el desenvolupament del CCR esporàdic, ja que alguns dels gens que es troben mutats a nivell germinal a la FAP i a la síndrome de Lynch, també tenen un paper clau en els casos de CCR esporàdic (Fearon and Vogelstein, 1990). Per altra banda, l'estudi de les síndromes hereditàries també ha

permés establir el diagnòstic presimptomàtic d'individus portadors de mutacions en aquests gens, i per tant, en situació de risc de desenvolupar la malaltia, als quals se'ls recomana el cribatge periòdic del còlon i recte (Giardiello, et al., 2001). Així, el diagnòstic molecular de les formes hereditàries possibilita l'adopció de mesures terapèutiques més radicals que les emprades per les formes esporàdiques, tenint un impacte favorable en el pronòstic d'aquests malalts.

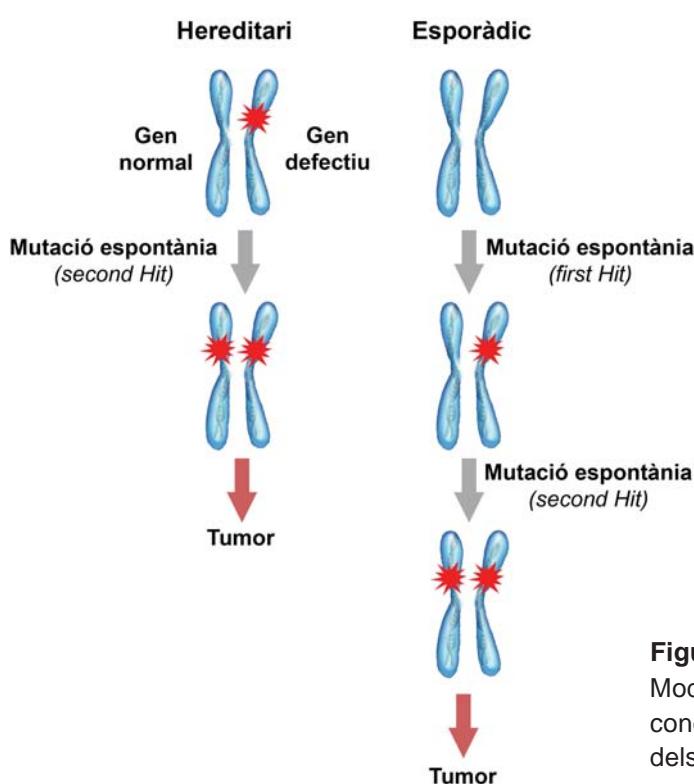


**Figura 5. Classificació dels tipus de CCR en funció del patró d'herència.** Adaptat de Lynch, et al., 2009.

## 2. LA SÍNDROME DE LYNCH

La síndrome de Lynch, prèviament anomenada com a càncer colorectal hereditari no associat a poliposis (HNPCC), és la forma de càncer colorectal hereditari més freqüent, representant entre el 2% i el 5% dels CCR depenent de la població estudiada (de la Chapelle, 2004). En un estudi poblacional a Espanya es va detectar que la síndrome de Lynch representava el 0,9 % dels casos de CCR (Pinol, et al., 2005). Es tracta d'una malaltia hereditària autosòmica dominant que té penetrància incomplerta, associada a mutacions o epimutacions germinals en els gens implicats en les vies de reparació d'aparellaments erronis del DNA (MMR o *Mismatch Repair*), concretament en els gens *MLH1*, *MSH2*, *MSH6* i *PMS2*. També s'han descrit associacions amb altres gens reparadors (*MLH3*, *PMS1*, *EXO I*) (Peltomaki, 2005).

Les cèl·lules portadores d'una mutació germinal en un gen reparador tenen capacitat de reparació dels desaparellaments (Parsons, et al., 1993), però això es veu modificat quan hi ha la inactivació somàtica de l'al·lel salvatge. Aquesta inactivació es pot donar, per exemple, per una mutació puntual, per la hipermetilació del promotor, o per una deleció del gen, donant lloc a pèrdua d'heterozigositat (LOH) (Hemminki, et al., 1994). Aquesta inactivació, promourà la tumorogènesis i l'establiment d'un fenotip mutador de caràcter recessiu. Aquest procés va ser proposat per primera vegada de forma teòrica per Knudson com el model dels “*two hits*”, per explicar com una alteració genètica en línia germinal podia predisposar a desenvolupar tumors (Knudson, 1971) (Figura 6).



**Figura 6. Model dels “two hits”.**  
Model proposat per Knudson, que conduceix a la inactivació bial·lèlica dels gens reparadors.

## 2.1 Característiques clíniques

La síndrome de Lynch es caracteritza pel desenvolupament del CCR a una edat més jove, amb una mitjana d'edat de 45 anys enfront dels 67,9 del CCR esporàdic (Hampel, et al., 2005b). Els tumors es localitzen de forma preferent al còlon dret i són més freqüents les neoplàsies múltiples sincròniques (diagnosticades alhora) o metacròniques (diagnosticades més de 6 mesos després de la rescissió quirúrgica). Els tumors colorectals en la síndrome de Lynch presenten unes característiques clinicopatològiques específiques que inclouen: poca diferenciació, característiques mucinoses, cèl·lules en anell de segell, limfòcits infiltrats en el tumor, una intensa reacció limfocitària (reacció de tipus Crohn) i un patró de creixement medul·lar (Risio, et al., 1996).

Els individus amb la síndrome de Lynch també presenten un risc incrementat de desenvolupar tumors extracolònics, com els d'endometri (CE), d'estòmac, de budell prim, d'ovari, de tracte biliar, d'uroepiteli, de pàncrees, del sistema nerviós central i els tumors sebacis (Umar, et al., 2004). Alguns estudis també han proposat que altres manifestacions com els sarcomes, el càncer de pròstata i mama també podrien ser inclosos dins de l'espectre de la síndrome de Lynch (den Bakker, et al., 2003; Geary, et al., 2008; Hirata, et al., 2006; Soravia, et al., 2003; Westenend, et al., 2005), tot i així, no hi ha consens entre la comunitat científica.

Els tumors de pacients amb síndrome de Lynch tenen una seqüència adenoma-carcinoma accelerada que dura entre 2-3 anys, mentre que en els casos de CCR esporàdic aquest procés pot tardar entre 7-10 anys. Cal destacar però, que els tumors deguts a la síndrome de Lynch presenten un millor pronòstic que la resta de casos de CCR (Lynch, et al., 2007).

També, s'han identificat individus amb mutacions bial·lèliques als gens reparadors. Aquests presenten la síndrome del déficit constitucional de reparació MMR (CMMR-D, *Constitutional MMR-deficiency*), que es caracteritza pel desenvolupament de càncers en edat infantil o adolescent, principalment hematològics, del sistema nerviós central i també colorectals (Wimmer and Etzler, 2008). Actualment, es suggereix que les característiques clíniques dels tumors en pacients amb mutacions germinals bial·lèliques en *MLH1* o *MSH2*, difereixen d'aquelles amb mutacions bial·lèliques germinals en *MSH6* o *PMS2*. Els primers presenten amb major freqüència càncers hematològics que tendeixen a desenvolupar-se a la infantesa. En canvi, els pacients amb mutacions bial·lèliques en *MSH6* o *PMS2*, mostren una major prevalença a desenvolupar tumors cerebrals, i tenen una major probabilitat de sobreviure al primer tumor i a desenvolupar-

ne un segon. En general, la prevalença dels tumors associats a la síndrome de Lynch és major en pacients amb mutacions bial·lèliques en *MSH6* o *PMS2*. Aquests factors faciliten el diagnòstic clínic de CMMR-D en pacients amb mutacions en *MSH6* o *PMS2*, i pot explicar en part l'elevada prevalença de mutacions bial·lèliques en *PMS2* en els casos publicats (revisat a Wimmer and Etzler, 2008).

## **2.2 Risc estimat i penetrància**

La penetrància de la síndrome de Lynch ha estat analitzada en varis estudis amb l'objectiu d'establir el risc de càncer associat en aquests pacients i així poder millorar el seu maneig clínic. En els primers estudis, els riscos estimats de desenvolupar CCR i CE en pacients amb la síndrome de Lynch eren de 70-80% i 40-60%, respectivament (Aarnio, et al., 1995; Aarnio, et al., 1999; Dunlop, et al., 1997; Vasen, et al., 1996; Watson and Lynch, 2001). Aquests treballs utilitzaven dades extretes de bases de dades recollides a través dels registres de Càncer Familiar Europeu. Posteriorment, es va suggerir que aquest risc podia estar sobreestimat, degut a la sobrerepresentació de famílies amb àmplies històries familiars de càncer, entre d'altres biaixos (Quehenberger, et al., 2005). Quan s'utilitzen mètodes estadístics per controlar aquests biaixos potencials, els riscos estimats de CCR i CE es veuen reduïts al 22-47% i 14-30%, respectivament (Alarcon, et al., 2007; Bonadona, et al., 2011; Jenkins, et al., 2006; Quehenberger, et al., 2005). No obstant això, les estimacions de risc en famílies nord-americanes són més elevades (66-47% CCR i 39% CE) (Stoffel, et al., 2009).

També s'ha reportat que el risc de desenvolupar càncer en pacients amb síndrome de Lynch difereix en funció del gen mutat (Bonadona, et al., 2011; Choi, et al., 2009; Ramsoekh, et al., 2009; Stoffel, et al., 2009). La majoria d'aquest estudis mostren com mutacions en els gens *MLH1* i *MSH2*, presenten uns riscs associats més elevats que no pas mutacions en els gens *PMS2* i *MSH6* (Bonadona, et al., 2011; Kempers, et al., 2011; Senter, et al., 2008).

Cal destacar que s'ha descrit el fenomen de l'anticipació genètica en famílies amb la síndrome de Lynch (Larsen, et al., 2009; Nilbert, et al., 2009; Rodriguez-Bigas, et al., 1996; Stella, et al., 2007; Vasen, et al., 1994; Westphalen, et al., 2005). L'anticipació genètica es dóna quan, en generacions successives, es redueix l'edat d'aparició d'una malaltia i/o augmenta la severitat del seu fenotip (Trachan, 1999). La disminució progressiva de l'edat del diagnòstic en el CCR en generacions successives s'ha reportat des de la primera descripció de la síndrome de Lynch el 1925 (Warthin, 1925). Tot i això,

els mecanismes moleculars d'aquest fenomen en la síndrome de Lynch encara no han estat establerts (Bozzao, et al., 2011; Gruber and Mukherjee, 2009).

### **2.3 Assessorament genètic en la síndrome de Lynch**

La identificació dels individus en situació de risc de desenvolupar la síndrome de Lynch, així com qualsevol altre síndrome de predisposició hereditària al CCR, és fonamental per a disminuir la morbiditat i mortalitat de la malaltia. Des de la introducció del diagnòstic molecular predictiu, s'ha facilitat la identificació dels individus en situació de risc que permet, a més d'una valoració individualitzada del risc de desenvolupar càncer, recomanar estratègies de prevenció i cribratge adequades. És per això que després de realitzar el diagnòstic de la síndrome de Lynch en qualsevol membre de la família, s'ha de remetre al pacient i als seus familiars a una Unitat de Consell Genètic per tal de rebre el corresponent assessorament genètic.

En el procés de consell genètic s'avalua el risc personal i familiar de susceptibilitat hereditària al càncer mitjançant la realització d'una exhaustiva història clínica sobre els antecedents familiars i personals de càncer del pacient. En aquest procés, un equip de professionals especialitzats i degudament capacitats en consell genètic (Rolnick, et al., 2011) ajuda als individus i les seves famílies amb totes les qüestions associades a la seva condició hereditària de manera personalitzada, assegurant-los el suport psicosocial si és necessari. Així doncs, l'assessorament genètic s'ha de considerar com una part integral del procés de realització de les proves genètiques (Kääriäinen H, 2008).

Aquest procés inclou construir i avaluar un arbre genealògic, obtenir la història mèdica personal i familiar, i proporcionar informació sobre el risc genètic. Si és necessària la realització d'un estudi genètic, el procés incorpora l'assessorament previ, l'estudi en sí, l'assessorament posterior i el seguiment. Després de l'estudi genètic, a les persones amb un resultat de test positiu (identificació d'una mutació germinal patogènica), se'ls informarà del risc de desenvolupar càncer, tot recomanant-los les estratègies de prevenció i cribratge adequades (Winawer, et al., 2003). En algunes famílies però, el resultat del test genètic és no concloent, degut a la identificació d'una variant de significat desconegut (VSD) (veure apartat 5.1 i 6). Aquest resultat suposa un problema en el consell genètic, ja que es desconeix si aquesta variant afecta la funcionalitat del gen o la proteïna i, en conseqüència, si està o no associada a un augment del risc de desenvolupar càncer en la família.

### 3. BASES GENÈTIQUES DE LA SÍNDROME DE LYNCH

#### 3.1 Sistema de reparació del DNA

Com ja s'ha comentat, la síndrome de Lynch està causada per mutacions germinals en els gens MMR. El sistema de reparació de desaparellaments erronis és un mecanisme encarregat de corregir els errors produïts per la polimerasa durant el procés de la replicació. La polimerasa pot provocar un desaparellament base-base o bé pot "relliscar" (*slippage*) en les seqüències curtes i repetitives, com els microsatèl·lits, donant lloc a bucles d'inserció/deleció de nucleòtids (Chung and Rustgi, 2003). Si aquests errors no són reparats, en la propera replicació de la cadena filla es fixarà la mutació.

Aquest sistema de reparació va ser inicialment identificat en bactèries, on es va observar que la seva inactivació comportava un augment de mutacions espontànies (Fazakerley, et al., 1986; Modrich and Lahue, 1996; Radman and Wagner, 1986). En eucariotes, com els llevats o els mamífers, hi ha varis homòlegs de les proteïnes del sistema MMR en *E.coli*, que reflecteixen la conservació del procés de reparació així com l'especialització i la superposició del funcionament d'algunes proteïnes (Taula 3). Aquest fet fa pensar en l'elevada importància d'aquest tipus de reparació.

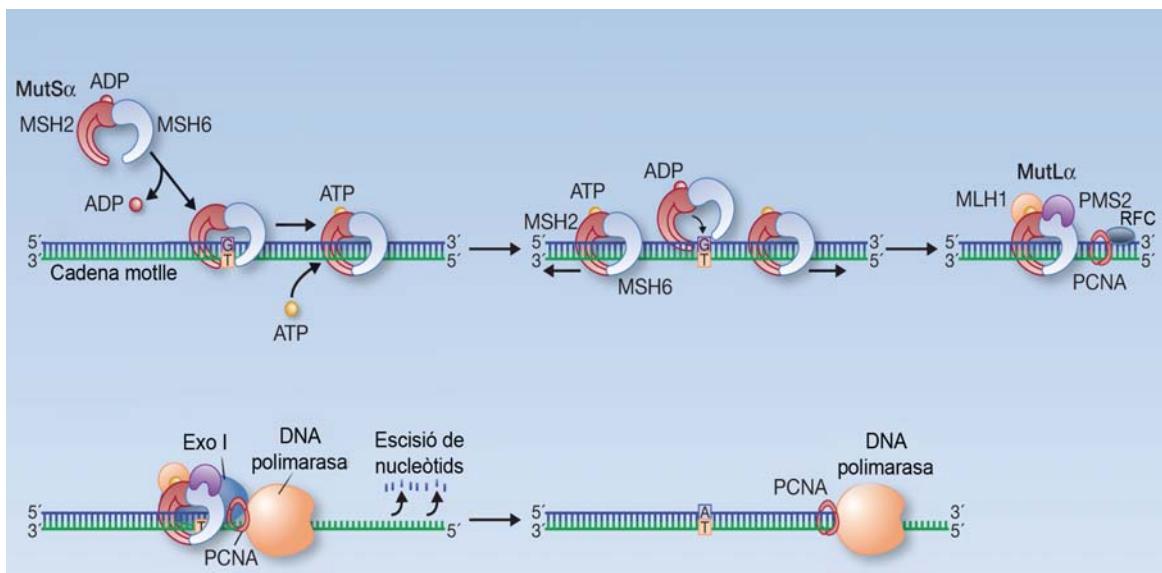
**Taula 3. Correlació de les proteïnes del sistema reparador del DNA en *E. coli* i en humans.**  
NI: No identificada. Modificada de Fukui, 2010.

Proteïnes en <i>E. coli</i>	Funció	Proteïnes homòlogues en humans	Funció
MutS	Reconeixement de l'error	MutS $\alpha$ (MSH2, MSH6)	Reconeixement d'error base-base i zones inserció/deleció d'una sola base
		MutS $\beta$ (MSH2/MSH3)	Reconeixement d'error en zones d'inserció/deleció >2 bases
MutL	Reclutar i coordinar altres proteïnes	MutL $\alpha$ (MLH1, PMS2)	Reclutar i coordinar diferents proteïnes
		MutL $\beta$ (MLH1, PMS1)	Reclutar i coordinar diferents proteïnes
		MutL $\gamma$ (MLH1, MLH3)	Reclutar i coordinar diferents proteïnes, també participa en la meiosi
MutH	Creació del <i>nick</i>	NI	
SSB	Escisió de la cadena mutada	RPA	Escisió de la cadena mutada
RecJ, EXO I, EXO VII, EXO V	Escisió de la cadena doble de DNA	EXO I	Escisió de la cadena doble de DNA
DNA pol III	Sintesi de la nova cadena	DNA polimerasa $\delta$	Síntesi de la nova cadena

En les cèl·lules eucariotes, s'han identificat tres proteïnes homòlogues al MutS bacterià: MSH2, MSH3 i MSH6. Aquestes formen dos heterodímers que reconeixen el DNA desaparellat: MutS $\alpha$  (MSH2/MSH6) i MutS $\beta$  (MSH2/MSH3). MutS $\alpha$  s'uneix

preferentment a bases desaparellades i a zones d'inserció/deleció petites (menys de 2 bases), mentre que MutS $\beta$  s'uneix predominantment a les zones d'inserció/deleció de més de 2 bases (Fukui, 2010; Hsieh, 2001; Kolodner and Marsischky, 1999; Kunz, et al., 2009). De la mateixa manera que el MutS bacterià, MSH2 i MSH6 tenen activitat intrínseca d'unió i hidròlisis d'ATP. Aquests proteïnes són imprescindibles per al reconeixement d'errors produïts en la replicació del DNA. Un cop MutS s'ha unit a la zona del desaparellament, es produeix la hidròlisis de l'ATP, que donarà lloc a un canvi conformacional que facilitarà les interaccions entre proteïnes i/o el desplaçament al llarg del DNA (Gradia, et al., 1999). Ara a MutS s'hi uneix un altre heterodímer, normalment MutL $\alpha$ , format per MLH1 i PMS2. També s'han descrit els homòlegs MutL $\beta$ , format per MLH1 i PMS1, i MutL $\gamma$ , format per MLH1 i MSH3, que també està involucrat en la recombinació meiòtica. S'ha observat que MutL $\gamma$  sembla tenir més afinitat per unir-se a MutS $\beta$  (procés de reparació de les insercions/deleccions de més de 2 nucleòtids) que no pas a MutL $\alpha$  (Fukui, 2010; Marsischky and Kolodner, 1999). L'heterodímer MutL, que interactuarà amb el complex MutS-DNA, és l'encarregat de reclutar altres proteïnes reparadores i modular la seva activitat (Fukui, 2010; Kunkel and Erie, 2005; Li, 2008). MutL $\alpha$  posseeix una activitat endonucleasa latent (que es troba en la proteïna PMS2), que serà activada per la interacció del MutS $\alpha$ , PCNA (*proliferating cell nuclear antigen*) i RFC (*replication factor C*) en un procés dependent d'ATP. MutL introduirà un tall a la cadena on s'ha produït l'error i això servirà perquè l'exonucleasa I (EXO I) sigui capaç d'escindir nucleòtid a nucleòtid el fragment de DNA on hi ha el desaparellament (Fukui, 2010; Kadyrov, et al., 2006; Kunz, et al., 2009). Mentre EXO I està actuant, la proteïna RPA (*replication protein A*) s'uneix al DNA de cadena senzilla per protegir-lo de les nucleases. Cal remarcar que a vegades el procés de reparació pot donar-se en absència de EXO I (Kadyrov, et al., 2006). La resíntesis d'aquesta cadena la fa la polimerasa  $\delta$  o polimerasa  $\epsilon$ , en presència de PCNA, de la proteïna RPA i de RFC. Finalment, la DNA lligasa I acaba el procés unint els dos extrems del fragment sintetitzat amb la cadena de DNA (Kunkel and Erie, 2005) (Figura 7). La reparació dels errors de replicació es necessària per la transició de la fase S a la fase G2 de la mitosis (Heinen, et al., 2002a).

A part de la seva funció principal en el manteniment de la fidelitat de la replicació, també s'han descrit altres funcions importants associades a aquests gens, com per exemple la modulació de la recombinació del DNA i la facilitació de la senyalització enfront a danys en el DNA, entre d'altres (Altieri, et al., 2008; Jun, et al., 2006; Kolas and Cohen, 2004).



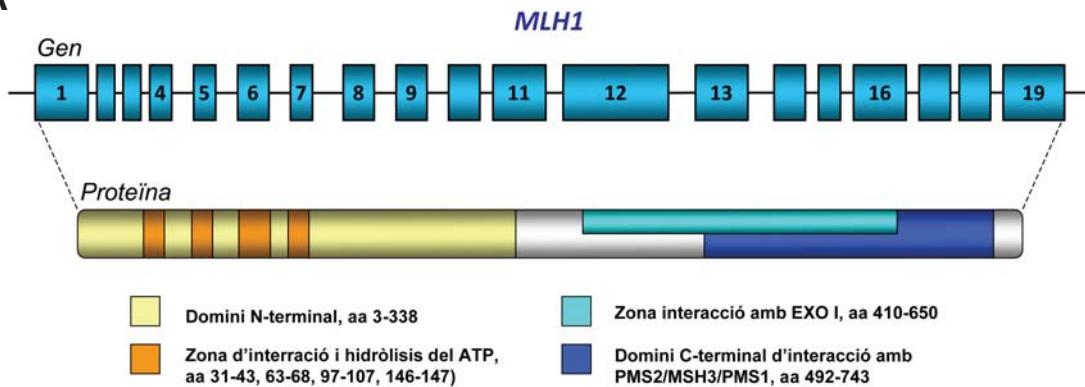
**Figura 7.** Model de la via de reparació dels errors de desaparellament. Modificat de Sinicrope and Sargent, 2012.

### 3.2 Els gens reparadors associats a la síndrome de Lynch

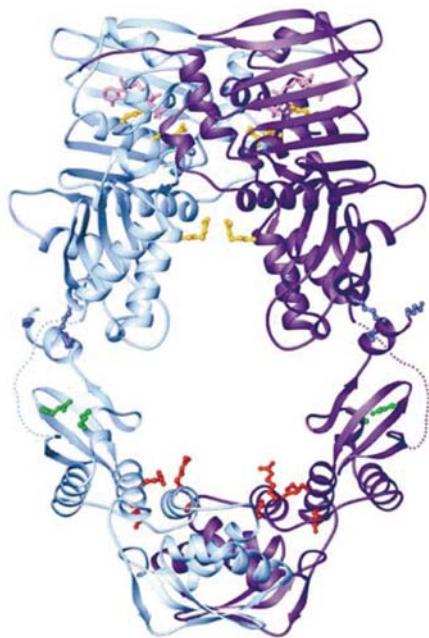
#### **MLH1**

El gen *MLH1* (NM\_00249.3) va ser identificat l'any 1994 per ànalisi de lligament en famílies amb història de càncer colorectal hereditari (Bronner, et al., 1994; Papadopoulos, et al., 1994). Aquest gen es localitza en el braç llarg del cromosoma 3 (3p21.3) i està format per 19 exons i comprèn una regió de 72557 pb. El transcript de mRNA fa 2752 pb. Aquest gen codifica per una proteïna que consta de 756 aminoàcids amb un pes molecular de 86 kDa (Han, et al., 1995). La proteïna resultant actua com a heterodímer, unint-se normalment a PMS2, i la seva funció principal és reclutar altres proteïnes reparadores i modular la seva activitat. Aquest heterodímer és homòleg a MutL bacterià (Figura 8). La proteïna MLH1 té un domini aminoterminal, que és el responsable de la unió i la hidròlisis de l'ATP, un domini central d'interacció amb MSH2, MSH3 i MSH6 i el domini carboxiterminal, que proporciona un lloc d'interacció necessari per l'heterodimerització amb PMS2, MLH3 i PMS1 (Guerrette, et al., 1999; Kondo, et al., 2001; Raschle, et al., 2002; Tran, et al., 2001) (Figura 8). La proteïna MLH1 presenta senyals de localització nuclears (NLS), ja que la funció de reparació es realitza al nucli (Brieger, et al., 2005; Leong, et al., 2009).

A



B

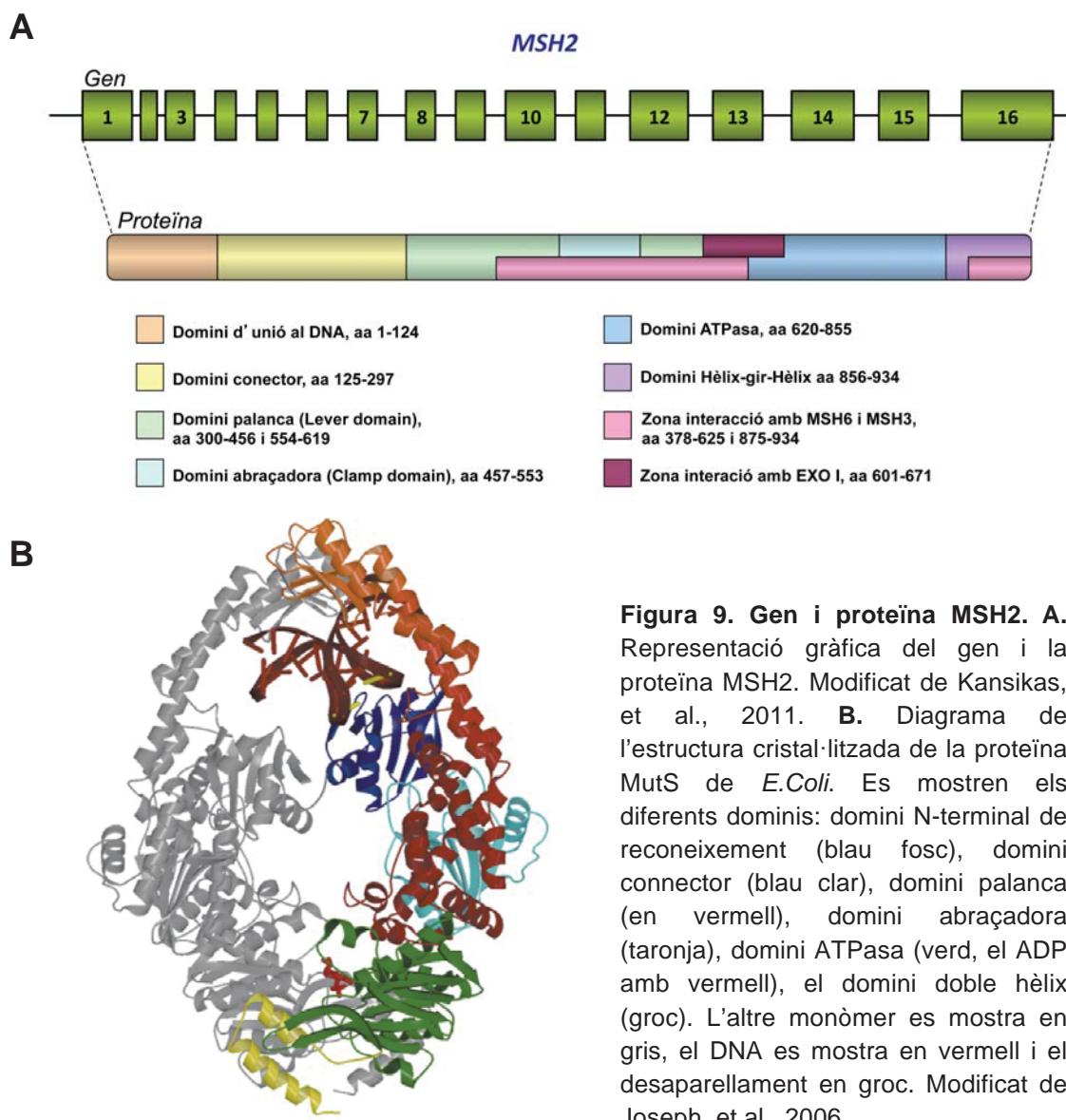


### MSH2

El gen *MSH2* (NM\_000251.2) es localitza al cromosoma 2 (2p21), i està compost per 16 exons que inclouen una regió de 80259 pb. El transcrit del mRNA fa 3307 pb, i codifica per una proteïna de 934 aminoàcids. Aquesta proteïna és imprescindible pel correcte reconeixement dels errors produïts en la replicació del DNA, i actua en forma de heterodímer, juntament amb la proteïna MSH6. Aquest heterodímer és homòleg al MutS bacterià (Figura 9). La proteïna MSH2 té varis dominis: el domini d'interacció amb el DNA, localitzat a l'extrem N-terminal; el domini connector, el qual connecta la subunitat d'unió al DNA amb la resta del heterodímer MutS (MSH3 o MSH6) i és responsable de les interaccions intramoleculars i de les senyals al·lostèriques produïdes entre els diferents dominis proteïcs (Warren, et al., 2007); el domini palanca o *lever*, que connecta el domini d'unió a l'ATP amb el domini abraçadora; el domini abraçadora o

**Figura 8. Gen i proteïna MLH1.** A. Representació gràfica del gen i de la proteïna MLH1. Modificat d'Andersen, et al., 2012. B. Diagrama de l'estructura cristal·litzada de la proteïna MutL de E.Coli. La unió dels dominis N-terminal i C-terminal es representa per una línia de punts. Adaptat de Joseph, et al., 2006.

*clamp*, que és capaç de enviar senyals entre les dues zones de la proteïna (Gammie, et al., 2007); i, finalment, el domini ATPasa, que modula la conformació de la proteïna en funció de la seva unió a l'ATP o ADP (Figura 9). Igual que la proteïna MLH1, pel seu correcte funcionament, la proteïna MSH2 ha de localitzar-se al nucli, encara que actualment hi ha certa controvèrsia sobre el funcionament del seu import nuclear (Christmann, et al., 2002; Gassman, et al., 2011; Hayes, et al., 2009).

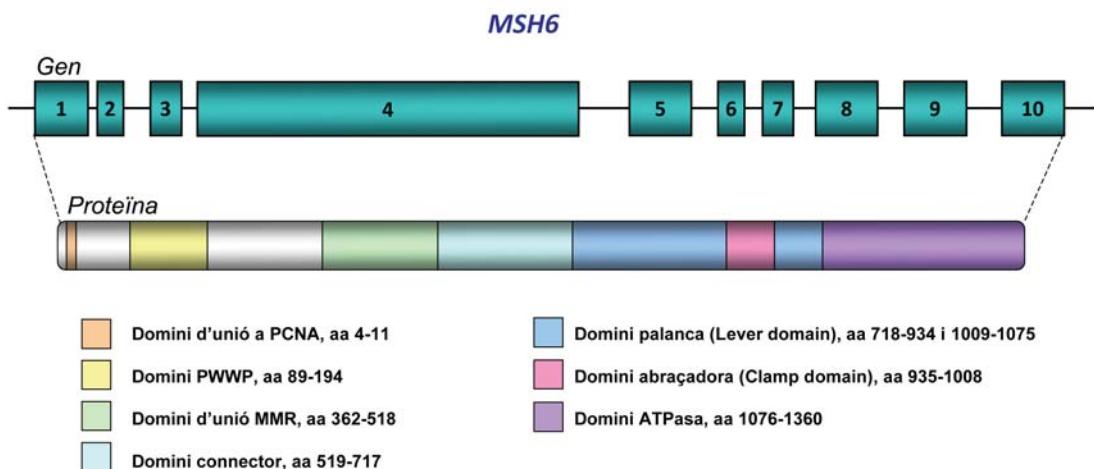


**Figura 9. Gen i proteïna MSH2.** A. Representació gràfica del gen i la proteïna MSH2. Modificat de Kansikas, et al., 2011. B. Diagrama de l'estructura cristal·litzada de la proteïna MutS de *E.Coli*. Es mostren els diferents dominis: domini N-terminal de reconeixement (blau fosc), domini connector (blau clar), domini palanca (en vermell), domini abraçadora (taronja), domini ATPasa (verd, el ADP amb vermell), el domini doble hèlix (groc). L'altre monòmer es mostra en gris, el DNA es mostra en vermell i el desaparellament en groc. Modificat de Joseph, et al., 2006.

### MSH6

El gen *MSH6* (NM\_000179.2) es localitza en la regió cromosòmica 2p16 i s'estén a llarg de 23871 pb. El seu transcript de mRNA fa 4328 pb i està constituït per 10 exons, dels quals l'exó 4 ocupa gairebé un 60% de tot el gen (2545 pb). Aquest mRNA codifica per una proteïna de 1360 aminoàcids, amb un pes molecular de 160 kDa. La proteïna

MSH6 actua normalment en forma de heterodímer amb la proteïna MSH2, i la seva funció principal és el reconeixement del desaparellament erroni. Aquesta unió condiciona en part, l'estabilitat de la proteïna MSH6. Aquesta està constituïda de cinc dominis: el domini d'interacció al DNA, el domini connector, el domini palanca o *Lever*, el domini abraçadora o *clamp*, i el domini ATPasa (Kansikas, et al., 2011). Aquests dominis tenen les mateixes funcions que els dominis que porten els mateixos noms en la proteïna MSH2. A més, conté una sèrie de residus localitzats al N-terminal, que comprenen la zona d'unió a PCNA, una zona de funció desconeguda i un fragment d'unió no específic al DNA. Recentment, s'ha localitzat un domini globular denominat PWWP, que sembla ser el responsable de la unió a la cadena doble del DNA (Laguri, et al., 2008) (Figura 10). Apart dels diferents dominis, també presenta senyals de localització nuclears (NLS) (Gassman, et al., 2011).



**Figura 10.** Representació gràfica del gen i la proteïna MSH6. Adaptat de Kansikas, et al., 2011.

## PMS2

El gen *PMS2* (NM\_000535.5) es localitza en el braç llarg del cromosoma 7 (7p22,2), està format per 15 exons, i comprèn una regió de 35886 pb. El transcript del mRNA fa 2855 pb. Aquest gen codifica per una proteïna que consta de 862 aminoàcids (96 KDa). La proteïna PMS2 actua normalment unida a MLH1, formant un heterodímer, encarregat de reclutar varies proteïnes per la correcta reparació del error. A més, d'aquesta unió també en depèn en part, la seva localització nuclear (Brieger, et al., 2005) i la seva estabilitat (Chang, et al., 2000). PMS2 té un domini aminoterminal, que és el responsable de la unió i hidròlisis de l'ATP, un domini carboxiterminal, que proporciona un lloc d'interacció per l'heterodimerització amb MLH1 (Raschle, et al., 2002) i un domini amb activitat exonucleasa intrínseca (Kadyrov, et al., 2006) (Figura 11).

L'anàlisi del gen *PMS2*, és especialment complex a causa de la presència en el nostre genoma de múltiples pseudogens. Dotze d'ells comparteixen una elevada homologia en l'extrem 5' de *PMS2* (Clendenning, et al., 2006), mentre que un altre pseudogèn, *PMS2CL*, té una forta homologia en els exons 9 i 11-15 (De Vos, et al., 2004; Nakagawa, et al., 2004) (Figura 11). Per tal d'evitar l'amplificació d'aquests pseudogens s'han dissenyat diferents estratègies per millorar el seu anàlisis mutacional (Clendenning, et al., 2006; Senter, et al., 2008; Vaughn, et al., 2010).



**Figura 11. Representació gràfica del gen i la proteïna PMS2, així com els múltiples pseudogens detectats.** En vermell es mostra el gen *PMS2* i en blau els seus pseudogens i les regions d'homologia. Adaptat de Clendenning, et al., 2006 i Deschenes, et al., 2007.

## 4. DIAGNÒSTIC DE LA SÍNDROME DE LYNCH

### 4.1 Criteris per a la selecció de famílies

Per tal de seleccionar famílies candidates de patir la síndrome de Lynch, el 1991 es van consensuar uns criteris exclusivament clínics per tal d'identificar aquests casos, els anomenats criteris d'Amsterdam I (Vasen, et al., 1991). Més tard, al 1999 es van definir els criteris d'Amsterdam II (Vasen, et al., 1999) on s'inclouen també els càncers extracolònics associats a la síndrome de Lynch (Taula 4). D'aquesta manera s'augmentava la sensibilitat al diagnòstic.

**Taula 4. Criteris d'Amsterdam I i II. SL:** síndrome de Lynch

CRITERIS D'AMSTERDAM I (l'individu els ha de complir tots)
-Tres o més familiars amb CCR, un d'ells familiar de 1r grau dels altres dos
-Dues generacions successives afectes
-Almenys un tumor diagnosticat abans dels 50 anys
-Exclusió de la Poliposi Adenomatosa Familiar
-Els tumors han de ser confirmats mitjançant un estudi histopatològic
CRITERIS D'AMSTERDAM II (l'individu els ha de complir tots)
-Tres o més familiars amb CCR o un tumor associat a SL (endometri, ovarí, intestí prim, tracte biliar, tracte urinari i sistema nerviós central), un d'ells familiar de 1r grau dels altres dos
-Dues generacions successives d'afectes
-Almenys un tumor diagnosticat abans dels 50 anys
-Exclusió de la Poliposi Adenomatosa Familiar
-Els tumors han de ser confirmats mitjançant un estudi histopatològic

Paral·lelament, amb la identificació de la inestabilitat de microsatèl·lits i la seva elevada freqüència en tumors associats a la síndrome de Lynch, es van proposar al 1997 els anomenats criteris de Bethesda (Rodriguez-Bigas, et al., 1997) (Taula 5), que pretenen identificar pacients amb una elevada probabilitat de patir la síndrome de Lynch, als quals estaria indicat determinar la presència del fenomen MSI en tumor. Més recentment, es van revisar aquests criteris de Bethesda, proposant unes noves recomanacions per a la selecció dels pacients. Aquests són els anomenats criteris de Bethesda revisats (Umar, et al., 2004) (Taula 5).

A part dels criteris clínics esmentats, existeixen models predictius que s'utilitzen clínicament per predir el risc d'un individu a patir la síndrome de Lynch: són el PREMM (Balmana, et al., 2006), MMRpro (Chen, et al., 2006), i MMR-Predict (Barnetson, et al.,

2006). Aquests utilitzen l'edat al diagnòstic, la història personal i familiar, la localització del tumor i la informació de la MSI (en el cas del MMRpro i MMRpredict) per predir la probabilitat de patir la síndrome de Lynch. Aquests models però encara no s'usen habitualment en l'algoritme diagnòstic de la síndrome de Lynch.

**Taula 5. Criteris de Bethesda i criteris de Bethesda revisats.** SL: síndrome de Lynch, MSH-I: Inestabilitat de microsatèl·lits elevada.

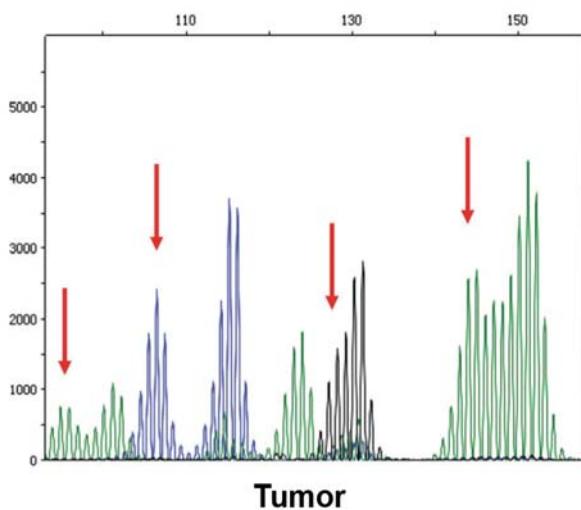
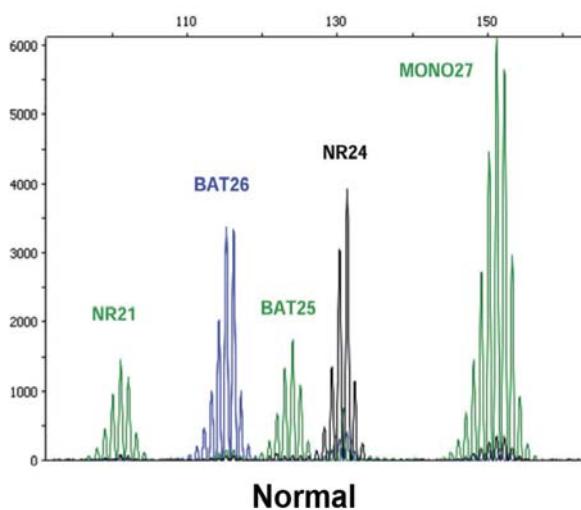
CRITERIS DE BETHESDA (l'individu ha de complir un dels requisits)
-Individus amb CCR que pertanyen a famílies que compleixen els criteris d'Amsterdam
-Individus amb dos neoplàsies associades a SL, incloent CCR sincrònic i metacrònic o càncer extracolònic (endometri, ovarí, gàstric, hepatobiliar, budell prim, urèter o pelvis renal)
-Individus amb CCR i un familiar de primer grau amb CCR i/o neoplàsia extracolònica associada al SL i/o adenoma colorectal; un dels càncers diagnosticat abans dels 45 anys d'edat o l'adenoma abans dels 40 anys d'edat
-Individus amb CCR o càncer d'endometri diagnosticat abans dels 45 anys d'edat
-Individus amb CCR localitzat al còlon dret i histològicament indiferenciat diagnosticat abans dels 45 anys d'edat
-Individus amb CCR tipus cèl·lules en anell de segell (format per més del 50% de cèl·lules en anell de segell), mucinós o patró de creixement medul·lar diagnosticat abans dels 45 anys d'edat
-Individus amb adenoma colorectal diagnosticat abans dels 40 anys d'edat
CRITERIS DE BETHESDA REVISATS (l'individu ha de complir un dels requisits)
-CCR diagnosticat abans dels 50 anys
-Presència de CCR , metacrònic o un altre càncer associat a la síndrome de Lynch (endometri, ovarí, gàstric, hepatobiliar, budell prim, urèter o pelvis renal), independentment de l'edat
-CCR amb histologia de MSI-H (presència de limfòcits tumorals infiltrats, reacció limfocitaria com la de Crohn, diferenciació mucinosa/anell de segell o patró de creixement medul·lar) diagnosticat abans dels 60 anys
-CCR diagnosticat en un o més familiars de primer grau amb un tumor associat, sent un dels càncers diagnosticats abans dels 50 anys
-CCR diagnosticat en dos o més familiars de primer o segon grau amb tumors associats a la síndrome de Lynch

#### 4.2 Cribratge molecular dels tumors

El cribratge molecular dels tumors és essencial per l'avaluació de la síndrome de Lynch, i pretén identificar individus candidats a l'estudi genètic dels gens reparadors (Hendriks, et al., 2006a). Per aquest cribratge, s'analitza la inestabilitat de microsatèl·lits, l'expressió de les proteïnes reparadores, així com, en els casos amb pèrdua d'expressió de la proteïna MLH1, la hipermetilació del promotor del gen *MLH1* i/o la detecció de la mutació p.V600E del gen *BRAF*.

### Anàlisi d'inestabilitat de microsatèl·lits

L'any 1997 l'Institut Nacional del càncer dels Estats Units va recomanar utilitzar un panell de cinc marcadors per detectar la inestabilitat de microsatèl·lits (MSI) en tumors colorectals: BAT26, BAT25, D2S123, D5S346 i D17S250. Els dos primers són marcador mononucleòtids, mentre que la resta són dinucleòtids (Boland, et al., 1998). D'aquesta manera es va determinar que sempre que els tumors mostrin dos o més microsatèl·lits alterats es classificaran com tumors amb inestabilitat alta (MSI-H) i quan els tumors mostrin una alteració en un sol marcador es classificaran com a inestabilitat baixa (MSI-L). Quan cap dels marcadors és positiu, la mostra es classifica com a estable (MSS) (Figura 12). Més recentment, un panell de cinc marcadors mononucleòtids (BAT26, BAT25, NR21, NR22, i NR24) ha resultat ser més sensible per detectar MSI-H que d'altres marcadors microsatèl·lits, a més de permetre realitzar l'anàlisi del tumor sense el teixit normal aparellat (Surawheera, et al., 2002).

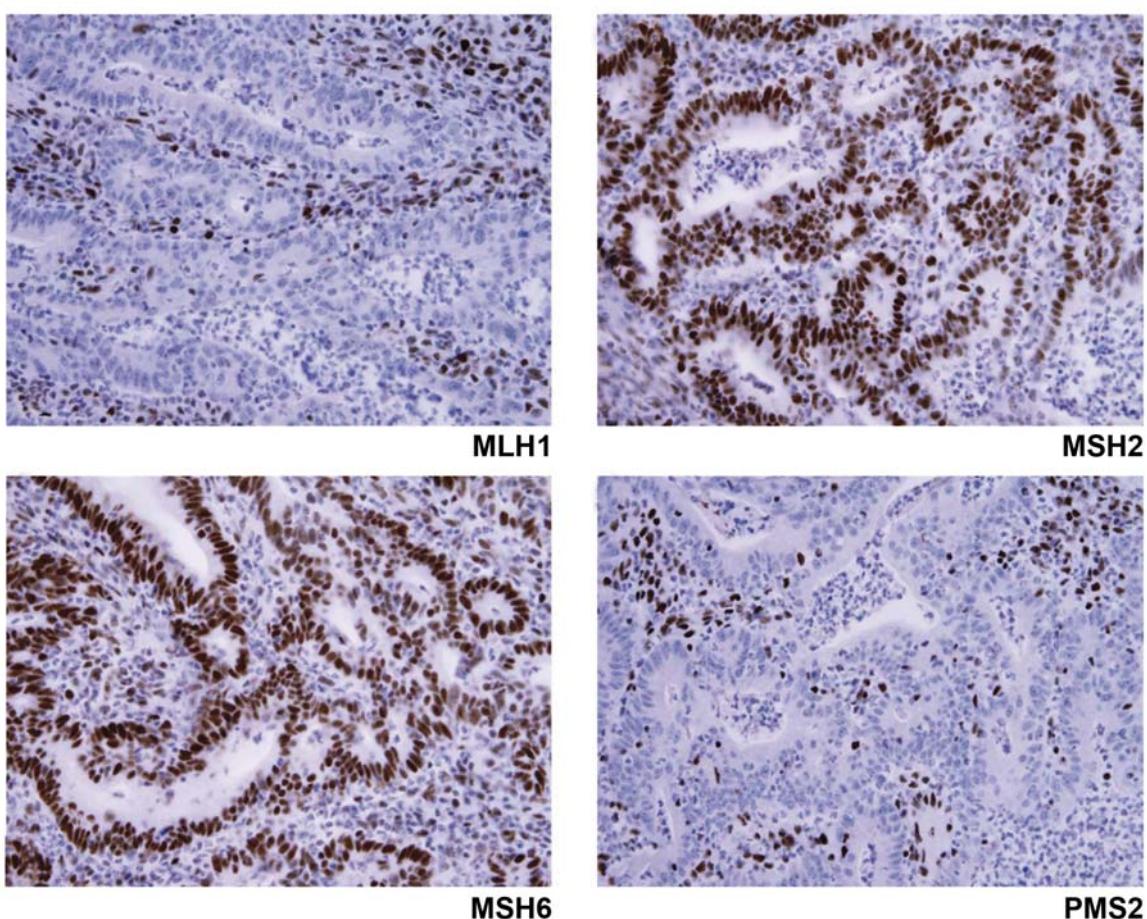


**Figura 12. Estudi de la inestabilitat de microsatèl·lits en una mostra amb MSI-H.** En la mostra s'observa l'anàlisi de 5 microsatèl·lits mononucleòtids en teixit normal (quadre superior) en comparació amb el teixit tumoral (quadre inferior). Les fletxes vermelles indiquen delecions en 4 dels mononucleòtis analitzats. Extret de Iacopetta, et al., 2010.

### Inmunohistoquímica

L'any 1994 es va començar la comercialització d'anticossos monoclonals contra les proteïnes MLH1 i MSH2. La inmunohistoquímica (IHQ) d'aquestes proteïnes es va proposar com a alternativa als estudis de microsatèl·lits en el diagnòstic (Debniak, et al., 2000; Dietmaier, et al., 1997; Thibodeau, et al., 1998). Posteriorment es va aconsellar realitzar la IHQ de les quatre proteïnes reparadores (MLH1, MSH2, MSH6 i PMS2) implicades en el síndrome de Lynch (Vasen, 2007).

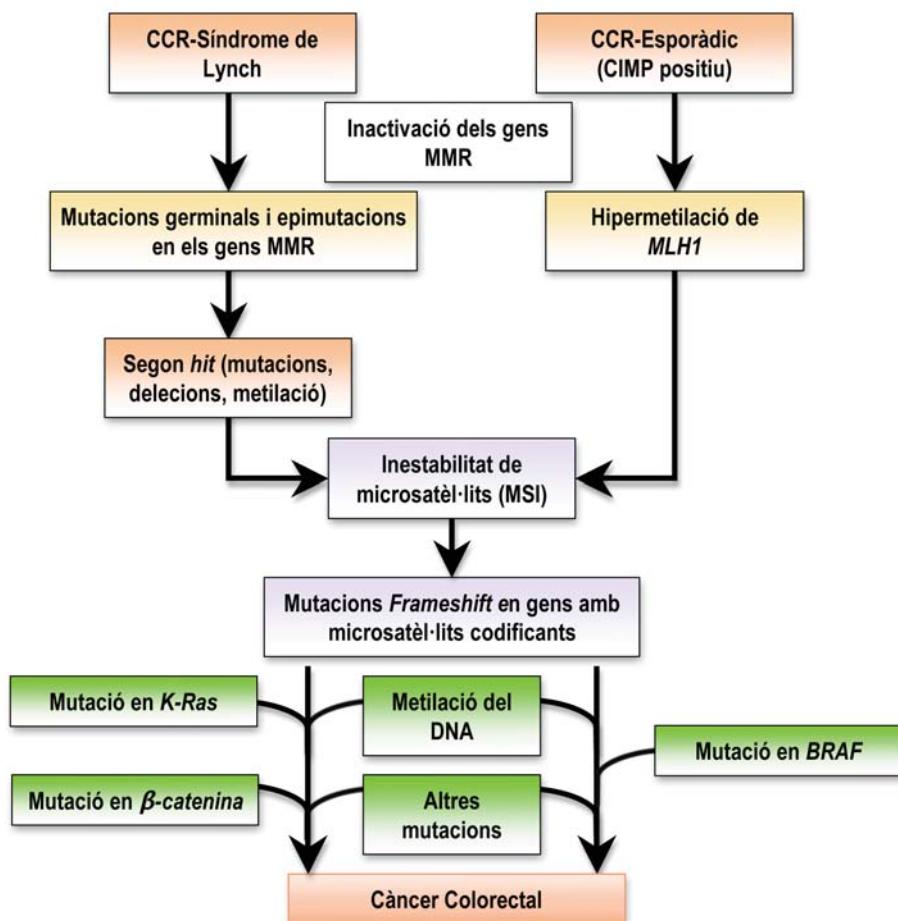
Quan es realitza la IHQ en el teixit tumoral d'un individu amb sospita de síndrome de Lynch, la pèrdua d'expressió d'una o varíes proteïnes reparadores, suggereix que pot haver-hi hagut una inactivació germinal del gen corresponent i una posterior inactivació somàtica (*second hit*) (Figura 13).



**Figura 13. Inmunohistoquímica en de les proteïnes reparadores.** En la mostra s'observa pèrdua d'expressió de les proteïnes MLH1 i PMS2. Extret de Bellizzi and Frankel, 2009.

### Hipermetilació del promotor del gen *MLH1* i la detecció de la mutació p.V600E en el gen *BRAF*

La inestabilitat de microsatèl·lits constitueix un marcador fenotípic de la síndrome de Lynch, però també es pot trobar en el 8-15% dels tumors esporàdics, on està fortament associada a la hipermetilació somàtica del promotor del gen *MLH1* i amb menor grau la mutació de *BRAF* p.V600E (Figura 14) (Herman, et al., 1998; Weisenberger, et al., 2006), com ja s'ha explicat anteriorment al apartat 1.4. Per aquest motiu, tant la hipermetilació del promotor de *MLH1* com la presència de la mutació *BRAF* p.V600E han estat utilitzades en la selecció de pacients amb CCR probablement esporàdic, els quals seran exclosos de l'anàlisi germinal de gens reparadors (revisat a Gausachs, et al., 2012). Ocasionalment però s'han detectat pacients amb síndrome de Lynch i mutacions en *BRAF* (Gausachs, et al., 2012; Walsh, et al., 2009) o hipermetilació del promotor de *MLH1* (Alemayehu, et al., 2008; Deng, et al., 2004; Nagasaka, et al., 2004; Rahner, et al., 2008).



**Figura 14.** Esquema de les dues vies de desenvolupament del CCR amb MSI. Adaptat de Boland and Goel, 2010.

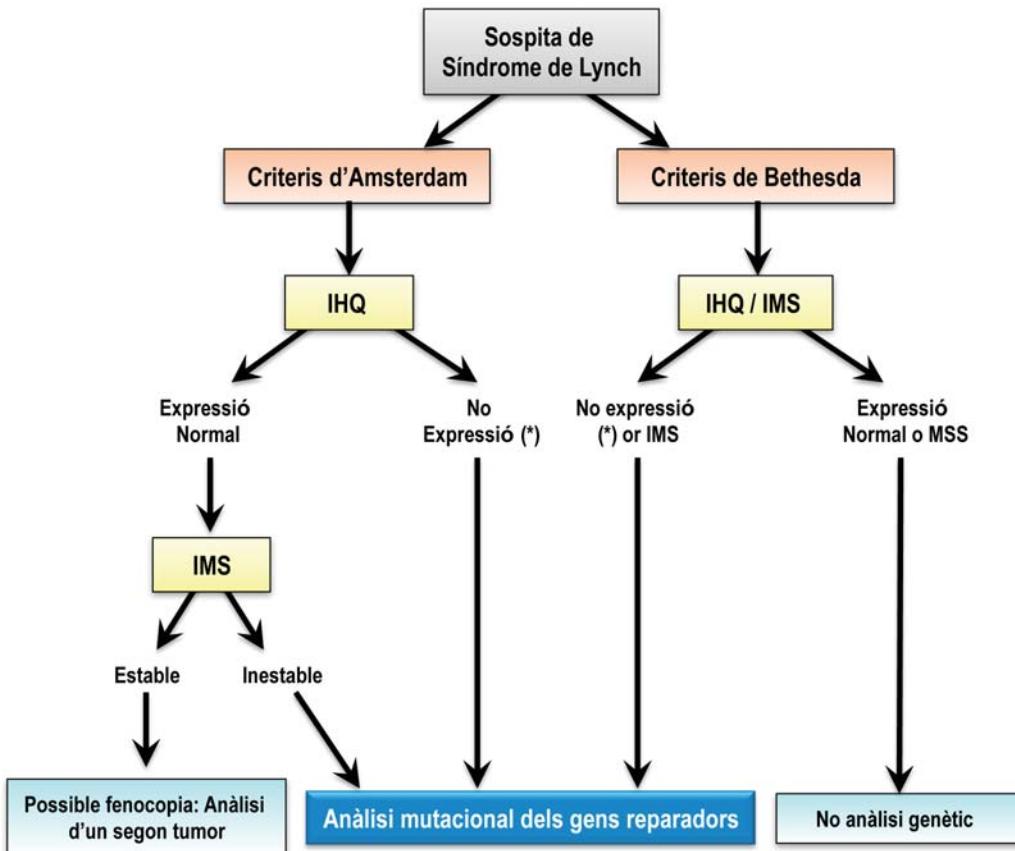
Estudis recents han demostrat que l'especificitat de l'estudi d'hipermetilació de *MLH1* és superior al de l'anàlisi de la mutació p.V600E de *BRAF* per la identificació dels tumors esporàdics i que l'estat de metilació del promotor de *MLH1* en el DNA dels tumors amb pèrdua d'expressió de *MLH1* mitjançant la tècnica de MS-MLPA (Methylation-specific MLPA) és cost-efectiva (Gausachs, et al., 2012; Perez-Carbonell, et al.).

### **Cribratge poblacional**

Actualment, hi ha un intens debat sobre quin mètode de cribratge utilitzar per la detecció de pacients amb síndrome de Lynch. Aquest debat està basat en estudis poblacionals (Hampel, et al., 2008; Hampel, et al., 2005a; Moreira, et al., 2012), on es planteja si és millor la utilització dels criteris d'Amsterdam o Bethesda en front del cribratge molecular, mitjançant estudi d'IHQ o MSI, a tots els casos incidents de CCR i CE, l'anomenat cribratge poblacional. Alguns grups suggereixen que el cribratge poblacional resultaria més cost-efectiu (Hampel, 2010; Hampel and de la Chapelle, 2011; Mvundura, et al., 2010). Tot i així, s'ha de tenir en compte, no només els costos, sinó també els aspectes ètic-legals sobre la transcendència de la informació que aporta el cribratge poblacional pel subjecte i la seva família sense l'assessorament genètic previ.

### **4.3 Algoritme diagnòstic de la síndrome de Lynch**

Amb les premisses explicades en els punts anteriors, a la Figura 15 es mostra en detall un algoritme proposat per a la identificació de pacients amb síndrome de Lynch. Després de la selecció inicial segons els criteris clínics, les proves de IHQ i MSI en teixit tumoral són utilitzades com a estudis complementaris en la selecció de pacients candidats per l'estudi mutacional. Segons els criteris clínics que compleixen les famílies, es recomana la realització de IHQ i/o MSI com a primer pas (Vasen, et al., 2007). Cal destacar, que en el cas que es detecti manca d'expressió de la proteïna *MLH1*, es realitzarà l'anàlisi de la hipermetilació del seu promotor, per tal de d'avaluar la possibilitat que es tracti d'un CCR esporàdic. Si el resultat de la hipermetilació és negatiu es procedirà a l'anàlisi mutacional del gen *MLH1*. Mentre que si es detecta hipermetilació, es descartaria l'anàlisi mutacional del gen *MLH1*. Per altra banda, en el cas que el pacient presenti hipermetilació de *MLH1* però tumors a edat jove o múltiples tumors, pot considerar-se oportú procedir a l'anàlisi de mutacions en *MLH1* o metilació germinal de *MLH1* (Hitchins and Ward, 2009).



**Figura 15. Algoritme diagnòstic proposat per l'estudi de la síndrome de Lynch.** (\*) En cas de manca d'expressió de MLH1, es realitza l'anàlisi d'hipermetilació de *MLH1* o de la mutació p.V600E de *BRAF*. Extret de Pineda, et al., 2010.

#### 4.4 Estratègia d'estudi genètic

Independentment de la disponibilitat dels algoritmes de treball clínics i moleculars per seleccionar els pacients per a l'anàlisi de mutacions d'un gen en línia germinal, la seqüenciació completa de la regió codificant i les regions intròniques adjacents és la tècnica considerada *gold standard* en l'estudi de mutacions.

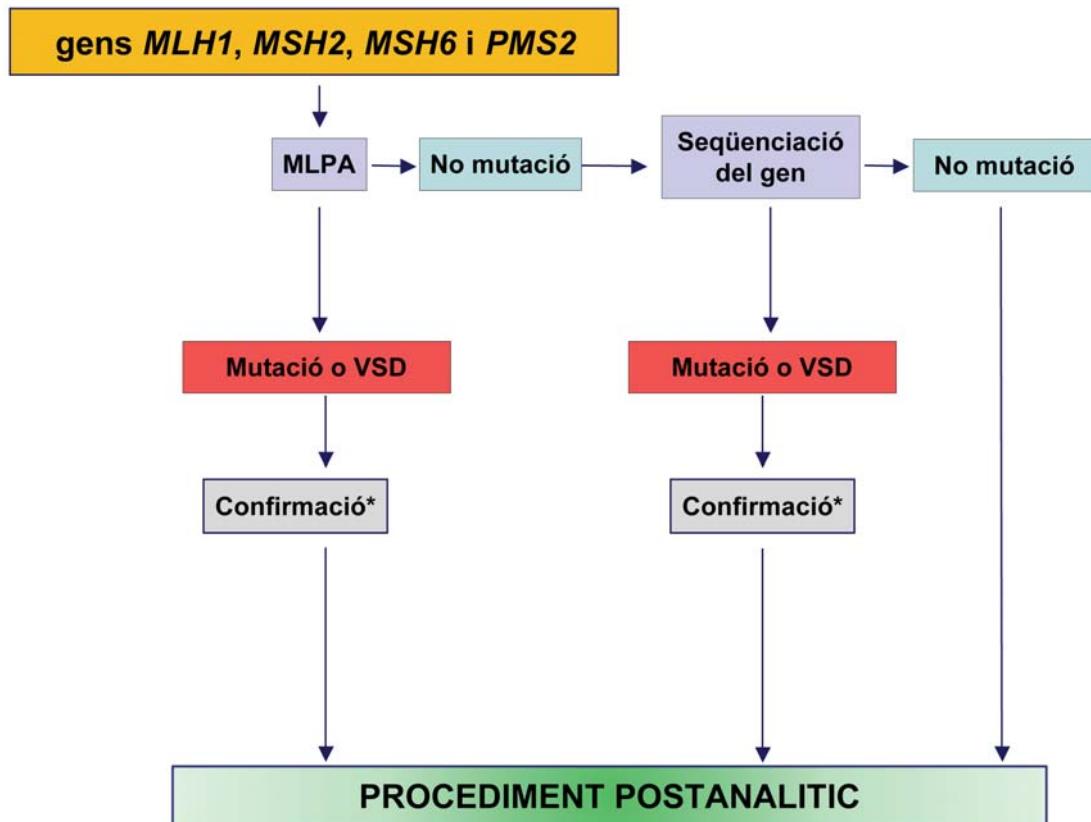
Durant la dècada passada molts dels laboratoris de diagnòstic van confiar en les tècniques de cribratge de mutacions puntuals d'alt rendiment, per tal d'obtenir una detecció més ràpida i econòmica de les mutacions. En aquest sentit, es van desenvolupar diferents tècniques basades en les propietats dels heterodúplex com el DGGE (*Denaturing Gradient Gel Electrophoresis*) (Fischer and Lerman, 1979), el CSCE (*Conformation Sensitive Capillary Electrophoresis*) (Davies, et al., 2006), o el DHPLC (*Denaturing High Performance Liquid Chromatography*) (Xiao and Oefner, 2001) entre d'altres. També s'han utilitzat les propietats de la cadena senzilla del DNA pel cribratge, és a dir SSCP (*Single-Strand Conformation Polymorphism*) (Orita, et al., 1989). Més

recentment, s'ha demostrat la utilitat de les tècniques a temps real per cribrar mutacions com ara el *High Resolution Melting* (HRM) (Liew, et al., 2004).

Els fragments identificats com a alterats amb aquestes eines de cribratge mutacional necessiten ser estudiats amb més detall, de manera que, en general, es requereix de la seqüenciació del DNA per identificar l'alteració concreta de cada fragment. Actualment, el cost de la seqüenciació s'està reduint, de manera que molts laboratoris estan analitzant els gens MMR mitjançant la seqüenciació directa sense un cribratge previ (Gruber, 2006). A més, el resultat de l'anàlisi immunohistoquímica de proteïnes reparadores en tumor s'utilitza en la selecció del gen candidat a analitzar genèticament. Cal remarcar però, que tant la seqüenciació directa com el cribratge previ són mètodes que no estan dissenyats per identificar delecions o duplicacions d'un o múltiples exons consecutius. El MLPA (*Multiplex Ligation dependent Probe Amplification*) és molt utilitzat en l'anàlisi de variacions en nombre de còpies a causa de la seva simplicitat relativa, el seu cost acceptable i la possibilitat d'obtenir un alt rendiment i robustesa (Schouten, et al., 2002). Sempre que sigui possible, és preferible confirmar qualsevol variació en el nombre de còpies per una altra metodologia diferent així com buscar la naturalesa molecular exacta de la deleció.

Per altra banda, quan es coneix l'espectre mutacional d'un gen en una població determinada és possible el disseny de determinades estratègies cost-efectives per a la detecció de mutacions fundadores o recurrents (Lynch, et al., 2009). L'algoritme proposat per l'anàlisi genètic de gens reparadors en la nostra població s'esquematitza a la Figura 16. L'estratègia es basa en el cribratge de grans reordenaments previ al cribratge de mutacions puntuals, degut a l'elevada freqüència d'aquests reordenaments en els gens reparadors (10-27%) (veure apartat 6.1, espectre mutacional dels gens reparadors). En cas de detectar un reordenament genòmic classificat com a patogènic es pot obviar el cribratge de mutacions puntuals (Gille, et al., 2002).

Actualment, el mètode convencional de seqüenciació comença a ser substituït parcialment per les tecnologies de *next-generation sequencing* (NGS). Recentment s'ha reportat la utilització del NGS en el diagnòstic del síndrome del càncer de mama i ovarí hereditari (Feliubadalo, et al., (In press); Hernan, et al., 2012), així com del càncer colorectal hereditari (Pritchard, et al., 2012). El NGS ens obre una nova etapa on s'ampliarà la informació del genoma humà així com el coneixement específic del genoma del càncer.



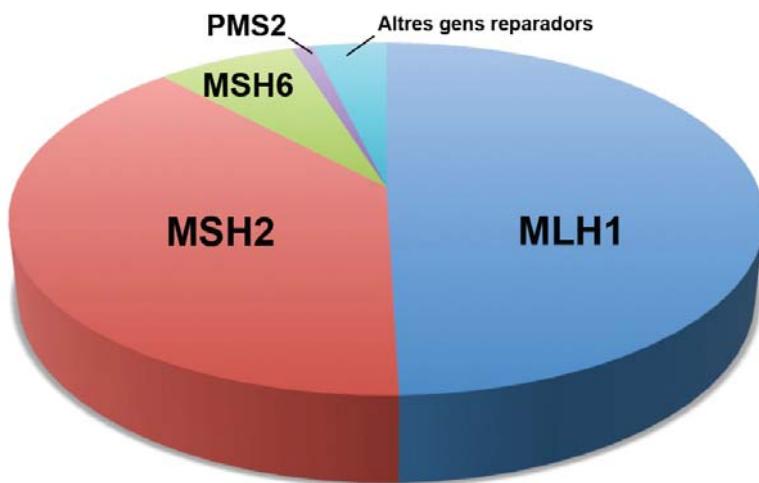
\* Confirmació per seqüenciació, estudi de RNA o segon kit de MLPA, dependent del canvi identificat

**Figura 16. Algoritme de l'anàlisi genètic dels gens reparadors.** Algoritme proposat en el Programa de Diagnòstic Molecular de Càncer Hereditari de l'Institut Català d'Oncologia (ICO). Cedit per la Unitat de Diagnòstic Molecular de l'ICO.

## 5. MUTACIONS EN ELS GENS REPARADORES

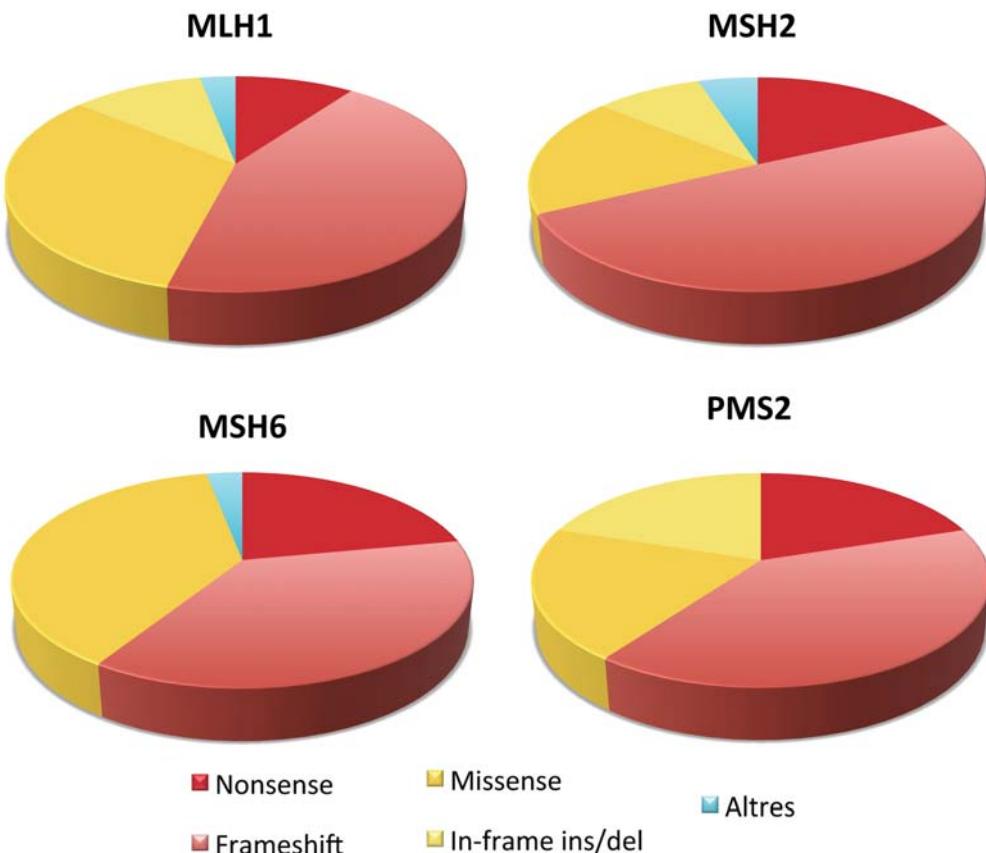
### 5.1 Espectre mutacional dels gens reparadors

El 90% de pacients amb la síndrome de Lynch presenten mutacions en *MLH1* o *MSH2*, i la resta en *MSH6* i *PMS2* (Lynch and de la Chapelle, 2003; Peltomaki and Vasen, 2004) (Figura 17). Els tipus de mutacions que es donen en els gens reparadors són molt variades i es distribueixen al llarg de la seqüència sense presència de *hotspots*. El 80% de les mutacions genètiques reportades en els gens reparadors són úniques, és a dir, reportades en una sola família (Peltomaki and Vasen, 2004). Tot i així, també s'han identificat diverses mutacions recurrents, algunes d'elles fundadores (veure apartat següent).



**Figura 17. Distribució de mutacions en els gens reparadors.** Adaptat de Peltomaki and Vasen, 2004.

La majoria de les mutacions germinals identificades en els gens reparadors són de tipus *nonsense*, *frameshift* o bé afecten la zona consens de *splicing* (posicions intròniques  $\pm 1$  i  $\pm 2$ ) (Figura 18). Els canvis *nonsense* són canvis que causen l'aparició directa d'un codó de terminació, mentre que les mutacions *frameshift* són insercions o delecions d'una o poques bases que causen el desplaçament de la pauta de lectura. Aquest dos tipus de mutacions, s'associen al truncament de la proteïna i pèrdua de la seva funció (Peltomaki and Vasen, 2004; Woods, et al., 2007), i es classifiquen com a patogèniques. Les mutacions que alteren el correcte processament del mRNA (mutacions de *splicing*), poden donar lloc a una proteïna truncada o a la pèrdua d'un domini o zona important per la seva funció (Auclair, et al., 2006; Lastella, et al., 2006; Spurdle, et al., 2008; Tournier, et al., 2008), i existeix un consens gairebé general de considerar-les mutacions patogèniques.



**Figura 18. Espectre mutacional dels gens reparadors.** Adaptat de Peltomaki and Vasen, 2004.

Posteriorment a Peltomaki s'ha reportat que entre un 10-27% de totes les mutacions patogèniques detectades en la síndrome de Lynch són causades per grans reordenaments, és a dir, pèrdues, amplificacions o reordenaments de fragments grans d'un o varis gens. Aquests reordenaments poden donar lloc a la pèrdua total de la proteïna (el que seria una haploinsuficiència) o bé a una modificació d'aquesta, donant lloc a una proteïna no funcional (Gylling, et al., 2009; van der Klijft, et al., 2005; Woods, et al., 2007).

En canvi, una proporció significativa de casos, al voltant del 30% de les alteracions detectades (Peltomaki and Vasen, 2004), són canvis d'aminoàcid (*missense*) o canvis en zones no codificant (intrònics en una regió no consens de *splicing*, en el promotor o en el 3'UTR) que tenen sovint un efecte biològic desconegut (Figura 18). Aquestes són les anomenades variants de significat desconegut (VSD).

Actualment, existeixen diferents bases de dades on són reportats els canvis detectats en els gens reparadors. La Leiden Open Variation Database (LOVD) ([http://chromium.liacs.nl/LOVD2/colon\\_cancer/home.php](http://chromium.liacs.nl/LOVD2/colon_cancer/home.php)) és la base de dades de canvis de referència per tots els gens de susceptibilitat al CCR. En aquesta base de dades

figuren moltes de les alteracions reportades en els gens reparadors, tant les variants causants de la malaltia, com els canvis polimòrfics i les VSD. Addicionalment, hi ha dues bases de dades, on hi ha reportades diferents VSD estudiades en els gens reparadors, són la *MMR Gene Unclassified Variants Database* (<http://www-mmrmisssense.net>) i la *MMR Genes Variants Database* (<http://www.med.mun.ca/mmrvvariants>).

En una proporció petita de casos s'han identificat epimutacions germinals o constitutives com a causa de Síndrome de Lynch. Aquests són canvis estables en l'expressió gènica que no afecten a la seqüència del DNA i que es donen en el teixit normal d'un individu. Fins al moment, s'han descrit epimutacions germinals en els gens reparadors *MLH1* i *MSH2* (revisat a Hitchins and Ward, 2009). En alguns casos s'han identificat alteracions genètiques responsables de la epimutació. Així, les epimutacions constitucionals en el gen *MSH2* s'han vist associades a la deleció d'exons en l'extrem 3' del gen *TACSTD1/EPCAM*, localitzat a 5' de *MSH2* i que codifica per la proteïna EPCAM (Ligtenberg, et al., 2009). En canvi, per la majoria de les epimutacions constitucionals del gen *MLH1*, no es coneix encara el mecanisme molecular pel qual es generen, ni tampoc el seu patró d'erència (Hitchins and Ward, 2009).

## **5.2 Mutacions fundadores en els gens reparadors**

Les mutacions que trobem amb elevada freqüència en l'espectre mutacional d'un gen poden ser mutacions recurrents, és a dir, que ocorren repetidament però provenen d'esdeveniments mutacionals independents (*de novo*), o bé fundadores, que són aquelles que s'han donat en un únic individu o bé han estat introduïdes en una població per aquest únic individu. La probabilitat d'aparició d'aquestes mutacions augmenta si hi ha aïllament (absència d'immigració), endogàmia, creixement ràpid de la població o col·lapses d'ampolla al tamany poblacional, ja que aquests fets acceleren la deriva genètica. La capacitat d'una mutació fundadora en els gens reparadors de persistir i arribar a estendre's en una població, és en part deguda al fet que la reproducció dels individus portadors no es veu afectada (de la Chapelle, 2004).

Els finlandesos, els islandesos, els jueus ashkenazi, els canadencs francesos i els amish, són poblacions conegeudes com a poblacions fundadores típiques degut a que mostren alguna de les característiques descrites anteriorment. En algunes d'aquestes poblacions, les mutacions fundadores en els gens reparadors representen una part important del total de casos de síndrome de Lynch. Per exemple a Finlàndia, dues mutacions en el gen *MLH1* (la deleció de l'exó 16 i la c.454-1G>A) són les responsables del 63% de totes les mutacions causants de la síndrome de Lynch (Moisio, et al., 1996;

Nystrom-Lahti, et al., 1995). En la Taula 6 es mostren les mutacions fundadores descrites en gens reparadors en diferents poblacions fins al moment. La naturalesa molecular de les mutacions fundadores descrita en aquests gens és diversa, i s'observa un ampli espectre que inclou des de mutacions puntuals fins a grans reordenaments. El possible caràcter fundador d'aquestes mutacions s'ha de confirmar mitjançant l'anàlisi de l'haplotip associat en diferents famílies portadores (Chao, et al., 2008; Gonzalez-Perez and Lopez-Bigas, 2011; Ng and Henikoff, 2002).

**Taula 6. Mutacions fundadores reportades en la síndrome de Lynch.**

MLH1		
Població	Mutació	Referències
Finnlàndia	Deleció exò 16	Nystrom-Lahti, et al., 1995; Moisio, et al., 1996
Finnlàndia	c.454-1G>A	Nystrom-Lahti, et al., 1995; Moisio, et al., 1996
Suïssa	c. 2142G>A (p.W714X)	Hutte,r et al., 1996
Dinamarca	c.1667+2del7ins4	Jager, et al., 1997
Xina	Deleció exò 11	Chan, et al., 2001
EEUU	c. 1381A>T (p.K461X)	Stella, et al., 2001
Itàlia	c.2269_2270insT	Caluseriu, et al., 2004
Itàlia	c.1831delAT	Thiffault, et al., 2004
Itàlia	c.545+3A>G	Thiffault, et al., 2004
Corea	c.1757_1758insC	Shin, et al., 2004
Taiwan	c. 793C>T (p.R265C)	Tang, et al., 2009
Espanya	c.306+5G>A	Borràs, et al., 2010
Espanya	c.1865T>A (p.L622H)	Borràs, et al., 2010
Itàlia	c.731G<A	Lastella, et al., 2011
Itàlia	c.1558+1G>T	Lastella, et al., 2011
Itàlia	c.131G<A	Lastella, et al., 2011
Portugal	c.1896+280_oLRRFIP2: c.1750-678del	Pinheiro, et al., 2011
EEUU, Itàlia, Alemanya	c.589-2A>G	Tomsic, et al., 2012
MSH2		
Població	Mutació	Referències
New foundland	c.942+3A>T	Froggatt, et al., 1999
Jueus Azhkenazi	c.1906G>C (p.A636P)	Foulkes, et al., 2002; Sun et al .,2005
EEUU	Deleció de exò 1-6	Wagner, et al., 2003; Clendenning et al., 2008
Xina	c.1452_1455delAATG	Chan, et al., 2004
Danesa	c.1788_1790delAAT	Ripa, et al., 2005
Espanya (Tenerife)	c.2063T>G (p.M688R)	Medina-Arana, et al., 2006
Itàlia	Deleció exò 1-exò 6	Stella, et al., 2007
Espanya	c.[2635-3T>C;2635-5C>T]	Menendez, et al., 2010
Espanya	Deleció exò 4-exò 8	Pérez-Cabornero, et al., 2011
Espanya	Deleció exò 7	Pérez-Cabornero, et al., 2011
Itàlia	Deleció exò 8	Borelli, et al., 2012
MSH6		
Població	Mutació	Referències
Finnlàndia	c.2983G>T (p.E995X)	Vähteristo, et al., 2005
Suïssa	c.1346T>C (p.L449P)	Cederquist, et al., 2005
Suïssa	c.2931C>G (p.Y997X)	Cederquist, et al., 2005
Jueus Azhkenazi	c.3984_3987dupGTCA	Goldberg, et al., 2010; Raskin et al., 2011
Jueus Azhkenazi	c.3959_3962delCAAG	Raskin, et al., 2011
PMS2		
Població	Mutació	Referències
Anglaterra, Suïssa	c.736_741delins	Clendenning, et al., 2008
EEUU	c.137G>T (p.S46I)	Tomsic, et al., 2012
EEUU	Deleció exò 10	Tomsic, et al., 2012
EPCAM		
Població	Mutació	Referències
Països Baixos/EEUU	c.859-1462_*1999del	Ligtenberg, et al., 2009
Espanya	del exò 8-9	Mur, et al.,(article 7, annex 1, sotmès a publicació)

## 6. AVALUACIÓ DE LA PATOGENICITAT DE LES VARIANTS DE SIGNIFICAT DESCONEGUT

Com s'ha esmentat anteriorment, una proporció important, al voltant del 30%, de les alteracions detectades en la síndrome de Lynch són variants de significat desconegut (VSD) (Peltomaki and Vasen, 2004). Aquestes VSD són generalment canvis *missense*, canvis *silent*s o canvis en les zones no codificant. És especialment difícil predir l'efecte funcional de mutacions *missense*, que podran interferir en la funcionalitat de la proteïna o en el correcte processament del mRNA (Auclair, et al., 2006; Bray, et al., 2012; Winawer, et al., 1997). També pot ser problemàtica la interpretació de canvis en zones no codificant (com poden ser canvis intrònics no localitzats a les zones consens de *splicing*, canvis en el promotor, canvis en la zona 3'UTR) i de canvis *silent*s en dominis codificant, que podran afectar l'estabilitat o el processament del mRNA. Així doncs, s'han descrit alguns criteris que poden suggerir patogenicitat de les variants associades a la síndrome de Lynch. A continuació es descriuen breument (Cotton and Scriver, 1998; Hofstra, et al., 1997; Syngal, et al., 1999):

- **Cosegregació de la variant amb la malaltia.** Per avaluar-la és necessari analitzar familiars de l'individu portador de la variant, tant afectats com sans, per tal de determinar si la variant segrega amb la malaltia o s'hereta de forma independent. Aquest criteri és aparentment fàcil de quantificar tot i que la seva utilitat clínica real pot ser limitada. Sovint no es disposa d'un elevat nombre d'individus afectats vius en una mateixa família (Dunlop, et al., 1997; Quehenberger, et al., 2005) o bé la penetrància de la malaltia és incomplerta i això dificulta l'anàlisi d'aquest criteri.
- **Absència de la variant en una sèrie d'individus control.** Si bé aquest és el mètode estàndard per a l'avaluació de les variants genètiques comunes, el principal desavantatge en el context de l'avaluació de la patogenicitat és que la majoria de les VSD són poc freqüents (en general les seves freqüències són <1%). Per tant, és necessari una mida mostral elevada (~10000 individus) per tenir la potència necessària per demostrar que la variant no és present a la població. A més, les variants d'interès sovint són específiques d'una regió geogràfica o grup ètnic, el que fa encara més difícil l'obtenció de mostres. Malgrat aquestes limitacions, aquest estudi s'utilitza com un mètode ràpid per detectar possibles variants neutrals. Es considera doncs, que si una variant és genotipada en uns pocs centenars de controls i es demostra que té una freqüència de 1% o superior, és molt poc probable que sigui una variant d'alt risc (Goldgar, et al., 2008).

- **No co-ocurrència** de la variant amb mutacions patogèniques en l'altre al·lel (*en trans*), donat que mutacions bial·lèliques en els gens reparadors donen lloc a la síndrome CMMR-D (veure l'apartat 2.1), amb un fenotip molt més agressiu (Wimmer and Etzler, 2008).
- **Aparició de novo** de la mutació en un individu amb clínica de la síndrome de Lynch.
- **Pèrdua de l'al·lel no mutat en el tumor.** Per la hipòtesi de Knudson, s'assumeix que la pèrdua total de la funció en els gens reparadors és deguda a la inactivació somàtica dels dos al·lels. En els tumors de la síndrome de Lynch, si es detecta la pèrdua del segon al·lel, aquest fet suggerix patogenicitat de la variant detectada a nivell germinal.
- **Absència de tinció inmunohistoquímica** de la proteïna corresponent en teixit tumoral. Aquesta absència és causada per la pèrdua d'expressió dels dos al·lels del gen. S'ha de destacar que, a vegades, les mutacions o VSD poden produir un defecte en la funcionalitat de la proteïna, tot mantenint la seva expressió (Heinen and Juel Rasmussen, 2012; Hofstra, et al., 2008).
- **Presència de MSI en el teixit tumoral del pacient**, com a conseqüència de la pèrdua de la funcionalitat de les proteïnes reparadores (Aaltonen, et al., 1993)
  - Per a variants *missense*, **canvi de la polaritat de l'aminoàcid**.
  - Per a variants *missense*, **canvi d'aminoàcid en un domini que està evolutivament conservat** entre espècies i/o compartit entre proteïnes que pertanyen a la mateixa família (Tavtigian, et al., 2008).
  - **Defecte a nivell funcional de la VSD estudiada** (veure apartat següent).

### 6.1 Estudis funcionals de les variants de significat desconegut

Per tal d'avaluar el defecte a nivell funcional de les VSD identificades en individus susceptibles a patir la síndrome de Lynch, s'han desenvolupat múltiples assaigs a nivell *in silico* e *in vitro* i també en diferents sistemes experimentals, que avaluen diferents aspectes tant a nivell de mRNA com de proteïna.

Per la majoria d'aquests assajos, no es necessària l'obtenció de mostres addicionals del pacient o dels seus familiars, el que facilita, en part, la seva implementació. Per contra, és necessari tenir uns bons coneixements del gen/proteïna que s'analitzarà, així com disposar d'uns bons dissenys i estratègies per al seu anàlisi. Cal tenir en compte però, que a vegades és difícil traslladar els resultat obtinguts en els anàlisis funcionals a la clínica (Couch, et al., 2008).

## Anàlisi in silico

Aquests anàlisis permeten predir com pot afectar una VSD a nivell de processament del mRNA i a nivell de funció de la proteïna. Els programes de predicció dels llocs de *splicing* han estat desenvolupats per investigar la possibilitat de la creació, modificació o pèrdua d'un d'aquests llocs (revisat per (Spurdle, et al., 2008)). També hi ha programes que prediuen les modificacions en els elements exònics activadors de l'*splicing* (ESE). Els programes de predicció a nivell de proteïna sovint utilitzen la conservació evolutiva com a mesura per valorar la importància del residu, així com la severitat de la substitució o les diferències estructurals entre la proteïna salvatge i la mutada (Arnold, et al., 2009; Chao, et al., 2008; Olatubosun, et al., 2012; Tavtigian, et al., 2008). A la Taula 7 es llisten els programes més utilitzats per les prediccions *in silico* a nivell de RNA i proteïna a la literatura.

**Taula 7. Programes de predicció *in silico* a nivell de RNA i proteïna més comunament utilitzats.**

<b>Anàlisi <i>in silico</i> a nivell RNA</b>		
<b>Programes de predicció de llocs splicing</b>		
Programa	Pàgina Web	Referències
NetGene2	<a href="http://www.cbs.dtu.dk/services/NetGene2/">http://www.cbs.dtu.dk/services/NetGene2/</a>	Hebsgaard et al., 1996 Brunak et al., 1991
Spliceport	<a href="http://spliceport.cs.umd.edu">http://spliceport.cs.umd.edu</a>	Dogan et al., 2007
NNSplice	<a href="http://www.fruitfly.org/seq_tools/splice.html">http://www.fruitfly.org/seq_tools/splice.html</a>	Reese et al., 1997
SoftBerry	<a href="http://linux1.softberry.com/berry.phtml">http://linux1.softberry.com/berry.phtml</a>	Burset et al., 2001
MaxEntScan	<a href="http://genes.mit.edu/burgelab/maxent/Xmaxentscan_scoreseq.html">http://genes.mit.edu/burgelab/maxent/Xmaxentscan_scoreseq.html</a>	Eng L et al., 2004
GENSCAN	<a href="http://genes.mit.edu/GENSCAN">http://genes.mit.edu/GENSCAN</a>	Burge and Karlin et al., 1998
Splice Site Finder	<a href="http://violin.genet.sickkids.on.ca/ali/splicesitefinder.html">http://violin.genet.sickkids.on.ca/ali/splicesitefinder.html</a>	Senapathy et al., 1990
GeneSplicer	<a href="http://www.tigr.org/tdb/GeneSplicer/gene_spl.html">http://www.tigr.org/tdb/GeneSplicer/gene_spl.html</a>	Pertea et al., 2001
Human Splice Finder	<a href="https://splice.uwo.ca">https://splice.uwo.ca</a>	Rogan et al., 1998, Nalla i Rogan et al., 2005
Splicing Sequene Finder	<a href="http://www.umd.be/SSF/">www.umd.be/SSF/</a>	Desmet et al., 2009
<b>Programes de predicció de llocs ESE</b>		
Programa predicció	Pàgina web	Referències
RescueESE	<a href="http://genes.mit.edu/burgelab/rescue-ese/">http://genes.mit.edu/burgelab/rescue-ese/</a>	Fairbrother et al., 2002
ESEfinder 3.0	<a href="http://rulai.cshl.edu/cgi-bin/tools/ESE3/esefinder.cgi?process=home">http://rulai.cshl.edu/cgi-bin/tools/ESE3/esefinder.cgi?process=home</a>	Cartegni et al., 2003 Smith et al., 2006
PESX	<a href="http://cubweb.biology.columbia.edu/pesx/">http://cubweb.biology.columbia.edu/pesx/</a>	Zhang i Chasin., 2004 Zhang et al., 2005

<b>Anàlisi <i>in silico</i> a nivell proteïna</b>		
Programa predicció	Pàgina web	Referències
Polyphen-2	<a href="http://genetics.bwh.harvard.edu/pph2/">http://genetics.bwh.harvard.edu/pph2/</a>	Adzhubei et al., 2010
A-GVGD	<a href="http://agvgd.iarc.fr/">http://agvgd.iarc.fr/</a>	Mathe et al., 2006 Tavtigian et al., 2005
SIFT	<a href="http://sift.jcvi.org/">http://sift.jcvi.org/</a>	Ng i Henikoff et al., 2002
MAPP-MMR	<a href="http://mappmmr.blueankh.com/">http://mappmmr.blueankh.com/</a>	Chao et al., 2008
CONDEL	<a href="http://bg.upf.edu/condel/home">http://bg.upf.edu/condel/home</a>	Gonzalez-Perez et al., 2011
Mutation Assessor	<a href="http://mutationassessor.org/v1/">http://mutationassessor.org/v1/</a>	Reva et al., 2011

### **Assaigs a nivell de mRNA**

L'estudi de l'efecte en el mRNA, es pot realitzar a diferents nivells:

- Estudi experimental sobre l'efecte en el processament: Aquests estudis es realitzen a partir d'un disseny específic per avaluar l'efecte de cada VSD en l'*splicing* del mRNA mitjançant RT-PCR (Arnold, et al., 2009; Sharp, et al., 2004; Tournier, et al., 2008).
- Estudi de l'expressió al·lèlica diferencial (ASE): Aquests estudis analitzen la quantificació relativa dels dos al·lels del mRNA (Castellsague, et al., 2010; Perera, et al., 2010; Santibanez Koref, et al., 2010).

Sempre que sigui possible, aquests assaigs es portaran a terme a partir del RNA dels limfòcits dels pacients. Tanmateix, molts laboratoris no disposen d'aquest tipus de mostra. Per aquest motiu s'han desenvolupat els assaigs de mRNA *in vitro*, que presenten una bona correlació amb les assaigs amb limfòcits (Betz, et al., 2010; Lastella, et al., 2006; Naruse, et al., 2009; Tournier, et al., 2008). Alguns d'aquests assaigs utilitzen plàsmids d'expressió on s'incorpora la VSD a estudiar, i posteriorment es transfeca aquest plàsmid en una línia cel·lular estable. El RNA extret de les cèl·lules s'utilitzarà per fer l'anàlisi a partir de RT-PCR (Betz, et al., 2010; Lastella, et al., 2006; Naruse, et al., 2009; Tournier, et al., 2008). Per altra banda, també s'han descrit els assaig de conversió. Aquests es basen en convertir una mostra diploide en una haploide, mitjançant l'aïllament dels dos al·lels en híbrids de cèl·lules somàtiques i posteriorment es realitza l'estudi del RNA de cada cèl·lula (Casey, et al., 2005; Nakagawa, et al., 2004; Nakagawa, et al., 2002; Yan, et al., 2000).

### **Assaig *in vitro***

#### Assaigs funcionals en llevats

Els assaigs funcionals en llevats s'han desenvolupat basant-se en el fet que el sistema MMR està altament conservat evolutivament (Ou, et al., 2007). A partir d'aquest concepte, s'analitza l'efecte de la VSD en la seva proteïna homòloga de llevat. S'han desenvolupat tres tipus d'estratègies diferents per aquests assaigs: (I) expressió del gen mutat de llevat en una soca de llevat haploide deficient en aquest gen (Shcherbakova and Kunkel, 1999; Wanat, et al., 2007), (II) expressió del gen mutat d'humà en una soca haploide salvatge de llevat (Clark, et al., 1999; Shimodaira, et al., 1998; Takahashi, et al., 2007), (III) expressió del gen mutat de llevat en una soca diploide de llevat que conté

només una copia funcional del gen a analitzar (Drotschmann, et al., 1999). A més, diversos mètodes han estat aplicats per avaluar les taxes de mutació espontània en llevats de les diverses soques que expressen mutants de les proteïnes MMR (Polaczek, et al., 1998; Shimodaira, et al., 1998; Takahashi, et al., 2007; Tran, et al., 1997; Wanat, et al., 2007).

#### Assaig de reparació *in vitro* que utilitzen línies cel·lulars humanes

Alguns estudis analitzen la capacitat de les variants introduïdes en el cDNA dels gens reparadors per a complementar fenotips defectius d'aquests gens en línies cel·lulars (Blasi, et al., 2006; Mastroloca and Heinen, 2010a). A les cèl·lules complementades, l'estat de reparació és investigat mesurant la resposta cel·lular a agents metilants (Li, 2008), la taxa de mutació espontània, la capacitat de reparació, o la mesura de la inestabilitat de microsatèl·lits (revisat a Rasmussen, et al., 2012).

#### Assaig d'activitat reparadora *in vitro*

La reparació dels desaparellaments es reconstitueix *in vitro*, proporcionant una informació valiosa sobre les proteïnes necessàries i els seu paper durant el procés de reparació (Dzantiev, et al., 2004; Holmes, et al., 1990; Zhang, et al., 2005). Els extractes cel·lulars o les proteïnes recombinants són testades per observar la seva habilitat de reparació en d'un plàsmid que conté un desaparellament en un lloc de restricció. Aquest assaig de reparació ha estat utilitzat per estudiar la capacitat de reparació de moltes VSD tant en el gen *MLH1*, *MSH2* com *MSH6* (revisat a Heinen and Juel Rasmussen; 2012; Ou, et al., 2007; Rasmussen, et al., 2012). L'avaluació de la reparació dels desaparellaments és probablement l'assaig biològicament més rellevant per avaluar la funció d'una variant en els gens reparadors.

#### Estudi d'altres propietats de les proteïnes reparadores

Aquests assaigs ofereixen analitzar aspectes més específics de les propietats de la proteïna, que són de vital rellevància per la seva funció (revisat a Heinen and Juel Rasmussen, 2012; Rasmussen, et al., 2012). Aquest assaigs es poden dividir en:

- *Assaigs d'interacció de les proteïnes reparadores:* Aquests assaigs avaluen la formació dels heterodímers entre les diferents proteïnes reparadores. Per estudiar aquestes interaccions disposem de varis assaigs: (I) L'assaig *pull-down* amb Glutatió S-transferasa (GST) (Belvederesi, et al., 2006; Guerrette, et al., 1999; Guerrette, et al.,

1998; Yuan, et al., 2002), (II) la Immunoprecipitació (Kosinski, et al., 2010; Nystrom-Lahti, et al., 2002; Ollila, et al., 2006), (III) l'assaig de doble híbrid en llevats (Jager, et al., 2001; Kondo, et al., 2001; Kondo, et al., 2003; Pang, et al., 1997) i (IV) l'expressió transitòria dels gens reparadors en un línia MMR deficient. En aquest cas la transfecció de la proteïna mutada dins d'una línia cel·lular deficient en aquesta proteïna i en el la proteïna que forma l'heterodímer, ens permetrà valorar defectes d'interacció amb la proteïna que heterodimeritza (Belvederesi, et al., 2006; Briege, et al., 2002; Kosinski, et al., 2010; Ollila, et al., 2006).

- *Assaigs d'expressió i estabilitat de les proteïnes reparadores:* La transfecció transitòria d'un plàsmid amb una VSD, l'estudiarem en una línia cel·lular deficient en el gen en qüestió. Aquest estudi ens permetrà avaluar l'expressió i l'estabilitat d'aquesta proteïna, mitjançant la seva extracció i posterior anàlisi per western-blot (Kosinski, et al., 2010; Lutzen, et al., 2008; Perera and Bapat, 2008; Takahashi, et al., 2007).
- *Assaigs d'unió al DNA:* Per avaluar la capacitat d'un heterodímer a unir-se al DNA, es poden utilitzar dos tipus d'assaigs: (I) Els assaigs de canvi de mobilitat del DNA (Cyr, et al., 2012; Drotschmann, et al., 1999; Heinen, et al., 2002b; Lutzen, et al., 2008; Ollila, et al., 2008), i (II) els assaigs d'unió al DNA immobilitzat (Heinen, et al., 2002b).
- *Assaigs basats en el cicle ATP-ADP:* Els quals valoren diferents aspectes relacionats amb els cicle de l'ATP-ADP, com la unió de les proteïnes a l'ATP, la hidròlisi d'ATP i l'eficiència catalítica de l'ATP, els canvis conformacionals en presència d'ATP, entre d'altres (Cyr, et al., 2012; Heinen, et al., 2002b; Lutzen, et al., 2008; Ollila, et al., 2008; Raevaara, et al., 2005).
- *Assaigs de localització cel·lular:* La localització subcel·lular de les proteïnes reparadores amb la variant a analitzar poden ser estudiades mitjançant inmunofluorescència o bé, per l'estudi de la proteïna fusionada amb un fluorocrom que serà expressada en cèl·lules humanes deficientes en aquesta proteïna (Briege, et al., 2005; Knudsen, et al., 2007; Lutzen, et al., 2008; Raevaara, et al., 2005).

### Anàlisi d'altres funcions de les proteïnes reparadores

Les proteïnes reparadores tenen altres funcions a part de reparar els possibles desaparellaments erronis que es donen durant la replicació del DNA. Algunes d'aquestes funcions estan involucrades en l'activació dels punt de control durant el cicle cel·lular, en l'apoptosi en resposta a danys causat en el DNA per agents tòxics, entre d'altres. Hi ha varis estudis en els que s'estudia l'efecte de determinades VSD en relació amb aquestes funcions (Marinovic-Terzic, et al., 2008; Mastrolcola and Heinen, 2010b).

## 6.2 Algoritmes de classificació proposats per l'avaluació de la patogenicitat de les variants de significat desconegut

Encara que un dels aspectes més rellevants per a l'avaluació de la patogenicitat d'una VSD són les característiques clínico-patològiques, sovint, resulten insuficients per establir el grau de rellevància que aquestes tenen en la síndrome de Lynch (Couch, et al., 2008). Per aquest motiu, la combinació d'aquests aspectes junt amb els diferents estudis funcionals s'està consolidant com a eina per classificar les VSD detectades en els gens reparadors.

Per tal d'avaluar de la patogenicitat de les VSD en els gens MMR, es va proposar un algoritme de classificació diagnòstic jeràrquic en tres etapes (Figura 19) (Couch, et al., 2008). El primer pas inclou el procediment diagnòstic dels pacients amb sospita de la síndrome de Lynch, on s'inclou l'anàlisi de IHQ i MSI en el teixit tumoral, seguit del test genètic dels gens reparadors. En cas que es detecti una VSD, el procediment de diagnòstic continua amb una sèrie d'assajos que en gran mesura es basen en el coneixement de la biologia del sistema MMR. En l'etapa 2 es proposa l'anàlisi *in silico* anivell de mRNA i de proteïna (Spurdle, et al., 2008; Tavtigian, et al., 2008), seguit de l'anàlisi *in vitro* de l'activitat reparadora. Si els resultats indiquen deficiència en l'activitat reparadora, es pot considerar com una forta evidència qualitativa de que aquesta variant és patogènica. Si la variant mostra activitat reparadora, s'analitzaran diferents propietats de la proteïna. La variant podrà ser considerada com a patogènica, si mostra defecte en alguna d'aquestes propietats.

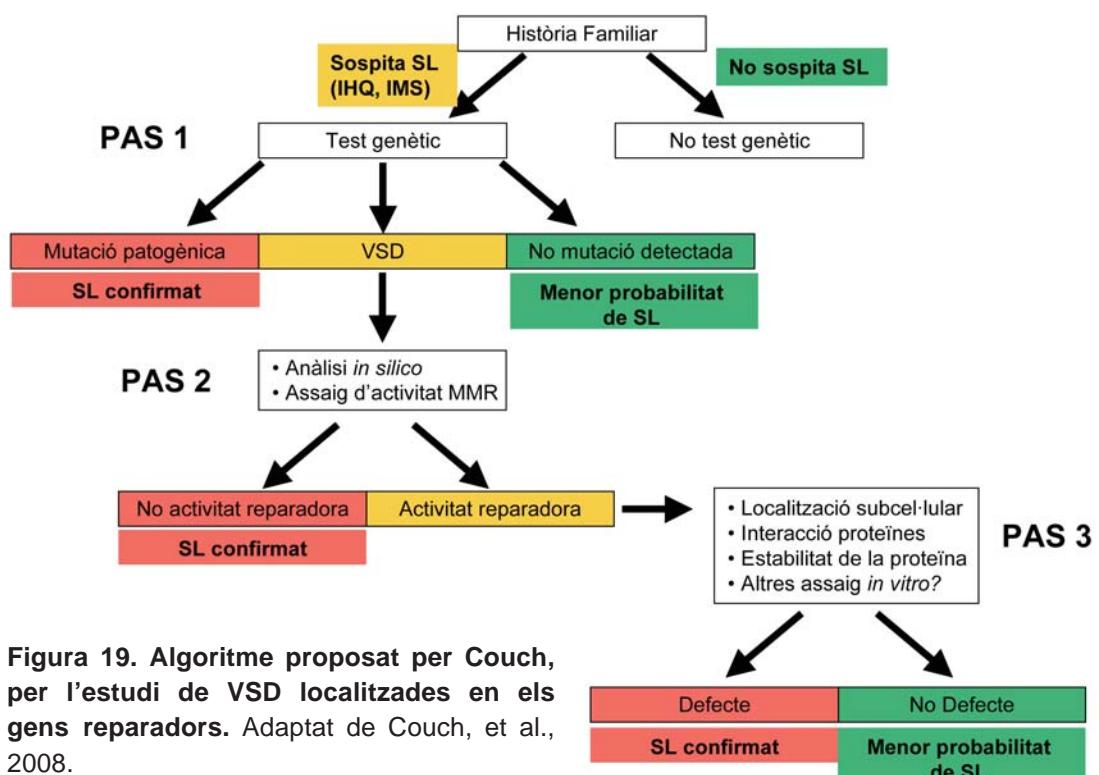


Figura 19. Algoritme proposat per Couch, per l'estudi de VSD localitzades en els gens reparadors. Adaptat de Couch, et al., 2008.

### 6.3 Sistemes de classificació de VSD

L'any 2007, es van proposar unes guies per a la classificació de variants, tal com es presenta a la pàgina web:

[http://cmgsweb.shared.hosting.zen.co.uk/BPGs/Best\\_Practice\\_Guidelines.htm](http://cmgsweb.shared.hosting.zen.co.uk/BPGs/Best_Practice_Guidelines.htm).

Aquestes directrius establien varíes normes de qualitat i línies d'evidència des d'un punt de vista molecular, per tal d'ajudar a determinar la possible patogenicitat de les VSD. Aquesta guia conclou que és essencial informar de totes les variants detectades en l'estudi de seqüenciació, i proposa informar de les VSD classificant-les en tres classes: (I) certament no patogènica, (II) poc probablement patogènica, i (III) probablement patogènica. No obstant això, els nivells de patogenicitat per classificar una VSD dins una categoria determinada, i les recomanacions clíniques associades amb cada categoria, no estaven incloses. Així mateix, la *American College of Medical Genetics* (ACMG) va proporcionar sis categories estàndard, per interpretar i reportar les diferents VSD sense especificar quin era la informació quantitativa necessària per categoritzar les VSD (Richards, et al., 2008).

Posteriorment, es va proposar la utilització de models Bayesians per a la integració d'evidències directe e indirectes de la patogenicitat d'una variant, en un model únic per obtenir un valor de probabilitat de patogenicitat. Aquests models van ser establerts per primer cop per trobar solució a la classificació de VSD detectades en els gens *BRCA1* i *BRCA2* (Goldgar, et al., 2004). Més recentment, també s'han proposat per avaluar el risc de càncer associat a VSD en els gens reparadors (Goldgar, et al., 2008; Spurdle, 2010).

Idealment, en aquest model integral, tant les dades quantitatives com les qualitatives serien adequadament ponderades per arribar a una classificació final. El resultat final seria la probabilitat general de patogenicitat, és a dir, la probabilitat de les dades observades sota la hipòtesi que la variant és patogènica en comparació amb la corresponent probabilitat que la variant sigui neutral. Cada tipus de prova depèn de diferents models i supòsits, i alguns són més adequats per a la quantificació i la formulació com un quotient de probabilitat que altres. Els primers estudis realitzats amb models Bayesians es centren principalment en les dades que poden ser evaluades directament sobre una base genètica/epidemiològica, ja que aquestes dades són fàcilment quantificables en termes de quocients de probabilitat i, a més, són les més directament relacionades amb els resultats clínics d'interès.

Plon, et al., 2008, va proposar un sistema de classificació en cinc categories en relació a la seva probabilitat de patogenicitat (Taula 8), que actualment, és el més comunament utilitzat. El major avantatge d'aquest sistema de classificació és la creació de la classe 2 (probablement no patogènica/poca significació clínica) i la classe 4 (probablement patogènica), amb una probabilitat de patogenicitat del 0.1-4.9% i del 95-99%, respectivament. Es considera important diferenciar aquests dos grups del grup de classe 3 (variant de significat desconegut), per diferenciar entre les variants en les que hi ha realment poca informació per donar alguna recomanació, i les variants en que hi ha certa evidència en contra (classe 2) o certa evidència a favor (classe 4) de la seva patogenicitat. Tot i així, aquest sistema no és ideal, ja que no ens permet classificar de forma qualitativa, i a més no es disposa d'un valor assignat per cada evidència.

**Taula 8. Sistema de classificació proposat para les VSD identificades en els gens reparadors.** Extret de Plon, et al., 2008.

Classe	Descripció	Probabilitat de ser patogènica
5	Definitivament patogènica	>0.99
4	Probablement patogènica	0.95-0.99
3	Variant de significat desconegut	0.05-0.949
2	Probablement no patogènica o amb poc significat clínic	0.001-0.049
1	No patogènica o sense significat clínic	<0.001



## **HIPÒTESI**

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L'anàlisi mutacional de gens reparadors en famílies amb la síndrome de Lynch és molts cops no informatiu. La detecció de Variants de Significat Desconeugut (VSD), que representen fins un 30% de les alteracions detectades, ha merescut cada cop major atenció tant per l'increment de la freqüència en la seva detecció, com pel repte que representa obtenir una informació funcional rellevant en una situació ambigua.

L'estudi d'aquestes variants mitjançant l'anàlisi de cosegregació, freqüència en controls en població general, la naturalesa molecular del canvi i la conservació evolutiva del residu moltes vegades és no concloent per determinar la seva patogenicitat. Els estudis funcionals de variants són més informatius, encara que rarament són exhaustius. Aquests poden incloure estudis a nivell de mRNA i estudis funcionals a nivell de proteïna, que en moltes ocasions representen un gran repte en els laboratoris orientats a l'anàlisi mutacional tant per les limitacions dels models *in vitro* utilitzats com per la manca d'estudis que mostrin la seva reproduïibilitat.

Hipotetitzem que l'estudi exhaustiu de VSD en els gens *MLH1* i *PMS2*, ens permetrà classificar la seva patogenicitat en una gran majoria dels casos. En conseqüència, aquests estudis permetran augmentar el percentatge d'individus amb sospita de síndrome de Lynch i mutació patogènica detectada. Aquest fet ens permetrà millorar el consell genètic d'aquestes famílies.

Per altra banda, les mutacions detectades amb elevada freqüència poden ser mutacions recurrents, és a dir, que ocorren repetidament provinents d'esdeveniments mutacionals independents (*de novo*), o bé fundadores, mutacions que s'han donat en un únic individu ancestre o bé han estat introduïdes en una població determinada per aquest únic individu. L'estudi d'haplotips en diferents famílies portadores d'una mateixa mutació s'utilitza per identificar el seu possible efecte fundador. En la nostra sèrie detecten dues variants amb una elevada freqüència en el gen *MLH1*.

Hipotetitzem que pot tractar-se de dues mutacions fundadores a la població espanyola, la seva identificació ens ajudarà a millorar el diagnòstic molecular de la síndrome de Lynch en la nostra població.



## ***OBJECTIUS***

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### **Objectiu general**

Aquesta tesi té per objectiu avaluar l'impacte funcional de les variants de significat desconegut identificades en el gens *MLH1* i *PMS2* en pacients amb sospita de síndrome de Lynch. La determinació de la patogenicitat d'aquestes variants serà clau en l'assessorament genètic d'aquestes famílies, i permetrà l'estudi predictiu de familiars de risc així com la personalització de les recomanacions de mesures de prevenció i seguiment adequades.

### **Objectius específics**

1. Estudiar el possible efecte fundador de dues variants de significat desconegut en el gen *MLH1*, la c.306+5G>A i la 1865T>A, que hem identificat com a recurrents en la nostra població.
2. Estudiar funcionalment les variants de significat desconegut identificades en els gens *MLH1* i *PMS2* mitjançant una estratègia raonada per a l'estudi funcional, integrant estudis a nivell de RNA i proteïna, *in silico* i *in vitro*.



## ***RESULTATS***

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La secció de Resultats d'aquesta tesi consta de 2 articles publicats i un sotmès a publicació, que es descriuen breument a continuació. Per altra banda, s'adjunten a l'Annex 1 altres articles en els quals la doctoranda ha col-laborat i n'és coautora.

**Article 1**

"Mutacions fundadores en el gen *MLH1* amb una moderada penetrància en famílies espanyoles amb síndrome de Lynch"

**Cancer Research, 2010**

**Article 2**

"Anàlisi funcional exhaustiu de variants de significat desconegut detectades en el gen *MLH1*"

**Human Mutation, 2012**

**Article 3**

"Refinament del rol de *PMS2* en la síndrome de Lynch: Anàlisi mutacional en línia germinal millorada per l'avaluació exhaustiva de les variants de significat biològicament desconegut"

**Sotmès a publicació.**



**ARTICLE 1*****MLH1 FOUNDER MUTATIONS WITH MODERATE PENETRANCE IN SPANISH LYNCH SYNDROME FAMILIES***

**Ester Borràs\***, Marta Pineda\*, Ignacio Blanco, Ethan M. Jewett, Fei Wang, Àlex Teulé, Trinidad Caldés, Miguel Urioste, Cristina Martínez-Bouzas, Joan Brunet, Judith Balmaña, Asunción Torres, Teresa Ramón y Cajal, Judit Sanz, Lucía Pérez-Cabornero, Sergi Castellví-Bel, Ángel Alonso, Ángel Lanas, Sara González, Víctor Moreno, Stephen B. Gruber, Noah A. Rosenberg, Bhramar Mukherjee, Conxi Lázaro, i Gabriel Capellá. (\*)  
*Aquests autors han contribuït equitativament en aquest treball.*

**Cancer Research**; 70(19); 7379–91 (2010)

**Resum:** Les variants c.306+5G>A i c.1865T>A del gen *MLH1* havien estat freqüentment detectades en famílies espanyoles amb síndrome de Lynch. Per entendre la seva història ancestral i el seu efecte clínic, es van dur a terme anàlisis funcionals, anàlisis de penetrància i es van estudiar els seus orígens genètics i geogràfics. Es van estudiar detalladament la història familiar de 29 individus portadors. L'anàlisi funcional va incloure estudis *in silico* i *in vitro* tant a nivell de RNA com de proteïna. La penetrància de les mutacions es va calcular utilitzant una modificació de l'anàlisi de segregació que ajusta el possible biaix associat a la identificació del casos. L'efecte fundador es va avaluar a partir de l'anàlisi d'haplotips. Aquestes variants segregaven amb la malaltia i no van ser identificades en una sèrie control. Els tumors dels individus portadors mostraven inestabilitat de microsatèl·lits i pèrdua d'expressió de la proteïna MLH1. La variant c.306+5G>A afectava el correcte processament del mRNA i per això es va classificar com a patogènica. En canvi, la variant c.1865T>A (p.Leu622His) és patogènica degut al seu defecte en l'expressió i l'estabilitat de la proteïna MLH1. Per ambdues variants, la penetrància estimada és moderada i es troba dins del rang de la variabilitat estimada per les mutacions patogèniques del gen *MLH1* en la població espanyola. L'haplotip comú associat a cada una de les mutacions identificades confirmava l'origen fundador. L'edat de les mutacions estimada va ser de 53 a 122 per la mutació c306+5G>A i de 12 a 22 generacions per la mutació c.1865T>A. Els nostres resultats confirmen la patogenicitat, la moderada penetrància i l'origen fundador de les mutacions c.306+5G>A i c.1865T>A en el gen *MLH1*. Els resultats obtinguts tenen important implicacions tant en el consell genètic com en el diagnòstic molecular de la síndrome de Lynch.



## MLH1 Founder Mutations with Moderate Penetrance in Spanish Lynch Syndrome Families

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### Abstract

The variants c.306+5G>A and c.1865T>A (p.Leu622His) of the DNA repair gene *MLH1* occur frequently in Spanish Lynch syndrome families. To understand their ancestral history and clinical effect, we performed functional assays and a penetrance analysis and studied their genetic and geographic origins. Detailed family histories were taken from 29 carrier families. Functional analysis included *in silico* and *in vitro* assays at the RNA and protein levels. Penetrance was calculated using a modified segregation analysis adjusted for ascertainment. Founder effects were evaluated by haplotype analysis. The identified *MLH1* c.306+5G>A and c.1865T>A (p.Leu622His) variants are absent in control populations and segregate with the disease. Tumors from carriers of both variants show microsatellite instability and loss of expression of the MLH1 protein. The c.306+5G>A variant is a pathogenic mutation affecting mRNA processing. The c.1865T>A (p.Leu622His) variant causes defects in MLH1 expression and stability. For both mutations, the estimated penetrance is moderate (age-cumulative colorectal cancer risk by age 70 of 20.1% and 14.1% for c.306+5G>A and of 6.8% and 7.3% for c.1865T>A in men and women carriers, respectively) in the lower range of variability estimated for other pathogenic Spanish *MLH1* mutations. A common haplotype was associated with each of the identified mutations, confirming their founder origin. The ages of c.306+5G>A and c.1865T>A mutations were estimated to be 53 to 122 and 12 to 22 generations, respectively. Our results confirm the pathogenicity, moderate penetrance, and founder origin of the *MLH1* c.306+5G>A and c.1865T>A mutations. These findings have important implications for genetic counseling and molecular diagnosis of Lynch syndrome. *Cancer Res*; 70(19); 7379–91. ©2010 AACR.

### Introduction

Lynch syndrome (MIM 120435) is an autosomal-dominant condition caused by germline mutations in mismatch repair (MMR) genes *MLH1*, *MSH2*, *MSH6*, and *PMS2* (1). It is characterized by early-onset colorectal cancer (CRC) and an increased risk of other cancers (1). At age 70, cumulative CRC risk has been estimated to be 27% to 66% for men

and 22% to 47% for women; risk for endometrial cancer (EC) varies between 14% and 40% (2–5).

Several founder mutations have been described in MMR genes (6). In *MLH1*, founder mutations were identified in several populations (6–8), where they can explain a substantial fraction of Lynch syndrome occurrences (9, 10), facilitating genetic diagnosis. In Spain, founder mutations in MMR genes have only been identified in the *MSH2* gene (11, 12).

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**Note:** Supplementary data for this article are available at Cancer Research Online (<http://cancerres.aacrjournals.org/>).

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MMR founder mutations reported thus far are heterogeneous in function and frequency (6). Most of them encode truncated proteins and are readily categorized as pathogenic mutations. However, founder MMR variants with uncertain pathogenicity have also been identified (11, 13, 14). To investigate the functional effect of MMR variants, numerous assays at the RNA and protein level have been developed (15, 16). The classification of a variant as a disease-causing mutation requires integration of data from different sources, including its frequency in control populations, its cosegregation with the disease in families, its clinicopathologic features, and its properties in functional studies (17).

We have identified and characterized two frequently occurring *MLH1* variants in the Spanish population. To address their clinical significance, we examined their penetrance and performed functional studies. The founder effect hypothesis was further explored by haplotype analysis.

## Materials and Methods

### Patients and samples

A total of 57 families were included in this study. Twenty-nine families were carriers of c.306+5G>A or c.1865T>A *MLH1* variants (17 and 12 families, respectively) and were assessed through Spanish genetic counseling and molecular diagnostic laboratories. Twenty-eight families were carriers of other pathogenic *MLH1* germline mutations assessed at the Catalan Institute of Oncology (ICO). Detailed family histories from at least three generations and geographic origins were obtained. Clinical data collection included tumor location, age at diagnosis, microsatellite instability (MSI) testing, and immunohistochemistry of MMR proteins in tumors. Genealogic investigations based on interviews failed to identify relationships between individuals from different families. The study protocol was approved by the Human Research Ethics Committees of participating centers, and informed consent was obtained from all subjects evaluated.

Genomic DNA was extracted from whole blood using the FlexiGene DNA kit (Qiagen) or from formalin-fixed, paraffin-embedded tissues using the QIAamp DNA Mini kit (Qiagen). DNA samples from 325 cases and 309 controls in a hospital-based CRC case-control study conducted to assess gene-environment interactions in relation to CRC risk (18) were used.

### Screening for the c.306+5G>A and c.1865T>A *MLH1* mutations and loss of heterozygosity analysis

Screening for the c.306+5G>A and c.1865T>A *MLH1* mutations was performed by conformation-sensitive capillary electrophoresis (CSCE) in DNA samples from the same CRC case-control study (Supplementary Table S1; conditions available on request; ref. 18). DNAs with patterns differing from those of controls were amplified using nonfluorescent primer and sequenced with BigDye Terminator v.3.1 Cycle Sequencing kit (Applied Biosystems). The methods for analysis of loss of heterozygosity (LOH) of the variant c.1865T>A of *MLH1* in tumors are included in Supplementary Methods.

### Computational methods

The following computational methods were used to predict modifications in splice-sites or exonic splicing enhancer sites: Spliceport (19), NNSplice (20), Rescue\_ESE (21), and ESEfinder (22). The Polyphen (23) algorithm was used to predict the pathogenicity of p.Leu622His variant using default settings. The Clustalw program was used to align the amino acid sequence of *MLH1* in 13 phylogenetically diverse species.

### Effect of the c.306+5G>A and c.1865T>A *MLH1* mutations on mRNA processing and stability

Total RNA was extracted from peripheral blood lymphocytes using Trizol (Invitrogen), and cDNA was synthesized using random primers (Invitrogen) and SuperScript II reverse transcriptase (Invitrogen). Specific primers were used to amplify the appropriate *MLH1* coding region (Supplementary Table S1). The methods for allele-specific expression (ASE) analysis of the variant c.1865T>A of *MLH1* are described in Supplementary Methods.

### Site-directed mutagenesis and construction of p.Leu622His-*MLH1*

The pcDNA3.1+ vector containing wild-type (WT) *MLH1* cDNA (Genbank accession no. NM\_000249.2) was kindly provided by Dr. R. Kolodner (Ludwig Institute for Cancer Research, UC San Diego School of Medicine, La Jolla, USA). The mutant p.Leu622His-*MLH1* cDNA was constructed using the QuikChange Site-Directed Mutagenesis kit (Stratagene) with primer 5'-AAGAAGAAGGCTGAGATGC(A)TGCGAC-TATTCTCTTTG-3' (sense strand; mutagenesis site is in parentheses). Sequencing was used to verify the presence of the mutation. The cDNA insert between *Xba*I and *Bam*HI sites was subcloned into WT *MLH1*-pcDNA3.

### Cell culture and transfection

HCT116 [deficient for endogenous *MLH1* (24)] and 293 cells were obtained from the American Type Culture Collection, resuscitated from stocks frozen at low passage within 6 months of purchase, and cultured as described (25, 26). Cell lines were routinely tested by *Mycoplasma* presence and verified by morphology, growth curve analysis, and expression of proteins (e.g., *MLH1* and *PMS2*). HCT116 cells were transfected with pGFP (green fluorescent protein) and WT *MLH1*-pcDNA3.1 or p.Leu622His-*MLH1*-pcDNA3.1 vector using Lipofectamine 2000 (Invitrogen) and Plus (Invitrogen). Transfection efficiency was measured by cytometry 24 hours after transfection.

### *MLH1* and *PMS2* protein expression and stability experiments

Protein extraction from peripheral blood lymphocytes and HCT116 and 293 cells was performed as described elsewhere (27). *MLH1* and *PMS2* expression levels were examined by SDS-PAGE, followed by Western blotting analysis with anti-*MLH1* and anti-*PMS2* antibodies (clones G168-15 and A16-4; BD Biosciences).  $\beta$ -Tubulin antibody (Sigma) was used to assess equal loading in all lanes. Band intensities were quantified using Quantity One software (Bio-Rad), and expression

of MLH1 and PMS2 was normalized to  $\beta$ -tubulin expression. The decrease of protein expression was calculated by dividing the normalized protein expression in p.Leu622His-transfected cells by the expression in WT MLH1-transfected cells. The stability of WT and variant MLH1 was assessed by treating cells with cycloheximide, a global inhibitor of *de novo* protein synthesis, as described (25).

#### Estimation of age-specific cumulative risk

We used information on the occurrence of CRC and EC in relatives of MMR mutation-positive index cases to estimate age- and gender-specific incidences of CRC and EC (females) in MMR mutation carriers by maximum likelihood using modified segregation analysis (2). The method was implemented in MENDEL (v3.3.5; refs. 28, 29).

Relatives were assumed to be followed from 20 years of age and to be censored at the age of CRC diagnosis, at the age of death, at the age of last follow-up, or at age 70 years, whichever occurred earliest. We did not ignore the cases beyond age 70 but assigned them the same risk at age 70 to avoid the larger variances observed when only sparse data are available. In estimating the risk of CRC and EC, female relatives were censored at age of CRC or EC diagnosis, whichever was diagnosed first. Information on MMR mutation status in relatives was included whenever available. For individuals with missing age information, the age was imputed based on relationship with the proband, age of the proband, and deceased status at last follow-up (dead or alive).

To correct for ascertainment, we maximized the conditional likelihood of observing the phenotypes (CRC and/or EC) and genotypes (c.306+5G>A or c.1865T>A *MLH1* variants) of the entire pedigree given the phenotypic and genotypic information of the index case (proband) and phenotypic information of the pedigree to account for multiplex ascertainment bias. Age-specific cumulative risks (penetrance) and hazard ratio (HR) estimates of CRC and EC risks in families with MMR gene variants were calculated assuming a proportional hazards model, with  $\lambda(t) = \lambda_0(t)\exp[g(t)]$ , where  $\lambda_0(t)$  is the background incidence. They were compared with the risks in the general population, which were assumed to follow the population incidence from the Spanish Cancer Registries (30). The age-specific relative risks in carriers compared with the population rates are modeled through the function  $\exp[g(t)]$ . We estimated the age-specific log HR parameters for the two age intervals <50 and  $\geq 50$ , assuming that  $g(t) = \sum_{k=1}^n \exp[\beta_k]$ , a piecewise constant HR in the  $k^{\text{th}}$  age band  $k = 1, 2$ . In all analysis, cancer incidences in noncarriers were assumed to follow the population cohort-specific rates as obtained through the Spanish Cancer Registries.

To construct confidence intervals (CI) for the log(HR) estimates, we assumed that the maximum likelihood estimates of the parameters were asymptotically normally distributed with covariance matrix given by the inverse of the Fisher information matrix. Cumulative risk (e.g., penetrance) and its 95% CI were calculated from the cumulative incidence  $\Lambda(t)$  given by  $\Lambda(t) = \sum_{k=1}^n i_k t_k \exp[\beta_k]$ , where  $i_k$  is the population incidence obtained from the Spanish Cancer

Registries,  $t_k$  is the length of the  $k^{\text{th}}$  age interval, and  $\beta_k$  is the log(RR) in the  $k^{\text{th}}$  age interval  $k = 1, 2$ . The cumulative risk is given by  $F(t) = 1 - \exp[-\Lambda(t)]$  and a 95% CI for  $F(t)$  is  $1 - \exp[-\Lambda(t) \pm 1.96\sqrt{\text{Var}(\Lambda(t))}]$ , where

$$\begin{aligned} \text{Var}(\Lambda(t)) = & \sum_{k=1}^n i_k^2 t_k^2 \text{Var}(\beta_k) \exp[2\beta_k] \\ & + 2 \sum_{j < k, k=1}^n i_k i_j t_k t_j [\text{Var}(\beta_k) \text{Var}(\beta_j)]^{1/2} \exp(\beta_k \\ & + \beta_j) \text{corr}(\beta_k, \beta_j). \end{aligned}$$

Wald-type tests and CIs were then used based on the point estimate and the estimated SEs (28, 29).

The analysis accounted for both genotyped and ungenotyped relatives. Missing genotype information was handled by including the allele frequency as a parameter in the likelihood and then maximizing the marginal likelihood of the phenotype and genotype data of the entire pedigree, summing over all possible configurations of the unobserved genotype matrix, given the observed genotypes. Cumulative risks and HR for CRC and EC were estimated separately for males and females; risk of EC was estimated only for females.

#### Haplotype analysis

Haplotype analysis was performed using three *MLH1* single-nucleotide polymorphisms and seven microsatellite markers (Supplementary Table S1; conditions available on request). One hundred and twenty-two DNA samples from members of the studied families and 50 control individuals randomly selected from the CRC case-control study (18) were analyzed, including individuals that come from the areas of origin of the founders. To deduce the mutation-associated haplotype, intrafamilial segregation analysis was performed under the assumption that the number of crossovers between adjacent markers was minimal. The frequency of disease haplotypes in the control population was estimated using PHASE2 (default settings; ref. 31).

#### Estimation of founder mutation age

We used a modification of the method of Schroeder and colleagues (32) to estimate the time to the most recent common ancestor (TMRCA) separately for the c.306+5G>A and c.1865T>A alleles. This method uses a count of the number of recombination events that have occurred on copies of the ancestral mutant haplotype, together with an estimate of the recombination map length of the haplotype, to estimate the total time length of the genealogy of sampled copies of a mutant allele in units of generations. In our application of the approach (32), to estimate TMRCA from the genealogy length, we relied on a multiplier  $c(n)$ , a ratio of TMRCA to tree length that was estimated from coalescent simulations with sample size  $n$ . The TMRCA estimate was then taken as an estimate of the age of the mutation, and CIs were obtained by considering the uncertainty in converting the length estimate for the genealogy into an estimate of TMRCA. Because analysis of family

**Table 1.** Clinical features of affected carriers

Family	Referral center	Criteria met	Affected carrier	Gender	Tumor type	Age of onset	MSI	IHC			CRC stage: TNM/Dukes
								MLH1	MSH2	MSH6	
<b>A. c.306+5G&gt;A families</b>											
A1	ICO, Barcelona	AC	A1.1 A1.2 A1.3	F F M	CRC CRC CRC	38 41 69	+	-	+	+	R R R
A2	ICO, Barcelona	BC	A2.1 A2.2	F M	CRC CRC	28 51	+	-	+	+	Rc R
A3	ICO, Barcelona	BC	A3.1 A3.8 A3.10	M M M	CRC CRC GC	38 52 52 70					R T2N0M0
A4	ICO, Barcelona	AC	A4.1 A4.2	F F	CRC CRC	38 60	+	NV	+	+	R R
A5	ICO, Barcelona	BC	A5.1	F	CRC DC	50 68	+	-	+	+	R Rc
A6	ICO, Barcelona	BC	A6.1 A6.2	F F	CRC CRC	38 49	+	NV	+	NV	L L
A7	ICO, Barcelona	BC	A7.1	F	CRC	52	+	-	+	+	R
A8	HCSC, Madrid/ HULB, Zaragoza	BC	A8.1	F	BC* 3CRC	48 50	+	NV	+	+	R,R,L
A9	HCSC, Madrid	AC	A9.1	F	CRC	20	+	-			L
A10	CNIO, Madrid	BC	A10.1	F	CRC	30	+				R
A11	H. Cruces, Bizkaia	BC	A11.1 A11.2	M M	CRC CRC	59 48					R L
A12	H. Cruces, Bizkaia	BC	A12.1	F	EC TC*	51 65					
A13	ICO, Barcelona	AC	A13.1	F	EC 2CRC	42 42		-	+	+	R,R
A14	ICO, Barcelona	AC	A14.2	M	CRC CRC	45 49					L
A15	IBGM, Valladolid	AC	A15.1 A15.2 A15.8	F F M	CRC CRC CRC	27 50 39	+	-	+	+	Rc R R
A16	IBGM, Valladolid	AC	A16.1	M	CRC	59	+	-	+	+	R
A17	H. Clinic, Barcelona/HVC Pamplona	AC	A17.10 A17.11	M	CRC	58 35	+	-	+	+	R R
											B2 C
<b>B. c.1865T&gt;A families</b>											
B1	ICO, Barcelona	BC	B1.1	M	CRC CRC	27 39	+	-	+	+	R L
B2	H. St Joan, Reus	AC	B2.1 B2.2	M M	2CRC CRC	56 24	+	-	+	NV	R,L L
B3	H. St Pau, Barcelona	AC	B3.1 B3.2	F F	CRC CRC	43 28	+	-	+	NV	R L
B4	ICO, Barcelona	AC	B4.1 B4.2	F M	CRC CRC	33 42	+	-	+	NV	R R
						OC* 58					T3N2Mx T3N0M0
B5	ICO, Girona	AC	B5.1	M	CRC	42	+	-	+	+	R
											T3N0M0

(Continued on the following page)

**Table 1.** Clinical features of affected carriers (Cont'd)

Family	Referral center	Criteria met	Affected carrier	Gender	Tumor type	Age of onset	MSI	IHC			CRC stage: TNM/Dukes
								MLH1	MSH2	MSH6	
B6	HCSC, Madrid	AC	B6.1	F	CRC	38	+	-	+	+	R D
			B6.2	F	EC	53	+	-	+	+	L B
					CRC	65					
			B6.3	F	EC	55	+	-	+	+	
			B6.4	M	CRC	32					R T3N2Mx
B7	HCSC, Madrid	BC	B7.1	M	CRC	59	+	-	+	+	
B8	IOC, Barcelona	BC	B8.2	F	CRC	43			+	+	
B9	HVH, Barcelona	BC	B9.1	M	CRC	55	+	-	+	+	R B
B10	ICO, Barcelona	AC	B10.1	F	EC	54	+	NV	+	+	
B11	CNIO, Madrid	AC	B11.1	M	CRC	56	+				R A
B12	CNIO, Madrid	AC	B12.5	F	EC	38					
					BC*	79					
			B12.7	F	CRC	48	+				R A
			B12.8	F	2CRC	51					R A

NOTE: A. Clinical features of affected carriers of variant c.306+5G>A of *MLH1*. B. Clinical features of affected carriers of variant c.1865T>A of *MLH1*.

Abbreviations: ICO, Institut Català d'Oncologia; HCSC, Hospital Clínico San Carlos; CNIO, Centro Nacional de Investigaciones Oncológicas; H. Cruces, Hospital de Cruces; HVH, Hospital Vall d'Hebron; H. St Joan, Hospital Universitari Sant Joan; H. St Pau, Hospital de la Santa Creu i Sant Pau; IDOC, Institut d'Oncologia Corachan; IBGM, Instituto de Biología y Genética Molecular; H. Clinic, Hospital Clinic; HVC, Hospital Virgen del Camino; HULB, Hospital Universitario Lozano Blesa; AC, Amsterdam criteria; BC, Bethesda criteria; M, male; F, female; BC, breast cancer; CRC, colorectal cancer; DC, duodenal cancer; EC, endometrial cancer; GC, gastric cancer; OC, otorhinolaryngological cancer; TC, thyroid cancer; IHC, immunohistochemical analysis of MMR proteins in tumor tissue; NV, not evaluable result; R, right; L, left; Rc, rectum.

\*Tumor type not included in Lynch syndrome tumor spectrum.

history revealed no relationships among sampled families in the most recent three to five generations, our age estimation was performed from a reference point four generations in the past, so that at the end of the estimation process, the estimate was increased by four generations. See Supplementary Materials and Methods for further details.

## Results

### Identification of frequently occurring germline *MLH1* variants

Mutational screening of MMR genes performed in suspected Lynch syndrome patients at ICO led to the identification of two frequently occurring germline *MLH1* variants: c.306+5G>A and c.1865T>A. No other alterations in *MLH1* coding sequence or at exon-intron boundaries were detected in carriers. Of the 83 families with germline MMR alterations identified at ICO, 45 were carriers of an *MLH1* alteration (28 pathogenic mutations and 17 variants of unknown significance). The c.306+5G>A and c.1865T>A variants were identified in nine and four families, constituting 20% and 9% of all *MLH1* carrier families, respectively. An extended collaborative study with other referral centers (see legend of Table 1) enabled the identification of 16 additional carrier families. In all, we identified 17 families with c.306+5G>A and

12 with c.1865T>A *MLH1* variants (Table 1). Neither of these two variants was detected by CSCE in a panel of paired CRC cases and controls, reducing the probability of being polymorphisms or CRC risk alleles.

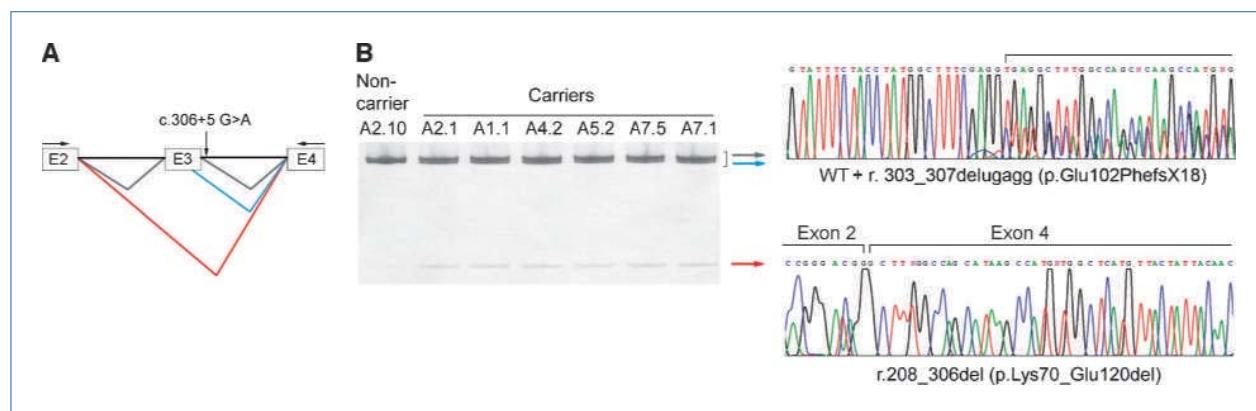
### Familial clinical features

Most of the included families fulfilled the modified Amsterdam criteria (Table 1). The majority of tumors diagnosed in carriers belonged to the Lynch syndrome spectrum. The median age at diagnosis was 49.5 years (range, 20–80) for c.306+5G>A carriers and 45.5 years (range, 24–79) for c.1865T>A carriers. CRC and EC tested were microsatellite unstable (MSI+), and informative cases showed loss of expression of the *MLH1* protein (Table 1).

Both c.306+5G>A and c.1865T>A *MLH1* variants cosegregated with cancer in 29 and 21 affected members, respectively, and were absent in 37 and 18 unaffected members, respectively. The c.306+5G>A variant was also identified in 21 unaffected members (median age, 34.0; range, 22–63), and c.1865T>A in 21 unaffecteds (median age, 29.0; range, 18–41).

### Pathogenicity assessment of the c.306+5G>A and c.1865T>A *MLH1* variants

For the c.306+5G>A variant, splicing prediction programs predicted the creation of a new donor site 5 bp upstream.

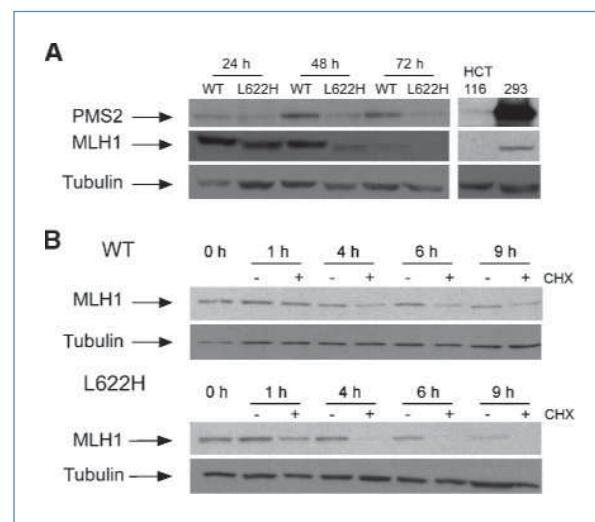


**Figure 1.** Characterization of the c.306+5G>A *MLH1* variant. A, schematic overview of *MLH1* exons 2 to 4 with a representation of the normal and aberrant transcripts caused by the c.306+5G>A mutation. Black arrows represent primers used for RT-PCR amplification. B, acrylamide gel showing RT-PCR products obtained from c.306+5G>A carriers and a noncarrier. On the right, direct sequencing of the RT-PCR products is shown.

Reverse transcription-PCR (RT-PCR) analysis on RNA from lymphocytes of carriers confirmed the generation of this aberrant mRNA transcript, r.303\_307delugagg, expected to generate a truncated protein. This transcript was associated with an increased amount of the r.208\_306del alternative constitutional transcript, corresponding to the in-frame skipping of *MLH1* exon 3 (Fig. 1). Although the variant c.306+5G>A is pathogenic at the RNA level, neither abnormal bands nor differences in *MLH1* protein expression were observed in lymphocytes from c.306+5G>A carriers, as assessed by Western blotting (data not shown).

The *MLH1* c.1865T>A variant is predicted to generate the missense change p.Leu622His, which was classified as pathogenic by the Polyphen algorithm and MAPP-MMR and SIFT predictions (33, 34). The affected amino acid residue is highly conserved and is located at the interaction domain for MutL (the homologue of *MLH1* in *Escherichia coli*; ref. 35). Spliceport and NNSplice did not predict any effect on mRNA processing, whereas ESEfinder and Rescue\_ESE predicted the creation of a new potential binding site for SRp proteins. In lymphocytes of c.1865T>A carriers, differences neither at the mRNA level [as assessed by RT-PCR (data not shown) and allele-specific expression analysis (Supplementary Fig. S1)] nor at the protein expression level (data not shown) were observed. The transient transfection of the p.Leu622His variant into the HCT116 cell line (24), which lacks endogenous *MLH1*, resulted in diminished *MLH1* expression when compared with WT *MLH1*-transfected cells (Fig. 2A). *MLH1* heterodimerizes with PMS2, stabilizing its expression (25). As expected, the diminished p.Leu622His expression was associated with lower PMS2 expression compared with WT *MLH1*-transfected cells (Fig. 2A). After cycloheximide treatment, *MLH1* expression was lower in both p.Leu622His-transfected cells and WT *MLH1*-transfected cells. However, the effect was greater in p.Leu622His-transfected cells, indicating that p.Leu622His protein was less stable than WT *MLH1* (Fig. 2B). Five of the six tumors analyzed from c.1865T>A carriers lost the *MLH1* WT allele (Supplementary Fig. S1), suggesting a growth advantage associated

with loss of the WT protein. Together, these lines of evidence support the notion that the variant c.1865T>A is a pathogenic mutation via a mechanism in which *MLH1* protein expression is decreased.



**Figure 2.** Expression and stability of the p.Leu622His variant of *MLH1* in HCT116 cells. A, time course of expression of WT *MLH1* and p.Leu622His (L622H) variants. Top and middle, cell lysates probed with anti-PMS2 and anti-*MLH1* antibodies; bottom, to verify equal protein loading, cell lysates were probed with variant anti-tubulin antibody. Untransfected HCT116 and 293 cells were used as controls. One representative experiment among three is shown. The ranges of decrease in *MLH1* expression at 24, 48, and 72 h were 35% to 55%, 42% to 75%, and 81% to 100%, respectively. The ranges of decrease in PMS2 expression at 24, 48, and 72 h were 57% to 89%, 48% to 55%, and 43% to 64%, respectively. B, stability of WT *MLH1* and p.Leu622His variant as assessed by cycloheximide (CHX) treatment. "+" and "-" represent treatment with cycloheximide or DMSO as vehicle, respectively. The decrease in expression at 1, 4, 6, and 9 h after cycloheximide treatment was 39%, 64%, 55%, and 61% in WT *MLH1* and 47%, 97%, 99%, and 100% in p.Leu622His, respectively. One representative experiment among three is shown.

**Table 2.** Characteristics of the study population by mutation type

	c.306+5G>A	c.1865T>A	Other <i>MLH1</i> mutations
No. probands/pedigrees	17	12	28
Total no. individuals	514	447	975
No. females	257	204	444
No. males	240	221	486
No. individuals with missing gender information	17	22	45
No. individuals with missing age information	226	208	442
No. first-degree relatives	89	106	193
No. subjects genotyped	88	60	174
No. tested mutation-positive subjects	50	42	88
Percentage of mutation-positive subjects among subjects genotyped	56.8	70.0	50.5
Median age at diagnosis of CRC in men (range)	52 (38–80)	43 (20–65)	41 (25–80)
Median age at diagnosis of CRC in women (range)	50 (20–80)	48 (23–87)	44 (18–77)
Percentage of CRC diagnosed before age 50 among affected subjects	38.3	57.1	73.7
Percentage of CRC or EC affected subjects among carriers	56.0	47.6	64.8
Percentage of CRC or EC affected subjects among noncarriers	2.6	0	0
Percentage of CRC or EC affected subjects among nontested individuals	10.1	12.1	7.5

NOTE: Blood- and nonblood-related relatives are included.

### Cumulative risks and HRs derived from the penetrance analysis

A total of 1,936 individuals were included in the penetrance analysis, 57 of which were probands and 388 of which were first-degree relatives of probands (Table 2). Age-specific cumulative risks of CRC by decade compared with risks in Spanish Cancer Registries (30) are shown in Table 3A. For c.306+5G>A carriers, the risk of CRC by age 50 significantly exceeds the cumulative risk in the general population. Risk of CRC continues to increase, and by age 70, lifetime risk for CRC is estimated at 20.1% (95% CI, 1.4–35.9%) for men and 14.1% for women (95% CI, 1.2–25.2%). The overall HR for CRC is 7.7 (95% CI, 2.9–20.6) for males and 9.0 (95% CI, 3.6–22.6) for females (Table 3B). The age-specific HR estimates in the age intervals 20 to 49 and 50 to 69 clearly indicate much higher relative risk compared with the general population, declining in the age range from 50 to 69 years. Cumulative risk for EC also exceeds that of the general population at age 70 years, equaling 7.2% (95% CI, 0–16.9%) with HR of 6.3 (95% CI, 1.4–27.9; Table 3).

Although the cumulative risk of CRC for c.1865T>A carriers exceeds the risk in the general population by age 60 years, it is lower than for c.306+5G>A. Risk of CRC continues to increase and by age 70 is 6.8% (95% CI, 0–15.8%) for males and 7.3% (95% CI, 0–16.5%) for females. The overall HR for CRC is 2.4 (95% CI, 0.6–10.2) for males and 4.5 (95% CI, 1.1–18.0) for females. Risk for EC tends to exceed that of the general population at age 70 years, equaling 3.4% (95% CI, 0–10.0%) with HR of 2.9 (95% CI, 0.4–23.6; Table 3).

In carriers of other Spanish *MLH1* pathogenic mutations, estimated lifetime risk for CRC is 26.3% (95% CI, 7.8–41.1%) for men and 10.7% for women (95% CI, 0–16.8%), with HR of 10.5 (95% CI, 5.0–21.8) for males and 5.4 (95% CI, 2.0–14.9) for

females, and cumulative risk for EC is 6.5% (95% CI, 0–13.1%) with HR of 5.7 (95% CI, 1.9–17.0; Table 3). Therefore, both c.306+5G>A and c.1865T>A mutations show a penetrance within the range of variability estimated in families with other *MLH1* mutations, with a nonsignificant trend to lower penetrance for the c.1865T>A mutation.

### Haplotype analysis and estimation of mutation age

Ancestors of families carrying the c.306+5G>A mutation came from the Ebro river valley in northern Spain, whereas ancestors of families with the c.1865T>A mutation originated from the region of Jaén in southern Spain (Fig. 3). Common geographic origins of carrier families suggested that each of the two mutations could have occurred as a unique event in a single founder individual. This hypothesis was confirmed by haplotype analysis (Table 4).

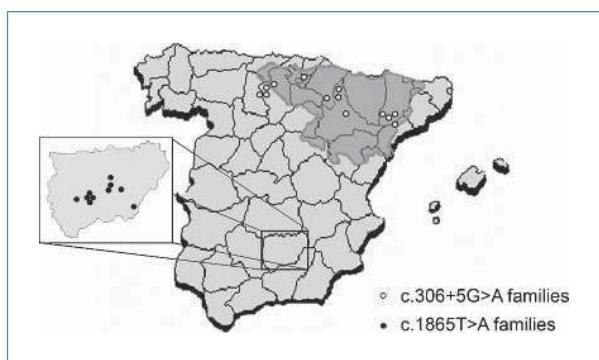
The existence of a clear shared haplotype in carrier individuals permitted estimation of mutation age. In this estimation for the c.306+5T>A mutation, we considered disease haplotypes in the region extending from D3S2369 to D3S1298 (D3S1612 to D3S1298 for c.1865T>A; Table 4), which has a recombination map length of 0.9796 cM (2.2578 cM for c.1865T>A). In the control population, the estimated frequency of the identified minimum common haplotype, excluding the disease mutation, was 0.069 for c.306+5T>A and 0.048 for c.1865T>A (Supplementary Table S2).

To estimate the age of the mutation, we relied on an estimate of the number of recombination events that occurred on the ancestral disease haplotype for each disease mutation. At the 5' end of the common haplotype of c.306+5G>A, a likely recombination event at marker D3S2369 was detected in family A10; at the 3' end (D3S1298), the common haplotype was lost in 6 of the 17 families, likely in two separate

**Table 3.** Age-specific cumulative risk and HR estimates for CRC and EC in carriers of c.306+5G>A, c.1865T>A, and other pathogenic *MLH1* mutations

NOTE: A. Age-specific cumulative risk of CRC and EC for male and female carriers of *MLH1* mutations compared with corresponding values for the population incidences as reported in the Spanish Cancer Registries (95% CIs are provided for cumulative risk at ages 50 and 70). B. Age-specific and overall HR for CRC and EC for male and female carriers of *MLH1* mutations (95% Wald CI is provided for the HR parameters).

(95% Wald CI is provided for the Abbreviation: NA, not available)



**Figure 3.** Map of Spain showing locations where Lynch syndrome families with the c. 306+5G>A mutation (white circles) and the c.1865T>A mutation (black circles) originated. For six families (four c.306+5G>A and two c.1865T>A), the original location is not known. The Ebro valley is highlighted in dark gray. The insert shows a larger-scale map of the Jaén province.

recombination events (Table 4A). Therefore, for c.306+5T>A, we counted a minimum of three recombination events necessary to explain all disease haplotypes in the region. For c.1865T>A, we counted a minimum of one event, which occurred at the 3' end (D3S1298) and which explained the loss of the common haplotype by 5 of the 12 families (Table 4B).

From the map lengths ( $r$ ) and recombination counts ( $k$ ), we estimated genealogy lengths ( $L$ ) using the formula  $\hat{L} = k/r$ . We obtained  $\hat{L}_{\text{Ebro}} = 3/0.009796 = 306$  generations and  $\hat{L}_{\text{Jaén}} = 1/0.022578 = 44$  generations. To estimate TMRCA from these lengths, we required an estimate of the ratio  $c$  (see Supplementary Materials and Methods). By simulating  $5 \times 10^7$  trees under a coalescent model of constant population size with  $n = 17$  lineages representing 17 families, we estimated  $\hat{c}_{\text{Ebro}} = 0.2324$ , which gives an estimate of  $\hat{H}_{\text{Ebro}} = \hat{c}_{\text{Ebro}} \hat{L}_{\text{Ebro}} = 3/0.009796 = 75$  generations (1,879 years assuming 25 years per generation) for the c.306+5G>A mutation. Similarly, using  $n = 12$  lineages, we estimated  $\hat{c}_{\text{Jaén}} = 0.2569$ , producing an age estimate of  $\hat{H}_{\text{Jaén}} = \hat{c}_{\text{Jaén}} \hat{L}_{\text{Jaén}} + 4 = 15$  generations (384 years assuming 25 years per generation) for c.1865T>A. The 95% CI for  $\hat{H}_{\text{Ebro}}$  is 53 to 122 generations, and the corresponding interval for  $\hat{H}_{\text{Jaén}}$  is 12 to 22 generations (Supplementary Table S2).

## Discussion

We have identified and characterized two frequent *MLH1* variants, c.306+5G>A and c.1865T>A (p.Leu622His), among Spanish Lynch syndrome families. They represent the first founder *MLH1* mutations identified in the Spanish population.

The predicted effect of the previously reported *MLH1* variant c.306+5G>A (36) on splicing was confirmed, consistent with observations on the nearby mutations c.306+2dupT and c.306+4A>G (37, 38). At the protein level, no differences in expression were observed in mutation carriers when compared with controls, presumably because of a lack of stability

of mutated *MLH1* proteins or due to the limitations of the Western blotting assay.

The c.1865T>A variant (p.Leu622His) was previously described as a germline *MLH1* mutation in two Spanish families (39, 40). Prior functional assays for p.Leu622His were inconclusive to assess its pathogenicity: In yeast-based assays, it was deficient in MMR activity (33, 41), whereas HCT116 cells cotransfected with *MLH1* and *PMS2* retained partial MMR activity (69.2%). The effect on protein expression levels was inconclusive (33). *In vitro* expression studies in *MLH1*-transfected HCT116 cells indicated that this mutation may substantially reduce *MLH1* expression by affecting its stability, which in turn leads to a reduction in the expression of its counterpart *PMS2*. A pathogenic role for the p.Leu622His mutation was further supported by the frequent loss of the *MLH1* WT allele observed in tumors (42). An additional effect of the mutation on the capacity to bind *PMS2* or on the intracellular trafficking of *MLH1/PMS2* could not be ruled out.

Once the functional effect of the founder mutations was shown, we explored whether penetrance estimates differed from other *MLH1* mutations. The estimated penetrance of Spanish *MLH1* mutations was moderate and consistent with data reported for Dutch families (5). The penetrance of founder mutations was within the range of variability of penetrance observed for Spanish *MLH1* mutations. The penetrance for c.1865T>A missense mutation may be lower, a fact that may be attributed to the observed decrease in *MLH1* expression. The penetrance estimates are sensitive to the type of ascertainment correction, and elevate with use of an unconditional likelihood or likelihood conditioned only on the proband, a phenomenon also noted in (2). Of note, penetrance estimates for Spanish Lynch syndrome families are lower than those reported in North American populations (2, 3). The fact that we have used the same method as Stoffel and colleagues (2) and that similar cumulative risks are observed in the United States and Spain suggests that geographic differences in cancer risk might exist in Lynch syndrome carriers. Several factors including lifestyle, environmental factors, mutational spectrum, or, as recently reported, the existence of distinct weak alleles capable of producing polygenic effects (43) may account for this observation.

Founder mutations in MMR genes have an important effect in traditional “founder populations”: Four different mutations in *MLH1* and *MSH2* genes in Finland, Newfoundland, and in Ashkenazi Jews account for between 25% and 50% of all Lynch syndrome cases in these populations (9, 10, 13, 44). Before our report, two founder mutations in the *MSH2* gene had been identified in Spanish Lynch syndrome families, although their frequencies were low in our population (11, 12). In the cohort of Lynch syndrome families from ICO, the identified c.306+5G>A and c.1865T>A mutations account for 28.8% of the 45 families carrying *MLH1* alterations (13 founders and 32 nonfounders) and represent 17.6% (13 of 74) of all families with mutations in MMR genes. In addition, the c.306+5G>A founder accounts for up to 25% of *MLH1* mutations identified in the Ebro basin area (data not shown).

Our detection of founder mutations in *MLH1* supports the view that they can occur not only in groups commonly

**Table 4.** Haplotypes associated with the c.306+5G>A (A) and c.1865T>A (B) mutations in carrier families

A	Marker (Mb on chromosome 3)	D3S1609 (29.915)	D3S2369 (34.565)	D3S1612 (36.472)	c.306+5G>A (37.018)	rs1234259 (37.024)	rs179997 (37.029)	D3S1611 (37.044)	rs9876116 (37.059)	D3S3623 (37.419)	D3S1298 (38.024)	D3S3564 (42.394)
Families												
A1	254	106	103	Yes	G	A	256	G	224	199	209	
A2	250/254	106	103	Yes	G	A	256	G	224	199	213	
A3	250	96	103	Yes	G	A	256	G	224	205	213	
A4	254	92	103	Yes	G	A	256	G	224	205	201/211	
A5	254/256	92	103	Yes	A/G	A	250/256	A/G	224	205	201/213	
A6	250	104	103	Yes	G	A	256	G	224	205	201/213	
A7	250	96/104	103	Yes	G	A	256	G	224	201	211	
A8	250/254	96/106	103	Yes	G	A	256	G	218/224	199/201	203/209	
A9	254	96	103	Yes	A/G	A	256/260	A/G	216/224	197/199	213/215	
A10	250/256	96/104	101	Yes	G	A/G	256	G	224	199	203/215	
A11	250	96	103	Yes	G	A	256	G	224	199	209/213	
A12	250	96/104	103	Yes	G	A/G	256	G	216/224	195/199	201/215	
A13	250	96	103	Yes	G	A/G	256	G	220/224	199/209	209/213	
A14	252	96	101/103	Yes	G	A/G	256	G	224	205	211	
A15	250/254	96	103	Yes	G	A	256	G	224	199	213	
A16	250/254	96	103	Yes	G	A	256	G	224	199	213	
A17	254	96	103	Yes	A/G	A	256	G	224	199	209/213	
Max 1.55 Mb												
Min 0.40 Mb												
Shared alleles		103	Yes	G	A	256	G	224	199			
Control frequencies (%)		67	0	44	66	44	44	12	30			

(Continued on the following page)

**Table 4.** Haplotypes associated with the c.306+5G>A (A) and c.1865T>A (B) mutations in carrier families (Cont'd)

B	Marker (Mb on chromosome 3)	D3S1609 (29.915)	D3S1612 (34.565)	D3S2369 (36.472)	rs4234259 (37.024)	rs1798977 (37.029)	D3S1611 (37.044)	rs9876116 (37.059)	c.1865T>A (37.064)	D3S1298 (38.024)	D3S3623 (37.419)	D3S3564 (42.394)
Families												
B1	256	104	101	G	G	256	G	Yes	222	205	207	207
B2	256	104	101	G	G	256	G	Yes	222	205	207	207
B3	252/256	92/104	101	G	G	256	G	Yes	222	205	201	201/207
B4	256	104	101	G	G	256	G	Yes	222	205	201	201
B5	250	104	101	G	G	256	G	Yes	222	197	203	203
B6	250	104	101	G	G	256	G	Yes	222	205	207	207
B7	250/256	104	101/103	A/G	A/G	256	G	Yes	222	205	211	211
B8	250/256	104	101/103	G	A/G	256	G	Yes	222	205	201/207	201/207
B9	256	104	101	G	G	256	G	Yes	222	197	203	203
B10	250/254	92/104	101	A/G	A/G	256/260	A/G	Yes	214/222	197/199	201/203	201/203
B11	250/256	96/104	101	G	G	256	G	Yes	222	197/199	203/213	203/213
B12	250/256	104	101	G	G	256	G	Yes	222	197	209	209
Max 3.46 Mb												
Min 0.95 Mb												
Shared alleles		104	101	G	G	256	G	Yes	222	205		
Control frequencies (%)		32	31	44	34	44	44	0	22	10		

NOTE: The “/” symbol indicates that the phase of the disease haplotype cannot be established. The “//” symbol indicates that recombination has likely occurred within a family. Intragenic *MLH1* markers are shown in a box. Dark gray shading indicates nonrecombinant haplotypes. The sizes of the minimum and maximum conserved haplotypes are shown at the bottom. Inferred haplotypes of subjects in the fifth generation in each family are in bold. The frequencies of disease-associated alleles among a panel of control DNAs are shown at the bottom.

viewed as founder populations but also in geographically localized subsets of larger populations. These two founder mutations were not detected in a prior study of 1,222 incident cases of CRC in Spain (EPICOLON; ref. 45). This study identified 11 germline MMR mutations, 4 in *MLH1*. The lack of detection of founder mutations may be due to the low number of identified mutations or the relatively low coverage of the areas of origin.

Families carrying the c.306+5G>A mutation cluster within the Ebro river basin, in northern Spain, and we estimate that the mutation arose ~1,879 years ago. Taking into account the fact that the river valley is geographically isolated by mountain ranges, and the Ebro river was navigable until the 19th century, we hypothesize that the mutation arose somewhere in the valley and was distributed along the river over the years (Fig. 3). The c.1865T>A mutation is younger (384 years) than c.306+5G>A, and its distribution is restricted to the mountainous province of Jaén. It is noteworthy that probands have been identified in Madrid and Barcelona, frequent destinations of internal migratory movements during the period 1960 to 1970. Thus, the origin of the *MLH1* founder mutations can be linked to specific geographic areas, and their current distribution can be explained by migration patterns. Allele age estimation is generally imprecise (46) due to several factors, including uncertainty in the count of recombination events on ancestral haplotypes, the accuracy with which the recombination count predicts the true genealogy length, and the accuracy with which TMRCA reflects allele age. However, the relatively recent values obtained are sensible for rare mutations restricted to relatively isolated geographic areas.

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The high prevalence and moderate penetrance of the c.306+5G>A and c.1865T>A variants have implications for molecular and clinical approaches to founder mutations in many settings. In specific populations such as the Spanish population, initial screening for founder mutations increases the efficiency of testing of molecular diagnostic labs. Even more importantly, careful clinical characterization of founder mutations can lead to mutation-specific counseling and improved clinical care.

## Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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## **Supplementary Methods**

### **Allele-specific expression (ASE) and loss of heterozygosity (LOH) analysis**

PCR fragments encompassing the c.1865T>A change were generated from genomic DNA (gDNA) and cDNA obtained from peripheral blood lymphocytes, or tumor DNA (tDNA) from mutation carriers (Table S1). PCR products were purified using GFX<sup>TM</sup> PCR DNA and Gel Band Purification kit (GE Healthcare). Allele-specific expression (ASE) of the variant c.1865T>A of *MLH1* at the cDNA level was analyzed by single-nucleotide primer extension (SNuPE) using the SNaPshot kit (Applied Biosystems) with primer 5'-TCTGAAGAAGAAGGCTGAGATGC-3', according to the manufacturer's instructions. Briefly, reactions were performed in a total volume of 10 µL containing 1.5 µL purified PCR product, 5 µL SNaPshot Ready Reaction Mix, and 0.2 µmol/L extension primer. Primer extension thermocycling conditions consisted of 25 cycles of 96°C for 10 s, 50°C for 5 s, and 60°C for 30 s. SNaPshot reaction products were treated with 1 U shrimp alkaline phosphatase (usb) for 60 min at 37°C and then 15 min at 75°C. Products were run in an ABI Prism 3130 DNA sequencer and were analyzed by GeneMapper v4.0 (Applied Biosystems). Samples from c.1865T>A carriers showed a profile with two peaks (green and red, representing A and T alleles, respectively). ASE at cDNA level was calculated as the proportion of T allele between cDNA and gDNA ( $\text{ASE} = f(T)_{\text{cDNA}} / f(T)_{\text{gDNA}}$ ), where  $f(T)$  was obtained from peak intensities using the formula  $f(T) = [\text{T allele}/(\text{T+A alleles})]$ . Loss of heterozygosity (LOH) at tumor DNA (tDNA) level was measured as the proportion of T allele between tDNA and gDNA ( $\text{LOH} = f(T)_{\text{tDNA}} / f(T)_{\text{gDNA}}$ ). We used gDNA samples from 8 carriers to establish a range for normal ASE and LOH between 0.89 and 1.11 (mean values ± 3·SD). Experiments were performed in duplicate.

### **Details on estimation of mutation age**

#### **Counting recombination events**

For the c.306+5G>A mutation we counted recombination events in the region spanning microsatellites D3S2369 and D3S1298; for c.1865T>A we chose the region spanning D3S1612 and D3S1298. We only counted recombinations on a subset of disease haplotypes corresponding to those in bold in Table 3 (for further discussion see the subsection below on estimating the genealogy height). For each mutation, all diseased individuals had at least one allele in common in the immediate neighborhood of the disease allele, as would be expected if the mutation occurred on a single shared ancestral haplotype. We chose the most frequently occurring of the distinct disease haplotypes to be the ancestral one and counted the minimum number of recombination events necessary to obtain all of the other haplotypes. The choice of the ancestral disease haplotype does not affect the count of recombination events. When a haplotype was distinct from the ancestral disease haplotype we assumed that it resulted from a recombination, and we assumed that any two identical non-ancestral haplotypes arose from the same recombination event.

#### **Estimating L from the count of recombination events**

The length of the genealogy of the sampled copies of a mutation ( $L$ ) was obtained by dividing the number of recombination events by the per-generation probability of a recombination on the

ancestral haplotype. The recombination map length of the c.306+5G>A haplotype was obtained using the Rutgers Map Interpolator (1). We supplied the interpolator with the position of D3S1298, which was included in the Rutgers smoothed map position file, and we interpolated the position of D3S2369, which was not included. For the interpolation we used the physical position of 36,472,209 bp from release 50 of the Ensembl database (2). We used the difference between the sex-averaged map position of D3S2369 and that of D3S1298 to obtain a map length of  $r=0.9796$  cM for the haplotype. The procedure for the c.1865T>A mutation was similar except that both endpoints of the haplotype appeared in the smoothed map position file, and the haplotype length was  $r=2.2578$  cM.

#### ***Estimating the height of the genealogy of the sampled copies of each mutant allele***

To estimate TMRCA given the estimated genealogy length  $\hat{L}$ , we simulated the joint probability density of genealogy heights and lengths,  $f(H,L;N,n)$ . For a constant-sized population under the coalescent, this joint density is determined by the effective population size  $N$  and the number  $n$  of sampled copies of the mutation (3). Although  $N$  is unknown, the ratio of the most likely tree length  $L_m$  and the most likely height  $H_m$  given this length depends only on  $n$  and can be expressed as  $L_m/H_m=1/c(n)$  for some function  $c$ . Assuming that our genealogy length estimate  $\hat{L}$  is the most likely length under the true demographic history, we estimate TMRCA as  $\hat{H}=c(n)\hat{L}$ , where  $c(n)$  was determined from coalescent simulations.

Our estimation procedure for  $c(n)$  used  $5\times 10^7$  genealogies simulated under a constant population model with  $n=17$  sampled lineages for the c.306+5G>A mutation and  $n=12$  lineages for c.1865T>A. Simulations were carried out using the program ms (4). We estimated  $L_m$  from a histogram of the simulated tree lengths with 1,000 bins. Using a moving window of width 5 bins and step-size 1, we smoothed the histogram by averaging the values within each window, producing a vector of length 996. We then found the maximal element in this vector and averaged the centers of the bins corresponding to that element in order to estimate the location of the maximum of the distribution. Using this method, the estimated most likely gene tree length was  $L_{m(\text{Ebro})} = 2.8031$  in units of  $4N$  generations ( $L_{m(\text{Jaén})}=2.3974$ ).

To find  $H_m$ , we selected all simulated trees whose lengths fell within a window around  $L_m$ , [2.8005, 2.8057] for  $n=17$  and [2.3947, 2.4001] for  $n=12$ . Each window was obtained by incrementally increasing the size of a symmetric window around  $L_m$  until the number of simulated genealogies with lengths in the window reached or exceeded 1,000. For each mutation the size of the increment was  $2\times 10^{-4}$  units of  $4N$  generations ( $10^{-4}$  units of  $4N$  generations in each direction). To estimate  $H_m$  from these trees, we employed the same procedure used for estimating  $L_m$ , except that because of the smaller number of trees, the histogram for  $H$  used 100 bins and the moving window had width 3 and step-size 1. The most likely gene tree height for the given gene tree length was estimated to be  $H_{m(\text{Ebro})}=0.6514$  in units of  $4N$  generations ( $H_{m(\text{Jaén})} = 0.6159$ ). Dividing  $H_m$  by  $L_m$  yielded  $\hat{c}_{\text{Ebro}}=0.2324$  and  $\hat{c}_{\text{Jaén}}=0.2569$ .

Note that although the number of sampled copies of the c.306+5G>A mutation is 42 (40 for the

c.1865T>A mutation), because no two of the 17 families (12 families for c.1865T>A) are believed to share a common individual within the last five generations, in the simulations we used  $n=17$  ( $n=12$  for c.1865T>A). Thus, to estimate the TMRCA of the sampled copies of a mutation we chose one haplotype per family to represent the haplotype in the fifth generation from that family, estimated the height of the genealogy that relates these disease haplotypes, and added four generations to the estimated height. The haplotype chosen was the one common to all family members, except in the single case of an intrafamilial recombination in the region considered. In this case, all individuals had a common ancestor in the fourth generation and the disease haplotype of this individual, assumed to be that of her two children, was chosen.

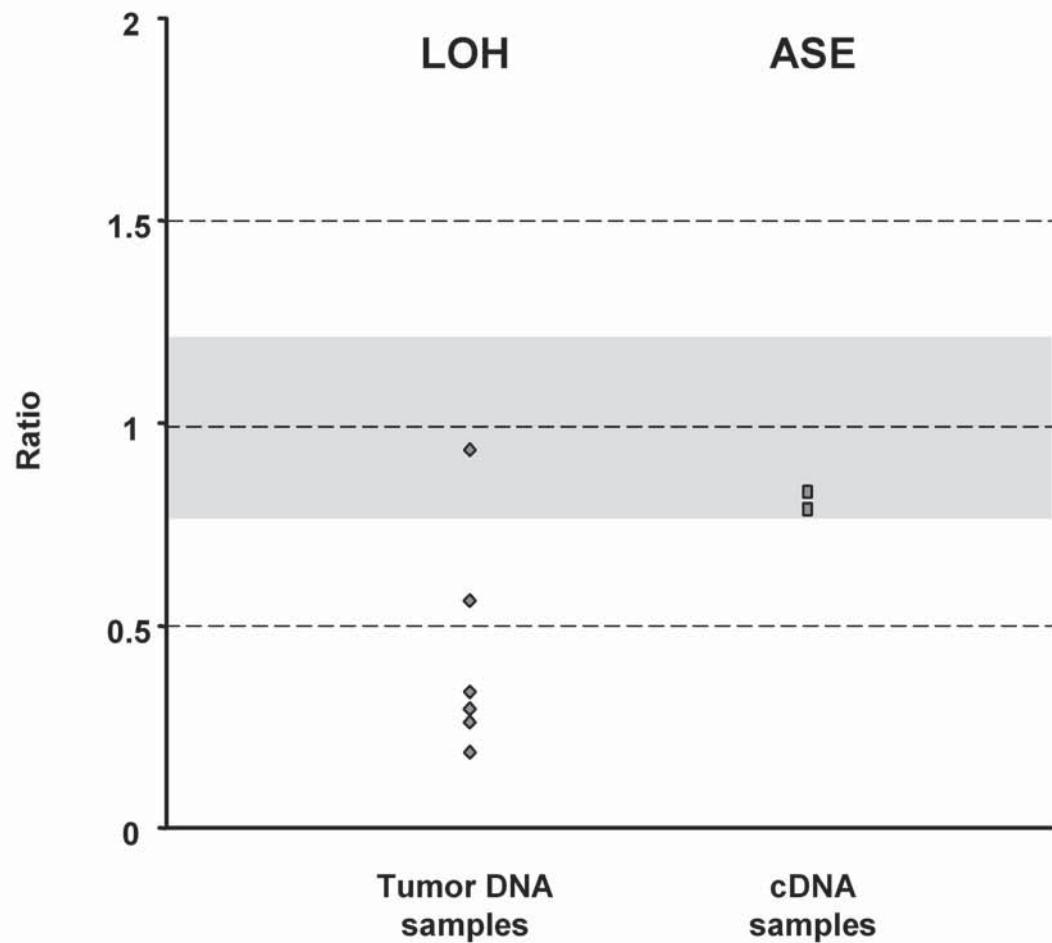
#### **Confidence intervals for TMRCA**

To obtain a 95% confidence interval for TMRCA for the c.306+5G>A mutation we simulated 100,000 trees under a coalescent of constant size with  $n=17$  lineages ( $n=12$  for c.1865T>A) and recorded the height  $H$  and length  $L$  of each tree. The height of each tree was then estimated from its length by  $H_{\text{est}} = cL$  and the ratio  $h = H/H_{\text{est}}$  was computed for each tree. We found the 2.5 and 97.5 percentiles of these 100,000 ratios by ordering them from smallest to largest  $h_{(i)}$  ( $i = 1 \dots 100,000$ ) and taking  $h_{(2,501)}$  and  $h_{(97,500)}$ . The lower bound of the 95% confidence interval for the estimate of the TMRCA was computed as  $h_{(2,501)} \hat{c} \hat{L} + 4$  and the upper bound was computed as  $h_{(97,500)} \hat{c} \hat{L} + 4$ .

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**Figure S1.** Allelic loss of heterozygosity (LOH) and allele-specific expression (ASE) in samples from MLH1 c.1865T>A carriers. We analysed LOH in 6 tumor DNA samples and ASE in 2 cDNA samples. Normal LOH and ASE are shaded in grey. Ratio calculation was detailed in Supplementary Methods.



**Table S1.** Primers used in this study.

Primers	Application	Sequence	Hybridization temperature
D3S1611_up	Haplotype analysis	5' NED-CCCCAAGGCTGCACTT 3'	50 °C
D3S1611_dw	Haplotype analysis	5' AGCTGAGACTACAGGCATTTG 3'	50 °C
D3S3623_up	Haplotype analysis	5' VIC- CCCCCATGTTGGTTAAAGGC 3'	60 °C
D3S3623_dw	Haplotype analysis	5' TCTCTGAACTGAAGTGACCTCCTG 3'	60 °C
D3S2369_up	Haplotype analysis	5' FAM- CTCTATCCTTAATAAGTGGTCACA 3'	56 °C
D3S2336_dw	Haplotype analysis	5' AAATAGGGCCGTCTTTG 3'	56 °C
D3S1609_up	Haplotype analysis	5' NED-TGCTCTACACTGTGGCTTAATG 3'	58 °C
D3S1606_dw	Haplotype analysis	5' ATATCCGTGGGCAAATGG 3'	58 °C
D3S1612_up	Haplotype analysis	5' VIC-GCTCTCCTCAGTGGAAAATT 3'	58 °C
D3S1612_dw	Haplotype analysis	5' ATGTAGAACAGAGGATGATCTCCC 3'	58 °C
D3S1298_up	Haplotype analysis	5' PET-AGCTCTCAGTGCCACCCC 3'	55 °C
D3S1298_dw	Haplotype analysis	5' GAAAAATCCCTGTGAAGCG 3'	55 °C
D3S3564_up	Haplotype analysis	5' FAM-AGCTAACACACAGTCTAACTGCAT 3'	58 °C
D3S3564_dw	Haplotype analysis	5' CCCACAGAGTGTAGGG 3'	58 °C
MLH1_E5_up	Haplotype analysis	5' TCTCTTTCCCCTGGGATT 3'	55 °C
MLH1_E5_dw	Haplotype analysis	5' TGGAGGTCCCAAGATATCCTC 3'	55 °C
MLH1_E8_up	Haplotype analysis	5' GTTCAGTCTCAGCCATGAG 3'	55 °C
MLH1_E8_dw	Haplotype analysis	5' CTGTGGCGTGAATCATGTGT 3'	55 °C
MLH1_E15_up	Haplotype analysis	5' CATGAGTGGCAGCAAGCCAG 3'	60 °C
MLH1_E15_dw	Haplotype analysis	5' TCCATATGCAAATCATACAATACAGCA 3'	60 °C
MLH1_E3_CSCE_up	CSCE analysis	5' FAM-ATGGAATTCAAAGAGATTGG 3'	54 °C
MLH1_E3_CSCE_dw	CSCE analysis	5' GTTGCTCAGATTGCATAC 3'	54 °C
MLH1_E16_CSCE_up	CSCE analysis	5' HEX-GGATGCTCCGTTAAAGCT 3'	54 °C
MLH1_E16_CSCE_dw	CSCE analysis	5' GAAGTATAAGAATGGCTGTAC 3'	54 °C
MLH1_E3_up	Sequencing analysis	5' ATGGAATTCAAAGAGATTGG 3'	50 °C
MLH1_E3_dw	Sequencing analysis	5' GTTGCTCAGATTGCATAC 3'	50 °C
MLH1_E16_up	Sequencing analysis/SNuPE	5' GGATGCTCCGTTAAAGCTG 3'	58 °C
MLH1_E16_dw	Sequencing analysis/SNuPE	5' GAAGTATAAGAATGGCTGTAC 3'	58 °C
MLH1_E2_exo_up	RNA analysis	5' AGGGAGGCCTCAAGTTGATT 3'	56 °C
MLH1_E4_exo_dw	RNA analysis	5' TCCATCAGCTGTTTCGTTG 3'	56 °C
MLH1_E15_exo_up	RNA analysis/SNuPE	5' GCCAATTGGTGTCTCAG 3'	56 °C
MLH1_E17_exo_dw	RNA analysis/SNuPE	5' CAAAGGGGCACATAGTTGT 3'	56 °C

**Table S2.** Age estimates for mutations c.306+5G>A and c.1865T>A. Quantities used in the age estimation and the haplotype frequencies in controls are also shown.

Mutation	Disease haplotype map length (cM)	Haplotype frequency in controls	Recombination count in diseased families	Number of families $n$	Multiplier $c$	Age estimate (generations)	95% confidence interval (generations)	Age estimate (years)
c.306+5G>A (Ebro)	0.9796	0.069	3	17	0.2324	75	[53-122]	1,879
c.1865T>A (Jaén)	2.2578	0.048	1	12	0.2569	15	[12- 22]	384



**ARTICLE 2****COMPREHENSIVE FUNCTIONAL ASSESSMENT OF *MLH1* VARIANTS OF UNKNOWN SIGNIFICANCE**

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**Resum:** La síndrome de Lynch està associada a mutacions germinals en els gens reparadors. Més del 30% dels canvis detectats en aquests gens són variants de significat biològic desconegut (VSD). El nostre objectiu era avaluar la patogenicitat de 8 VSD identificades en el gen *MLH1* en pacients amb sospita de síndrome de Lynch. Totes les variants eren noves o bé no havien estat prèviament caracteritzades. Per la seva classificació, es va seguir una estratègia que integra la història familiar, la patologia del tumor, la freqüència en controls i una varietat d'anàlisi *in silico* i *in vitro* tant a nivell de RNA com de proteïna, com per exemple els assaigs de reparació, l'expressió de les proteïnes MLH1 i PMS2, i la localització subcel·lular. Cinc de les variants van ser classificades com a patogèniques: les variants c.[248G>T();306G>C], c.[780C>G;788A>C] i c.791-7T>A afectaven el correcte processament del mRNA, mentre que les variants c.218T>C (p.L73P) i c.244G>A (p.T82A) mostraven una activitat reparadora deficient. Dues més van ser considerades probablement neutres: la variant silent c.702G>A no mostrava cap efecte a nivell de processament ni estabilitat del mRNA, i la variant c.974G>A (p.R325Q) no presentava cap defecte ni a nivell de mRNA ni de proteïna. Per contra, la variant c.25C>T (p.R9W) no va poder ser classificada, ja que estava associada a valors intermedis d'activitat reparadora. Els anàlisis funcionals exhaustius de les VSD en el gen *MLH1* han estat útils per a la classificació i són rellevants pel diagnòstic i el consell genètic de les famílies portadores.



## Comprehensive Functional Assessment of *MLH1* Variants of Unknown Significance

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**ABSTRACT:** Lynch syndrome is associated with germline mutations in DNA mismatch repair (MMR) genes. Up to 30% of DNA changes found are variants of unknown significance (VUS). Our aim was to assess the pathogenicity of eight *MLH1* VUS identified in patients suspected of Lynch syndrome. All of them are novel or not previously characterized. For their classification, we followed a strategy that integrates family history, tumor pathology, and control frequency data with a variety of in silico and in vitro analyses at RNA and protein level, such as MMR assay, *MLH1* and *PMS2* expression, and subcellular localization. Five *MLH1* VUS were classified as pathogenic: c.[248G>T(;)306G>C], c.[780C>G;788A>C], and c.791-7T>A affected mRNA processing, whereas c.218T>C (p.L73P) and c.244G>A (p.T82A) impaired MMR activity. Two other VUS were considered likely neutral: the silent c.702G>A variant did not affect mRNA processing or stability, and c.974G>A (p.R325Q) did not influence MMR function. In contrast, variant c.25C>T (p.R9W) could not be classified, as it associated with intermediate levels of MMR activity. Comprehensive functional assessment of *MLH1* variants was useful in their classification and became relevant in the diagnosis and genetic counseling of carrier families.

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**KEY WORDS:** Lynch syndrome; *MLH1*; variants of unknown significance; functional characterization

### Introduction

Lynch syndrome is the most common of the known colorectal cancer (CRC) predisposing syndromes. It is an autosomal-dominant hereditary disease caused by heterozygous germline mutations in DNA mismatch repair (MMR) genes: *MLH1* (MIM# 120436), *MSH2* (MIM# 609309), *MSH6* (MIM# 600678), or *PMS2* (MIM# 600259) [Lynch et al., 2008; Vasen, 2007]. Clinically, Lynch syndrome is characterized by early-onset CRC and an increased risk of other associated tumors [Lynch et al., 2008; Watson and Riley, 2005]. Tumors of Lynch syndrome spectrum are associated with microsatellite instability (MSI) and loss of MMR proteins expression [Lynch et al., 2008]. Amsterdam II and revised Bethesda criteria are currently used to identify patients at risk [Boland et al., 2008; Umar et al., 2004].

Many MMR gene mutations are short insertions, deletions, or nucleotide substitutions that create a premature stop codon, or nucleotide changes at the consensus splice site (SS) that affect mRNA splicing [Peltomaki and Vasen, 2004]. These mutations, frequently encoding a truncated protein, are classified as pathogenic offering a good clinical tool for diagnosis and genetic counseling. However, clinical implications of missense or silent variants or mutations close to SS are often not clear. Therefore, those variants are classified as variants of unknown significance (VUS) and represent up to 30% of the identified DNA changes in MMR genes [Peltomaki and Vasen, 2004].

DNA variants may exert their pathogenic effect by affecting transcription or protein function. At the RNA level, variants may affect transcript stability as well as mRNA processing by influencing consensus SS, by creating cryptic SS, or by altering binding sites for splicing enhancer proteins [Barnetson et al., 2008; Spurdle et al., 2008]. At the protein level, variants may affect MMR protein function as a result of altered protein expression or stability, defective subcellular localization, mismatch recognition, complex formation, or inactivation of enzymatic activity. Therefore, the assessment of pathogenicity of VUS is based on complex strategies that combine analyses both at RNA and protein levels. In addition, it is currently accepted that variant classification requires integration of data not only from functional assays, but also from clinicopathological features, frequency in control population and cosegregation, to determine a probability of pathogenicity [Barnetson et al., 2008; Couch et al., 2008; Goldgar et al., 2008; Kansikas et al., 2011; Ou et al., 2007; Pastrello et al., 2011; Plon et al., 2008].

The aim of this study was to assess the pathogenicity of 8 *MLH1* VUS: c.25C>T (p.R9W), c.218T>C (p.L73P),

Additional Supporting Information may be found in the online version of this article.

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c.244A>G (p.T82A), c.[248G>T(;306G>C] (p.[S83I(;)E102D]), c.[702G>A];[780C>G;788A>C] (p.[ = ];[N263T]), c.791-7T>A, and c.974G>A (p.R325Q). Web-prediction programs were combined with the analyses of mRNA splicing and stability and the evaluation of cosegregation and frequency of this VUS in controls. Furthermore, MMR activity, protein expression, and subcellular localization were studied.

## Material and Methods

### Patients and Samples

Mutational analysis of *MMR* genes was performed in 258 patients either fulfilling Amsterdam II or Bethesda criteria, associated with MSI phenotype in tumors, attended at distinct Spanish Genetic Counseling Units. In all, 119 pathogenic mutations and 18 VUS in any of the 4 *MMR* genes were identified (unpublished results). Sixty-nine pathogenic mutations were detected in the *MLH1* gene (NG\_007109.1, NM\_000249.3, and NP\_000240.1), whereas eight additional *MLH1* changes were VUS (Table 1). The identified *MLH1* VUS have been submitted to the LOVD database ([www.LOVD.nl](http://www.LOVD.nl)). Mutation nomenclature of *MLH1* gene is according to HGVS recommendations (version 2.0) with nucleotide 1 corresponding to the A of the ATG-translation initiation codon.

Clinical data collection included the location of tumors, the age at diagnosis, and the result of MSI testing, immunohistochemistry analysis, and *BRAF* p.V600E mutation testing. Informed consent was obtained from all individuals. DNA samples from a hospital-based CRC case-control study (325 cases and 309 controls) [Moreno et al., 2006] were used to analyze the frequency of the detected *MLH1* VUS.

### Analysis of Frequency in Controls and CRC Cases

Screening for the identified *MLH1* variants in control and sporadic CRC population was performed by Conformation-Sensitive Capillary Electrophoresis (CSCE) (Supp. Table S1, conditions available upon request). Patterns differing from those of controls were amplified using nonfluorescent forward primer and sequenced using the Big Dye Terminator v.3.1 Cycle Sequencing kit (Applied Biosystems, Foster City, CA).

### Cosegregation Analysis

DNA of relatives was screened for the familial associated *MLH1* variant by direct sequencing using genomic DNA primers (details available on request). Cosegregation was considered positive if two or more affected members were carriers of the studied variant.

### Bioinformatic Analysis

DNA sequences containing the identified *MLH1* variants were analyzed with several bioinformatic tools addressed to evaluate its impact at RNA and protein level. To identify potential splicing mutations, disruption or creation of SS were evaluated using NNSplice [Reese et al., 1997], Splicerport [Dogan et al., 2007], NetGene2 Server [Hebsgaard et al., 1996], and SoftBerry [Burset et al., 2001]. Also, exonic variants were analyzed for putative ESE (exonic splicing enhancer) elements by ESEfinder [Cartegni et al., 2003], RESCUE-ESE [Fairbrother et al., 2002], and PESX [Zhang and Chasin, 2004; Zhang et al., 2005].

The impact of missense variants at the protein level was analyzed using PolyPhen-2 [Adzhubei et al., 2010], SIFT [Ng and Henikoff, 2002], and MAPP-MMR [Chao et al., 2008]. In addition, the putative effect was investigated in a structural model of the MutL $\alpha$  N-terminal heterodimer, which was based on a homology model of *MLH1*-NTD [Plotz et al., 2006] and a crystal structure of PMS2-NTD [Guarne et al., 2004]. Figures were created using the PyMOL Molecular Graphics System, Version 1.4.1, Schrödinger, LLC. Conservation of residues was visualized using WebLogo [Crooks et al., 2004] based on an alignment of the *MLH1* protein previously described [Plotz et al., 2008].

### Lymphocyte Culture and mRNA Splicing Analysis

Human lymphocytes from *MLH1* variant carriers were cultured in RPMI 1640 medium (Invitrogen, Carlsbad, CA) supplemented with 10% fetal calf serum (PAA Laboratories, Pasching, Austria) and 1% penicillin-streptomycin (Sigma, Saint Quentin Favallier, France). Cells were cultured in the absence and presence of puromycin (Sigma). Puromycin was used to prevent potential degradation of unstable transcripts by the nonsense-mediated decay mechanism.

Total RNA was extracted from cultured lymphocytes using Trizol reagent (Invitrogen, Carlsbad, CA), and cDNA was synthesized using random primers (Invitrogen) and Superscript II reverse transcriptase (Invitrogen). Specific primers were used to amplify the appropriate *MLH1* coding region (Supp. Table S1; conditions available upon request). Polymerase chain reaction (PCR) products were sequenced on an ABI 3130 sequencer (Applied Biosystems) using Big Dye Terminator v3.1 cycle sequencing reaction kit (Applied Biosystems). To improve the detection and interpretation of splicing aberrations, transcripts from carriers were compared with transcripts from three control lymphocyte cultures.

### Allele-Specific Expression Analysis

Allele-specific expression (ASE) was analyzed by single nucleotide primer extension (SNuPE) as previously described [Borras et al., 2010]. ASE was calculated by dividing the proportion of variant/wild-type allele in cDNA by the proportion of variant/wild-type allele in gDNA. We used  $\leq 0.5$  as a threshold value for ASE definition [Perera et al., 2010; Renkonen et al., 2003, 2005]. Experiments were performed in triplicate.

### Plasmids and Site-Directed Mutagenesis

pcDNA3.1\_*MLH1* and pN1\_PMS2 plasmids, kindly provided by Dr. Kolodner and Dr. Nyström-Lahti, were used in MMR assays and expression analyses, pECFP\_C1\_*MLH1* and pDSred\_C1\_PMS2 vectors were used in subcellular localization assays [Brieger et al., 2011].

Selected *MLH1* missense variants were constructed by site-directed mutagenesis using QuikChange Site Directed Mutagenesis Kit (Stratagene, La Jolla, CA) according to the manufacturer's instructions. Sequencing was used to verify the presence of the variants. In addition, three control plasmids were constructed: c.199G>A (p.G67R) and c.2246T>C (p.L749P), used as mutation controls, and c.655A>C (p.I219V) used as a polymorphism control.

**Table 1. Clinical Characteristics of Cancer Affected Carriers of the Identified VUS in the *MLH1* Gene**

<i>MLH1</i> VUS	<i>MLH1</i> location	Reported at LOVD	Previously reported functional assays	Family ID	Criteria met	Cosegregation	Affected carrier ID	Gender	Type of tumor	IHC					
										Age of onset	MSI	MLH1	MSH2	MSH6	PMS2
c.25C>T c.218T>C	Exon 1 Exon 3	No No	No (In yeast)	A B	BC BC	NE NE	A1*, B1*	M M	CRC CRC	49 36	+	+	+	+	+
c.244A>G	Exon 3	No	No	C	BC	NE	C1*,	F	CRC	41	+	+	+	+	+
c.[248G>T(c.306G>C] c.[702G>A];[780C>G;788A>C]	Exon 3 Exon 9	No Yes, No	No No	D E	BC AC	NE NE	D1*, E1*	M F	CRC EC	45 54	+	-	+	+	WT WT
c.791-7T>A	Intron 9	No	F	AC	Yes	F1*	M	CRC	62	+	-	+	NE	WT	
c.974G>A	Exon 11	Yes	No	G	BC	Yes	F2 F3 F4	F M F	OC CRC CRC	71 72 71	+	-	+	NE	
				H	AC	Yes	H1 H2*	F M	CRC CRC	40 70	+	-	+	NE	

\*Index case\* Individuals with an additional germline pathogenic mutation in *APC* gene (c.1958+3A>G); †Individual with an additional germline VUS in *MSH6* gene (c.1186G>G; p.L396V).

Abbreviations: AC, Amsterdam criteria; BC, Bethesda criteria; M, male; F, female; BC, breast cancer; CRC, colorectal cancer; EC, endometrial cancer; OC, ovarian cancer; MSI, microsatellite instability; +, stable; -, instable; IHC, immunohistochemical analysis of MMR proteins in tumor tissue; + expression; - loss of expression; NE, not evaluable result; WT, wild type.

## HEK293T Cells Culture and Cell Transfection

HEK293T cells (deficient for endogenous MLH1 and PMS2 [Trojan et al., 2002]) were kindly provided by Prof. Jiricny. Cells were grown in Dulbecco's Modified Eagle Medium (Invitrogen) with 10% fetal calf serum (PAA Laboratories) and 1% penicillin-streptomycin (Sigma). Cell lines were routinely tested for mycoplasma and verified by morphology, growth curve analysis, and expression of proteins (e.g., MLH1 and PMS2).

Transfection of HEK293T cells was carried out as described previously [Brieger et al., 2011]. In brief, HEK293T cells were transfected at 50–70% confluence with expression plasmids (1 µg/ml, respectively) using 10 µl/ml of the cationic polymer polyethylenimine (Polysciences, Warrington, PA; stock solution 1 mg/ml). After 48 hr, cells were prepared for confocal laser scanning microscopy or protein extraction [Plotz et al., 2006].

## MMR Assay

MMR reactions were performed as previously described [Plotz et al., 2006] using a plasmid substrate with a G–T mismatch within an *EcoRV* restriction site. A nick is located at 83-bp distance to the mismatch in 3'-orientation, and serves to direct mismatch repair events. Restoration of the *EcoRV* site occurs when DNA is repaired. Digestion with *AseI* was used to linearize the plasmid and *EcoRV* was used to assess repair efficiency. Restriction site products were separated on 2% agarose gels and bands were quantified using ImageLab software (Bio-Rad, Hercules, CA). Repair efficiency was measured as the quotient of the intensities of those bands indicating repair divided by the sum of all band intensities. Relative repair efficiency was calculated by dividing the value of the tested variant protein through the value of a wild-type protein that had been expressed, processed, and tested in parallel. Experiments were performed in quadruplicate.

## MLH1 and PMS2 Protein Expression Analysis

MLH1 and PMS2 expression levels were examined by SDS-PAGE, followed by Western blotting analysis with anti-MLH1 (clone G168-728 BD Biociences, San Jose, CA) and anti-PMS2 (clone E-19, Santa Cruz Biotechnology, Santa Cruz, CA) antibodies. Band intensities were quantified using MultiGauge v3.2 (Fujifilm, Tokyo, Japan). Beta-actin and EGFP expression was assessed in parallel and used as loading and transfection efficiency controls. All experiments were performed in quadruplicate.

## Confocal Laser Microscopy

Confocal laser microscopy was carried out as previously described [Brieger et al., 2011]. Mock control for MLH1 and PMS2 was performed using empty pECFP-C1 and pDSRed-C1 vectors. Experiments were performed in triplicate.

## RESULTS

### Clinical Characteristics of Affected Carriers of *MLH1* VUS

Mutational analysis of MMR genes in 258 patients with suspected Lynch syndrome led to the identification of 8 index cases carriers

of *MLH1* VUS. All of these variants are novel or not previously characterized (Table 1). Tumor location and age at diagnosis of affected carriers of *MLH1* variants are shown in Table 1. Most of the tumors in index cases were colorectal, showing MSI and/or loss of MLH1 protein expression.

In three Amsterdam II families, we detected four different VUS, c.791-7T>A, c.974G>A (p.R325Q), and c.[702G>A];[780C>G;788A>C] (p.[=];[N263T]). The c.791-7T>A variant was identified in family F (Supp. Fig. S1 and Table 1). This variant was present in two individuals affected by CRC (carriers F1 and F3), one affected by ovarian cancer (F2) and another diagnosed of breast cancer (carrier F4). One CRC and the ovarian cancer showed MSI and MLH1 loss of expression, but breast cancer tumor did not show MSI.

The c.974G>A variant was initially identified in a patient of family G (carrier G2), whose CRC tumor showed MSI without loss of expression of MLH1 protein. However, in another affected carrier of the same family (carrier G1), the CRC was microsatellite stable (MSS). Eventually, the same variant was identified in another family (family H) meeting Amsterdam criteria type II. In this pedigree, the variant was present in two individuals affected by CRC (carriers H1 and H2) (Supp. Fig. S1). The only tumor analyzed was MSS (carrier H1).

In family E, we identified three *MLH1* VUS in patient E1, affected by endometrial cancer showing MSI and loss of MLH1 expression (Table 1). Segregation analysis in this family demonstrated that c.702G>A variant co-occurred in-trans with variant c.[780C>G;788A>C] (p.N263T) in individual E1 (Supp. Fig. S1).

Three individuals were carriers of VUS located in *MLH1* exon 3 (Table 1). In family B, variant c.218T>C (p.L73P) was identified in an individual with CRC. This tumor showed MSI but MLH1 expression was not evaluable. In family C, individual C1 was a carrier of two variants in distinct MMR genes, the *MLH1* VUS c.244A>G (p.T82A) and *MSH6* VUS c.1186C>G (p.L396V). The CRC of this patient showed MSI without loss of expression of any MMR protein. In family D, two *MLH1* VUS were identified in patient D1, c.[248G>T();306G>C], for which allelic phase was unknown. Tumor of this patient showed MSI and loss of MLH1 expression.

Finally, the *MLH1* variant c.25C>T (p.R9W) was identified in one affected individual of family A showing mixed features of Lynch syndrome and adenomatous polyposis. MMR gene testing was performed in the index case after he was diagnosed of an MSI CRC at age 46 associated with multiple polyps. After colonoscopic screening, his son was diagnosed with familial adenomatous polyposis (FAP). Subsequently, mutational *APC* testing was performed and an additional germline mutation was detected in the *APC* gene (c.1958+3A>G), leading to increased exon 14 skipping [Aretz et al., 2004]. Both father and son were carriers of the *MLH1* variant c.25C>T and the *APC* mutation c.1958+3 A>G (Supp. Fig. S1).

### Frequency of *MLH1* VUS in Healthy Controls and Sporadic CRC

The *MLH1* screening by CSCE in a series of control individuals and CRC cases was used to determine the allelic frequencies of the identified *MLH1* VUS (Table 2). None of the variants were identified at polymorphic frequency (>1%) in the control population. In sporadic CRC cases, c.[248G>T();306G>C] and c.702G>A variants were observed at 0.16% and 0.31%, respectively. The CSCE screening in the case-control series lead to the identification of other *MLH1* DNA changes (Supp. Table S2).

**Table 2. Allelic Frequency in Control and Colorectal Cancer (CRC) Cases**

Family ID	MLH1 VUS	Number of control alleles	Control frequency (95% CI)	Number of CRC case alleles	CRC Case frequency (95% CI)
A	c.25C>T	604	0	648	0
B	c.218T>C	560	0	620	0
C	c.244G>A	560	0	620	0
D	c.[248G>T(;)306G>C]	560	0	620	0.00161(0.00008-0.01048) <sup>a</sup>
E	c.702G>A	604	0	648	0.00308 (0.00053 0.01237)
F	c.[780C>G;788A>C]	604	0	648	0
G and H	c.791-7T>A	604	0	648	0
	c.974G>A	604	0	648	0

<sup>a</sup>We detected c.248G>T and c.306G>C together.

### Bioinformatic Prediction and mRNA Analysis Associated with MLH1 Variants

Four programs were used to predict changes in scores of acceptor and donor *MLH1* SS (Table 3). For the c.[248G>T(;)306G>C] variant SplicePort program predicted the disruption of the donor site, whereas a decrease in the score was predicted by NetGene2 and Softberry (22% and 37%, respectively). For the c.[780C>G;788A>C] variant, a relevant decrease in the donor site score (45%) was only predicted by Spliceport. For the c.791-7T>A variant, NetGene2 predicted a 50% decrease in the acceptor site score, whereas the other programs predicted its complete disruption. In this case, the four programs predicted the creation of a new acceptor site, 5 base-pairs upstream. In silico analysis of the remaining variants predicted either no changes, small decreases (<14%), or increases in SS scores.

Three programs were used to predict the putative effect of exonic *MLH1* VUS on exonic splicing enhancers, ESE finder, RescueESE, and PESX (Table 3). All programs predicted ESE modifications for the c.702G>A variant, and two of the three programs predicted alterations for variant c.[780C>G;788A>C]. However, inconsistencies were observed regarding the predicted effect among programs.

Our reverse transcriptase (RT)-PCR analyses identified aberrantly expressed transcripts in three of the eight *MLH1* studied variants. *MLH1* c.[248G>T(;)306G>C] variant resulted in exon 3 skipping (r.208\_306del), which is predicted to generate an in-frame deletion of 33 amino acids (p.K70\_E102del) in the ATPase domain of *MLH1* (Fig. 1A). *MLH1* c.[780C>G;788A>C] variant abolished the natural donor SS of exon 9 leading to exon 9 skipping (r.678\_790del) (Fig. 1B). This change is predicted to generate a truncated protein (p.E227SfsX42). In both cases, sequencing of the RT-PCR products showed that variant alleles exclusively transcribed the aberrant transcripts (data not shown). The intronic *MLH1* c.791-7T>A variant lead to exon 10 skipping (r.791\_884del) and is also predicted to truncate *MLH1* protein (p.H264LfsX2) (Fig. 1C). Surprisingly, puromycin treatment of cultured lymphocytes did not seem to increase the amount of the frameshift transcripts for the latter two variants. The remaining five *MLH1* VUS included in this study had no apparent effect on mRNA splicing after gel analysis and sequencing of the RT-PCR products (data not shown).

We evaluated the allelic expression of *MLH1* in lymphocytes derived from individuals carrying the missense variants c.218T>C, c.244A>G, and c.974G>A, and the silent c.702G>A, which had not shown any apparent effect on mRNA processing in our RT-PCR analyses (Table 3). The expression of variant/wild-type allele in cDNA samples from lymphocytes cultured in the presence or absence of puromycin did not show allelic imbalances (Supp. Table S3). ASE of the c.25C>T variant was not assessed because of the poor quality of its RNA.

In summary, our RNA analyses allowed to classify *MLH1* variants [248G>T(;)306G>C], c.[780C>G;788A>C], and c.791-7T>A as pathogenic mutations affecting the mRNA processing. The silent c.702G>A variant was classified as likely neutral because it did not affect mRNA processing or stability.

### In Silico and In Vitro Protein Analyses of *MLH1* Missense Variants

*MLH1* c.218T>C (p.L73P) and c.244A>G (p.T82A) missense variants were classified as pathogenic mutations by the three in silico prediction programs used (Table 4). We also evaluated the putative role of the affected residues on *MLH1* protein structure (Fig. 2). The p.L73P variant affects a highly conserved amino acid located in helix B, which is involved in forming the hydrophobic core of the extensive  $\beta$ -sheet that resembles the backbone of the ATPase pocket. The mutation to proline likely disturbs the constitution of this backbone. The threonine 82 is located in a completely conserved loop (TSK-loop) which is directly involved in nucleotide binding in the *MLH1* ATPase pocket with a side-chain hydrogen bond [Ban et al., 1999].

The c.25C>T (p.R9W) variant, located at the N-terminus, was classified as pathogenic by PolyPhen-2 and SIFT but not by MAPP-MMR. This arginine residue shows an intermediate degree of conservation, where positively charged residues predominate (Fig. 2). It is located in the flexible, nonstructured N-terminal tail of *MLH1*, which gets ordered after N-terminal dimerization [Ban et al., 1999]. Finally, c.974G>A (p.R325Q) variant affects a nonconserved residue located at a  $\alpha$  helix in the *MLH1* transducer domain. Concordantly, it was predicted as nonpathogenic by the three programs analyzed (Table 4 and Fig. 2).

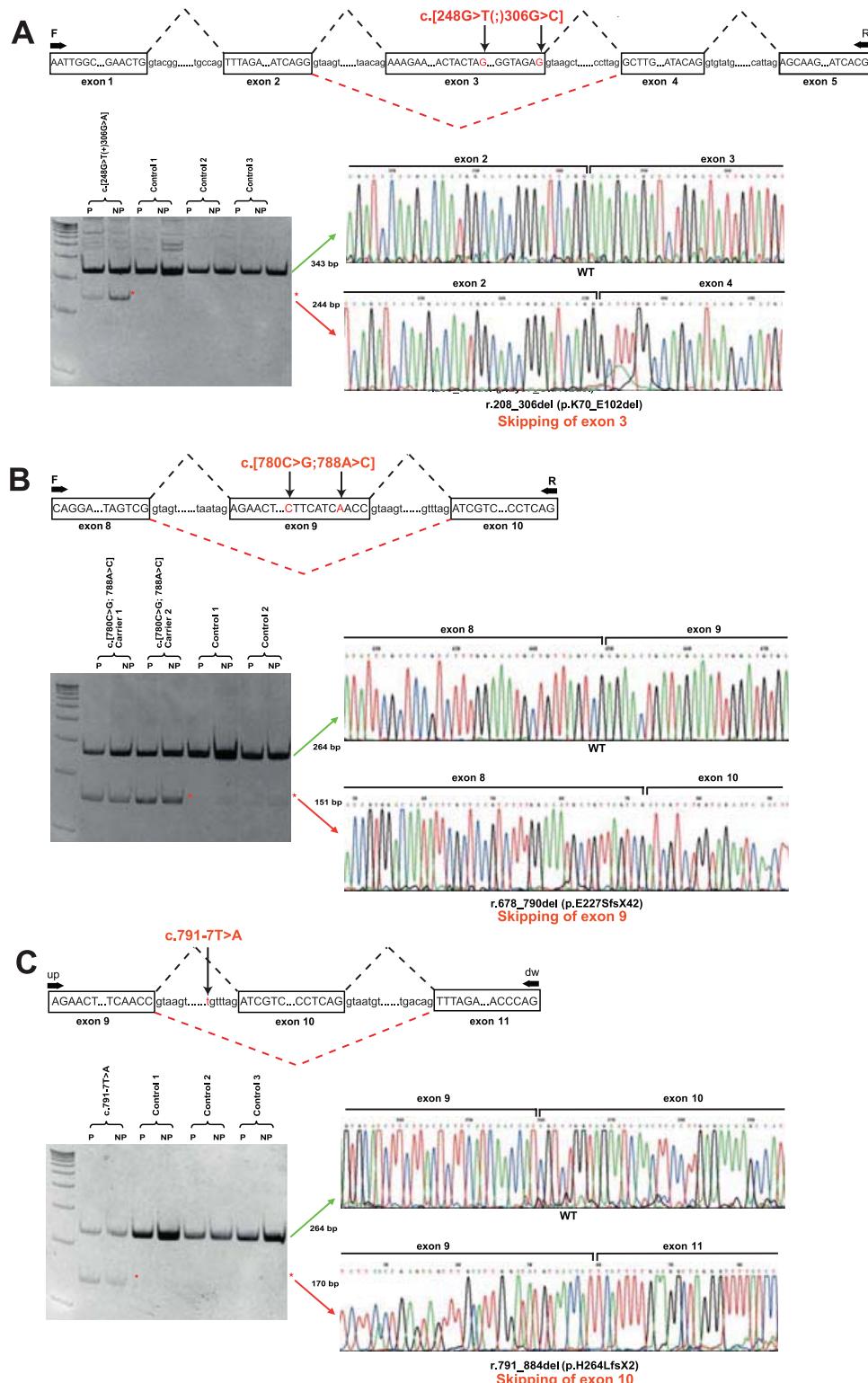
As reported, control pathogenic mutations c.199G>A (p.G67R) and c.2246T>C (p.L749P) were inactive in MMR assays, whereas the control polymorphism c.655A>C (p.I219V) was proficient [Drost et al., 2010; Kosinski et al., 2010; Plotz et al., 2008; Raevaara et al., 2005; Takahashi et al., 2007; Trojan et al., 2002]. Our results showed a significantly reduced MMR activity for c.218T>C (p.L73P) and c.244A>G (p.T82A) variants ( $8.24\% \pm 4.20$  and  $12.2\% \pm 3.97$ , respectively). The c.25C>T (p.R9W) variant instead showed intermediate MMR activity values ( $43.66\% \pm 12.64$ ) and c.974G>A (p.R325Q) did not show differences compared to *MLH1* wild type ( $99.97\% \pm 9.92$ ) (Fig. 3).

Protein expression analysis detected low *MLH1* and *PMS2* expression levels for c.199G>A (p.G67R) and c.2246T>C (p.L749P) control mutations (Fig. 3); again, the control polymorphism c.655A>C (p.I219V) displayed *MLH1* and *PMS2* levels similar to wild type [Blasi et al., 2006; Plotz et al., 2008; Raevaara et al., 2005; Takahashi et al., 2007], confirming the reliability of the method. The missense

**Table 3.** Bioinformatic Prediction and In Vitro Analysis of Splicing Aberrations Associated with *MLH1* Variants

Family ID	MLH1 VUS	Predicted Protein	Distance to the nearest SS	SS	Splice Site Prediction				Enhancer site prediction				cDNA splicing analysis	cDNA stability analysis (ASE)	Classification at RNA level		
					NNSplice wild-type variant	Spliceport wild-type variant	NetGene2 wild-type variant	SoftBerry wild-type variant	ESEfinder Interpretation	RESCUE-ESE	PESX	Interpretation					
A	c.250>T	p.R5W	-92	D	0.93	0.93	NR	0.95	No effect	No change	No change	No change	C>T substitution only	NA	Likely neutral		
B	c.218T>C	p.L73P	+11	A	0.67	0.73	0.36	0.76	0.28	6.85	6.85	No effect	ESE destroyed	T>C substitution only	No effect	Likely neutral	
C	c.244G>A	p.T82A	+37	A	0.67	0.67	0.36	0.31	0.28	6.85	6.85	No effect	1 site destroyed, 2 sites increased, 1 site reduced	G>A substitution only	No effect	Likely neutral	
D	c.[248G>T(:306G>C]	p.[S83I(:)E102D]	+41;0	A	0.67	0.67	0.36	0.55	0.28	6.85	6.85	Inconclusive	1 site destroyed, 1 site increased	No change	Inconclusive	Pathogenic	
E	c.702G>A	p.(=)	+25	A	0.88	0.88	0.32	0.61	0.41	0.43	NR	NR	No effect	1 site destroyed, 3 sites created	Aberrant ESE	G>A substitution only	No effect
	c.[780G>C;?784A>C]	p.N263T	-5,-2	A	0.88	0.88	0.32	0.32	0.41	0.41	nr	nr	Inconclusive	1 site created, 4 sites increased	No change	Inconclusive	Likely neutral
F	c.791-7T>A		-7	A*	NR	0.99	NR	0.48	NR	0.33	0.16	8.75	NR	NR	NA	Pathogenic	
G and H	c.974G>A	p.R325Q	-65	A	0.86	0.86	1.13	1.13	0.44	0.41	12.68	12.68	No effect	1 site destroyed, 2 sites created	No change	G>A substitution only	No effect

Results highlighted in gray indicate major alterations. For splice site prediction, major alterations are destroyed or created SS or score modifications  $\geq 45\%$ ; For enhancer site prediction, major alterations are destroyed or created ESE. Predictions are interpreted as inconclusive when the same results are not obtained by all the programs used. Abbreviations: SS, splice site; D, donor consensus splice site; A, acceptor consensus splice site; A', new acceptor splice site; NR, consensus splice site not recognized; NA, not available; NP, not performed.

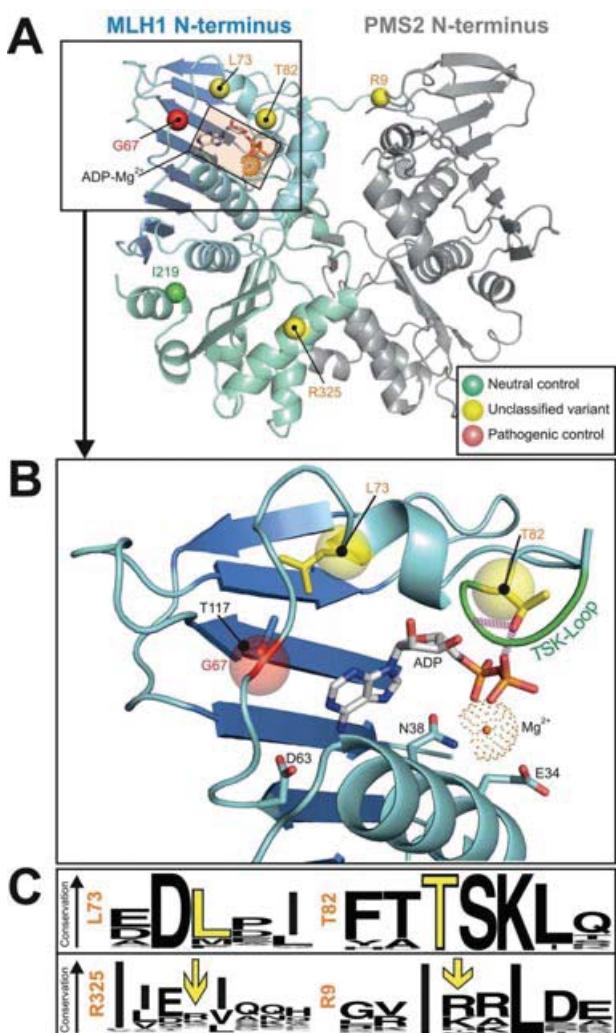


**Figure 1.** Analysis of *MLH1* VUS at mRNA level. Characterization of *MLH1* variant: **A:** c.[248G>T(+);306G>C], **B:** c.[780C>G;788A>C], and **C:** c.791-7T>A. Upper lines: Schematic overview of *MLH1* exons; left: agarose gel showing RT-PCR products; right: direct sequencing of the RT-PCR products. Abbreviations: P, puromycin; NP, no puromycin; F, forward primer; R, reverse primer.

**Table 4. Summary of the In Vitro Functional and In Silico Analyses at Protein Level in *MLH1* Exonic Variants without Associated Splicing Aberrations**

Family ID	<i>MLH1</i> VUS	Predicted Protein	Functional domain	Predicted impact on protein function			MMR assay	MLH1 expression	PMS2 expression	Subcellular localization	Classification at Protein level
				PolyPhen-2 (score)	SIFT (score)	MAPP-MMR (score)					
A	c.25C>T	p.R9W	ATPase	PrD (0.97)	Aff (0.01)	Bor (4.48)	Inconclusive	++	+	N	Inconclusive
B	c.218T>C	p.L73P	ATPase	PrD (0.94)	Aff (0.00)	Del (17,150)	Impaired	+	+	N	Pathogenic
C	c.244G>A	p.T82A	ATPase	PrD (0.99)	Aff (0.00)	Del (36,720)	Impaired	+	+++	N	Pathogenic
G and H	c.974G>A	p.R325W	Transducer Domain	Ben (0.07)	Tol (0.53)	Neu (2.040)	Neutral	+++	+++	N	Likely neutral

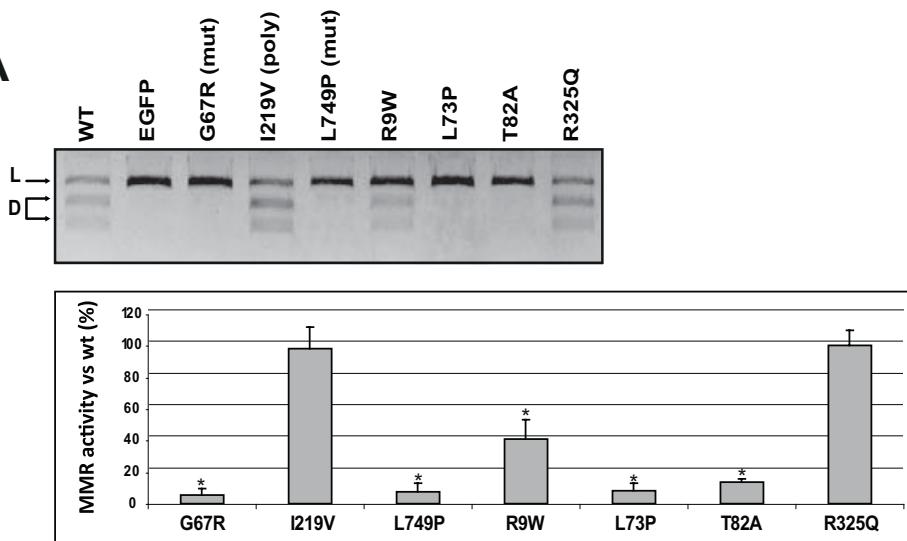
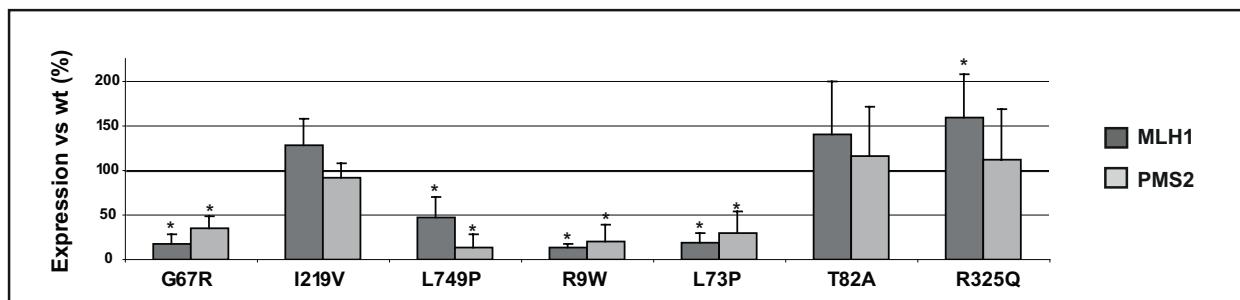
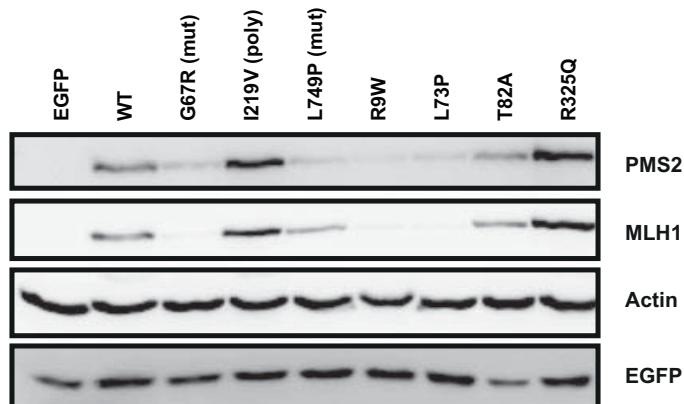
Results in bold with gray highlight indicate major alterations. Predictions are interpreted as inconclusive when the same results are not obtained by all the programs used. Functional domain, as described in Mohd et al. (2006). In vitro MMR activity: +++ corresponds to MMR activity >60% compared to wild type, ++ 30–60%, + 0–30%. Expression of MLH1 and PMS2 +++ corresponds to >60% comparing to wild type, ++ 30–60%, + <30%. Abbreviations: PrD; Probably Damaging; Ben, Benign; Aff, Affected; Tol, Tolerant; Bor, Borderline; Del, deleterious; Neu, neutral; N, nuclear.



**Figure 2.** Localization of MLH1 variants in the N-terminal domain of MLH1-PMS2 dimer. **A:** Mapping of amino acid substitutions onto the structural model of N-terminal domain of MLH1-PMS2 dimer. Substitutions occurred in the residues whose  $\text{C}_{\alpha}$  atoms are indicated as spheres, and colored according to their previous classification (red: mutation control, green: polymorphism, yellow: VUS). **B:** Magnification of the ATPase domain. **C:** Conservation graphic for variants analyzed at protein level. Affected residues are indicated by yellow letters or arrows. Overall/individual font sizes indicate overall/individual conservation, respectively.

p.R9W and p.L73P variants showed a strong reduction of MLH1 and PMS2 expression ( $13.29\% \pm 4.75$  and  $20.56\% \pm 18.21$  for p.R9W and  $18.61\% \pm 11.41$  and  $30.02\% \pm 23.8$  for p.L73P) (Fig. 3). In contrast, no decrease in protein expression was observed for p.T82A and p.R325Q variants.

The results of subcellular localization analysis in HEK293T cells are shown in Figure 4. As previously described [Brieger et al., 2012], in the absence of PMS2, MLH1 was almost entirely detected in the nucleus. In contrast, single expressed PMS2 was located in the cytoplasm. Coexpression of MLH1 and PMS2 proteins lead to nuclear localization of both proteins. Control mutation p.G67R coexpressed with PMS2 showed nuclear as well as cytoplasmic location of MLH1 and PMS2, as previously described [Raevaara et al., 2005], whereas both other controls (p.I219V and p.L749P) showed only nuclear location of MLH1 and PMS2 (data not shown). All

**A****B**

**Figure 3.** Mismatch repair activity and protein expression levels of MLH1 variants. **A:** Agarose gel showing digestion products of MMR assay. Digestion of the unrepaired plasmid yielded linearized vector (L), whereas successful repair produced two additional fragments of lower molecular weight (D, digestion fragments). Quantification of repair levels of MLH1 variants in direct comparison to MLH1 wild type is shown. Statistically significant reductions of expression are marked by asterisks (\*,  $P < 0.05$ ). **B:** Western-blot analysis of MLH1 and PMS2. Quantification of MLH1 or PMS2 is shown in dark and light gray, respectively. Statistically significant variations of expression are marked by asterisks (\*,  $P < 0.01$ ).

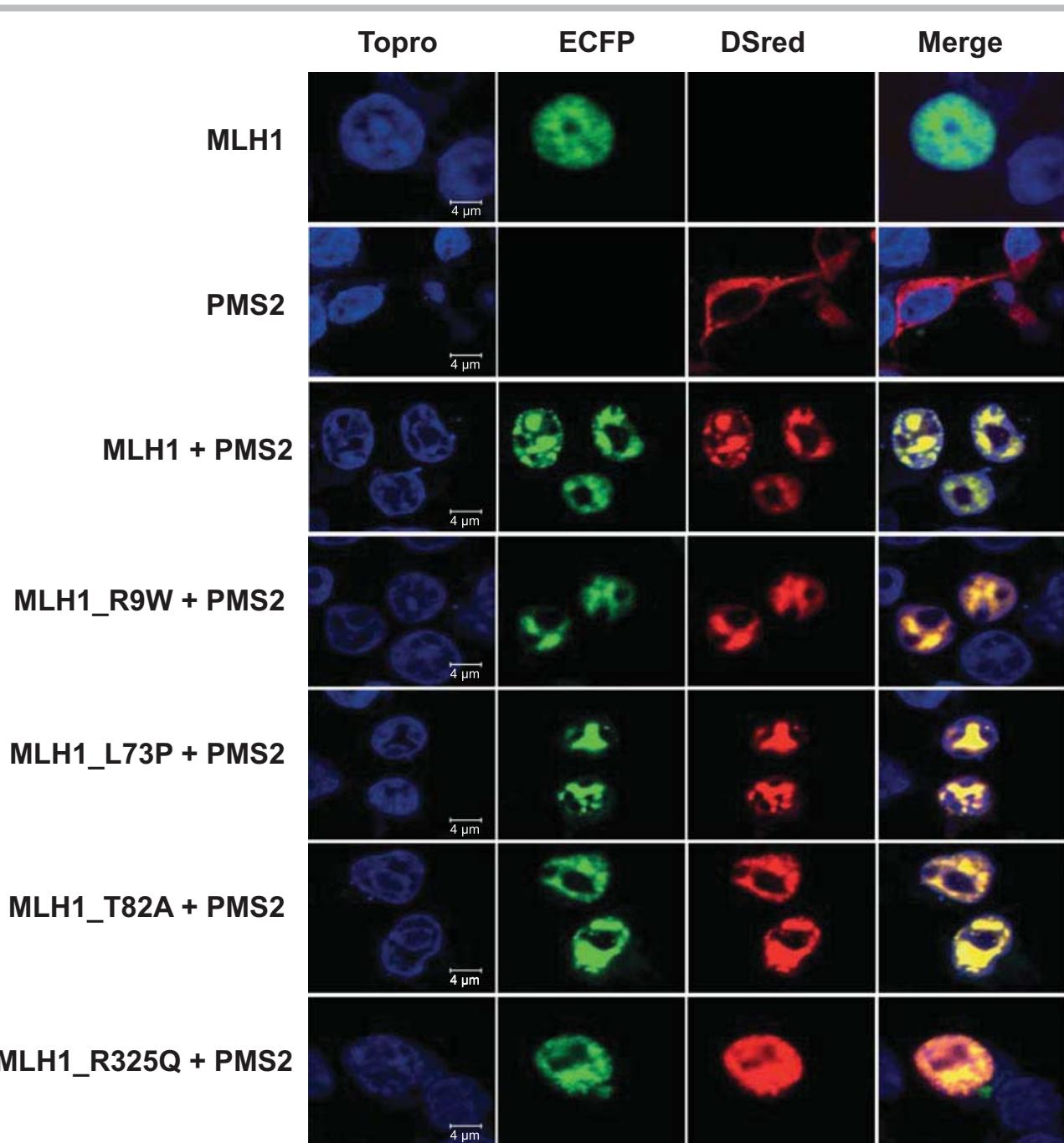
MLH1 VUS analyzed showed exclusive nuclear location of MLH1 and PMS2 (Fig. 4).

Taken together, functional assays at protein level demonstrated that p.L73P and p.T82A are pathogenic mutations significantly impairing MMR activity. In contrast, we classified the c.974G>A (p.R325Q) variant as likely neutral because it had no effect on protein function. Finally, as the c.25C>T (p.R9W) variant showed intermediate values in MMR activity, its classification remains unclear.

## Discussion

In this study, we have performed a comprehensive in-depth analysis of eight *MLH1* VUS, either not previously reported or with no functional information available, allowing the classification of seven of them (Table 5).

RNA analyses allowed classifying three *MLH1* variants as pathogenic mutations affecting mRNA processing. The concomitant association of the variants c.[248G>T();306G>C] produced



**Figure 4.** Subcellular localization of MLH1 variants. *MLH1* variants were transfected in HEK293T cells and detected using confocal laser microscopy. Nuclei were counterstained with TO-PRO-3 (left column) after fixation and resulting overlay is shown in the right column; ECFP: depicts subcellular location of wild type and variant MLH1 protein; DSRed: depicts subcellular location of wild-type and variant PMS2 protein.

a pathogenic in-frame deletion affecting the ATPase domain associated with loss of MLH1 protein expression in the tumor. In silico prediction suggests that variant c.306G>C could be the culprit. Noteworthy, the variant c.306G>T (p.E102D), located in the same nucleotide, did not associate with defective protein expression or MMR activity [Takahashi et al., 2007]. Unfortunately, no RNA analysis for the c.306G>T mutation has been reported.

The other two variants with an impact at the RNA level induced specific out-of-frame deleted transcripts leading to truncated proteins. On the one hand, the c.[780C>G;788A>C] variant led to exon 9 skipping. This is an example of variant located out of the con-

sensus SS that affects RNA processing, as reported by other authors [Arnold et al., 2009; Ars et al., 2000; Auclair et al., 2006; Cartegni et al., 2002; Lastella et al., 2006; Naruse et al., 2009; Tournier et al., 2008]. The novel c.791-7T>A variant resulted in exon 10 skipping. Likewise, the neighboring c.791-5T>G variant also lead to the same RNA alteration [Auclair et al., 2006; Tournier et al., 2008]. In these two cases, tumors showed loss of MLH1 protein expression. In the family of the latter case, the mutation cosegregated with the disease.

Functional assays at protein level demonstrated that p.L73P is a pathogenic mutation significantly impairing MMR activity. Assays performed in yeast had previously revealed an intermediate

**Table 5. Summary of Results**

MLH1 VUS	Predicted Protein	Family ID	Clinico-pathological characteristics			Control Frequency (%)	mRNA analysis			Protein analysis		
			Criteria met	MSI	MLH1 expression (IHC)		Cosegregation	mRNA in silico prediction	cDNA splicing and stability analysis	Protein analysis	Final classification	
c.25G>T	p.R9W	A	BC	+	Conserved	NE	0	No effect	Inconclusive	Inconclusive	Inconclusive	
c.218T>C	p.L73P	B	BC	+	Not evaluable	NE	0	Inconclusive ESE	No effect	Impaired	Pathogenic	
c.244G>A	p.T82A	C	BC	+	Conserved	NE	0	Inconclusive ESE	No effect	Impaired	Pathogenic	
c.[246G>t(306G>C)]	p.(S33t)E[02D]	D	BC	+	Lost	NE	0	Inconclusive SS and ESE	Aberrant transcript	Impaired; Impaired	Pathogenic	
c.702G>A	p.(e)	E	AC	+	Lost	NE	0	Aberrant ESE	No effect	NP	Likely Neutral	
c.[780C>G;788A>C]	p.M263T	F	AC	+	Lost	Yes	0	Inconclusive SS and ESE	Aberrant transcript	Impaired	Pathogenic	
c.791>T>A	p.F325Q	G and H	BC and AC	+/-	Conserved	Yes	0	Inconclusive ESE	No effect	NP	Pathogenic	
c.974G>A	p.R325Q								Neutral	Normal	Likely Neutral	

Summary of the clinical characteristics, control frequency, and mRNA and protein analyses of the identified *MLH1* VUS. Information concerning these variants is detailed in Tables 1–4.

(34–66%) decrease in MMR activity [Ellison et al., 2004]. Other variants in the same residue have been reported and, for all of them, yeast assays yielded inconclusive results [Ellison et al., 2004]. The observed functional defect is in agreement with structural and conservation analyses. Interestingly, other alterations also affecting the integrity of the structural backbone of the ATPase pocket (p.G67R and p.T117M, Fig. 2) had similar effects by destabilizing and inactivating the protein [Blasi et al., 2006; Drost et al., 2010; Ellison et al., 2004; Kosinski et al.; Raevaara et al., 2005; Shcherbakova and Kunkel, 1999; Shimodaira et al., 1998; Takahashi et al., 2007; Trojan et al., 2002; Vogelsang et al., 2009].

The variant c.244A>G (p.T82A) destroys two vital hydrogen bonds within the ATPase pocket and, therefore, disables ATPase activity, accounting for the repair deficiency of the mutant. p.T82I variant, located in the same position, has been shown to be MMR defective in yeast and human cells while showing normal expression levels [Takahashi et al., 2007]. Also, p.T82K and p.T82M variants affect MMR activity in yeast [Ellison et al., 2004]. The p.T82A carrier had a concomitant *MSH6* p.L396V variant that did not have an impact at RNA level (data not shown) and did not show a defective mismatch repair activity [Drost et al., 2012; Kolodner et al., 1999]. The p.T82A variant is deemed as pathogenic in spite of the conserved MLH1/PMS2 protein expression in tumor. This observation is in agreement with the normal expression levels observed in transfected cells.

Two variants, c.702G>A and c.974G>A (p.R325Q) were classified as likely neutral. The silent c.702G>A did not affect mRNA processing or stability. The coexistence of variants c.[702G>A];[780C>G;788A>C] in the same individual belonging to a family with typical Lynch syndrome characteristics further supports the classification of the silent c.702G>A variant as likely neutral based on the results obtained in mRNA analyses. The c.974G>A (p.R325Q) variant was previously reported as a somatic mutation in two sporadic MSI tumors [Kuismanen et al., 2000; Wu et al., 1997], but not as a germline mutation. In agreement with its proficient function, this variant was detected in two families where two of the three affected carriers analyzed harbored MSS tumors. It should be noted that the expression levels for this variant were above wild type in the functional assays, as previously reported for other variants such as p.E663G, p.R755S, and p.E102D [Kosinski et al., 2010; Takahashi et al., 2007].

Finally, variant c.25C>T (p.Arg9Trp) could not be classified based on the presence of some repair activity in spite of low protein expression levels. Of note, a concomitant *APC* mutation, considered as pathogenic was detected in the index case, where multiple adenomas coexisted with an MSI CRC [Aretz et al., 2004; Castellsague et al., 2010].

In the series reported here only Lynch syndrome suspected patients, showing MSI tumors were considered. All these patients have attended Genetic Counseling Units where collection of pathological information has been comprehensive, in combination with *BRAF* mutation or methylation analysis in MLH1-negative cases. While protein immunostaining is often informative discrepancies may be observed between expression patterns and putative functional impact. As shown, pedigree sizes are often small and clinical information for segregation analysis is scarce. In this setting, the analysis of variant frequency in healthy controls is performed based on the assumption that a variant present in a frequency higher than 1% is unlikely pathogenic.

Analysis at the RNA level offered a good performance allowing the classification of four of eight (50%) variants. This is a high yield that further reinforces the notion that RNA analysis must be an early step when assessing the functional impact of variants.

When fresh lymphocytes cannot be obtained, a number of in vitro methodologies have been developed to assess the functional impact of variants on RNA [Betz et al., 2010; Lastella et al., 2006; Naruse et al., 2009; Tournier et al., 2008]. Single amino acid substitutions at *MLH1* and other genes have been associated with allelic imbalances [Castellsague et al., 2010; Perera et al., 2010; Santibanez Koref et al., 2010].

The putative clinical usefulness of in silico predictions is a matter of controversy. In our study, the comparison of splicing prediction and experimental observations indicates that only Spliceport, out of the four programs used, offers a perfect match between prediction and observation. Nonetheless and for the sake of robustness, we support the use of several software packages to make a better prediction [Arnold et al., 2009; Betz et al., 2010]. In contrast, ESE prediction programs did not offer concordant results and their prediction did not always correlate with experimental evidence [Arnold et al., 2009; Auclair et al., 2006; Chenevix-Trench et al., 2006; Lastella et al., 2006; Tournier et al., 2008]. Assessing the putative impact of in silico prediction of single amino acid substitutions on RNA splicing should be always performed, as it can help in prioritizing RNA or protein analysis.

At the protein level, a strong correlation between experimental findings and in silico prediction packages has been observed in this set of cases. When all programs offered concordant predictions the functional assays went in the same direction. These observations support the weight that Kaniskas and collaborators give to in silico prediction programs [Kansikas et al., 2011].

Based on the evidence linking MMR defects to clinical Lynch syndrome, assays showing loss of MMR activity in vitro could provide strong evidence supporting the pathogenicity of an MMR variant. Several forms of MMR assays have been developed, based on the production of a variant protein or the introduction of a variant-encoding gene in cells with an endogenous deficiency of the gene, followed by complementation of a cell extract in an MMR assay [Drost et al., 2010; Kosinski et al., 2010; Ollila et al., 2006]. However, when variants show proficient MMR activity or assays yield inconclusive results further characterization using a selection of biochemical assays may be required. These assays focus on the analysis of protein expression, subcellular localization, and protein–protein interaction. We evaluated MLH1 and PMS2 expression in the same protein extracts of MLH1/PMS2 transfected cells used in MMR assays. Although in our study in vitro interaction assays has not been performed, the evaluation of PMS2 expression after MLH1/PMS2 transfection has been proposed as a reliable method for identifying dimerization defects [Kosinski et al., 2010; Mohd et al., 2006].

The coexistence of variants within the same gene or in two distinct cancer predisposition genes poses a clinical challenge when attempting to identify which is the real culprit. This can only be addressed by a comprehensive characterization of the identified changes. In our setting, a combination of *MLH1* variants was identified in two families. In two additional cases, mutations or variants in other genes (*APC* or *MSH6*) were later identified. At a time when next-generation sequencing may foster the detection of multiple VUS in distinct cancer genes, their functional characterization will become more relevant to the genetic counseling of these families.

The assessment of pathogenicity of VUS is always based on complex strategies that combine analyses both at RNA and protein levels and integrates other type of data. The use of Bayesian models can be necessary for the integration of direct and indirect evidence of pathogenicity in a single model to obtain a probability of pathogenicity from the likelihood ratio [Goldgar et al., 2008; Pastrello et al., 2011; Plon et al., 2008]. Based on our observations and the decision tree proposed by [Couch et al., 2008] for the in vitro

analysis of MMR VUS found in putative LS families, we propose a preliminary algorithm for the evaluation of *MLH1* VUS (Supp. Fig. S2, Supp. Tables S4 and S5).

Attempts are ongoing aiming to reach consensus on the interpretation of the relative weight of all clinical and experimental evidence on MMR variants. In the meanwhile, it is necessary both to increase the knowledge on specific variants found, compare the evidence obtained at different levels (RNA splicing, protein function and stability), and devise strategies for the incorporation of this knowledge in the clinical setting. Validation of functional tests used will be mandatory prior to a generalized use in the clinical setting.

## Acknowledgments

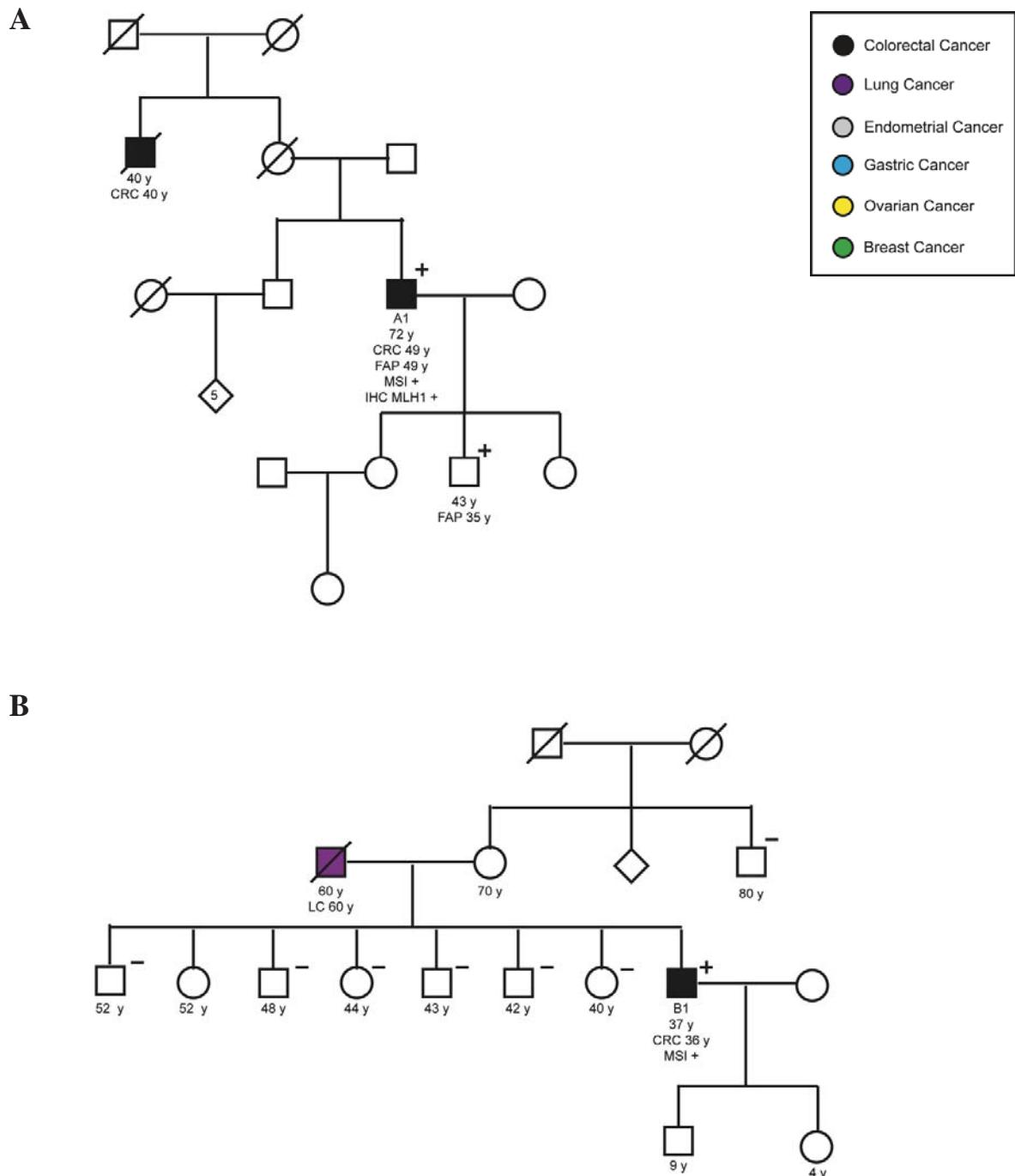
EB is a recipient of an IDIBELL fellowship and of travel grant by RTICC. Authors have no conflict of interest to declare.

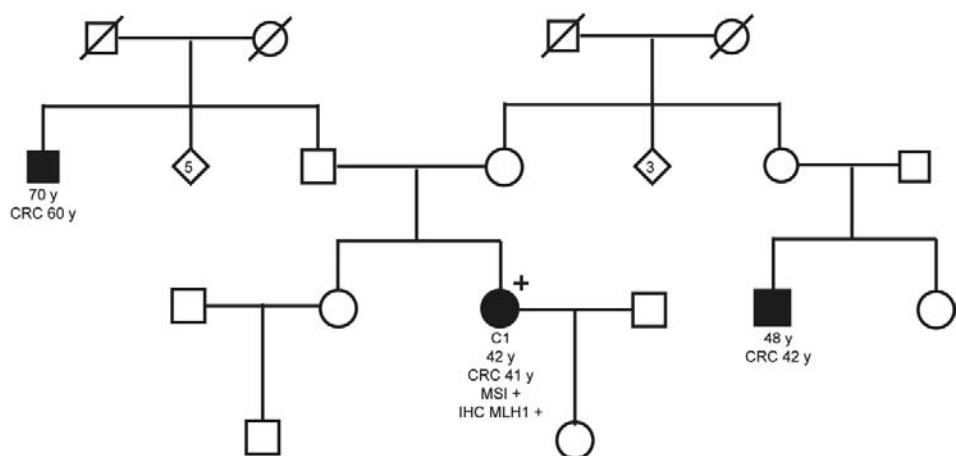
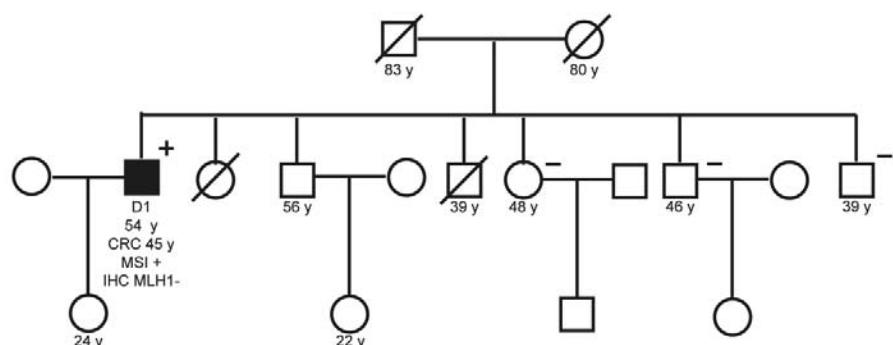
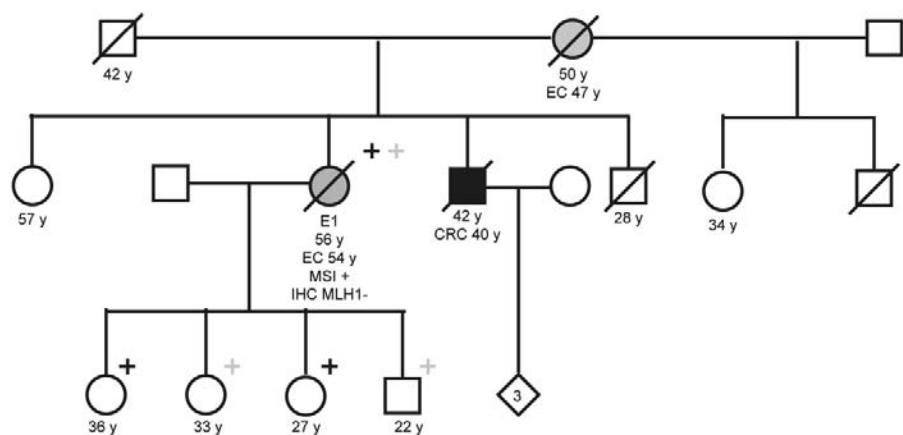
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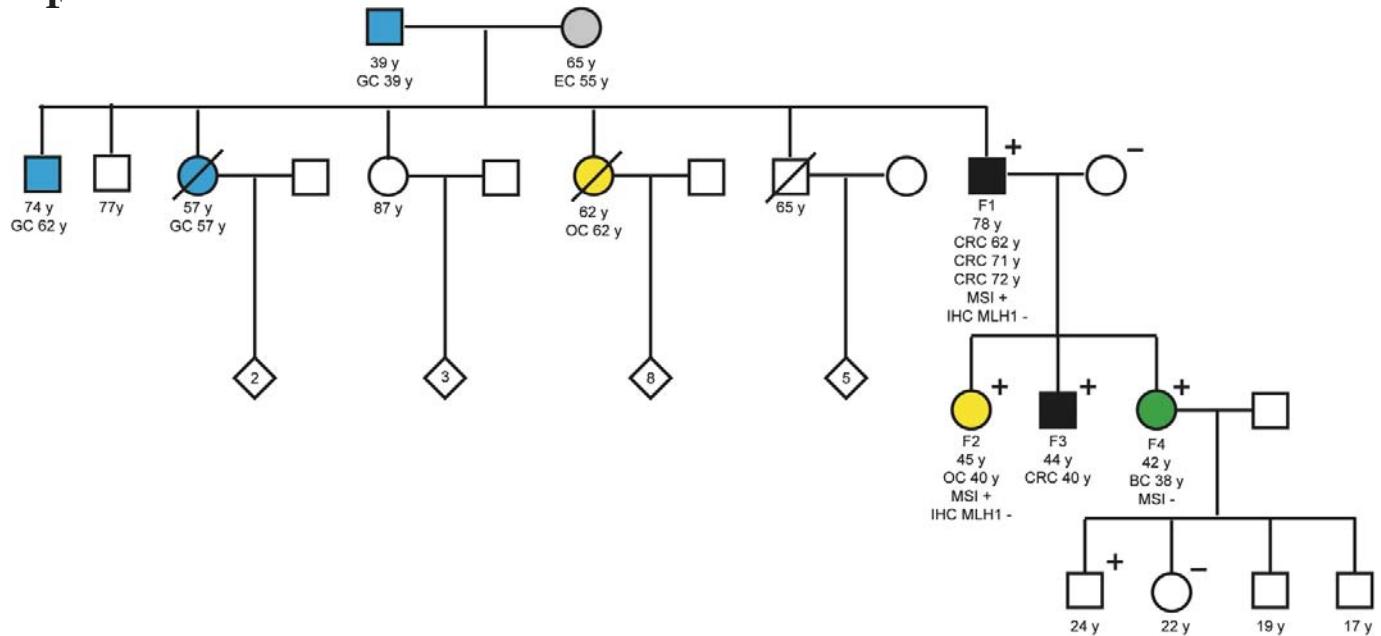
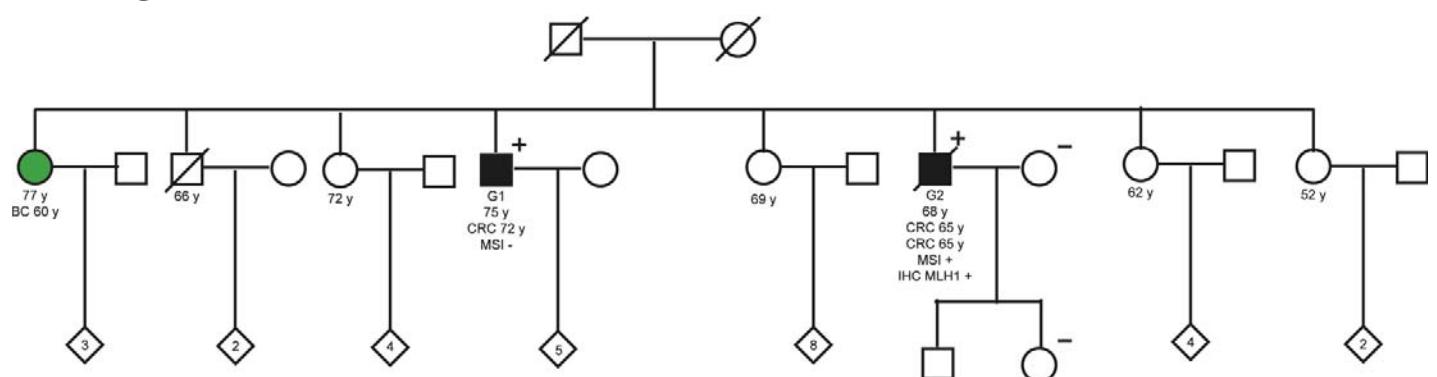
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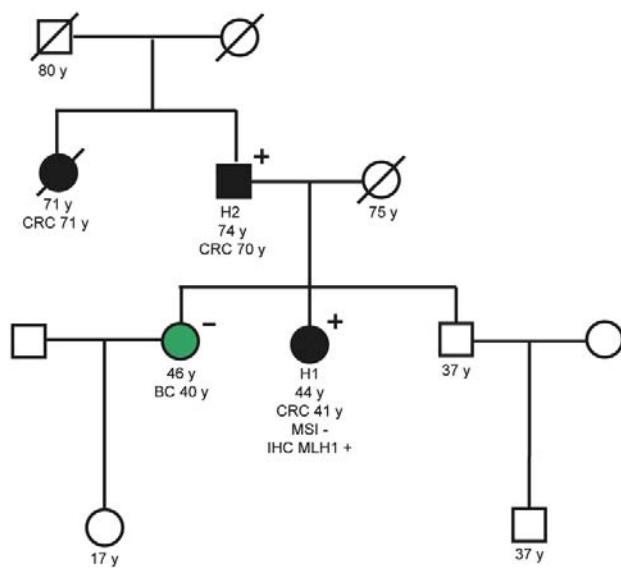
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**Supp. Figure S1.** Pedigrees of families harboring MLH1 *VUS*. Age at diagnosis, molecular characteristics of tumors (MSI, microsatellite instability: +, instable; -, stable; IHC MLH1, immunohistochemical analysis of MLH1 protein in tumour tissue: +, expression; -, loss of expression), and mutation status are shown. Carriers are depicted by “+” and non-carriers by “-” symbols. CRC, colorectal cancer; BC, breast cancer; EC, endometrial cancer; GC, gastric cancer; LC, lung cancer; OC, ovarian cancer.



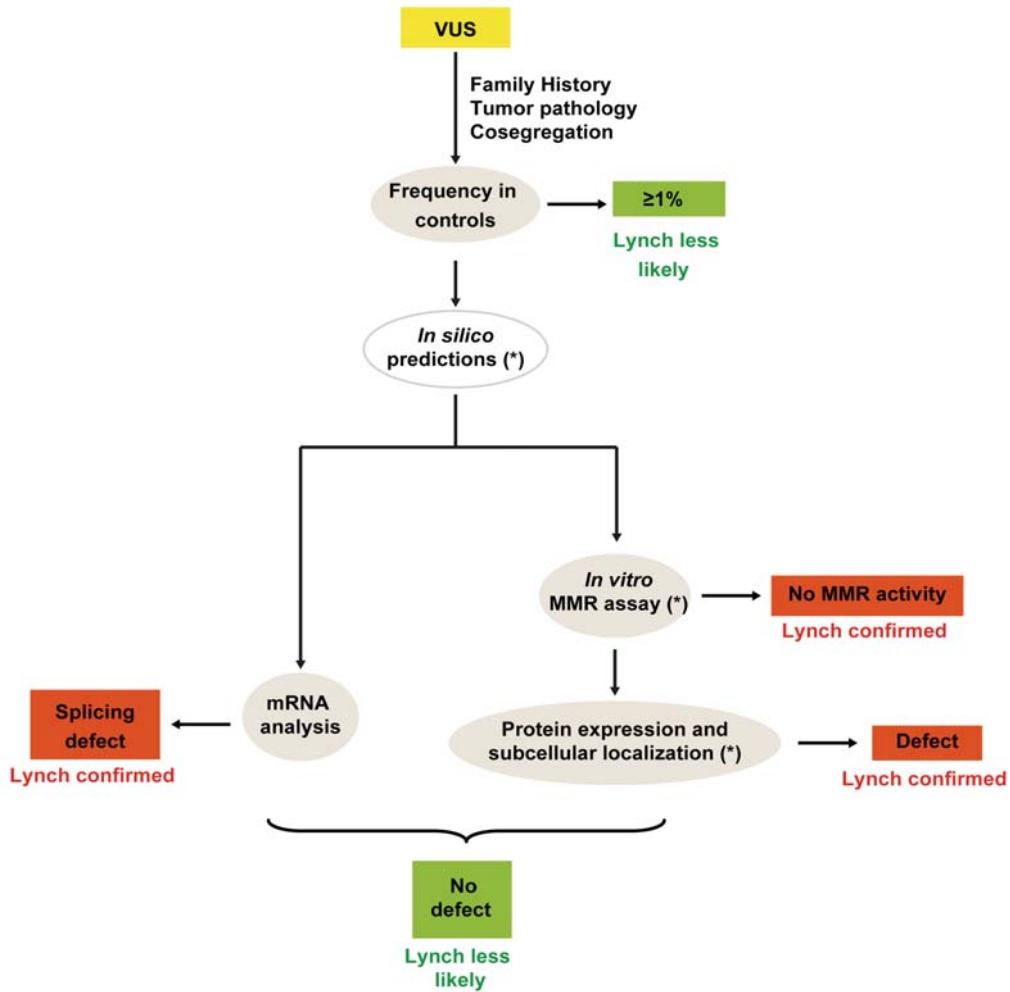
**C****D****E**

**F****G**

**H**

**Supp. Figure S2.** A proposed algorithm for *MLH1* VUS analysis. Based on our observations and the decision tree proposed by Couch et al., (2008) for the *in vitro* analysis of MMR VUS found in putative LS families, we propose an algorithm for the evaluation of *MLH1* VUS. We posit that this strategy provides a useful framework that (i) emphasizes the importance of clinical information (family history, tumor pathology and control frequency data); (ii) suggest that *in silico* predictions can be of help in prioritizing RNA or protein assays in a mutually exclusive manner; and (iii) provides a useful framework for including all information when assessing a VUS.

The putative usefulness of the proposed approach was validated in a set of 25 *MLH1* variants which had been previously studied both at protein and RNA levels (Supp. Tables S4 and S5). Had we applied the algorithm after the initial assessment of clinico-pathological data, 20 VUS of validation set data would have been classified either as pathogenic (n=13) or likely neutral (n=7). For these variants RNA *in silico* predictions [aberrant SS (complete agreement for the 4 programs utilized) or inconclusive SS (any of 4 programs predicted aberrations)] were highly predictive of cDNA aberrations. No predictive power was observed for ESE *in silico* predictions. Regarding prediction of protein defects, correlation with experimental observations was good but not perfect (7 of 10 predicted aberrations were experimentally confirmed). Thus, *in silico* predictions would have been useful in directing to RNA or protein *in vitro* analysis.



**Supp. Table S1. Additional variants identified in exons 1, 2, 9, 10 and 11 in *MLH1* gene by CSCE in control and CRC cases**

CSCE Fragment	Change identified	Allelic frequency in controls (%)	Allelic frequency in sporadic cases (%)	Previously Reported
exon 1	c.116+103A>G	0/604 (0.00%)	1/648 (0.15%)	
	c.116+69G>A	1/604 (0.16%)	0/648 (0.00%)	
	c.1-93G>A	100/604 (16.56 %)	112/648 (17.28%)	rs: 1800734
exon 2	c.117-109A>G	0/608 (0.00%)	1/648 (0.15%)	
	c.195C>T (p.=)	0/608 (0.00%)	1/648 (0.15%)	
	c.198C>T (p.=)	1/608 (0.16%)	1/648 (0.00%)	Reported at LOVD
	c.207+41A>G	0/608 (0.00%)	1/648 (0.15%)	
exon 9	c.790+68A>T	0/604 (0.00%)	1/648 (0.15%)	
exon 10	c.791-63G>A	1/604 (0.16%)	0/648 (0.00%)	
	c.791-79A>G	9/604 (1.49%)	5/648 (0.77%)	
	c.884+39G>A	1/604 (0.16%)	0/648 (0.00%)	
	c.885-81G>C	11/604 (1.8%)	21/648 (3.24%)	
exon 11	c.954C>T (p.=)	0/604 (0.00%)	1/648 (0.15%)	
	c.977T>C (p.V326A)	1/604 (0.15%)	1/604 (0.15%)	Reported at LOVD
	c.1038+51C>T	1/604 (0.15%)	0/648 (0.00%)	

**Supp. Table S2. Primers used in this study**

Primer Name	Sequence
<b>CSCE_MLH1_E1_fam_F</b>	5' FAM-TGACTGGCATTCAAGCTGTC 3'
<b>CSCE_MLH1_E1_R</b>	5' TCGGGGGAGAGCGGTAAA 3'
<b>CSCE_MLH1_E2_hex_F</b>	5' HEX- CCCGTCTCTCCCTCTCT 3'
<b>CSCE_MLH1_E2_R</b>	5' ATCCCACATCTGCAGAAAGCCTA 3'
<b>CSCE_MLH1_E3_fam_F</b>	5' FAM-ATGGGAATTCAAAGAGATTGG 3'
<b>CSCE_MLH1_E3_R</b>	5' GTTGCTCAGATTGCATAC 3'
<b>CSCE_MLH1_E10_fam_F</b>	5' FAM-CTTTCTCCTGGGGATGTGA 3'
<b>CSCE_MLH1_E10_R</b>	5' GCCAGTGGTGTATGGATTTC 3'
<b>CSCE_MLH1_E11_hex_F</b>	5' HEX-TCGATCCTGAGGTTTGACC 3'
<b>CSCE_MLH1_E11_R</b>	5' GCAAAATCTGGCTCTCAC 3'
<b>CSCE_MLH1_E16_hex_F</b>	5' HEX-GGATGCTCCGTTAAAGCT 3'
<b>CSCE_MLH1_E16_R</b>	5' GAAGTATAAGAACATGGCTGTAC 3'
<b>CSCE_MLH1_E9_fam_F</b>	5' FAM-TGGGAAGGAACCTTGTGTTT 3'
<b>CSCE_MLH1_E9_R</b>	5' GCCATGAGGTTCTCCATGT 3'
<b>ASE_MLH1_218</b>	5' AGTGAACCTTCACATACAATATCC 3'
<b>ASE_MLH1_244</b>	5' CTGGATATTGTATGTGAAAGGTTCACT 3'
<b>ASE_MLH1_702</b>	5' GAGAACTGATAGAAATTGGATGTGA 3'
<b>ASE_MLH1_974</b>	5' CGAGGAGAGCATCCTGGAGC 3'
<b>RNA_MLH1_5UTR_F</b>	5' CAGCAACCCACAGAGTTGAG 3'
<b>RNA_MLH1_E1_F</b>	5' TATCCAGCGGCCAGCTAA 3'
<b>RNA_MLH1_E2_R</b>	5' TCAGGCCTCCCTTTAAC 3'
<b>RNA_MLH1_E5_R</b>	5' AGGGGCTTTCAGTTTCCAT 3'
<b>RNA_MLH1_E8_F</b>	5' CACTACCCAATGCCTCAACC 3'
<b>RNA_MLH1_E9_F</b>	5' AGAACTGATAGAAATTGGATGTGAGG 3'
<b>RNA_MLH1_E10_R</b>	5' AGGTACAGGAATGGGTGTGTG 3'
<b>RNA_MLH1_E11_R</b>	5' CCACATTCTGGGGACTGATT 3'
<b>RNA_MLH1_E12_R</b>	5' GTATCCCCCTCCAAGCTCTG 3'

**Supp. Table S3. Summary of Allelic Ratios**

MLH1 VUS	Predicted Protein	Puromycin Treatment	Specific design	Average ASE	SD
<b>c.218T&gt;C</b>	<b>p.L73P</b>	-	E1_E5	0.82	0.05
		+	E1_E5	0.98	0.05
<b>c.244A&gt;G</b>	<b>p.T82A</b>	-	E1_E5	1.01	0.14
		+	E1_E5	1.02	0.04
<b>c.702G&gt;A</b>	<b>p.=</b>	-	E8-E10	0.95	0.06
		+	E8-E10	0.88	0.16
<b>c.974G&gt;A</b>	<b>p.R325Q</b>	-	E9_E12	0.90	0.02
		+	E9_E12	0.83	0.03

**Supp. Table S4.** Summary of the mRNA and protein analyses in a validation set of 25 *MLH1* variants

MLH1 VUS	Predicted Protein	Selection criteria			Clinico-pathological characteristics			Control Frequency	mRNA analysis			Protein analysis			Final Classification
		Amsterdam criteria	MSI	MLH1 expression	Cosegregation				mRNA in silico prediction	cDNA splicing analysis	Protein in silico prediction	Protein analysis			
c.544A>G	p.R182G	No	NA	NA	NA	NA	NA	Aberrant SS	Aberrant transcript	Impaired	Inconclusive*	Pathogenic	Inconclusive*	Pathogenic	
c.793C>T	p.R265C	Yes*	NA	NA	NA	NA	NA	Inconclusive SS and ESE	Aberrant transcript	Impaired	Inconclusive*	Pathogenic	Normal*	Pathogenic	
c.794G>A	p.R265H	NA	NA	NA	NA	NA	NA	Inconclusive SS and ESE	Aberrant transcript	Impaired	Normal*	Pathogenic	Normal*	Pathogenic	
c.1989G>T	p.E663D	Yes	NA	NA	NA	NA	NA	Inconclusive SS	Aberrant transcript	Neutral	Normal*	Pathogenic	Normal*	Pathogenic	
c.304G>A	p.E102K	Yes	NA	NA	NA	NA	NA	No effect	No effect	Impaired	Impaired*	Pathogenic	Impaired*	Pathogenic	
c.1646T>C	p.L549P	Yes	NA	NA	NA	NA	NA	No effect	No effect	Impaired	Impaired*	Pathogenic	Impaired*	Pathogenic	
c.199G>A	p.G67R	Yes*	+	NA	NA	NA	0	Inconclusive ESE	No effect	Impaired	Impaired	Pathogenic	Impaired	Pathogenic	
c.229T>C	p.C77R	Yes	+	NA	NA	NA	NA	Inconclusive ESE	No effect	Impaired	Impaired	Pathogenic	Impaired	Pathogenic	
c.350C>T	p.T117M	Yes*	+	Lost	Yes	NA	NA	Inconclusive ESE	No effect	Impaired	Impaired*	Pathogenic	Impaired	Pathogenic	
c.464T>G	p.L155R	Yes	+	Lost	NA	NA	NA	Inconclusive ESE	No effect	Impaired	Impaired	Pathogenic	Impaired	Pathogenic	
c.739T>C	p.S247P	Yes*	+	Lost	NA	0.01	NA	Inconclusive ESE	No effect	Impaired	Impaired	Pathogenic	Impaired	Pathogenic	
c.977T>C	p.V326A	Yes*	NA	NA	NA	0.01	NA	Inconclusive ESE	No effect	Impaired	Impaired*	Pathogenic	Impaired*	Pathogenic	
c.988_990del	p.I330del	Yes	+	Lost	NA	NA	NA	Inconclusive ESE	Inconclusive	NA	Impaired	Pathogenic	Impaired	Pathogenic	
c.1820T>A	p.L607H	Yes	NA	NA	NA	NA	NA	No effect	No effect	Impaired	Normal*	Likely Neutral*	Normal*	Likely Neutral*	
c.2041G>A	p.A681T	Yes*	+	NA	NA	0	NA	No effect	No effect	Inconclusive	Normal*	Likely Neutral*	Normal*	Likely Neutral*	
c.1421G>A	p.R474Q	No	NA	NA	NA	NA	NA	Aberrant ESE	No effect	Neutral	Normal*	Likely Neutral*	Normal*	Likely Neutral*	
c.1852_1853A>GC	p.K618A	Yes*	+	Lost	Yes	NA	NA	Aberrant ESE	No effect	Inconclusive	Normal	Likely Neutral	Normal*	Likely Neutral*	
c.1965A>G	p.I655V	NA	NA	NA	NA	0.005	NA	Aberrant ESE	No effect	Inconclusive	Normal	Likely Neutral	Normal*	Likely Neutral*	
c.637G>A	p.V213M	No	+	NA	NA	NA	NA	Inconclusive ESE	No effect	Neutral	Normal	Likely Neutral	Normal*	Likely Neutral	
c.1808C>G	p.P603R	No	NA	NA	NA	NA	NA	Inconclusive ESE	No effect	Impaired	Normal*	Likely Neutral	Normal*	Likely Neutral	
c.2059G>T	p.R687W	Yes*	+	Lost	Yes	NA	NA	Aberrant ESE	No effect	Inconclusive	Normal	Inconclusive*	Inconclusive*	Inconclusive*	
c.1652A>C	p.N551T	No	NA	NA	NA	NA	NA	No effect	No effect	Impaired	Normal	Inconclusive*	Inconclusive*	Inconclusive*	
c.2146G>A	p.V716M	Yes*	NA	NA	NA	NA	NA	Inconclusive ESE	No effect	Neutral	Inconclusive*	Inconclusive*	Inconclusive*	Inconclusive*	
c.1984A>C	p.T622P	Yes	NA	NA	NA	NA	NA	No effect	Inconclusive	Neutral	Inconclusive*	Inconclusive*	Inconclusive*	Inconclusive*	
c.2066A>G	p.Q689R	No	NA	NA	NA	0.006	NA	Inconclusive ESE	No effect	Neutral	Neutral	Inconclusive*	Inconclusive*	Inconclusive*	

Information concerning these variants is detailed in Supp. Table S5. Results in bold indicate major alterations. Gray highlighting indicate correlation between *in silico* predictions and *in vitro* results. Abbreviations: AC, Amsterdam criteria; BC, Bethesda criteria; MSI, microsatellite instability: +, instable; -, stable; IH<sub>C</sub>, immunohistochemical analysis; NE, not evaluable; NP, not performed. #Amsterdam criteria fulfilled in some families, \*Subcellular localization analysis is not performed.

**Supp. Table S5. Bioinformatic predictions and results of mRNA and protein analyses in a validation set of 25 *MLH1* variants. Results highlighted in grey indicate major alterations.**

MLH1 VUS	Predicted Protein	ss	Splice Site Prediction				NetGene2 wild-type variant	NetGene2 wild-type variant	SoftBerry wild-type variant	Enhancer site prediction				cDNA splicing analysis	Classical mRNA in silico predictions	Summary of mRNA predictions	Interpretation of protein prediction	MMR assay	Map-MMR	MLH1 and PMS2 expression	MLH1 and PMS2 localization	Classification at Protein level			
			NNSplice wild-type variant	SpliceSite wild-type variant	ESFiner wild-type variant	PESX wild-type variant				Interpretation of SS prediction	ESFiner interpretation of ESE prediction	RESCE interpretation of ESE prediction	PESX interpretation of ESE prediction	No effect	Inconclusive	No effect	P/D	Aff	Del	Impaired	Impaired	Impaired	Impaired		
c.199G>A	p.G57R	A	0.86	0.86	NR	NR	0.66	0.66	0.81	No effect	Inconclusive	Inconclusive	Inconclusive	No effect	No effect	No effect	P/D	Aff	Del	Impaired	Impaired	Impaired	Impaired		
c.229T>C	p.C77R	D	1	1	1.94	1.85	0.89	0.87	0.81	No effect	Inconclusive	Inconclusive	Inconclusive	ESE	No effect	No effect	P/D	Aff	Del	Impaired	Impaired	Impaired	Impaired		
c.304G>A	p.E192K	A	0.67	0.67	0.76	0.72	0.28	0.39	0.71	0.71	No effect	No effect	No effect	No effect	No effect	No effect	P/D	Aff	Del	Impaired	Impaired	Impaired	Impaired		
c.360G>T	p.T117M	D	0.88	0.88	0.73	0.44	0.63	0.56	0.79	0.79	No effect	1 site destroyed	2 sites destroyed	No change	No change	No effect	No effect	P/D	Aff	Del	Impaired	Impaired	Impaired	Impaired*	
c.464T>G	p.L155R	A	0.8	0.83	0.74	1.12	0.33	0.49	NR	NR	No effect	No change	1 site destroyed	1 site destroyed	No change	No change	No effect	No effect	P/D	Aff	Del	Impaired	Impaired	Impaired	Impaired
c.544A>G	p.R142G	A	0.8	0.8	0.74	0.74	0.33	0.33	NR	NR	Aberant	No change	No change	No change	No change	No effect	Inconclusive	Aberrant transcript	P/D	Aff	Del	Impaired	Likely neutral*	NP	Inconclusive*
c.637G>A	p.V213M	A	0.68	0.68	0.22	0.2	0.39	0.34	NR	NR	No effect	2 sites destroyed	No change	No change	Inconclusive	No effect	No effect	Ben	Tol	Neu	Likely neutral	Likely neutral	Likely neutral	Normal	
c.739T>C	p.S247P	D	0.98	0.88	0.32	0.17	0.41	0.42	NR	NR	No effect	No change	1 site destroyed	No change	Inconclusive	No effect	No effect	P/D	Aff	Del	Impaired	Impaired	Impaired	Impaired	
c.793C>T	p.R285C	A	0.99	0.99	0.48	0.98	1.05	0.97	0.81	0.8	No effect	1 site increased	No change	No change	Inconclusive	No effect	No effect	Aberrant transcript	P/D	Aff	Del	Impaired	Likely neutral	Inconclusive*	NP
c.794G>A	p.R285H	A	0.89	0.89	0.48	0.15	0.33	0.25	0.71	0.68	No effect	No change	No change	No change	Inconclusive	No effect	No effect	Aberrant transcript	P/D	Aff	Del	Impaired	Likely neutral	Likely neutral	NP
c.977T>C	p.Y356A	D	0.88	0.88	0.14	1.14	0.67	0.66	0.71	0.71	No effect	1 site destroyed	No change	No change	Inconclusive	No effect	No effect	Aberrant transcript	P/D	Aff	Del	Impaired	Inconclusive	Inconclusive	NP
c.988_990del	p.I30del	A	0.84	0.84	1.14	1.14	NR	NR	0.71	0.71	No effect	1 site created	No change	No change	Inconclusive	No effect	No effect	Aberrant transcript	P/D	Aff	Del	Impaired	Inconclusive	Inconclusive	NP
c.1421G>A	p.R474Q	A	0.99	0.99	2.21	1.18	0.97	0.95	0.89	0.86	No effect	No change	No change	No change	Inconclusive	No effect	No effect	Aberrant ESE	P/D	Aff	Del	Impaired	Likely neutral	Likely neutral	NP
c.1646T>C	p.L549P	D	0.99	0.99	0.34	0.34	0.4	0.34	0.81	0.81	No effect	1 site destroyed	No change	No change	Inconclusive	No effect	No effect	Aberrant ESE	P/D	Aff	Del	Impaired	Inconclusive	Inconclusive	NP
c.1652A>C	p.N551T	A	0.88	0.88	2.03	2.03	0.68	0.68	0.77	0.77	No effect	1 site created	No change	No change	Inconclusive	No effect	No effect	Aberrant transcript	P/D	Aff	Del	Impaired	Likely neutral	Inconclusive	NP
c.1808C>G	p.P633R	A	0.99	0.99	0.88	1.16	0.97	0.97	0.79	0.79	No effect	6 sites created and 2 sites destroyed	1 site created	No change	Inconclusive	No effect	No effect	Aberrant ESE	P/D	Aff	Del	Impaired	Likely neutral	Likely neutral	NP
c.1820T>A	p.L607H	D	0.99	0.99	0.88	0.88	0.97	0.97	0.79	0.79	No effect	No change	No change	No change	Inconclusive	No effect	No effect	Aberrant ESE	P/D	Aff	Del	Impaired	Likely neutral	Likely neutral	NP
c.1852_1853AA>GC	p.K618A	D	0.41	0.41	NR	0.1	0.71	0.71	NR	NR	No effect	2 sites created	3 sites destroyed	1 site created	Aberrant ESE	No effect	No effect	Aberrant ESE	P/S	Aff	Del	Impaired	Likely neutral	Likely neutral	NP
c.1893A>G	p.P633R	D	0.91	0.91	0.9	0	0	0	0.76	0.76	No effect	1 site destroyed	1 site created	No change	Inconclusive	No effect	No effect	Aberrant ESE	P/D	Aff	Del	Impaired	Likely neutral	Likely neutral	NP
c.1894A>C	p.T682P	A	0.43	0.43	0.62	0.62	0.43	0.42	0.66	0.66	No effect	No change	No change	No change	No effect	No effect	No effect	Aberrant ESE	P/D	Aff	Del	Impaired	Likely neutral	Likely neutral	NP
c.1989G>T	p.E663D	D	0.91	0.76	0.9	0.9	0.79	0	0	0	No effect	2 sites destroyed	1 site destroyed	No change	No change	No effect	No effect	Aberrant ESE	P/S	Aff	Del	Impaired	Likely neutral	Likely neutral	NP
c.2016G>A	p.G631T	A	0.89	0.89	0.32	0.92	0.71	0.77	0.66	0.66	No effect	1 site destroyed	1 site created	No change	No change	No effect	No effect	Aberrant ESE	P/D	Aff	Del	Impaired	Likely neutral	Likely neutral	NP
c.2049C>T	p.R687W	A	0.89	0.89	0.32	0.55	0.71	0.55	0.66	0.66	No effect	1 site destroyed	2 sites created	No change	No change	No effect	No effect	Aberrant ESE	P/D	Aff	Del	Impaired	Likely neutral	Likely neutral	NP
c.2056A>G	p.Q689R	D	0.82	0.82	1	1	0	0	0.8	0.8	No effect	2 sites destroyed	No change	No change	Inconclusive	No effect	No effect	Aberrant ESE	P/D	Aff	Del	Impaired	Likely neutral	Likely neutral	NP
c.2146G>A	p.V716M	A	0.56	0.56	0.01	0.01	NR	NR	NR	NR	No effect	1 site created	1 site created	1 site created	Inconclusive	No effect	No effect	Aberrant ESE	P/S	Aff	Del	Impaired	Likely neutral	Likely neutral	NP

For splice site prediction, major alterations are destroyed or created SS, or score modifications  $\geq 45\%$ ; For enhancer site prediction, major alterations are destroyed or created ESE. Predictions are interpreted as inconclusive when the same results are not obtained by all the programs used. Abbreviations: SS, splice site; A, acceptor consensus splice site; D, donor consensus splice site; NR, consensus splice site not recognized. Data on variants have been extracted from (Arnold, et al., 2009; Aucilair, et al., 2006; Kansikas, et al., 2011; Pagenstecher, et al., 2007; Tournier, et al., 2008).



**ARTICLE 3****REFINING THE ROLE OF PMS2 IN LYNCH SYNDROME: GERMLINE MUTATIONAL ANALYSIS IMPROVED BY COMPREHENSIVE ASSESSMENT OF VARIANTS**

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**Manuscrit sotmès a publicació**

**Resum:** Les mutacions en heterozigosis detectades en els gens reparadors són les causants de la síndrome de Lynch. Tot i que la majoria d'aquestes mutacions ocorren en els gens *MLH1* o *MSH2*, la contribució de *PMS2* no està tan clarament definida. L'objectiu d'aquest estudi era analitzar la contribució de *PMS2* en el síndrome de Lynch, a partir de l'avaluació de la patogenicitat de les variants de significat desconegut (VSD) detectades en l'anàlisi mutacional del gen *PMS2* en una sèrie de pacients espanyols. D'una cohort de 202 pacients amb sospita de síndrome de Lynch, tretze pacients mostren pèrdua d'expressió de *PMS2* en els tumors, on es va analitzar les mutacions germinals en *PMS2*, mitjançant una estratègia basada en Long-Range PCR i MLPA. L'anàlisi de patogenicitat de les VSD es va analitzar mitjançant les dades clinico-patològiques, la freqüència en una població control i en una varietat de assaigs *in silio* i *in vitro*, tant a nivell de RNA com de proteïna. Un total de 25 canvis van ser detectats en el gen *PMS2*. Dotze d'ells van ser classificats coma polimorfismes després de l'anàlisi en una sèrie control. Nou d'ells es van ser classificats com a patogènics: set alteracions basades en la seva naturalesa molecular i dues d'ells després de demostrar el seu defecte funcional (c.538-3C>G afectava el correcte processament del mRNA i c.137G>T mostrava un defecte en l'activitat reparadora). Les VSD, c.59G>A i c.1569C>G, van ser classificades com a probablement neutrals, mentre que les variants c.384G>A i c.1789A>T no es va poder classificar. En un 69% del pacients amb tumors associats a la síndrome de Lynch i amb pèrdua d'expressió de la proteïna *PMS2*, es van detectar mutacions patogèniques en el gen *PMS2*. L'anàlisi funcional exhaustiu ha estat útil per la classificació de VSD en el gen *PMS2* i contribueix a refinjar l'impacte real de *PMS2* en la síndrome de Lynch.



## Refining the Role of *PMS2* in Lynch Syndrome: Germline Mutational Analysis Improved by Comprehensive Assessment of Variants

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### ABSTRACT

**BACKGROUND & AIM:** The majority of MMR gene mutations causing Lynch syndrome (LS) occur either in *MLH1* or *MSH2*. However, the relative contribution of *PMS2* is less well defined. The aim of this study was to evaluate the role of *PMS2* in LS by assessing the pathogenicity of variants of unknown significance (VUS) detected in the mutational analysis of *PMS2* in a series of Spanish patients. **METHODS:** From a cohort of 202 LS-suspected patients, thirteen patients showing loss of *PMS2* expression in tumors were screened for germline mutations in *PMS2*, using a long-range PCR-based strategy and MLPA. Pathogenicity assessment of *PMS2* VUS was performed evaluating clinico-pathological data, frequency in control population and *in silico* and *in vitro* analyses at the RNA and protein level. **RESULTS:** Overall 25 different *PMS2* DNA changes were detected. Twelve changes were classified as polymorphisms. Nine of them were classified as pathogenic: seven alterations based on their molecular nature and two after demonstrating a functional defect (c.538-3C>G affected mRNA processing and c.137G>T impaired MMR activity). Two VUS (c.59G>A and c.1569C>G) were classified as likely neutral while the c.384G>A and c.1789A>T variants remained as VUS. **CONCLUSION:** Pathogenic *PMS2* mutations were detected in 69% of patients harboring LS associated tumors with loss of *PMS2* expression. In all, *PMS2* mutations account for 6% of the LS cases identified. The comprehensive functional analysis shown here has been useful in the classification of *PMS2* VUS and contributes in refining the role of *PMS2* in LS.

### INTRODUCTION

Heterozygous germline mutations in either one of the mismatch repair (MMR) genes are responsible for Lynch syndrome (LS)<sup>1</sup>. Although *MLH1* and *MSH2* are the most frequently mutated genes in LS, the contribution of *PMS2* is less well defined, representing 1-13.7% of all MMR gene mutations<sup>2-6</sup>. The analysis of *PMS2* is especially complex due to the presence of multiple pseudogenes. Fourteen of these pseudogenes share a high homology with the *PMS2* 5' end<sup>7</sup>, and the pseudogene *PMS2CL* has strong homology to *PMS2* exons 9 and 11-15<sup>7,8</sup>. In order to avoid spurious amplification of pseudogenes, long-range (LR) PCR strategies have improved the yield of mutational analysis<sup>9-11</sup>. When patients are selected for mutation analysis on the basis of negative *PMS2* staining in tumors, the reported mutation rate varies considerably (0%-62%)<sup>2, 9, 11, 12</sup>, likely linked to differences in the selection criteria and in the strategy used for genetic analysis.

Most of the reported mutations identified in LS suspected patients are truncating and classified as pathogenic. However, a significant number (30%) are classified as variants of unknown significance (VUS)<sup>13</sup>. The assessment of pathogenicity is difficult and requires the integration of clinical, pathological and functional data (reviewed by Rasmussen<sup>14</sup>). While functional analyses of MMR variants are frequently performed for *MLH1* and *MSH2* genes, the impact of *PMS2* VUS has been analyzed only incompletely in a limited number of cases. Studies have been done at mRNA level<sup>15, 16</sup>, on protein expression<sup>8, 15</sup>, and on protein interaction<sup>17, 18</sup>. Also, site-directed mutagenesis of residues located in the *PMS2* ATPase domain has been performed in function-structure studies<sup>19, 20</sup>, and the impact of *PMS2* VUS in other processes such as apoptosis has been explored<sup>17, 21, 22</sup>.

The aim of this study was to evaluate the contribution of *PMS2* in LS by assessing the

pathogenicity of VUS detected in the mutational analysis of *PMS2* in a series of Spanish patients with LS-associated tumours showing loss of *PMS2* expression. For *PMS2* VUS classification a strategy was followed, which integrates frequency in controls, family history and tumor pathology data with a variety of *in silico* and *in vitro* analyses at RNA and protein level<sup>23</sup>.

## MATERIAL AND METHODS

### Patients and samples

Mutational screening of MMR genes was performed in 202 probands with LS-associated tumours showing MSI phenotype or loss of MMR protein expression. In all, 133 pathogenic mutations in MMR genes (*MLH1*, *MSH2* or *MSH6*) were identified (unpublished results). Mutational analysis of *PMS2* was performed in 13 probands with tumors showing loss of *PMS2* but normal *MLH1* expression by IHC. One patient fulfilled Amsterdam criteria, 8 revised Bethesda criteria<sup>24, 25</sup> and the remaining patients were referred to the Genetic Counseling Unit after MMR staining in tumors that displayed characteristic MSI histology<sup>26</sup>.

Genomic DNA was extracted from whole blood using the FlexiGene DNA kit (Qiagen). DNA samples from 95 controls in a hospital based CRC case-control study were used to analyze the frequency of the detected *PMS2* VUS<sup>27</sup>. Informed consent was obtained from all individuals, and ethics committee approved this study.

### Mutational analysis in *PMS2*

Genomic rearrangements in *PMS2* were analyzed using MLPA commercial kit P008-A1 (MRC-Holland, Amsterdam, the Netherlands). PCR products were analyzed on an ABI 3730 sequencer using GeneMapper software (Applied Biosystems, Forster City, CA, USA). The proportion of each peak relative to the height of all peaks was calculated for each sample and then compared to proportions for the corresponding peak averaged for a set of at least ten normal DNA samples. Ratios of 1.0 ( $\pm 0.2$ ) were treated as normal copy number. Ratios of 0.5 were considered as deletions and ratios of 1.5 as duplications. Each positive result was confirmed in a second independent MLPA reaction. In one case, confirmation of the identified rearrangement was performed using the recently redesigned version of the *PMS2* MLPA kit (P008-B1) that has been shown to improve the reliability of copy number detection in the 3' end of the gene<sup>28, 29</sup>. In another case, LR-PCR amplification and sequencing were used to characterize the breakpoint (see below). Identification of repeat elements in genomic

sequences was performed using the Repeat Masker program.

Point mutations were analyzed using either of two previously described LR-PCR procedures<sup>9, 11</sup>. In brief, amplicons spanning exons 1–6 (long-range amplicon LR1), 6–10 (LR2), 10–12 (LR3) and 13–15 (LR4)<sup>9</sup> or, alternatively, 10–15 (LR3', substituting LR3 and LR4)<sup>11</sup>, were generated by amplification using LaTaq polymerase (TaKaRa Bio Inc., Otsu, Shiga, Japan). Fifteen  $\mu$ l of each PCR product were diluted in TE 1x up to a final volume of 180  $\mu$ l. One  $\mu$ l of this dilution was used as the template for exon-specific PCR using Megamix Double (Microzone limited, Haywards Heath, UK) and specific primers<sup>9, 11</sup>. Exon-specific amplification of exons 6, 7, 8 and 10 were performed with Megamix Double and specific primers<sup>9, 11</sup>. PCR products were sequenced using Big Dye Terminator v.3.1 Cycle Sequencing kit (Applied Biosystems) on an Applied Biosystems 3130XL Genetic Analyzer. The presence of the reported retrotranspositional insertion in *PMS2* intron 7 was tested in 4 patients with no identified mutation in *PMS2*, using the described PCR diagnostic test<sup>30</sup>.

Sequences were compared to the NCBI reference sequence NG\_008466.1 (NM\_000535.5; NP\_000526.1). Mutation nomenclature of *PMS2* is according to HGVS recommendations (version 2.0) with nucleotide 1 corresponding to the A of the ATG-translation initiation codon. The identified *PMS2* changes have been submitted to the LOVD database ([www.LOVD.nl](http://www.LOVD.nl)).

### Analysis of frequency in controls

Screening for the identified *PMS2* variants in control population was performed by LR-PCR followed by exon specific PCR as described above.

### Cosegregation analysis

DNA of relatives was screened for the familial associated *PMS2* variant by direct sequencing using LR-PCR followed by exon specific PCR. Cosegregation was considered positive if two or more affected members with LS-associated tumors were carriers of the studied variant.

### Bioinformatic analysis

DNA sequences containing the identified *PMS2* variants were analyzed using bioinformatic tools addressed to evaluate their impact at RNA and protein level. Disruption or creation of SS (Splice Sites) were evaluated using NNSplice<sup>31</sup>, Splicerport<sup>32</sup>, NetGene2 Server<sup>33</sup> and SoftBerry<sup>34</sup>. Exonic variants were analyzed for putative ESE (exonic splicing enhancer) elements by

ESEfinder<sup>35</sup>, RESCUE-ESE<sup>36</sup> and PESX<sup>37</sup>. The impact of missense variants at the protein level was analyzed using PolyPhen-2<sup>38</sup>, SIFT<sup>39</sup> and CONDEL<sup>40</sup>.

In addition, the putative effect of c.137G>T (p.S46I) missense variant was investigated in a structural model of the MutL $\alpha$  N-terminal heterodimer, which was based on a homology model of MLH1-NTD and a crystal structure of PMS2-NTD. Figure was created using the PyMOL Molecular Graphics System, Version 1.4.1, Schrödinger, LLC. The 100 best hits were aligned using ClustalW and conservation was plotted in Weblogo presentation<sup>41</sup>.

### Lymphocyte culture and mRNA splicing analysis

Human lymphocytes from *PMS2* variant carriers were cultured in the absence or presence of puromycin (Sigma). Total RNA was extracted from cultured lymphocytes and cDNA was synthesized as described<sup>23</sup>. *PMS2* cDNA fragments were amplified by RT-PCR using LaTaq polymerase (Takara) in two overlapping fragments and sequenced as described<sup>42</sup>. Transcripts of carriers were compared with transcripts from 3 control lymphocyte cultures.

### Allele-specific expression analysis

Allele-specific expression (ASE) was analyzed by SNuPE<sup>23</sup>. ASE was calculated by dividing the proportion of variant/wild-type allele in cDNA by the proportion of variant/wild-type allele in gDNA. We used  $\leq 0.5$  as a threshold value for ASE definition<sup>43</sup>. Experiments were performed in triplicate.

### Plasmids and site-directed mutagenesis

pcDNA3.1\_MLH1 and pN1\_PMS2 plasmids, kindly provided by Dr. Kolodner and Dr. Nyström-Lahti, were used in MMR assays and expression analyses. pECFP\_C1\_MLH1 and pDSRed\_C1\_PMS2 vectors were used in subcellular localization assays<sup>44</sup>.

Selected *PMS2* missense variants were constructed by site-directed mutagenesis using QuikChange Site Directed Mutagenesis Kit (Stratagene, La Jolla, CA) according to the manufacturer's instructions. Sequencing was used to verify the presence of the variants. In addition, two control plasmids were constructed: c.208G>A (p.D70N)<sup>19</sup> used as mutation control and c.1408C>T (p.P470S)<sup>9</sup> used as a neutral control.

### HEK293T cells culture and cell transfection

Transfection of HEK293T cells (deficient for endogenous MLH1 and PMS2) cells was carried out as described<sup>23</sup>. In brief, HEK293T cells were transfected at 50-70% confluence with MLH1 and PMS2 expression plasmids (1 $\mu$ g/ml, respectively) using 10 $\mu$ l/ml of the cationic polymer polyethylenimine (Polysciences, Warrington, PA; stock solution 1mg/ml). After 48h, cells were prepared for confocal laser scanning microscopy or protein extraction.

### MMR activity assay

MMR assays were performed as described<sup>45</sup>. Repair efficiency was measured as the quotient of the intensities of those bands indicating repair divided by the sum of all band intensities. Relative repair efficiency was calculated by dividing the value of the tested variant protein through the value of a wild-type protein that had been expressed, processed and tested in parallel. Experiments were performed in quadruplicate.

### MLH1 and PMS2 protein expression analysis

MLH1 and PMS2 expression levels were examined as described<sup>23</sup>. Alfa-tubulin and EGFP expression was assessed in parallel and used as loading and transfection efficiency controls. All experiments were performed in triplicate.

### Confocal laser microscopy

Confocal laser microscopy was carried out as described<sup>44</sup>. Mock control for MLH1 and PMS2 was performed using empty pECFP-C1 and pDSRed-C1 vectors. Experiments were performed in triplicate.

## RESULTS

### Clinical characteristics of patients with *PMS2* negative tumors

Mutational analysis of *PMS2* was performed in 13 probands (10 males and 3 females) diagnosed with LS-associated tumors showing loss of PMS2 but normal MLH1 expression (Table 1). MSI was evident in all tumors analyzed (n=10). Ten of the 13 patients (77%) were diagnosed of CRC, 2 of small bowel tumors and 1 of endometrial cancer. The median age at diagnosis was 52 years (range 42–72).

### Pathogenic mutations identified in patients with *PMS2* negative tumors

A total of 25 different *PMS2* DNA changes were detected in the 13 probands (Table 1 and Supp.

Figure 1). Seven *PMS2* alterations, detected in 7 patients, were classified as definitely pathogenic: two gross deletions, two splice-site mutations, one frameshift, one nonsense and one mutation affecting the first codon (Table 1).

In family C, the characterization of the *PMS2* exon 6 deletion revealed a novel *PMS2* rearrangement which results in a deletion of 1.1 Kb, g.14354\_15455del (c.538-478\_705+456del). Sequence analysis of the deletion breakpoint pointed to homologous recombination involving *Alu* elements as the underlying mechanism (Figure 1). This deletion was identified in one individual with CRC (carrier C2), one affected by CRC and skin cancer (carrier C1) and in one 33 years old asymptomatic carrier (Table 1 and Supp. Figure 2).

The gross deletion identified in family F, c.989?\_(\*175\_?), encompassed exon 10 until the end of the coding region and was not further analyzed. It was identified in one individual affected by small bowel cancer (F1) and another individual affected by endometrial and ovarian cancer (F2). Both tumors diagnosed in carrier F2 also showed loss of *PMS2* expression (Table 1 and Supp. Figure 2).

In families B and G, the impact at RNA level of the two mutations affecting a consensus acceptor SS was studied. In family B, the c.164-2A>G mutation leads to the creation of a new acceptor site 8 bp downstream (r.164\_171del), predicted to generate a truncated protein (p.D55Afs\*2) (Figure 2A). This change, identified in carrier B1 affected by CRC, was found associated with *PMS2* c.384G>A variant. Segregation analysis revealed that both alterations co-occurred *in cis* (Supp. Figure 2).

In family G, the second *PMS2* splice-site mutation, c.989-2A>G, resulted in exon 10 skipping (r.989\_1144del), which is predicted to generate an in-frame deletion of 52 amino acids (p.E330\_G381del) that affects the C terminal domain (IPRO13507)<sup>46</sup> (Figure 2B). The variant was identified in two individuals affected by CRC (carriers G1 and G2) (Supp. Figure 2). In addition, this *PMS2* alteration was identified in seven asymptomatic carriers (age 44-71 years old).

In family D, the *PMS2* frameshift mutation c.780delC (p.N261Mfs\*46) was identified in one patient diagnosed by CRC displaying suggestive MSI-histology. Within this family, two other non-affected carriers of the same *PMS2* alteration (aged 32 and 27) were identified (Supp. Figure 2). In family E, fulfilling Amsterdam criteria, the *PMS2* nonsense mutation c.943C>T (p.R315\*) was identified in two individuals affected by CRC and one asymptomatic carrier (age 52 years old)

(Supp. Figure 2). Finally, in one individual affected by endometrial cancer of family A, the *PMS2* alteration c.1A>G (p.?), affecting the first codon, was detected. Two other carriers, one of them aged 77, were not affected (Supp. Figure 2).

### ***PMS2* VUS identification and frequency in healthy controls**

Mutational analysis of *PMS2* detected eighteen DNA changes with unclear functional impact (Table 1). Thirteen of the eighteen variants identified were detected in our control population series at a polymorphic frequency (>1%) (Table 2); seven of them previously reported (Supp. Table 1). The remaining five VUS (see below) were not detected in our control population. The screening in the control series lead to the identification of other *PMS2* DNA changes (Supp. Table 2).

### **Pathogenicity assessment of *PMS2* VUS Clinico-pathologic characteristics**

The five identified VUS (c.137G>T, c.384G>A, c.538-3C>G, c.1569C>G, c.1789A>T) were further analysed together with the c.59G>A variant that, albeit observed at polymorphic frequency (2.7%), was predicted as pathogenic in a multifactorial model<sup>47</sup> (Table 1). The six variants were identified in 5 individuals (Table 1 and Supp. Figure 2).

The c.59G>A variant (p.R20Q) was detected in one individual of family H affected by CRC (carrier H1). The c.137G>T (p.S46I) variant was identified in two individuals of family I [one harboring CRC and three skin tumors (carrier I1), and another individual affected by bladder cancer (carrier I2)]. The c.1569C>G variant was identified in one affected individual of family K showing mixed features of LS and adenomatous polyposis. He was diagnosed of two synchronous CRC at age 51, one of these with MSI and *PMS2* loss and one microsatellite stable tumor. In the latter case no IHC study was performed. The association with 15 adenomatous polyps lead to APC and *MUTYH* testing unveiling an additional germline silent APC VUS (NM\_000038.5 c.652A>G). The c.538-3C>G and c.1789A>T variants coexisted *in cis* in an individual diagnosed of small bowel cancer at age 67 (carrier J1). The tumor showed MSH6 and *PMS2* loss of expression. Finally, the c.384G>A was detected in family B *in cis* with the pathogenic c.164-2A>G mutation (see above).

### **Bioinformatic prediction, mRNA analysis and coexistence of *PMS2* VUS**

At least one *in silico* prediction program predicted relevant changes in splice sites (SS) for the c.538-3C>G and c.59G>A variants (Table 3 and

Supp. Table 3). Two of the three programs predicted alterations on exonic splicing enhancers for variants c.59G>A, c.137G>T and c.384G>A (Table 3 and Supp. Table 3). However, inconsistencies were observed regarding the predicted effect among programs.

Sequencing of RT-PCR products identified aberrantly expressed transcripts only in *PMS2* c.538-3C>G VUS sample (Figure 2C), which lead to the creation of two transcripts. One of them produced a deletion of 47 base pairs (r.538\_586del), which is predicted to generate a truncated *PMS2* protein (p.E180Qfs\*5), and the other lead to exon 6 skipping (r.538\_705del), which is predicted to generate an in-frame deletion of 55 amino acids (p.E180\_L236del). Puromycin treatment of cultured lymphocytes increased the relative amount of the frameshift transcript (Figure 2C).

*PMS2* c.538-3C>G VUS assessment is complex. It coexists *in cis* with the c.1789A>T missense variant (Table 3), being the G intronic allele at 538-3 associated with the exonic 1789 T allele. At the RNA level, an allelic imbalance – as assessed by the 1789 nucleotide – was observed in cultured cells without puromycin (Supp. Table 4). In summary, the *PMS2* VUS c.538-3C>G mutation affects the mRNA processing and stability pointing to a pathogenic effect. In the remaining cases, there was no evidence of instability of the *PMS2* VUS alleles (Supp. Table 4).

The silent c.1569C>G variant was classified as likely neutral since it did not affect mRNA processing or stability. As stated above, c.384G>A variant co-occurred *in cis* with c.164-2A>G pathogenic mutation in individual B1 (Supp. Figure 2) and cannot be definitely classified. Finally, the coexistence *in cis* of c.1789A>T variant with the c.538-3C>G mutation lead us to categorize the former as VUS.

#### ***In silico* and *in vitro* protein analyses of *PMS2* missense variants**

The c.59G>A (p.R20Q) and c.137G>T (p.S46I) missense variants were analyzed at the protein level. *PMS2* c.59G>A (p.R20Q) variant was classified as likely neutral by the 3 *in silico* prediction programs used (Table Supp. 3), while c.137G>T (p.S46I) variant was predicted as pathogenic (Table Supp. 3). In fact, the S46 amino acid residue is located directly within the nucleotide binding pocket of the ATPase domain suggesting an interference with the ATPase function (Supp. Figure 3). Our results from the MMR assay showed a significantly reduced MMR activity for c.137G>T (p.S46I) variant ( $12.75\% \pm 4.68$ ) while c.59G>A (p.R20Q) did not show differences in MMR activity compared to

*PMS2* wild-type ( $88.20\% \pm 9.64$ ) (Figure 3). No differences were observed regarding expression analysis and nuclear localization when compared to the wild type protein (Figure 3). Based on the impaired MMR activity, the c.137G>T (p.S46I) mutation was classified as pathogenic.

## **DISCUSSION**

We have analyzed the *PMS2* in a series of Spanish LS-suspected patients showing loss of *PMS2* and normal *MLH1* expression. Using a well established mutation detection strategy<sup>9, 11</sup> together with a comprehensive assessment of pathogenicity, we have been able to classify most of the variation detected. Pathogenic *PMS2* mutations have been detected in the majority of patients analyzed (69%, 9/13) and account for 6% of the LS cases identified in our series (9 of 142 LS probands including the 9 *PMS2* mutations reported herein).

By using LR-PCR strategies<sup>9, 11</sup> and MLPA analysis a significant number of bona fide *PMS2* DNA changes have been detected (25 in 13 patients). Analysis of polymorphisms in *PMS2* can be hampered by the use of techniques not avoiding co-amplification of pseudogenes. Our LR-PCR assay has been able to identify thirteen *PMS2* polymorphisms, six of them novel, which will help in the genetic counseling of families.

The RNA analysis of three novel *PMS2* splice-site mutations, c.538-3C>G, c.164-2A>G, and c.989-2A>G, confirmed their pathogenicity. Although there is a general agreement to classify variants localized within the consensus splice-sites as pathogenic mutations, splicing analyses confirm the presence of aberrant transcripts and better predict their consequence at protein level<sup>48</sup>. The c.164-2A>G mutation is predicted to produce a premature stop codon, and c.989-2A>G variant is predicted to produce an in-frame deletion of part of the *PMS2* dimerization domain<sup>46</sup>. cDNA assays (splicing and ASE) together with segregation of a coexisting variant were necessary for the classification of the c.538-3C>G variant.

Two of the pathogenic mutations identified (22%) are gross deletions, in line with previously reported frequencies in *PMS2* series (21%-37%)<sup>10, 11</sup>. However, genomic rearrangements may be still underreported due to the fact that only 11 of the 15 *PMS2* exons can be reliably analysed with the MLPA kit used in this study. Detection of copy number changes in the 3'end of *PMS2* (exons 12-15) is limited by the frequent gene conversion between *PMS2* and the pseudogene *PMS2CL*. Recently, deletions in this region have been described using a new version of the kit (P008-B1) that allows for reliable detection of copy number changes in exons 12-

15<sup>28</sup>. Moreover, genomic rearrangements that do not result in copy number changes, such as the recently described retrotranspositional insertion in *PMS2* intron 7, are also undetectable with current diagnostic testing methods<sup>30</sup>.

Functional assays at protein level demonstrated that c.137G>T (p.S46I) is a pathogenic mutation significantly impairing MMR activity. p.S46I variant has been recurrently identified<sup>9-11</sup> and classified as likely pathogenic because it was detected in compound heterozygotes with constitutional mismatch repair-deficiency (CMMR-D). Recently, its founder effect of has been demonstrated in 10 unrelated American families sharing a common haplotype of 375 Kb<sup>49</sup>. The affected amino acid residue is within the ATP binding pocket, which is consistent with the observed MMR activity defect. We have not been able to observe a diminished expression levels which was reported by others<sup>8</sup>. Of note, p.S46N variant, located in the same position, was also detected in individuals with CMMR-D<sup>10, 50</sup>.

The silent c.1569C>G and c.59G>A variants were classified as likely neutral. The pathogenicity of c.59G>A (p.R20Q) has been controversial<sup>3, 17, 47, 51</sup>. We have confirmed that its frequency in control population is >1%<sup>3, 51</sup> and that it does not influence RNA processing, MMR activity, protein expression or subcellular localization. Two variants, the novel c.384G>A and the missense c.1789A>T, are still considered VUS due to their location *in cis* with the pathogenic variants c.164-2A>G and c.538-3C>G, respectively.

*PMS2* pathogenic mutations account for 6% of LS patients. This proportion is difficult to compare with other studies, due to differences in selection criteria and strategies used for mutational analysis. We consider that the strategy utilized (MLPA, LR-PCR/sequencing and functional analysis of variants) is robust as reflected by the yield of *PMS2* mutation detection (69%) of patients with tumors showing loss of *PMS2* expression in the upper limit of published results (0-62%<sup>2, 9, 11, 12</sup>). Our results further support the use of *PMS2* immunohistochemistry as a mandatory step in identifying candidates for *PMS2* mutation analysis. As we learn more about

*PMS2* testing and the use of immunostaining of *PMS2* protein disseminates, the frequency of detection of *PMS2* positive families may increase.

Our results confirm the distinct expressivity of *PMS2* mutations compared to other MMR genes. Up to 44% (4/9) of families with detected *PMS2* pathogenic mutations did not meet any clinical LS criteria, showing their low sensitivity for identification of *PMS2* mutation carriers<sup>3, 9, 12, 52</sup>. The recurrent identification of elderly asymptomatic carriers is in agreement with the lower penetrance of these mutations<sup>6, 10</sup>. This should be taken into account when considering co-segregation information as part of algorithms of VUS classification or multifactorial likelihood models, thereby diminishing its relative weight<sup>10, 11</sup>.

Very limited data are available on functional analysis of *PMS2* VUS (reviewed in Table 4). Our study presents, for the first time, a comprehensive assessment of *PMS2* VUS. We used the same strategy utilized for the evaluation of *MLH1* VUS<sup>23</sup> to evaluate *PMS2* variants, which is similar to one recently proposed by Rasmussen and collaborators<sup>14</sup>. While ongoing efforts aimed at reaching consensus on the interpretation of the relative weight of all clinical and experimental evidence on MMR variants finalize, here we contribute with a thorough analysis of a number of *PMS2* variants for which detailed clinical, pathological and functional assessment has been performed.

## ACKNOWLEDGMENTS

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## TABLES

**Table 1:** Clinical and molecular characteristics of probands with tumours showing loss of PMS2 expression. Abbreviations: BC, Bethesda criteria; AC, Amsterdam criteria; MSI-T, tumor with displaying suggestive MSI histology; M, male; F, female; CRC, colorectal cancer; EC, endometrial cancer; SBC, small bowel cancer; MSI, microsatellite instability: +, instable; -, stable; IHC, immunohistochemical analysis of MMR proteins in tumor tissue: + expression; - loss of expression; NP, not performed; WT, wild-type; hm, homozygous DNA change. ^Only MLPA analysis has been performed. \*No MSH6 mutations were identified. #Individual harboring an additional silent APC VUS (c.6525A>G).

Family ID	Proband ID	Clinical Criteria	Gender	Type of Tumor	Age of onset	MSI	IHC MLH1	IHC MSH2	IHC MSH6	IHC PMS2	Pathogenic PMS2 mutation	PMS2 VUS	PMS2 Polymorphism
A	A1	MSI-T	F	EC	42	NP	+	+	+	-	c.1A>G (p.?)		c.705+17A>G hm; c.780C>G hm (p.=); c.1408C>T hm (p.=); c.1621G>A hm (p.E541K); c.*92dupA
B	B1	MSI-T	M	CRC	66	+	+	+	+	-	c.164-2A>G	c.384G>A (p.=)	c.*92dupA
C	C1	BC	M	CRC	45	+	+	+	+	-	c.538-478_705+456del		c.705+17A>G hm; c.780C>G hm (p.=); c.1408C>T hm (p.=); c.1621G>A hm (p.E541K); c.2007-4G>A
D	D1	BC	F	CRC	48	+	+	+	+	-	c.780delC (p.N261Mfs*46)		c.705+17A>G; c.1408C>T (p.=); c.1621A>G hm (p.E541K)
E	E1	AC	M	CRC	49	+	+	+	+	-	c.943C>T (p.R315*)		c.705+17A>G; c.780C>G hm (p.=); c.1408C>T (p.=); c.1621G>A hm (p.E541K); c.2007-4G>A, c.2466T>C (p.=); c.*92dup A hm
F	F1^	MSI-T	M	SBC	54	+	+	+	+	-	c.989-?_(?175_?)del		
G	G1	BC	M	CRC	72	+	+	+	+	-	c.989-2A>G		c.705+17A>G hm; c.780C>G hm (p.=); c.1408C>T hm (p.=); c.1621G>A hm (p.E541K); c.2007-4G>A
H	H1	BC	M	CRC	59	+	+	+	+	-		c.59G>A (p.R20Q)	c.780C>G hm (p.=); c.1621G>A hm (p.E541K); c.2007-7C>T; c.2253T>C (p.=); c.2324A>G (p.N775S); c.2340C>T (p.=); c.2466 T>C (p.=); c.*17G>C; c.92*dupA hm
I	I1	BC	M	CRC	66	+	+	+	+	-		c.137G>T (p.S46I)	c.705+17A>G; c.780C>G (p.=); c.1408C>T (p.=); c.1621G>A (p.E541K)
J	J1*	MSI-T	F	SBC	67	NP	+	+	-	-	c.[538-3C>G;1789A>T] (p.[?;T597S])	c.705+17A>G; c.780C>G hm (p.=); c.1408C>T (p.=); c.1621G>A hm (p.E541K); c.*92dupA	
K	K1#	BC+ Polyposis	M	CRC+ Polyposis	52	+	+	+	+	-		c.1569C>G (p.=)	c.705+17A>G; c.780C>G hm (p.=); c.1408C>T (p.=); c.1621G>A (p.E541K); c.*92dupA
L	L1	BC	M	CRC	47	+	+	+	+	-			c.705+17A>G hm; c.780C>G hm (p.=); c.1408C>T hm (p.=); c.1621G>A hm (p.E541K)
M	M1	BC	M	CRC	42	NP	+	+	+	-			c.705+17A>G; c.780C>G (p.=); c.1408C>T (p.=); c.1621G>A hm (p.E541K)

**Table 2:** Allelic frequency of *PMS2* variants in control individuals and patients. Results in bold indicate frequencies <0.01.

<i>PMS2</i> variant	Exon	Allelic frequency in patients with <i>PMS2</i> - tumors (n=13 individuals) (95% CI)	Allelic frequency in controls (n=93 individuals) (95% CI)
c.59G>A; p.R20Q	2	0.0385 (0.002-0.2159)	0.0269 (0.0099-0.065)
c.137G>T; p.S46I	2	0.0385 (0.002-0.2159)	<b>0 (0-0.0252)</b>
c.384G>A; p.=	5	0.0385 (0.002-0.2159)	<b>0 (0-0.0252)</b>
c.538-3C>G	6	0.0385 (0.002-0.2159)	<b>0 (0-0.0252)</b>
c.705+17A>G	6	0.5385 (0.3375-0.7286)	0.4086 (0.3379-0.4831)
c.780C>G; p.=	7	0.6923 (0.481-0.8491)	0.8172 (0.7525-0.8685)
c.1408C>T; p.P470S	11	0.5385 (0.3375-0.7286)	0.4462 (0.374-0.5207)
c.1569C>G; p.=	11	0.0385 (0.002-0.2159)	<b>0 (0-0.0252)</b>
c.1621G>A; p.E541K	11	0.7692 (0.5591-0.9025)	0.1452 (0.0995-0.206)
c.1789A>T; p.T597S	11	0.0385 (0.002-0.2159)	<b>0 (0-0.0252)</b>
c.2007-4G>A	12	0.1154 (0.0303-0.3128)	0.1452 (0.0995-0.206)
c.2007-7C>T	12	0.0385 (0.002-0.2159)	0.043 (0.0201-0.086)
c.2253T>C ; p. =	13	0.0385 (0.002-0.2159)	0.1237 (0.0817-0.1818)
c.2324A>G; p.N775S	14	0.0385 (0.002-0.2159)	0.172 (0.1222-0.2357)
c.2340C>T; p.=	14	0.0385 (0.002-0.2159)	0.0323 (0.0132-0.0722)
c.2466T>C; p.=	15	0.0385 (0.002-0.2159)	0.1774 (0.1269-0.2416)
c.*17G>C	15	0.0385 (0.002-0.2159)	0.0269 (0.0099-0.065)
c.*92dupA	15	0.3077 (0.1509-0.519)	0.2849 (0.2224-0.3564)

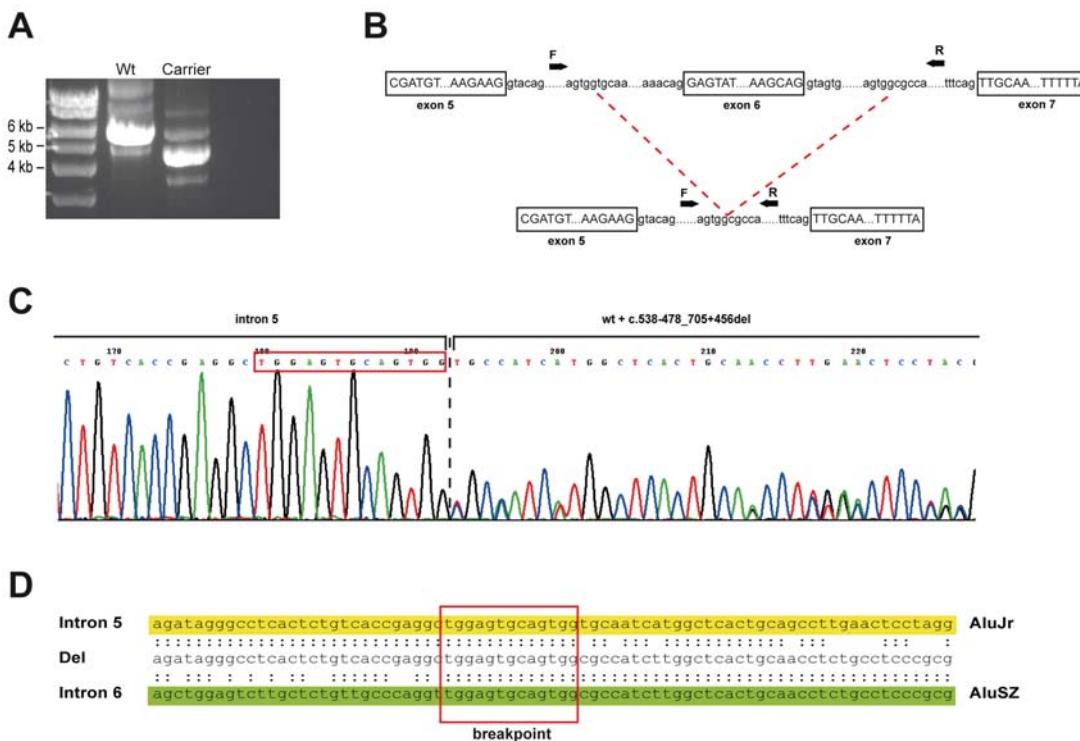
**Table 3:** Summary of functional analyses at mRNA and protein levels. Bold with gray highlight major alterations. In vitro MMR activity: +++ corresponds to MMR activity >60% compared to wild type, and +, 0-30%. Expression of MLH1 and PMS2 +++ corresponds to >60% comparing to wild-type. Abbreviations: SS, splice site; ES, enhancer site; N, nuclear; NA, not available; NP, not performed; ASE, allelic specific expression. \*Individual harboring an additional pathogenic mutation in *PMS2* (c.164-2A>G); #Individual harboring an additional silent *APC* VUS (c.6525A>G).

Proband ID	<i>PMS2</i> changes	Predicted Protein	mRNA <i>in silico</i> prediction (SS prediction /ES prediction)	mRNA analysis	cDNA stability analysis to the mutant allele	Classification at RNA level	Protein <i>in silico</i> prediction	MMR assay	MLH1 expression	PMS2 expression	Subcellular localization		Classification at protein level	Final classification
											MLH1	PMS2		
B1*	c.384G>A	p.=	No effect/Inconclusive	G>A substitution only	Not decreased	Likely neutral	NA	NA	NA	NA	NA	NA	NA	VSD*
J1	c.538-3C>G	p.?	Aberrant/NA	r.[538_586del; 538_780del]; p.[Glu180Glnfs*5; Glu180Leu236del]	Decreased	Pathogenic	NA	NA	NA	NA	NA	NA	NA	Pathogenic
	c.1789A>T	p.T597S	No effect/Inconclusive	A>T substitution only		Likely neutral	Neutral	NP	NP	NP	NP	NP	NP	VSD"
K1#	c.1569C>G	p.=	No effect/Inconclusive	C>G substitution only	Not decreased	Likely neutral	NA	NA	NA	NA	NA	NA	NA	Likely neutral
H1	c.59G>A	p.R20Q	Inconclusive/Inconclusive	G>A substitution only	Not decreased	Likely neutral	Inconclusive	+++	+++	+++	N	N	Likely Neutral	Likely neutral
I1	c.137G>T	p.S46I	No effect/Inconclusive	G>T substitution only	Not decreased	Likely neutral	Impaired	+	+++	+++	N	N	Pathogenic	Pathogenic

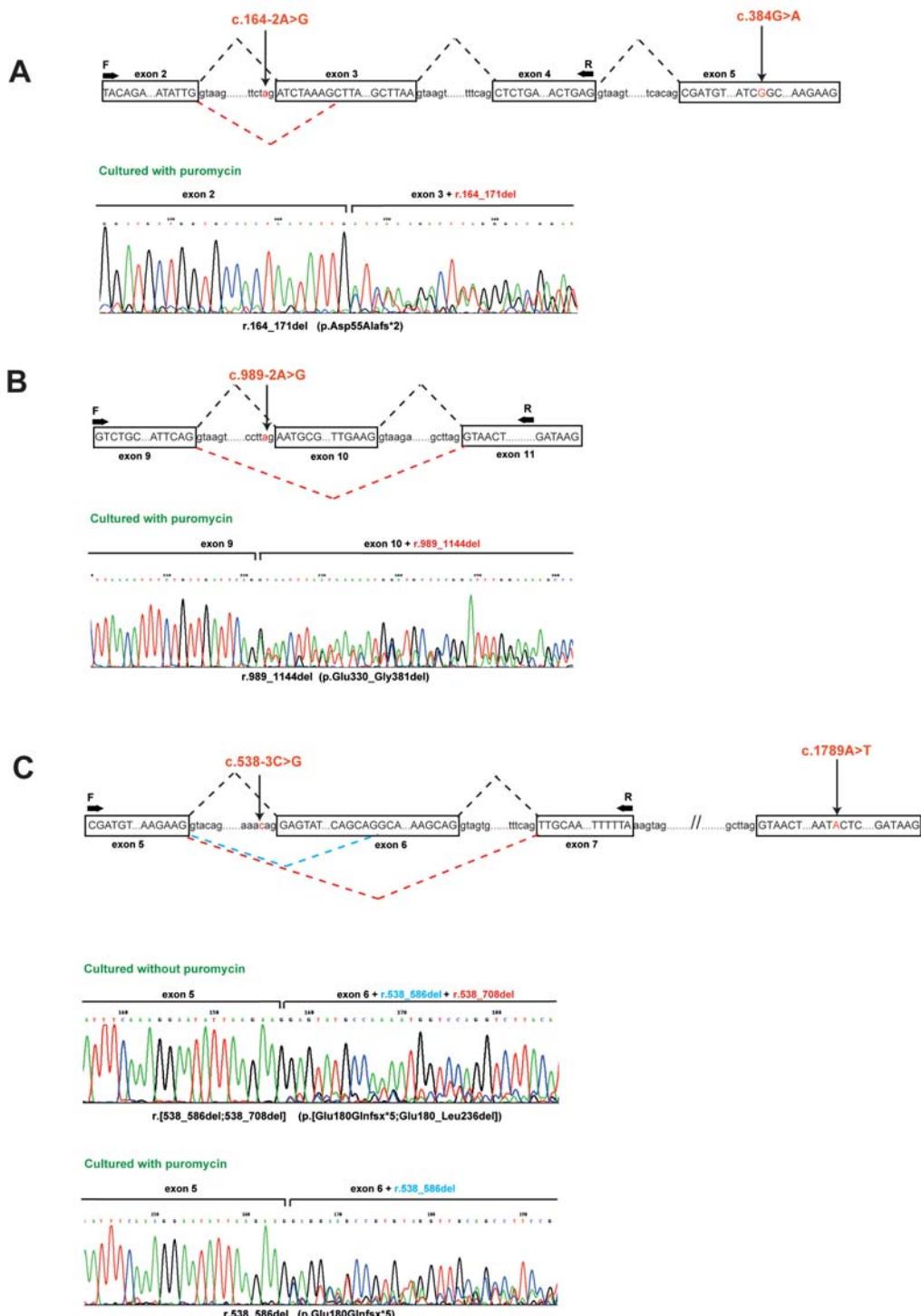
**Table 4.** Summary of the published evidence on the *PMS2* VUS assessed. \*PMS2 compound heterozygous individual; #Variant used as a control in the present study. In MMR activity, expression and interaction assays: +++ corresponds to >60% compared to wild type, ++, 30–60% and +, 0–30%. Not available results are highlighted in grey. Abbreviations: BC, Bethesda criteria; MSI-T, tumor with displaying suggestive MSI histology; No FH, absence of family history; MSI, microsatellite instability; NP, not performed; NA, not available.

VUS	Reported functional analysis	Clinico-pathological characteristics				Control frequency (%)	mRNA analysis	Protein analysis				
		Familial criteria	MSI	PMS2 expression	Cosegregation			MMR assay	Protein expression	Subcellular localization	Protein interactions with MLH1/p73	Other assays
c.59G>A, p.R20Q	Marinovic, et al., 2008 This study	BC	Yes	No	NA	2.7	G>A substitution	+++	+++	+++	+++ /+	Yes
E41A	Raschle, et al., 2002 Tomer, et al., 2002							++	+++			Yes
N45A	Raschle, et al., 2002							++	++			No
c.137G>T, p.S46I	Nakagawa, et al., 2004 Aulclair, et al., 2007* Van der Klift, et al., 2010 This study	No FH Yes Yes BC	Yes No No Yes	No No No No			G>T substitution G>T substitution	+ +++	+++ +++	+++		No
D70N	Raschle, et al., 2002 This study #							+	+++ +++	+++		Yes
K413del	Marinovic, et al., 2008										+++/+++	Yes
T485K	Marinovic, et al., 2008										+++/+++	Yes
P511K	Yuan, et al., 2002										+/	No
K541E	Marinovic, et al., 2008										+++/+++	Yes
c.1789A>T, p.T597S	Yuan, et al., 2002 Marinovic, et al., 2008 This study	MSI-T NP	No	NA	0	A>T substitution					+/ +++/+++	No
M622I	Yuan, et al., 2002 Nakagawa, et al., 2004 Marinovic, et al., 2008	No FH Yes	No		2.8			++			+/ +++/+++	No
E705K	Marinovic, et al., 2008 Deschenes, et al., 2007							++	+++		+++/+++	Yes
S815L	Van der klift, et al., 2010		Yes	No		Normal						No
c.384G>A, p.=	This study	MSI-T	Yes	No	NA	0	G>A substitution					No
c.538-3C>G	This study	MSI-T	NP	No	NA	0	r.[538_586del, 538_780del]					No
c.1569C>G, p.=	This study	BC+polyposis	Yes	No	NA	0	G>A substitution					No

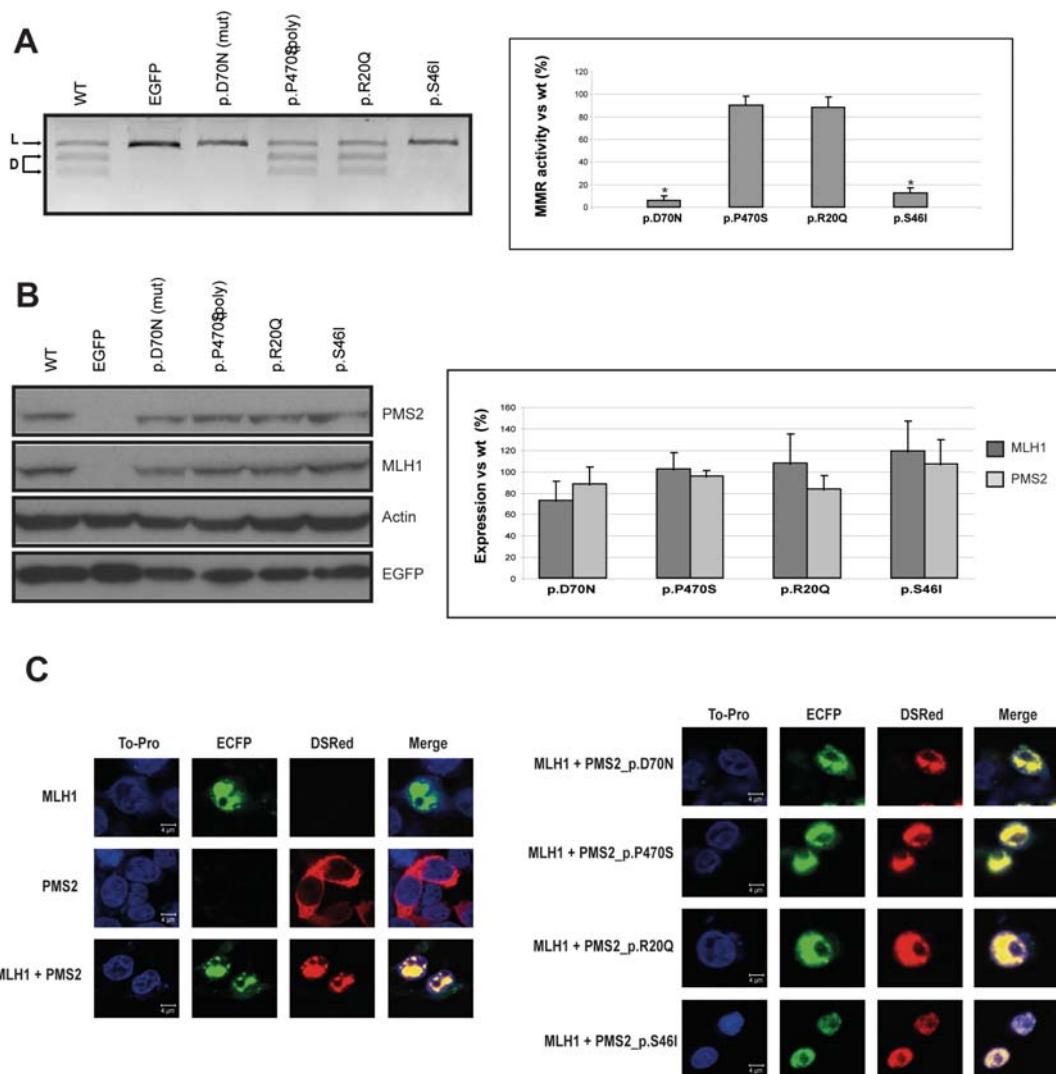
**Figure 1:** Characterization of the *PMS2* exon 6 deletion. **A.** Agarose gel showing the LR-PCR product of one control and the carrier patient using the primers depicted in **B**. **B.** Schematic representation of the genomic structures of the wild-type and deleted *PMS2* alleles. F and R denote respectively the relative positions of the forward and reverse primers used for the LR-PCR analysis. **C.** Direct sequencing of the LR-PCR product in the carrier sample. **D.** Alignment of the deleted allele with intron 5 and intron 6 sequences. The red square indicates the breakpoint region.



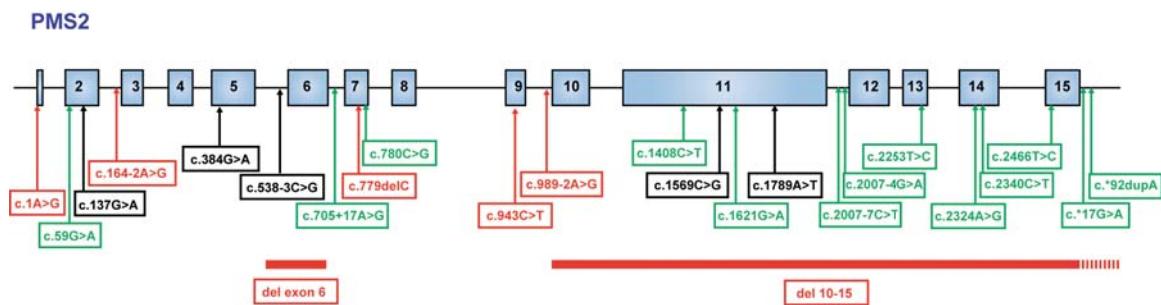
**Figure 2:** mRNA processing analysis. Schematic overview of *PMS2* exons and direct sequencing of the RT-PCR products in lymphocytes cultured in the absence or presence of puromycin. F and R denote respectively the relative positions of the forward and reverse primers used for direct sequencing. **A.** Characterization of the c.164-2A>G mutation. **B.** Characterization of the c.989-2A>G mutation. For both mutations, sequencing analysis showed the same pattern in the presence or absence of puromycin. **C.** Characterization of the c.538-3C>G *PMS2* variant.



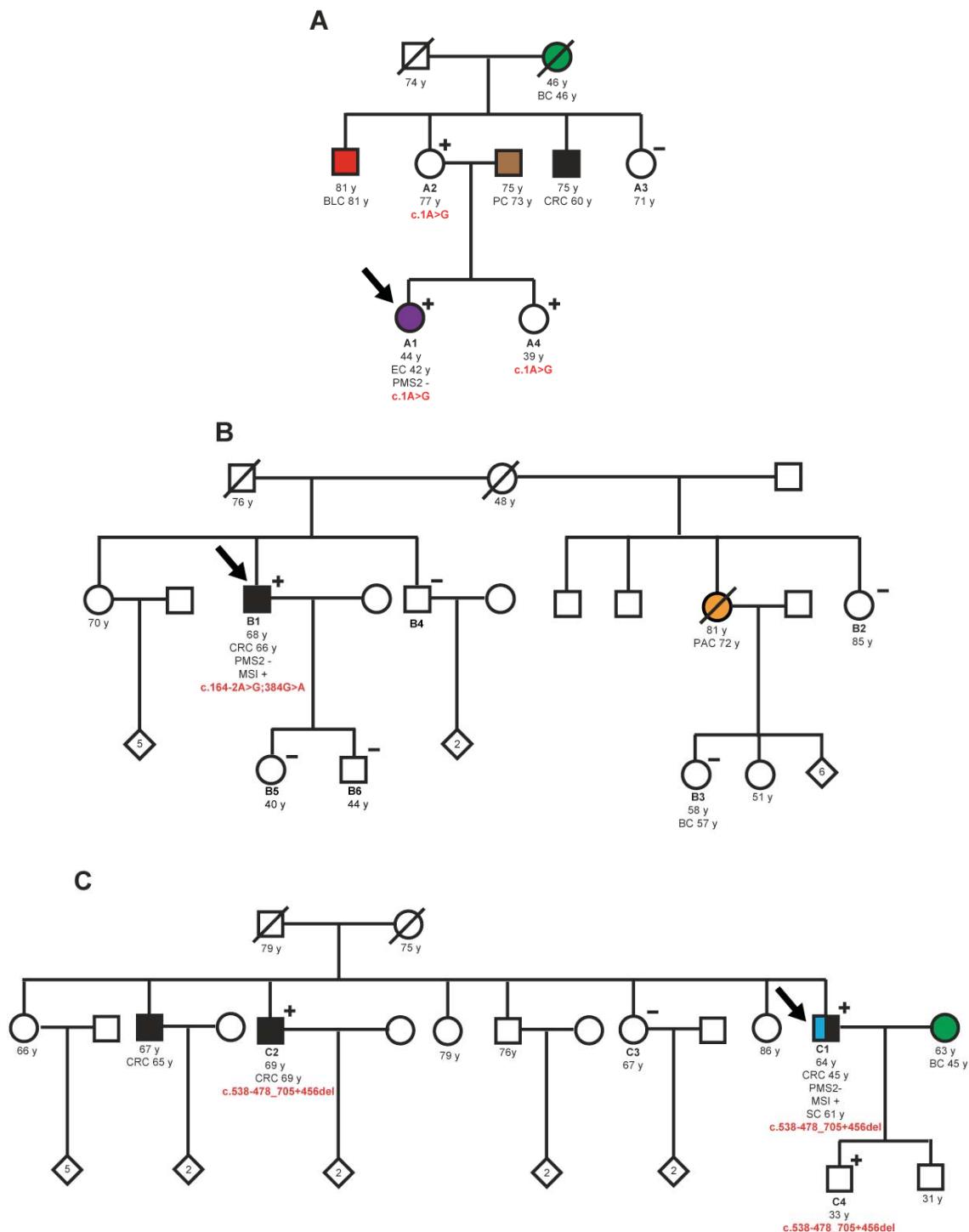
**Figure 3:** Mismatch repair activity, protein expression levels and subcellular localization of PMS2 variants. **A.** Agarose gel showing digestion products of MMR assay. Quantification of repair levels of *PMS2* variants in direct comparison to *PMS2* wild-type is shown. **B.** Western-blot analysis of MLH1 and PMS2. Quantification of MLH1 or PMS2 is shown in dark and light gray, respectively. **C.** Subcellular localization of PMS2 variants transfected with MLH1 in HEK293T cells. Nuclei were counterstained with TO-PRO-3 (left column). ECFP column depicts subcellular location of wildtype MLH1 protein. DSRed column depicts subcellular location of wildtype and variant PMS2 proteins. The resulting overlay is shown in the right column. Abbreviations: L, linear DNA; D, double-digested DNA.

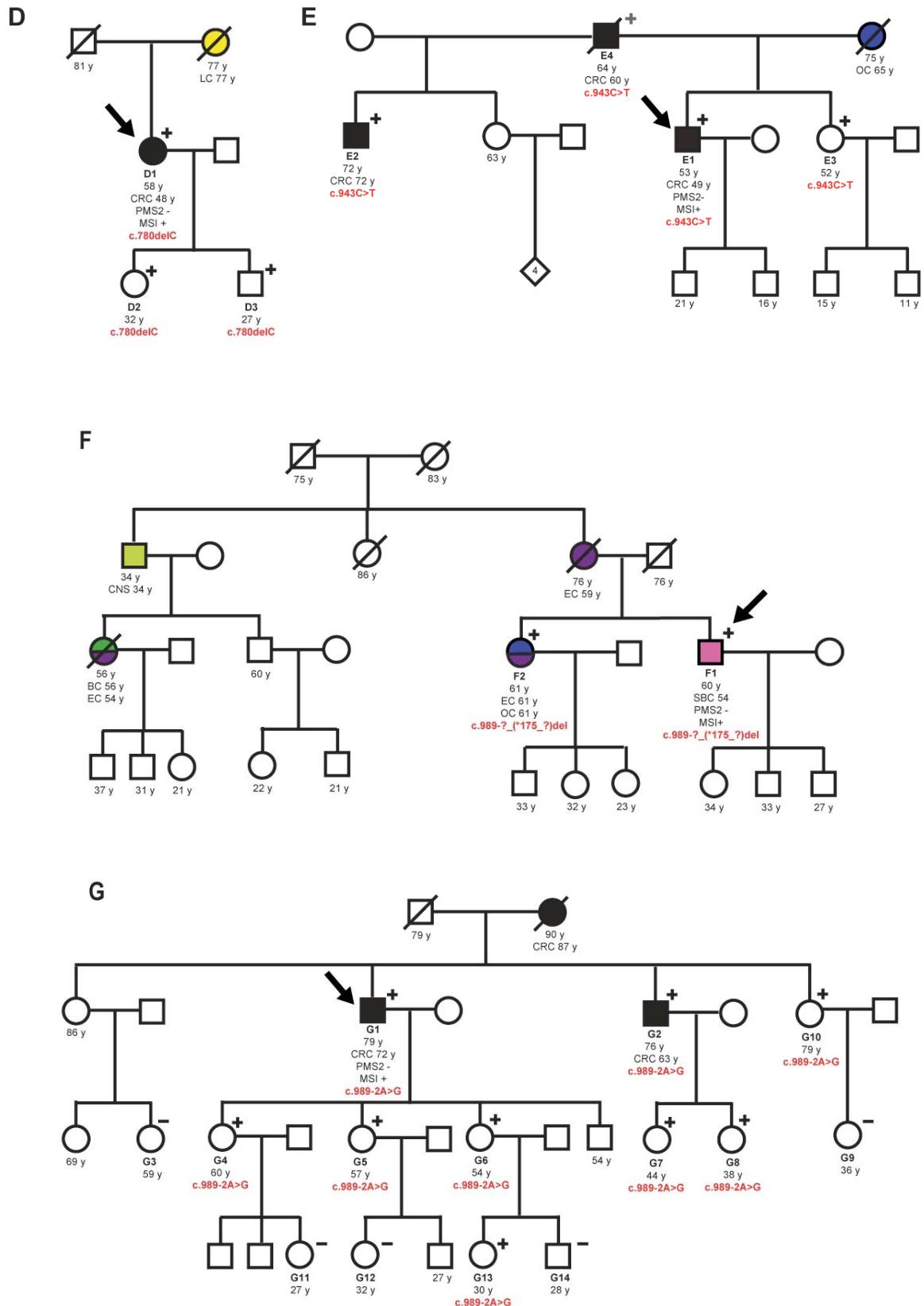


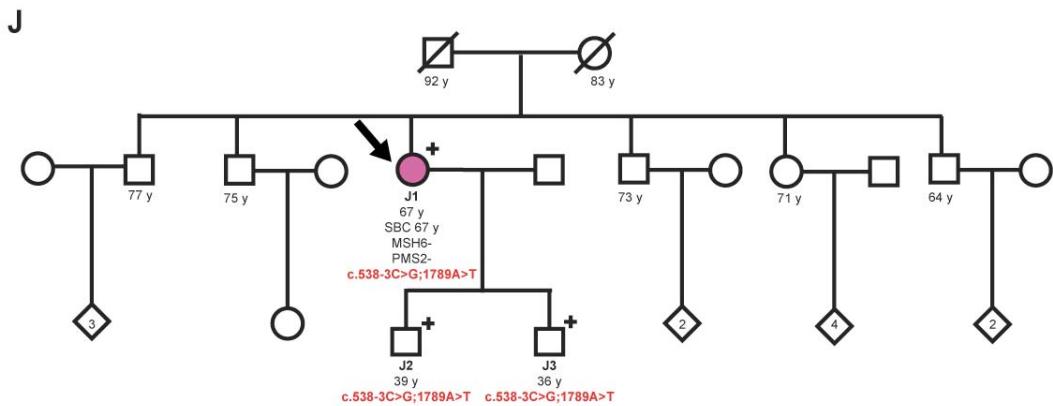
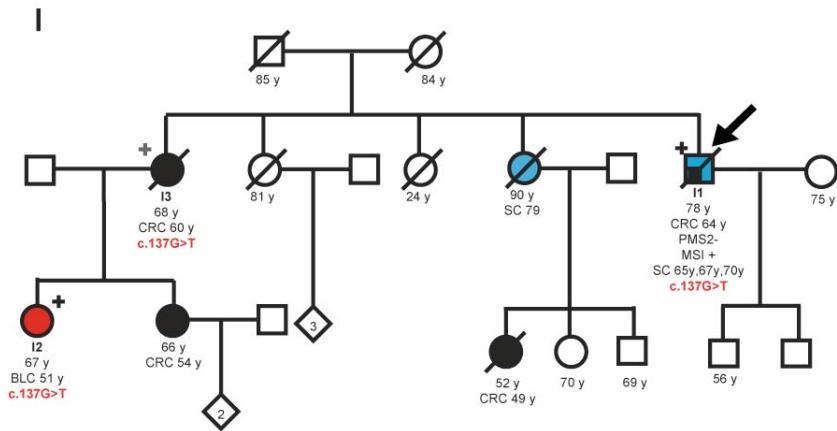
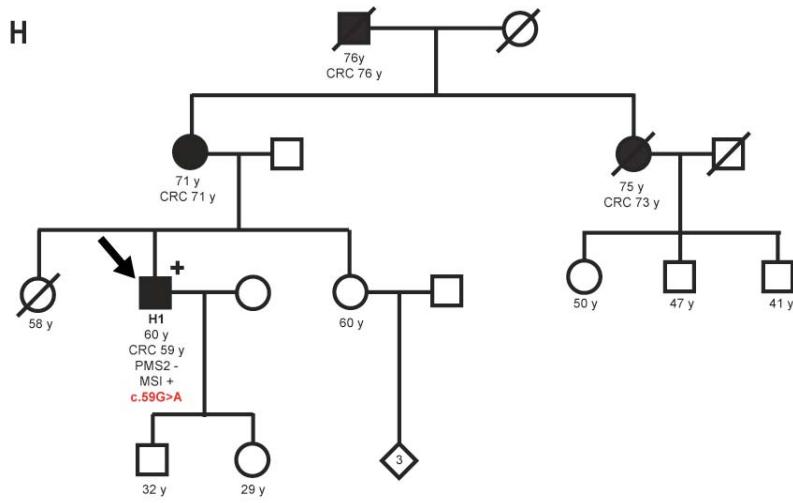
**Supp. Figure 1:** Graphical representation of the *PMS2* gene with DNA changes identified from this study. Red, black and green fonts represent pathogenic mutations, variants of unknown significance and polymorphisms, respectively.

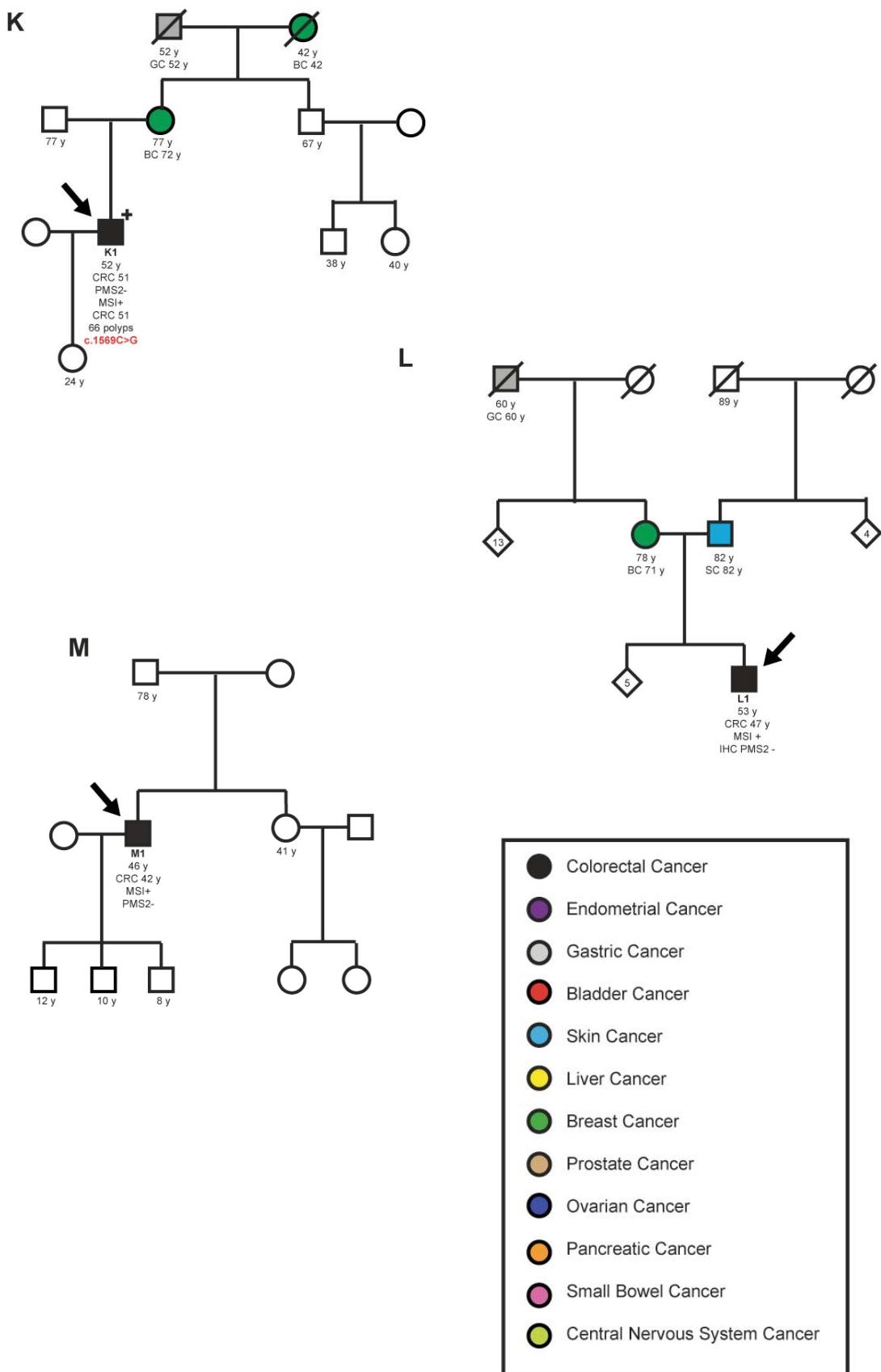


**Supp. Figure 2:** Pedigrees of the families included in this study. Age at diagnosis, molecular characteristics of tumors (MSI, microsatellite instability: +, instable; -, stable; IHC PMS2, immunohistochemical analysis of PMS2 protein in tumour tissue: +, expression; -, loss of expression), and mutation status are shown. Carriers are depicted by black “+”, non-carriers by black “-” and obligated carriers by grey “+” symbols. The probands are indicated by arrows. CRC, colorectal cancer; EC, endometrial cancer; GC, gastric cancer; BLC, bladder cancer; SC, skin cancer; LC, liver cancer; BC, breast cancer; PC, prostate cancer; OC, ovarian cancer; PAC, pancreatic cancer; SBC, small bowel cancer; CNSC, central nervous system cancer.

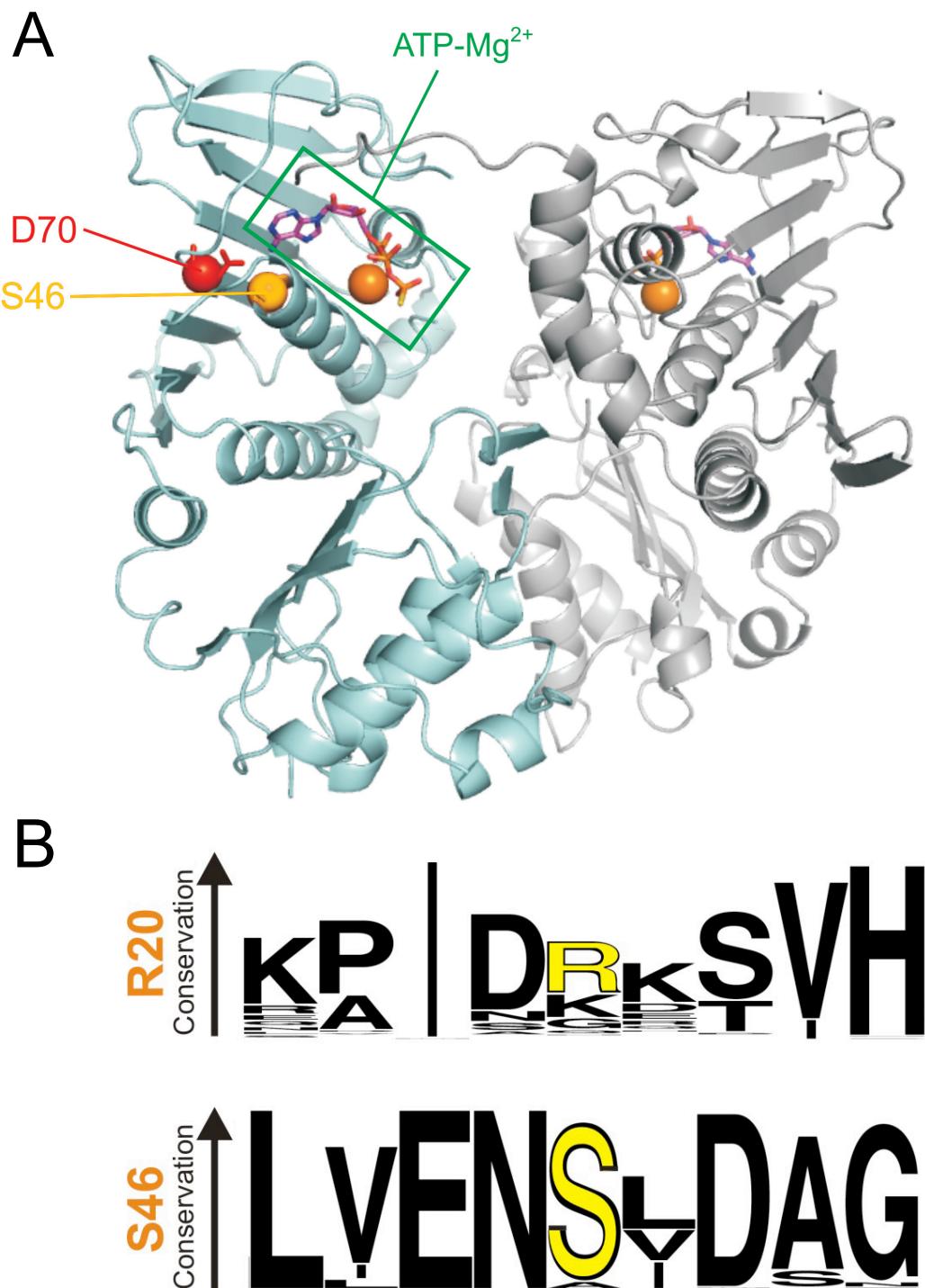








**Supp. Figure 3:** Localization of variants in the crystallized N-terminal domain of MLH1-PMS2 dimer. **A.** Mapping of amino acid substitutions onto the structural model of N-terminal domain of MLH1-PMS2 dimer. Substitutions occurred in the residues whose C $\alpha$  atoms are indicated as spheres, and colored according to their previous classification (red: mutation control, yellow: VUS). **B.** Conservation graphic for variants analyzed at protein level. Affected residues are indicated by yellow letters. Overall/individual font sizes indicate overall/individual conservation, respectively.



**Supp. Table 1:** Allelic frequency of the *PMS2* DNA changes identified in the present study in reported case and control series. \*LS-suspected patients with tumors showing loss of *PMS2* expression or patients with no detected germline *MLH1*, *MSH2* or *MSH6* mutations.

<i>PMS2</i> VUS	Exon	Allelic frequency reported in series of LS suspected patients*	References (case series)	Allelic frequency reported in control series	References (control series)
c.59G>A; p.Arg20Gln	2	6-8%	1-3	7.6-11%	<sup>1, 4</sup> , dbSNP
c.137G>T; p.Ser46Ile	2	1-3.5%		0%	<sup>6, 8, 10</sup>
c.384G>A; p.=	5	-	1, 7	-	
c.538-3C>G	6	-		-	
c.705+17A>G	6	25-43%	1-3	36%	dbSNP
c.780C>G; p.=	7	6.25-17%	1, 9	15-80%	<sup>8</sup> , dbSNP
c.1408C>T; p.Pro470Ser	11	18.75-43%	3	36-48 %	<sup>8, 9</sup> , dbSNP
c.1569C>G; p.=	11	1%	1-3, 7, 9		
c.1621G>A; p.Glu541Lys	11	9-16%	1, 2	11-80%	<sup>8, 9</sup> , dbSNP
c.1789A>T; p.Thr597Ser	11	2.44-3%	1-3	1%	<sup>1</sup> , dbSNP
c.2007-4G>A	12	7.3-20%	1, 3	-	
c.2007-7C>T	12	8-9%	7	-	
c.2253T>C; p. =	13	31.8%	1, 2, 7	39.50%	dbSNP
c.2324A>G; p.Asn775Ser	14	2.7-8.5%	1, 2	17%	dbSNP
c.2340C>T; p.=	14	2.4-8.5%	1, 2	-	
c.2466T>C; p.=	15	2.5-7.3%	2	2.40-31.2%	<sup>8</sup> , dbSNP
c.*17G>C	15	6.10%	2	-	
c.*92dupA	15	8.50%	1-3	-	

#### Supp. Table 1 References:

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**Supp. Table 2.** Additional variants identified in the screening of exons 2, 5, 6, 7, 9, 11, 12, 13, 14 and 15 of *PMS2* gene in our control series.

Variant	Control allelic frequency (n=93 individuals) (95% CI)
c.705+36C>T	0.0269 (0.0099-0.065)
c.706-3C>A	0.0054 (0.0003-0.0342)
c.1454C>A, p.T485K	0.0538 (0.0276-0.0995)
c.1461A>G, p.=	0.0054 (0.0003-0.0342)
c.1531A>G, p.=	0.0215 (0.0069-0.0577)
c.1561G>A, p.=	0.0054 (0.0003-0.0342)
c.1612A>G, p.K538E	0.0054 (0.0003-0.0342)
c.1688G>T, p.R563L	0.0161 (0.0042-0.0502)
c.1866G>A, p.M622I	0.0161 (0.0042-0.0502)
c.1908A>G,p.=	0.0054 (0.0003-0.0342)
c.2006+6G>A	0.0538 (0.0276-0.0995)
c.2006+19A>T	0.0054 (0.0003-0.0342)
c.2006+163_2006+164insTCA	0.129 (0.086-0.1878)
c.2149G>A, p.V717M	0.0054 (0.0003-0.0342)
c.2275+67G>A	0.0054 (0.0003-0.0342)
c.2357T>C, p.L786P	0.0054 (0.0003-0.0342)
c.2380C>T, p.P794S	0.0054 (0.0003-0.0342)
c.2445+30A>G	0.0161 (0.0042-0.0502)
c.2570G>C, p.G857A	0.0914 (0.0557-0.1446)

**Supp. Table 3:** Bioinformatic prediction of splicing aberrations associated with *PMS2* variants. Results highlighted in grey indicate major alterations. In splice site predictions, major alterations are destroyed or created SS or score modifications ≥45%; In enhancer site predictions, major alterations are destroyed or created ESE. Predictions are interpreted as inconclusive when the same results are not obtained by all the programs used. Abbreviations: SS, splice site; A, acceptor consensus splice site; D, donor consensus splice site; A\*, new acceptor splice site; NR, consensus splice site not recognized; ND, not described.

<i>PMS2</i> mutations and VUS	Exon	SS	Splice Site Prediction						Enhancer site prediction						Predicted impact on protein function				
			NNsplice	Splicepoport	NetGene2	Sottherry	wild-type variant	wild-type variant	Interpretation	Rescue ESE	ESE finder	PESX	Interpretation	Functional domain	PolyPhen-2 (score)	SIFT (score)	Condel (score)	Interpretation	
c.89G>A; p.R20Q	2	A	0.45	0.45	0.47	0.05	0.44	0.34	NR	NR	2 sites created	No change	Inconclusive	ATPase	Ben (0.486)	Tol (0.33)	Del (0.659)	Inconclusive	
c.137G>T; p.S46I	2	A	0.45	0.45	0.45	0.45	0.44	0.44	NR	NR	4 sites destroyed	1 site increased	Inconclusive	ATPase	Ben (0.486)	Tol (0.33)	Del (0.659)	Inconclusive	
c.164-2A>G	3	A2	NR	NR	2.43	NR	0.49	NR	0.89	NR	1 site destroyed	1 site increased	Inconclusive	ATPase	PrD (1.00)	Dam (0.00)	Del (0.998)	Impaired	
c.384G>A; p.=	5	A	0.68	0.68	0.85	0.75	0.2	0.18	0.74	0.74	No effect	1 site destroyed	No change	Inconclusive	ATPase	NA	NA	NA	NA
c.538-3C>G	6	A2	NR	NR	1.08	1.29	NR	0.34	NR	NR	Aberrant SS	NA	NA	ATPase	NA	NA	NA	NA	
c.989-2A>G	10	A	0.98	NR	1.37	NR	0.64	NR	0.72	NR	Aberrant SS	NA	NA	ATPase	NA	NA	NA	NA	
c.1569C>G; p.=	11	A	0.94	0.94	1.1	1.1	0.45	0.45	0.7	0.7	No effect	3 sites destroyed	No change	Inconclusive	ND	NA	NA	NA	
c.1789A>T p.T597S	11	A	0.94	0.94	1.1	1.1	0.45	0.45	0.7	0.7	No effect	1 sites increased	No change	Inconclusive	ND	Ben (0.003)	Tol (0.74)	Neu (0.008)	Neutral

**Supp. Table 4.** Summary of variant allele specific expression. ASE was calculated by dividing the proportion of variant/wild-type allele in cDNA by the proportion of variant/wild-type allele in gDNA. We used  $\leq 0.5$  as a threshold value for ASE definition. Experiments were performed in triplicate. Abbreviations: varASE, variant allele specific expression; SD, standard deviation.

PMS2 variants	Puromycin Treatment	Variant allele analyzed by SNuPE	Average varASE	SD
<b>c.59G&gt;A</b>	-	<b>c.59A</b>	2.00	0.08
	+		2.17	0.06
<b>c.137G&gt;T</b>	-	<b>c.137T</b>	2.11	0.20
	+		2.62	0.40
<b>c.[164-2A&gt;G;384G&gt;A]</b>	-	<b>c.384A</b>	1.21	0.02
	+		1.05	0.02
<b>c.[538-3C&gt;G;1789A&gt;T]</b>	-	<b>c.1789T</b>	0.44	0.03
	+		1.99	0.01
<b>c.1569C&gt;G</b>	-	<b>c.1569G</b>	1.10	0.06
	+		0.92	0.08



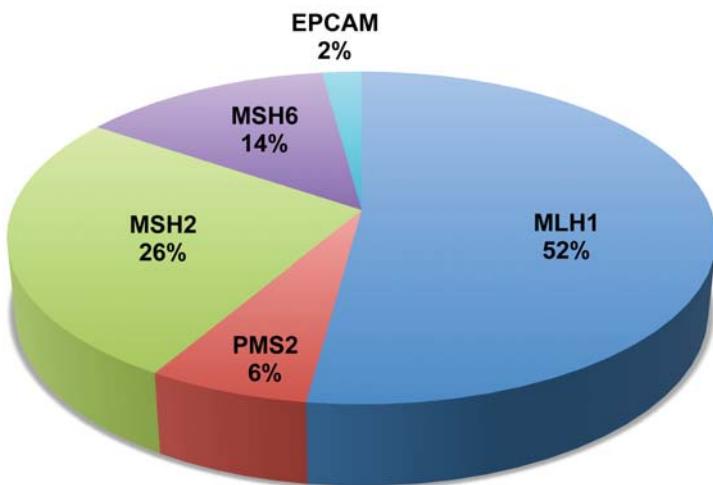
## ***DISCUSSIÓ***

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## 1. ESPECTRE MUTACIONAL DELS GENS REPARADORS EN LA NOSTRA SÈRIE

Entre els anys 1998 i 2012, s'han estudiat a la Unitat de Diagnòstic Molecular els gens reparadors en línia germinal de 292 pacients amb història personal o familiar suggestiva de la síndrome de Lynch, derivats des de les Unitats de Consell Genètic de l'Institut Català d'Oncologia. Fruit d'aquest anàlisi, s'han detectat 142 famílies amb mutacions patogèniques en els gens reparadors, de les quals 74 presenten mutacions en *MLH1*, 37 en *MSH2*, 19 en *MSH6*, 9 en *PMS2* i 3 en *EPCAM* (Figura 20).



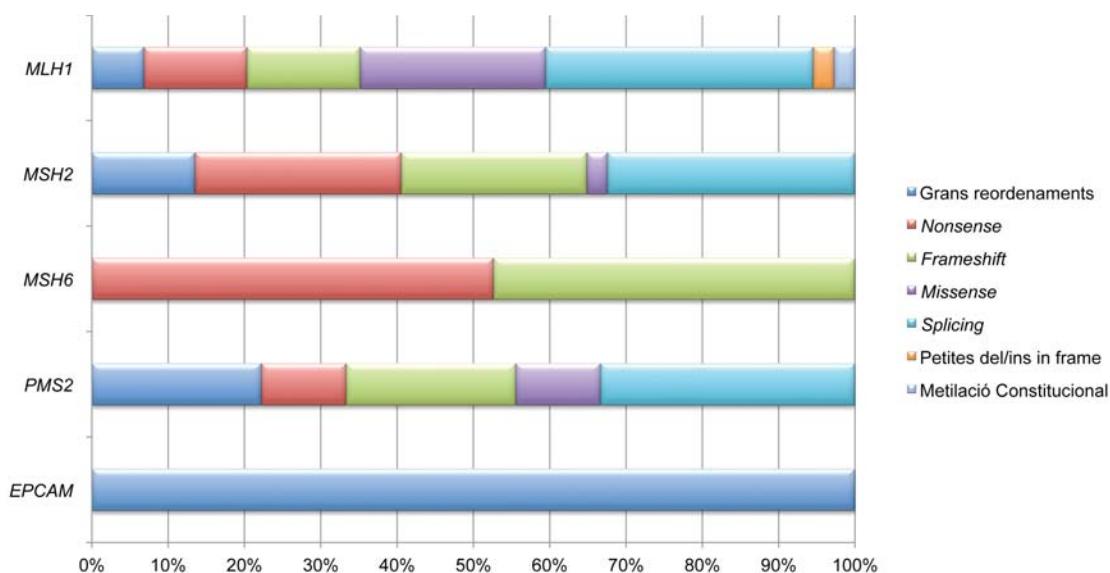
**Figura 20. Distribució de mutacions en els gens reparadors identificades en pacients amb sospita de síndrome de Lynch a la unitat de diagnòstic de càncer hereditari de l'ICO.**

Si restringim la nostra anàlisi a les mutacions patogèniques, un cop incorporades totes les variants classificades com a patogèniques en els articles que componen la present tesi, les mutacions en els gens *MLH1* i *MSH2* es distribueixen per tot el gen, i les grans deleccions representen el 7% i el 14% de les mutacions detectades, respectivament. Per altra banda, la majoria (89%) de mutacions en el gen *MSH6* es localitzen en els exons 4 i 5 i no s'identifiquen grans reordenaments.

Pel que fa a la naturalesa molecular de les mutacions patogèniques detectades (Figura 21) observem que pels gens *MLH1*, *MSH2* i *PMS2* n'hi ha una gran varietat. Les mutacions *nonsense* i *frameshift* són molt freqüents però hi ha una proporció important mutacions *d'splicing*. També és important remarcar l'elevat nombre de mutacions patogèniques *missense* detectades en el gen *MLH1* (algunes d'elles classificades així fruit del treball de la present tesi) i la identificació de metilació constitucional en aquest mateix gen en dos pacients (Pineda, et al., 2012). Per altra banda, en el gen *MSH6* només s'han detectat mutacions *nonsense* i *frameshift*, mentre que en el gen *EPCAM*

s'han identificat 3 portadors de grans delecions, associats a la metilació del promotor de *MSH2* (Figura 21).

Després d'analitzar les 16 VSD analitzades en aquesta tesi (10 en *MLH1*, 6 en *PMS2*) i una altra en *MSH2* en treball previ del grup (Menéndez, et al., 2010), hem aconseguit obtenir evidència experimental forta que permet classificar 13 d'elles. Si tenim en compte que les que no hem pogut classificar (n=3) i les que no hem pogut analitzar encara hi ha 27 famílies amb sospita de síndrome de Lynch que són portadores de 30 VSD: 7 en el gen *MLH1*, 12 en *MSH2*, 10 en *MSH6* i 1 en *PMS2*, algunes d'elles identificades al decurs de la present tesi doctoral. Malgrat l'esforç realitzat en caracteritzar algunes d'elles funcionalment, les VSD representen el 17,4% (30/172) de les alteracions detectades en la nostra sèrie.



**Figura 21. Espectre de mutacions patogèniques en els gens reparadors identificats a la unitat de diagnòstic de càncer hereditari de l'ICO.**

L'anàlisi mutacional dels gens *MLH1*, *MSH2* i *MSH6* es realitza actualment mitjançant amplificació per PCR i seqüenciació automàtica. En canvi, l'anàlisi mutacional del gen *PMS2* és complex, ja que aquest presenta múltiples pseudogens que fan molt difficultós el seu anàlisi. Per aquest motiu, s'han dissenyat estratègies acurades basades en LR-PCR i encebadors específics, que ens permeten amplificar el gen però no els múltiples pseudogens (Clendenning, et al., 2006; Vaughn, et al., 2010). També s'han dissenyat sondes de MLPA capaces de distingir entre el gen i pseudogenes.

Mitjançant l'ús de LR-PCR, hem estat capaços de detectar 25 canvis identificats en pacients que presentaven tumors de l'espectre de la síndrome de Lynch i que mostraven pèrdua d'expressió de la proteïna PMS2. D'aquest canvis, 9 s'han classificats com a patogènics: 7 alteracions ho han estat per la seva naturalesa molecular i dues després de demostrar el seu defecte funcional. Dotze s'han classificat com polimorfismes, després dels estudis de freqüència, i finalment 2 canvis s'han classificat com a probablement neutrals i 2 romanen com a VSD després dels estudis funcionals (article 3, apartat de resultats).

## 2. MUTACIONS FUNDADORES A LA POBLACIÓ ESPANYOLA

En iniciar aquesta tesi, el primer que es va dur a terme va ser l'estudi de dues VSD identificades en el gen *MLH1*, que presentaven una elevada prevalència en la nostra sèrie. Aquestes dues VSD van ser classificades com a patogèniques en estudis funcionals i, posteriorment, es va confirmar el seu efecte fundador (article 1 de l'apartat de resultats). Així, la mutació c.306+5G>A i la c.1865T>A, identificades en el gen *MLH1*, són les dues úniques mutacions fundadores descrites en la població espanyola en aquest gen, i són originàries de la vall del Ebre i Jaén, respectivament. Cadascuna d'elles presenta un haplotip comú associat a la mutació en totes les famílies portadores, que no es detecta en la població control. A partir de l'existència d'un haplotip comú es pot estimar l'edat d'aquestes mutacions. Amb la col·laboració de professors de la Universitat de Michigan, es va estimar que l'edat de la mutació c.306+5G>A era de 75 generacions (1879 anys, assumint 25 anys per generació), mentre que per la mutació c.1865T>A era de 15 generacions (384 anys) (Figura 22).

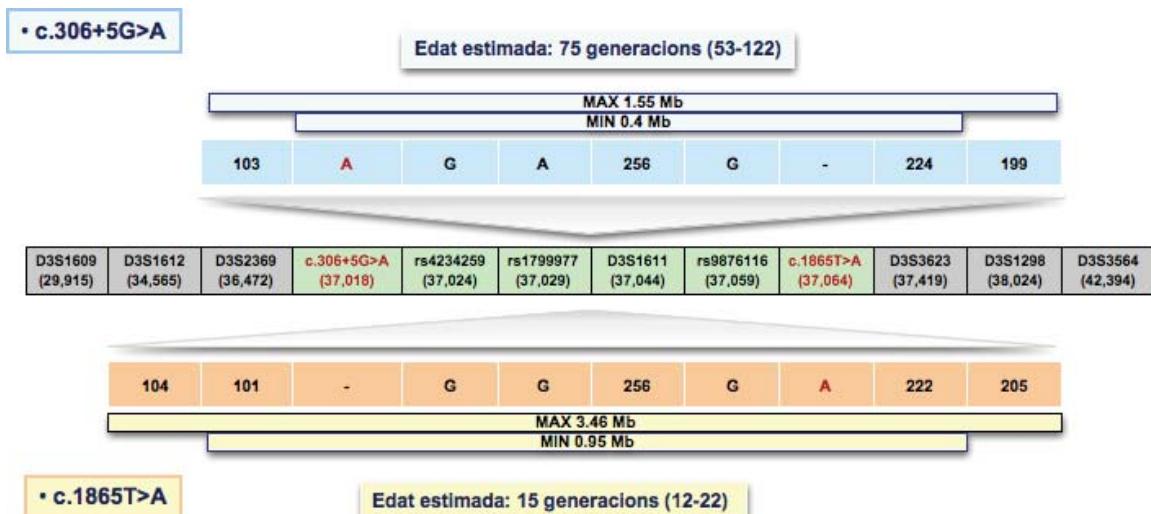


Figura 22. Esquema resum dels resultats de l'estudi haplotip i edat de les mutacions fundadores en el gen *MLH1*.

A la població espanyola hi ha descrites fins al moment, quatre mutacions fundadores en el gen *MSH2*, dues mutacions en el gen *MLH1* i una en *EPCAM* (Introducció, Taula 6). La mutació c.2063T>G (p.M688R) està localitzada a l'exó 13 de *MSH2*, i es tracta d'una variant *missense* que dóna lloc a un canvi d'aminoàcid no conservat en el domini ATPasa de la proteïna (Medina-Arana, et al., 2006). Aquest canvi es va detectar en cinc famílies originàries del nord de l'illa de Tenerife, i en totes elles s'ha detectat un haplotip

comú, que confirma l'efecte fundador. Aquesta va ser la primera mutació fundadora espanyola identificada en els gens reparadors.

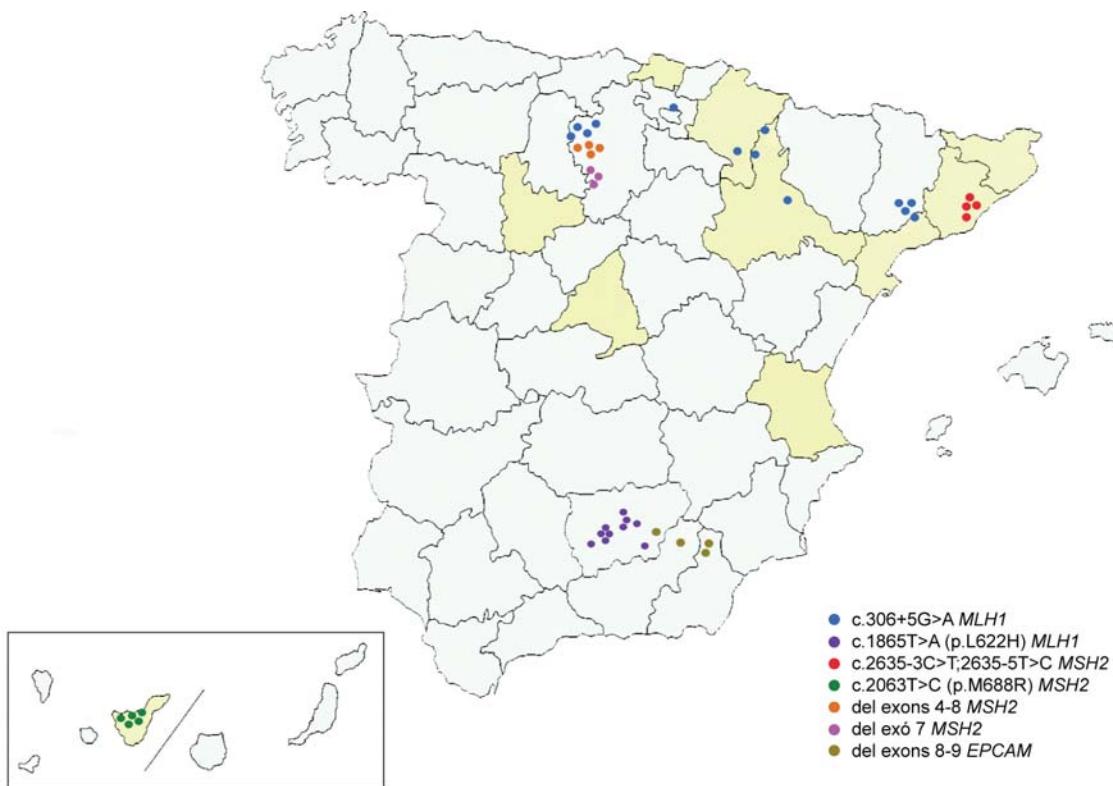
Posteriorment al nostre grup i en col·laboració amb l'Hospital Clínic, es va detectar la mutació c.[2635-3T>C;2635-5C>T] en quatre famílies de Catalunya (Menéndez, et al., 2010). Aquesta mutació es localitza a l'intró 15 de *MSH2*, i es classificava com a variant de significat desconegut. Els estudis funcionals van permetre classificar-la com a mutació patogènica, degut a l'expressió disminuïda del mRNA de *MSH2*.

Més recentment, van ser reportades com a mutacions fundadores dues grans delecioens en *MSH2*, la deleció dels exons 4 al 8 i la deleció de l'exó 7, en 4 i 3 famílies respectivament (Perez-Cabornero, et al., 2011). Els avantpassats comuns d'aquestes dues mutacions eren de la zona de Burgos, concretament de la Vall del las Navas i de Lerma. També s'ha detectat la primera mutació fundadora espanyola en el gen *EPCAM* (Mur et al; sotmès a publicació, Annex 1, Article nº7). Aquesta és una gran deleció dels exons 8-9 del gen, on les famílies portadores són originàries de l'est d'Andalusia i ha estat caracteritzada al nostre laboratori (Mur et al; sotmès a publicació, Annex 1, Article nº7).

En la Figura 23 es mostra un mapa dels orígens geogràfics de les diferents mutacions fundadores detectades a Espanya, així com les àrees on s'han identificat les famílies portadores. Tot i que les famílies van ser identificades en les províncies marcades en groc, l'origen geogràfic dels seus avantpassats, en la majoria de casos era diferent. Així, moltes de les mutacions fundadores espanyoles s'han detectat en pacients visitats al Programa de Diagnòstic de Càncer Hereditari del ICO (Borras, et al., 2010; Menéndez, et al., 2010; Perez-Cabornero, et al., 2011), Mur et al; sotmès a publicació, Annex 1, Article nº7). Això pot ser degut principalment al fet que Barcelona va ser una de les destinacions freqüents dels moviments migratoris interns del període 1960-1970.

La identificació de mutacions fundadores a Espanya recolza la idea que aquestes mutacions no només es donen en el grups comunament anomenats “poblacions fundadores”, sinó que també es poden donar en subgrups geogràficament localitzats de poblacions majors. Són un clar exemple les famílies portadores de la mutació c.306+5G>A originàries de la conca del riu Ebre. El fet que la vall del riu està geogràficament aïllada per serralades, i que el riu Ebre va ser navegable fins al segle XIX, permeten hipotetitzar que la mutació va sorgir en algun lloc de la vall i es va distribuir al llarg del riu posteriorment. De forma semblant, les famílies portadores de la deleció dels exons 4-8 del gen *MSH2* també són originàries d'una vall (Vall del Navas).

Per altra banda, la distribució de la mutació c.2063C>T (p.M688R) de *MSH2* es limita a l'illa de Tenerife. Això pot ser degut al fet de que es tracta d'una població aïllada, on no hi ha hagut grans moviments migratoriis cap a la península. Així, podem afirmar que l'origen d'aquestes mutacions fundadores està vinculat a àrees geogràfiques específiques, i la seva distribució actual pot explicar-se en molts casos degut als patrons de migració.



**Figura 23. Localització de les famílies amb mutacions fundadores espanyoles en els gens MMR.** En groc es marquen les províncies on s'han identificat els probands de les diferents famílies. Els punts representen cadascuna de les famílies identificades en la localitat de procedència de la família. Modificat de Borras, et al., 2010.

Al comparar els haplotips associats de cadascuna de les mutacions fundadores identificades a la població espanyola (Taula 9), podem observar que tenen una mida diferent per cada mutació, indicant que cadascuna d'elles es va originar en un moment diferent de l'evolució. A partir de l'existència d'un haplotip comú es pot estimar l'edat d'aquestes mutacions d'una forma més concreta. Malauradament, per la resta de mutacions fundadores espanyoles aquest anàlisi no s'ha pogut dur a terme degut al menor nombre de famílies portadores identificades. En general, com més gran és l'haplotip associat a una mutació, més recent es la formació de la mutació. Així els resultats suggereixen, que la mutació fundadora espanyola més recent seria la deleció

del exó 8-9 de *EPCAM*, mentre que la més antiga seria la mutació c.306+5G>A del gen *MLH1*.

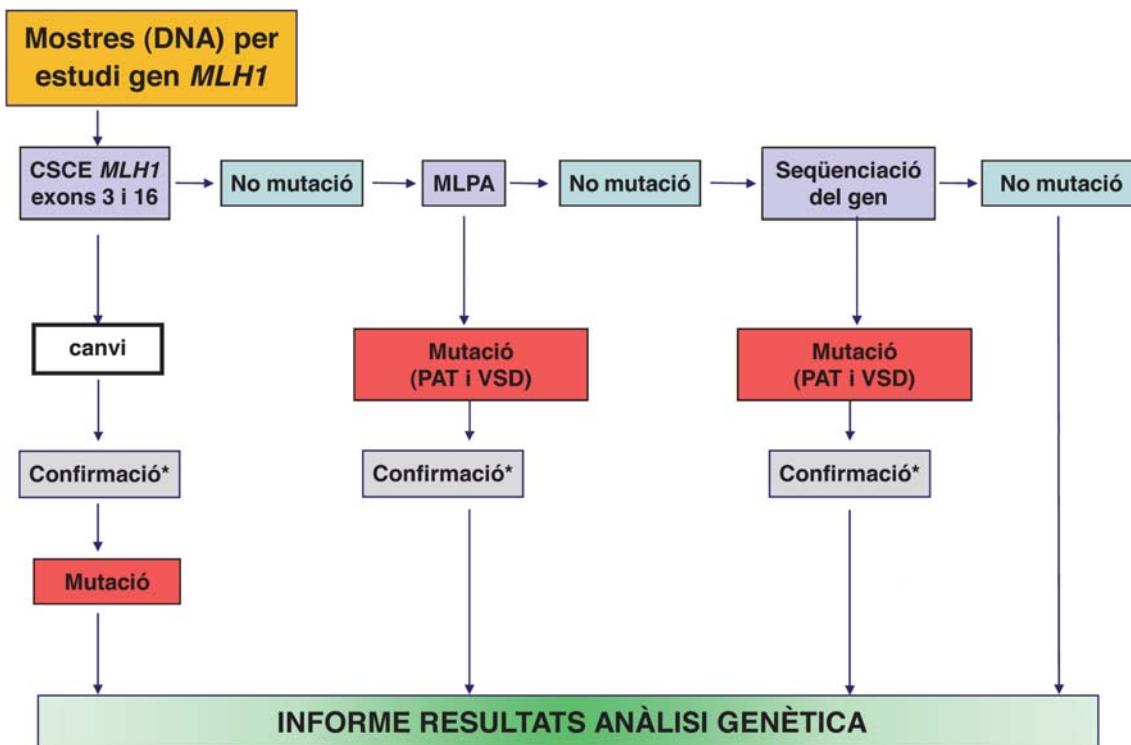
**Taula 9. Taula resum de les diferents característiques de les mutacions fundadores espanyoles.** Abreviatures: ICO, Institut Català d'Oncologia; CL, Castella i Lleó; Mb, Megabases.

Gen	Mutació	Unitat Consell Genètic de Referència	Haplòtip comú	Procedència	Freqüència	Reportat a
<i>MLH1</i>	c.306+5G>A	Catalunya-Madrid-Biscaia-Valladolid-Saragossa-Navarra	Max: 1,55 Mb Min: 0,44 Mb	Vall del Riu Ebre	12,1% ICO	Borràs, et al., 2010
<i>MLH1</i>	c.1865T>A (p.L662H)	Catalunya-Madrid	Max: 3,46 Mb Min: 0,95 Mb	Província Jaen	5,4% ICO	Borràs, et al., 2010
<i>MSH2</i>	c.2635-3C>T;2635-5T>C	Catalunya	Max: 4,06 Mb Min: 1,16 Mb	Catalunya	2,8% ICO	Medina-Arana, et al., 2006
<i>MSH2</i>	c.2063T>C (p.M688R)	Tenerife	1,97 Mb	Illa de Tenerife	-	Menéndez, et al., 2010
<i>MSH2</i>	del exó 7	Castella i Lleó	Max: 7,2 Mb Min: 3,6 Mb	Lerma (Burgos)	12,5% CL	Pérez-Cabornero, et al., 2011
<i>MSH2</i>	del exó 4-8	Castella i Lleó	Max: 7,2 Mb Min: 3,6 Mb	Vall del Navas (Burgos)	16% CL	Pérez-Cabornero, et al., 2011
<i>EPCAM</i>	del exó 8-9	Catalunya-València-Madrid	9,9 Mb	Andalusia	2,2 % ICO	Mur, et al., (article 7, annex1, sotmès a publ.)

## 2.1 Impacte de les mutacions fundadores en el diagnòstic molecular de la síndrome de Lynch

En algunes poblacions, les mutacions fundadores representen una part important del total de casos de la síndrome de Lynch. Per exemple a Finlàndia, dues mutacions en el gen *MLH1* (la deleció de l'exó 16 i la c.454-1G>A) són les responsables del 63% de totes les mutacions causants de la síndrome de Lynch (Moisio, et al., 1996; Nystrom-Lahti, et al., 1995). A la “cohort” de famílies amb síndrome de Lynch de l'ICO, les dues mutacions fundadores en el gen *MLH1* representen el 28,4% (21/74) de totes les famílies amb alteració en el gen *MLH1* i el 14,8% (21/142) de totes les famílies amb mutacions en els gens reparadors (9,9% (14/142) amb la mutació c.306+5G>A i el 4,9% (7/142) amb la c.1865T>A). A més, la mutació c.306+5G>A representa el 25% de les mutacions identificades en les Unitats de Consell Genètic localitzades dins la vall de l'Ebre (Article 1, Apartat de resultats). Si observem la resta de les mutacions fundadores aquestes també mostren una prevalença elevada, sobretot les dues grans deleccions del gen *MSH2* en la sèrie de Castella i Lleó, ja que entre les dues representen un 28,5% de totes les mutacions detectades en els gens reparadors (Perez-Cabornero, et al., 2011).

Aquesta elevada prevalença ha de ser tinguda en compte en el disseny de les estratègies del diagnòstic molecular de la síndrome de Lynch en la nostra població. Així, la identificació de les mutacions fundadores a *MLH1* ha permès modificar l'estrategia en l'estudi genètic del gen *MLH1*, afegint el cribratge inicial de les dues mutacions (Figura 24).



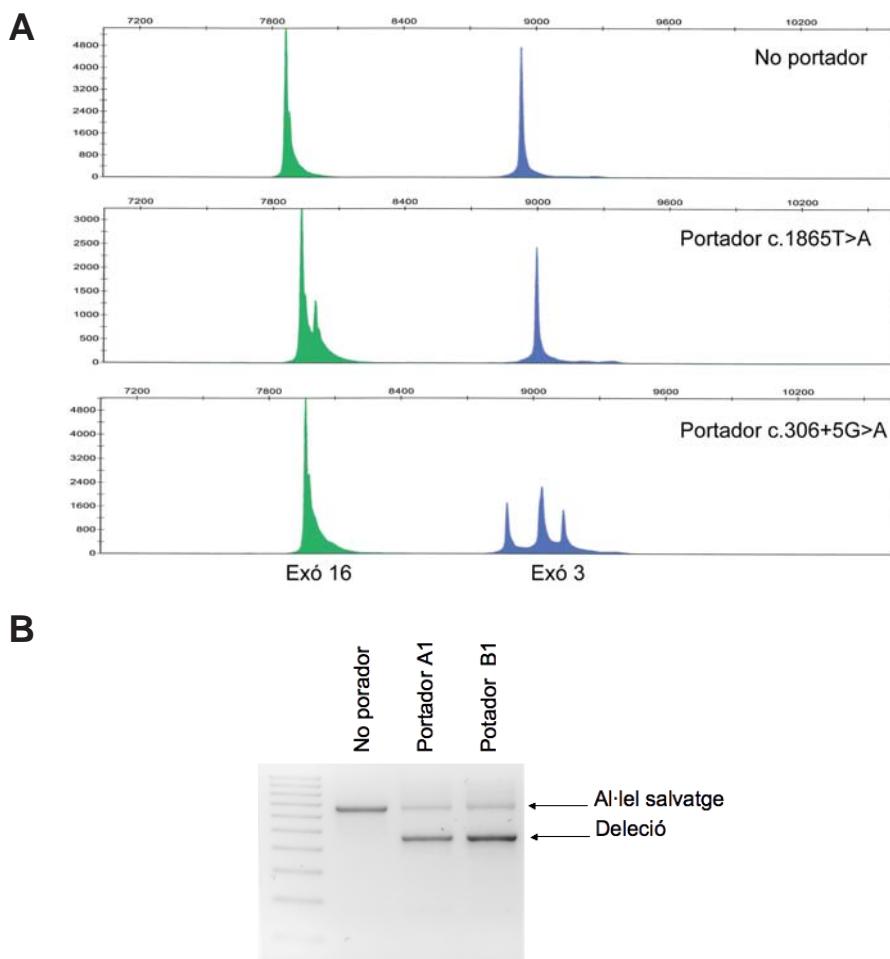
\* Confirmació per seqüenciació, estudi de RNA o segon kit d'MLPA, depenent del canvi identificat

**Figura 24. Algoritme per l'estudi mutacional del gen *MLH1* en el Programa de Càncer Hereditari de l'ICO.** Cedit per la Unitat de Diagnòstic Molecular de l'ICO.

El cribatge de les mutacions fundadores c.1865T>A i c.306+5G>A en el gen *MLH1* es duu a terme mitjançant la tècnica del CSCE (*Conformation Sensitive Capillary Electrophoresis*) (Figura 24 i 25). Aquesta estratègia suposa un estalvi en el temps i en el cost del diagnòstic molecular de les famílies amb síndrome de Lynch. A través d'aquesta estratègia de cribatge molecular de les dues mutacions fundadores en el gen *MLH1* en el programa de diagnòstic del ICO, s'han identificat des de l'any 2010 8 noves famílies amb aquestes mutacions, 5 portadores de c.306+5G>A i 3 de c.1865T>A.

La identificació de grans reordenaments freqüents juntament amb la seva caracterització molecular, permeten dissenyar estratègies per la seva detecció que poden oferir una bona relació cost-efectivitat. Així, pel diagnòstic de la gran deleció dels exons 4-8 del gen *MSH2*, es va dissenyar una PCR diagnòstica múltiple que ens permet la co-amplificació de l'al·lel salvatge i l'al·lel delecionat en un individu portador (Figura 25). També hem dissenyat una PCR múltiple, pel diagnòstic de la gran deleció dels exons 8-9 del gen *EPCAM* (Mur et al; sotmès a publicació, Annex 1, Article nº7). Aquesta estratègia ens permet detectar i confirmar la presència de la gran deleció de

forma ràpida i efectiva. A més representa una millora econòmica respecte la tècnica del MLPA.



**Figura 25. Cribatge de les mutacions fundadores en el gen *MLH1* i de la deleció del 4-8 en *MSH2*.** A. Patrons obtinguts per CSCE per l'anàlisi de l'exó 3 (en blau) i l'exó 16 (en verd) de *MLH1* on es localitzen les mutacions c.306+5G>A i c.1865T>A, respectivament. B. PCR diagnòstica de la deleció fundadora que comprèn els exons 4-8 del gen *MSH2*.

## 2.2 Avaluació de la penetrància

En col·laboració amb la Unitat de Consell Genètic de l'ICO i membres de la Universitat de Michigan, vam explorar la penetrància de les mutacions c.306+5G>A i c.1865T>A del gen *MLH1*, ja que disposàvem d'una sèrie gran de famílies/individus portadors de la mateixa mutació i no sabíem si degut a la seva naturalesa molecular podria associar-se a una menor expressivitat. La penetrància de les mutacions c.306+5G>A i c.1865T>A va resultar moderada, dins del rang de variabilitat de la penetrància observada per les mutacions en el gen *MLH1* espanyoles (Taula 10). Si observem amb més detall aquetes dades, veurem que hi ha una tendència no significativa a una penetrància menor per la mutació missense c.1865T>A, un fet que es

podria atribuir a que el canvi d'un sol aminoàcid que comporta la mutació només s'associa experimentalment a una disminució clara però moderada de l'expressió de la proteïna MLH1.

**Taula 10. Penetrància observada en la síndrome de Lynch en diferents estudis.**  
Abreviacions: ND, no disponible.

Referència	Nombre Famílies	País d'origen	Risc acumulat de CCR als 70 anys (interval de confiança del 95%)		Risc acumulat de CE als 70 anys (interval de confiança del 95%)	
			gens MMR	MLH1	gens MMR	MLH1
Dunlop, et al., 1997	6	Escòcia	H: 74% (ND) D: 30% (ND)		42% (ND)	
Aarino, et al., 1999	50	Finlàndia	82% (ND)		60% (ND)	
Vasen, et al., 2001	79	Països Baixos i Noruega	H: 65% (ND) D: 54% (ND)		25% (ND)	
Hampel, et al., 2005	70	Finlàndia	H: 69% (59-79) D: 52% (38-67)		54% (42-66)	
Quehenberger, et al., 2005	84	Països Baixos	H: 27% (13-51) D: 22% (11-44)		32% (11-70)	
Jenkins, et al., 2006	17	Austràlia	H: 45% (29-62) D: 38% (19-51)			
Alarcon, et al., 2007	36	França	H: 47% (12-98) D: 33% (24-54)		16% (6-20)	
Barrow, et al., 2009	121	Anglaterra	H: 54% (51-58) D: 46% (43-50)		28% (25-32)	
Stoffel, et al., 2009	147	EEUU	H: 66% (59-76) D: 43% (37-53)	H: 97% (ND) D: 53% (ND)	39% (31-47)	33% (ND)
Choi, et al., 2009	32	Canada	H: 60% (35-73) D: 47% (27-60)	H: 67% (27-89) D: 35% (10-59)		
Ramsoek, et al., 2009	67	Holanda		H: 78% (ND) D: 57% (ND)		25% (ND)
Bonadona, et al., 2011	537	França	H: 38% (25-59) D: 31% (19-50)	41% (25-70)	33% (16-57)	54% (20-80)
Kempers, et al., 2011	122	Països Baixos		79% (68-90)		33% (15-51)
Borràs, et al., 2012	28	Espanya		H: 26% (8-41) D: 11% (0-17)		6% (0-13)
Borràs, et al., 2012 c.306+5G>A MLH1	17	Espanya		H: 20% (1-36) D: 14% (1-25)		7% (0-17)
Borràs, et al., 2012 c.1865T>A MLH1	12	Espanya		H: 7% (0-16) D: 7% (0-17)		3% (0-10)
Blanco, et al., (dades no publicades)	95	Espanya	H: 54% (39-65) D: 27% (18-36)	H: 62% (40-76) D: 27% (16-38)	24% (15-32)	18% (8-26)

Actualment, hi ha controvèrsia sobre la penetrància de la síndrome de Lynch, ja que aquesta varia considerablement segons la població analitzada, el gen analitzat i el tipus d'anàlisi, entre d'altres paràmetres. A la Taula 10, es mostren els resultats de penetrància observada en diferents estudis on s'analitza el risc de càncer associat a portadors de mutacions en els gens reparadors o en el gen *MLH1* en concret.

La penetrància estimada de les mutacions espanyoles en el gen *MLH1* en la nostra sèrie és moderada i en consonància amb les dades reportades en famílies holandeses (Quehenberger, et al., 2005) i franceses (Bonadona, et al., 2011). Cal dir però, que la

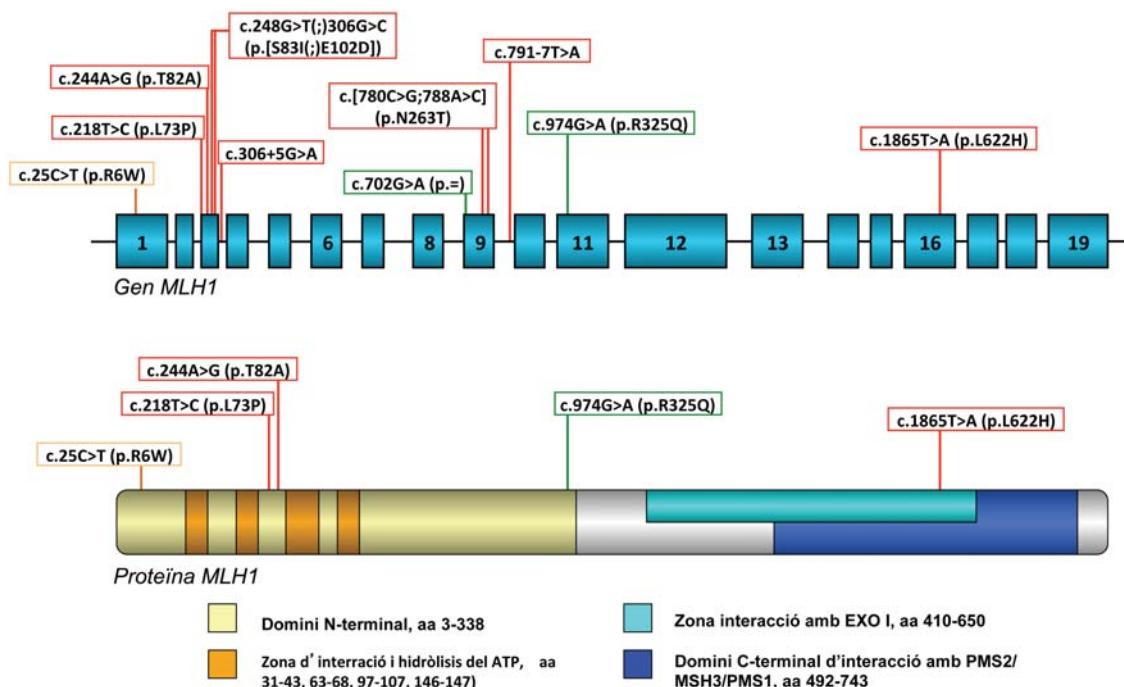
penetrància estimada en les famílies espanyoles amb la síndrome de Lynch és més baixa que la reportada en la població nord-Americana, utilitzant la mateixa aproximació estadística (Choi, et al., 2009; Stoffel, et al., 2009; Blanco et al., dades no publicades) (Taula 10). Aquests resultats suggereixen possibles diferències geogràfiques en relació al risc de càncer en els portadors de la síndrome de Lynch. Això podria ser causat per diferències en factors ambientals, l'estil de vida, l'existència d'al·lels de baixa penetrància o degut a diferències en l'espectre mutacional de cada sèrie.

### 3. AVALUACIÓ DE LA PATOGENICITAT DE LES VSD DETECTADES ALS GENS *MLH1* I *PMS2*

#### 3.1. Rendiment de la caracterització funcional de les VSD

Els resultats presentats en aquesta tesi han permès aprofundir en el coneixement de la patogenicitat de les variants de significat desconegut (VSD), identificades als gens *MLH1* i *PMS2*, en pacients amb sospita de la síndrome de Lynch estudiats a la Unitat de Diagnòstic Molecular del Programa de Càncer Hereditari de l'ICO.

L'any 2008, a l'inici de la present tesi, a la Unitat de Diagnòstic Molecular del Programa de Càncer Hereditari de l'ICO s'havien identificat 10 VSD al gen *MLH1* (Figura 26), de les quals dues (c.306+5G>A i c.1865T>A) presentaven una elevada freqüència.



**Figura 26. Localització de les VSD identificades en el gen *MLH1* en pacients amb sospita de la síndrome de Lynch.** En el gen s'indiquen totes les VSD detectades, mentre que a la proteïna es localitzen les VSD analitzades funcionalment a nivell de proteïna. En vermell es marquen les VSD classificades com a patogèniques, en verd les VSD classificades com a probablement neutrals i en taronja les variants que romanen com a VSD després dels estudis funcionals.

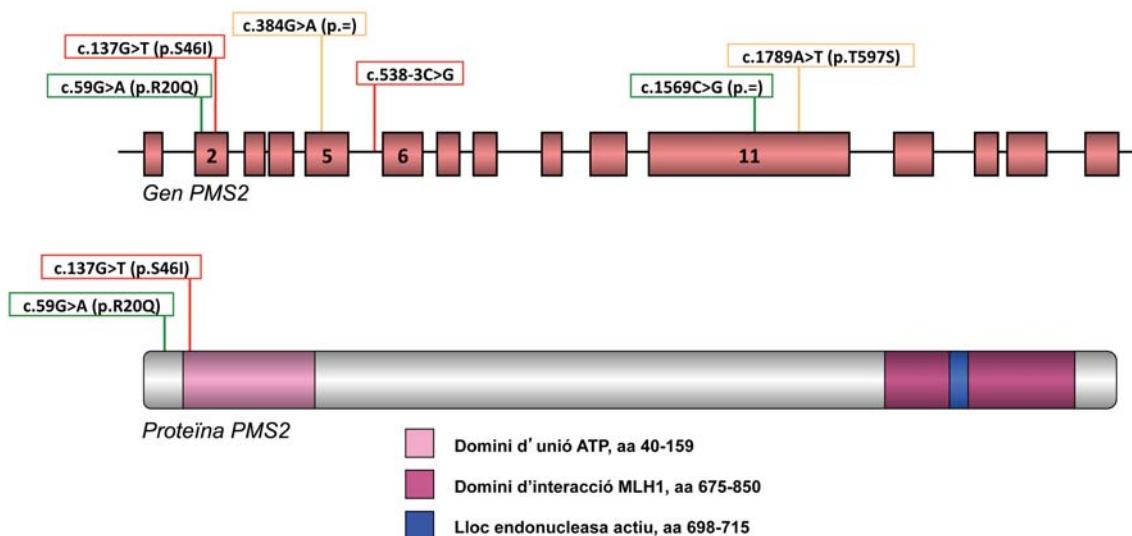
Tal i com s'exposa en els articles 1 i 2 de l'apartat de resultats, set d'aquestes variants s'han classificat com a patogèniques: les variants c.[248G>T();]306G>C], c.306+5G>A, c.[780C>G;788A>C] i c.791-7T>A afecten el processament correcte del mRNA, mentre que les variants c.218T>C (p.L73P), c.244A>G (p.T82A), c.1865T>A (p.L622H) mostren una activitat reparadora deficient o bé un defecte en l'expressió i/o l'estabilitat de la proteïna MLH1. Dues més s'han considerat com a probablement neutres: la variant silent c.702G>A no mostra cap efecte a nivell de processament ni estabilitat del mRNA i es localitza en *trans* amb una variant patogènica, i la variant c.974G>A (p.R325Q) no afecta ni el processament ni estabilitat del mRNA ni tampoc la funcionalitat de la proteïna. Per contra, la variant c.25C>T (p.R9W) es manté com a VSD, ja que està associada a valors intermedis d'activitat reparadora (Taula 11). Així, hem aportat evidència experimental que ha permès classificar la majoria (9/10) de les variants analitzades.

**Taula 11. Classificació final de les VSD identificades en els gens *MLH1* i *PMS2* en la nostra sèrie després de la caracterització funcional.** a, coexistència amb mutació c.1958+3A>G en APC (augment del *skipping* exò 14); b, coexistència amb la VSD c.1186C>G en *MSH6* (p.L396V); c, coexistència amb la mutació c.164+2A>G en *PMS2*; d, coexistència amb VSD silent c.6525A>G en *APC*.

Gen	VSD	Predicció proteïna	Anàlisi mRNA		Anàlisi proteïna		Classificació final
			predicció <i>in silico</i> a nivell de mRNA	Anàlisi del processament i estabilitat del mRNA	Predicció <i>in silico</i> a nivell de proteïna	Anàlisi proteïna	
MLH1	c.25C>T <sup>a</sup>	p.R9W	No efecte	No efecte	Inconcloent	Inconcloent	Inconcloent
MLH1	c.218T>C	p.L73P	Inconcloent ESE	No efecte	Defecte	Defecte	Patogènic
MLH1	c.244A>G <sup>b</sup>	p.T82A	Inconcloent ESE	No efecte	Defecte	Defecte	Patogènic
MLH1	c.[248G>T();]306G>C p.[S83I();]E102D		Inconcloent SS i ESE	Tràscrit aberrant	Defecte;Defecte	NP	Patogènic
MLH1	c.306+5G>A	p.?	Aberrant SS	Tràscrit aberrant	NA	NA	Patogènic
MLH1	c.702G>A	p.(=)	Aberrant ESE	No efecte	NP	NP	Probablement neutral
	c.[780C>G;788A>C]	p.N263T	Inconcloent SS i ESE	Tràscrit aberrant	Defecte	NP	Patogènic
MLH1	c.791-7T>A	p.?	Aberrant SS	Tràscrit aberrant	NP	NP	Patogènic
MLH1	c.974G>A	p.R325Q	Inconcloent ESE	No efecte	Neutral	Normal	Probablement neutral
MLH1	c.1865T>A	p.L622H	Inconcloent ESE	No efecte	Defecte	Defecte	Patogènic
PMS2	c.59G>A	p.R20Q	Inconcloent SS i ESE	No efecte	Inconcloent	Normal	Probablement neutral
PMS2	c.137G>T	p.S46I	Inconcloent ESE	No efecte	Defecte	Defecte	Patogènic
PMS2	c.384G>A C <sup>c</sup>	p.=	Inconcloent ESE	No efecte	NA	NA	Inconcloent
PMS2	c.538-3C>G	p.?	Aberrant SS	Tràscrit aberrant i decreixement de l'al-lel mutat	NA	NA	Patogènic
	c.1789A>T	p.T597S	Inconcloent ESE	No efecte	Neutral	NP	Inconcloent
PMS2	c.1569G>A <sup>d</sup>	p.=	Inconcloent ESE	No efecte	NA	NA	Probablement neutral

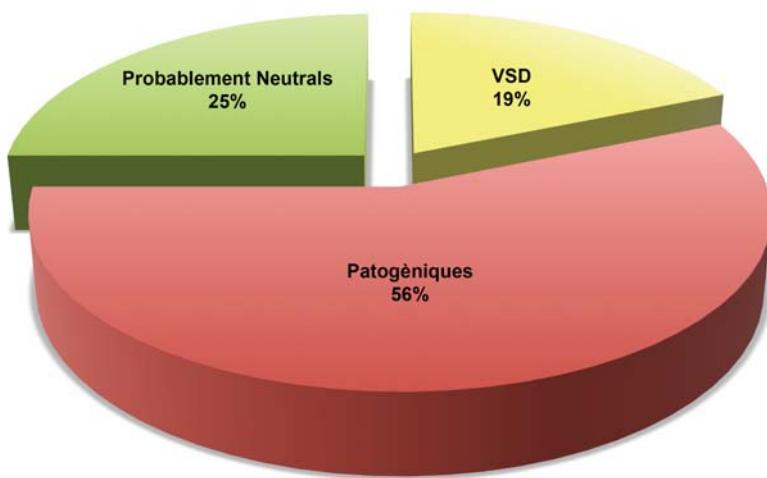
Posteriorment, es va dur a terme l'anàlisi mutacional del gen *PMS2*, on es van identificar sis VSD (Figura 27). La caracterització funcional de les VSD identificades en *PMS2*, descrit en l'article 3 de l'apartat de resultats, ha permès classificar quatre de les sis variants (Taula 11). D'aquestes, dues han estat classificades com a patogèniques: la

c.538-3C>G que afecta el processament i l'estabilitat del mRNA i la c.137G>T (p.S46I) que mostra un defecte de reparació. Les dues variants restants, c.59G>A (p.R20Q) i c.1569C>G, es consideren probablement neutrals, degut a que no mostren defectes a nivell de mRNA ni proteïna. Finalment, les variants c.384G>A i c.1789A>T no s'han pogut classificar, al trobar-se en *cis* amb una mutació patogènica, fet que impedeix valorar el seu efecte individualment (Taula 11). És important destacar la moderada implicació clínica de la no classificació d'aquestes variants, ja que en aquestes famílies la mutació patogènica es considera la responsable de la malaltia.



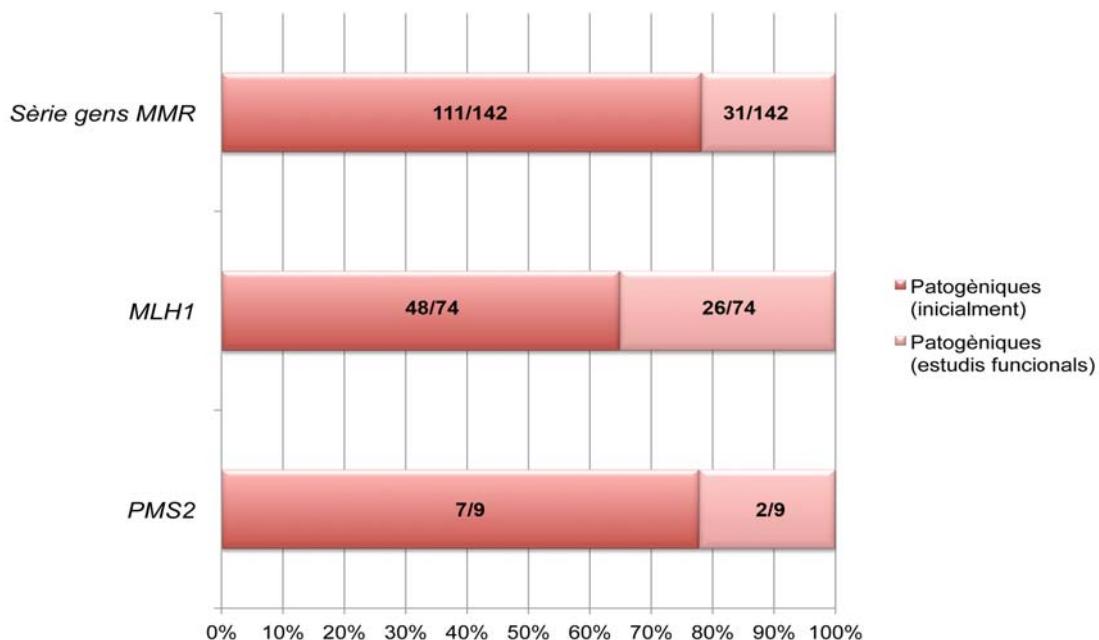
**Figura 27. Localització de les VSD identificades en el *PMS2* en pacients amb sospita de la síndrome de Lynch.** En el gen s'indiquen totes les VSD detectades, mentre que a la proteïna es localitzen les VSD analitzades funcionalment a nivell de proteïna. En vermell es marquen les VSD classificades com a patogèniques, en verd les VSD classificades com a probablement neutrals i en taronja les variants que romanen com a VSD després dels estudis funcionals.

Així doncs, hem pogut classificar el 81,2% (13/16) de les VSD estudiades en els gens *MLH1* i *PMS2*, després d'una caracterització funcional exhaustiva. Aquest elevat percentatge, reforça la importància dels estudis funcionals per la classificació de VSD. En el mateix sentit, resulta rellevant que el 56% (9/16) de les variants estudiades han estat classificades com a patogèniques (Figura 28). El percentatge de patogenicitat detectada es troba en línia amb d'altres sèries prèviament reportades (54-80%, 40/74-20/25)(Hardt, et al., 2011; Kansikas, et al., 2011; Kosinski, et al., 2010).



**Figura 28. Classificació de les VSD dels gens *MLH1* i *PMS2* analitzades.**

Al començament d'aquesta secció esmentàvem que teníem finalment identificades 74 famílies amb mutacions patogèniques en el gen *MLH1* i 9 en el gen *PMS2* (Apartat 1, discussió). D'aquestes famílies amb mutacions patogèniques, el 22% (31/142) havien estat inicialment classificades com a VSD, i després d'una exhaustiva caracterització funcional (Menéndez, et al., 2010; resultats present tesi), s'han classificat com a mutacions patogèniques (Figura 29). D'aquesta manera hem pogut augmentar el percentatge de famílies on es confirma la sospita de síndrome de Lynch, i on la informació molecular ja pot ser utilitzada pel consell genètic d'aquestes famílies.



**Figura 29. Gràfic on es representa el percentatge de famílies amb mutacions patogèniques classificades mitjançant estudis funcionals del total de famílies amb mutacions patogèniques detectades en els gens reparadors i en els gens *MLH1* i *PMS2*, del Programa de Càncer Hereditari de l'ICO.**

### **3.2 Criteris de predicción de patogenicitat i assajos utilitzats**

A continuació es discuteixen els diferents criteris de predicción de patogenicitat i els diferents assaigs utilitzats, que ens han permès classificar les diferents VSD estudiades en aquesta tesi.

#### **3.2.1 Característiques clínico-patològiques**

Alguns dels criteris que s'han tingut en compte en la classificació de les VSD identificades en els pacients amb sospita de síndrome de Lynch, són els criteris clínics i moleculars de selecció de pacients, la cosegregació de la variant amb la malaltia, la freqüència de la variant en una sèrie d'individus control i la coexistència amb altres variants (Couch, et al., 2008).

##### **Criteris clínics i moleculars de selecció de pacients**

Per tal de seleccionar famílies candidates de patir la síndrome de Lynch s'utilitzen els criteris clínics d'Amsterdam o Bethesda. Aquests criteris també s'utilitzen per la classificació de VSD ja que es consideren com un bon indicador de patogenicitat (Couch, et al., 2008). A la nostra sèrie, el 52% (19/37) dels individus portadors de VSD en el gen *MLH1* compleixen criteris d'Amsterdam i el 48% (18/37) restant compleixen criteris de Bethesda (Taula 3). Per contra, el 40% dels pacients amb VSD en el gen *PMS2* no compleixen criteris clínics de sospita de síndrome de Lynch. Aquests pacients havien estat diagnosticats de tumors amb característiques MSI i pèrdua d'expressió de la proteïna *PMS2* (Taula 12). Cal remarcar el fet que el 44% (4/9) dels pacients amb mutacions inicialment classificades com patogèniques en el gen *PMS2*, tampoc compleixen criteris clínics de sospita de síndrome de Lynch. Sembla doncs, que aquests criteris clínics no són prou sensibles per detectar mutacions en aquest gen, com ja havia estat prèviament reportat en d'altres sèries (Clendenning, et al., 2006; Senter, et al., 2008). Aquest fet fa que aquests criteris clínics no puguin considerar-se un bon indicador de patogenicitat per les VSD localitzades en el gen *PMS2*.

Per altra banda, la inestabilitat de microsatèl·lits i la pèrdua d'expressió de les proteïnes reparadores en el tumor han estat utilitzades com a criteri de selecció per estudiar molecularment els gens reparadors en la nostra sèrie, independentment dels criteris clínics en el cas del gen *PMS2* (Taula 12). Cal destacar, que aquestes dues característiques són també uns bons criteris de classificació de VSD, ja que estan fortament relacionades amb la patogenicitat de la variant (Couch, et al., 2008).

Si observem les variants que finalment han estat classificades com a patogèniques, tots els tumors (8 de 8) dels individus portadors d'aquestes variants eren MSI-H, mentre que 8 dels 9 tumors analitzats mostraven pèrdua de la proteïna on s'havia localitzat la variant (Taula 12). Així doncs, aquests criteris semblen ser bons indicadors de patogenicitat. Cal destacar, el cas de l'individu portador de la variant c.244G>A (p.T82A) en el gen *MLH1*, el tumor del qual no mostra pèrdua d'expressió de la proteïna *MLH1*. Tot i que podria ser motiu de controvèrsia, nosaltres hem classificat aquesta variant com a patogènica basant-nos en la observació d'un defecte de reparació en l'assaig *in vitro*. A més, l'expressió conservada de la proteïna *MLH1* en el tumor està en consonància amb els nivells normals de *MLH1* observats en els estudis d'expressió en línies cel·lulars humanes transfectedades amb la variant. Aquest fet, s'ha reportat també per altres variants classificades com a patogèniques en els gens reparadors, com per exemple la p.F80V en el gen *MLH1* i les p.T33P i p.E749K en el gen *MSH2* (Kansikas, et al., 2011).

Actualment, degut a la baixa sensibilitat que presenten els criteris clínics de sospita de síndrome de Lynch per la identificació d'individus portadors de mutacions en els gens *PMS2* (Clendenning, et al., 2006; Senter, et al., 2008) i *MSH6* (Sjursen, et al., 2010), s'està imposant la necessitat de realitzar un cribatge molecular mitjançant l'estudi de MSI i/o IHQ a nivell poblacional, en tots els casos diagnosticats de CCR o CE. La nostra sèrie de pacients amb mutacions identificades en *PMS2*, recolza la manca de sensibilitat dels criteris clínics per la detecció de mutacions en aquest gen. Per aquest motiu, considerem que el cribatge molecular poblacional podria ser de gran ajuda per detectar aquests pacients. A més, altres estudis han demostrat que el cribatge poblacional resulta més cost-efectiu, sobretot si es consideren els beneficis dels familiars del patient identificat (Hampel, 2010; Hampel and de la Chapelle, 2011; Ladabaum, et al., 2011; Moreira, et al., 2012; Mvundura, et al., 2010; Perez-Carbonell, et al., 2012).

Per altra banda, és possible que en un futur no gaire llunyà, els avenços en la seqüenciació genòmica desafiien als actuals enfocaments de proves genètiques. El desenvolupament de panells comercials de "gens del càncer" amb un cost progressivament més reduït podrien fer que l'estratègia més rendible per la detecció de la síndrome de Lynch fos l'anàlisi dels gens reparadors en línia germinal en el moment del diagnòstic del CCR (Ladabaum and Ford, 2012).

**Taula 12. Característiques clínico-patològiques del individus amb VSD en els gens reparadors *MLH1* i *PMS2* estudiats en aquesta tesi.** Abreviatures: CB, criteris de Bethesda; CA, criteris d'Amsterdam; MSI, inestabilitat de microsatèl·lits; IHQ, inmunohistoquímica; CCR, càncer colorectal; CE, càncer d'endometri; CIP, càncer intestí prim; NA, no analitzat; NE, no avaluat; “tumor més freqüent detectat en els individus analitzats; \*mitjana d'edat al diagnòstic dels individus analitzats; a, coexistència amb mutació c.1958+3A>G en *APC* (augment del skipping exó 14); b, coexistència amb la VSD c.1186C>G en *MSH6* (p.L396V); c, coexistència amb la mutació c.164+2A>G en *PMS2*; d, coexistència amb VSD silent c.6525A>G en *APC*.

Gen	VSD	Predicció proteïna	Criteris clínics	Tipus de Tumor	Edat al diagnòstic	MSI	Expressió (IHQ)		Cosegregació	Freqüència controls (%)
							MLH1	PMS2		
<i>MLH1</i>	c.25C>T <sup>a</sup>	p.R9W	CB+poliposi	CCR	49	+	+	NA	NE	0
<i>MLH1</i>	c.218T>C	p.L73P	CB	CCR	36	+	NA	NA	NE	0
<i>MLH1</i>	c.244A>G <sup>b</sup>	p.T82A	CB	CCR	41	+	+	+	NE	0
<i>MLH1</i>	c.[248G>T(;)306G>C] p.[S83I(;)E102D]	CB	CCR	45	+	-	NA	NE	0	
<i>MLH1</i>	c.306+5G>A	p.?	8 CA i 9 CB	CCR"	49,5*	+	-	NA	Sí	0
<i>MLH1</i>	c.702G>A	p.(=)	CA	CE	54	+	-	NA	NE	0
<i>MLH1</i>	c.[780C>G;788A>C]	p.N263T							NE	0
<i>MLH1</i>	c.791-7T>A	p.?	CA	CCR	62	+	-	NA	Sí	0
<i>MLH1</i>	c.974G>A	p.R325Q	CB i CA	CCR / CCR	65/41	+/-	-/+	NA	Sí	0
<i>MLH1</i>	c.1865T>A	p.L622H	8 CA i 4 CB	CCR"	45,5*	+	-/+	NA	Sí	0
<i>PMS2</i>	c.59G>A	p.R20Q	CB	CCR	59	+	+	-	NE	2.7
<i>PMS2</i>	c.137G>T	p.S46I	CB	CCR	66	+	+	-	NE	0
<i>PMS2</i>	c.384G>A <sup>c</sup>	p.=	T-IMS	CCR	66	+	+	-	NE	0
<i>PMS2</i>	c.538-3C>G	p.?	T-IMS	CIP	67	NE	+	-	NE	0
<i>PMS2</i>	c.1789A>T	p.T597S							NE	0
<i>PMS2</i>	c.1569G>A <sup>d</sup>	p.=	CB+poliposi	CCR+poliposi	52	+	+	-	NE	0

### Cosegregació de la variant amb la malaltia

La cosegregació de les VSD amb la malaltia és un criteri rellevant a tenir en compte per la seva classificació, tot i que no sempre és senzill d'aconseguir. En el nostre estudi, degut a la poca informació relacionada amb la cosegregació hem considerat que una variant cosegrega amb la malaltia si dos o més individus afectes de tumors associats a la síndrome de Lynch són portadors de la variant a estudiar. Tot i així, cal destacar que s'han desenvolupat varis mètodes i/o programes estadístics que calculen la cosegregació d'una variant amb la malaltia (Moller, et al., 2011; Thompson, et al., 2003; Zhou, et al., 2005).

De la sèrie de famílies analitzades en el present treball, en la majoria no és possible disposar d'informació sobre la cosegregació. Únicament s'ha pogut avaluar en quatre de les catorze VSD analitzades, i dues d'aquestes VSD són les mutacions fundadores identificades en el gen *MLH1*, de les que disposavem d'un elevat nombre de famílies (Taula 3). Així doncs, en les variants identificades en una única família, la informació clínica obtinguda no ha estat suficient pels estudis de cosegregació, degut a la detecció d'aquestes variants en pedigrís petits i la dificultat d'aconseguir mostres pels estudis de

cosegregació d'una VSD en el context de recerca. A més, la penetrància incompleta de les mutacions en els gens reparadors dificulta l'avaluació de la cosegregació, ja que es poden identificar individus portadors de la variant però que no hagin desenvolupat la malaltia. Aquest fet es fa més evident en l'avaluació de les VSD en identificades en el gen *PMS2*, ja que aquests individus mostren una penetrància menor (Bonadona, et al., 2011; Kempers, et al., 2011; Senter, et al., 2008).

#### ***Freqüència de la variant en una sèrie d'individus control***

L'anàlisi de freqüència en una sèrie d'individus control s'ha realitzat per tal de detectar variants polimòrfiques, que es defineixen com aquelles que presenten una freqüència superior a l'1% en la població (Goldgar, et al., 2008). Tot i així, s'ha de tenir en compte que una variant freqüent podria estar associada amb un risc moderat de desenvolupar la malaltia (Goldgar, et al., 2008).

Per les VSD detectades en el gen *MLH1*, l'estudi de freqüència es va realitzar mitjançant la tècnica de cribratge molecular CSCE, en una sèrie control d'uns 300 individus, tot i que els casos informatius finals depenien del rendiment de l'assaig concret. En canvi, pel gen *PMS2* i associat a la dificultat del seu anàlisis, aquest estudi no es va poder realitzar mitjançant una tècnica de cribratge. Aquesta dificultat i el cost de l'estudi mutacional del gen *PMS2*, van fer que la sèrie control analitzada fos relativament petita (93 individus). El tamany reduït de la sèrie del gen *PMS2* fa que els intervals de confiança no permetin descartar que algunes de variants analitzades funcionalment no siguin polimorfismes. Cal destacar que per obtenir una estimació correcta de la freqüència seria necessari utilitzar una mida mostral molt més elevada (aproximadament d'uns 1000 individus). Nogensmenys, molts cops és difícil d'aconseguir i té un cost molt elevat, tant de temps com de diners.

Els estudis de freqüència s'han dut a terme per totes les VSD detectades en el gen *MLH1* i han demostrat que es troben amb una freqüència inferior al 1% en la població control (Taula 2, article 2, apartat resultats). En canvi, l'estudi de la freqüència del gen *PMS2* es va dur a terme per totes les alteracions detectades, a excepció de les variants que van ser classificades com a patogèniques degut a la seva naturalesa molecular. D'aquesta manera es van classificar 13 dels 18 canvis com a polimorfismes, ja que presentaven freqüències superiors al 1%, mentre que 5 van seguir classificats com a VSD (Taula 2, article 3, apartat resultats). Així doncs, els estudis de freqüència ens permeten diferenciar entre els polimorfismes i les VSD. Aquest fet és de gran utilitat quan analitzes un gen que és relativament poc conegut i detectes una gran quantitat de

canvis dels quan no en coneixes el significat biològic, com seria el cas del gen *PMS2*.

### **Coexistència amb altres canvis**

Un altre criteri a tenir en compte és la coexistència de les VSD amb altres canvis en el mateix gen o en dos gens diferents de predisposició al càncer. Aquesta coexistència representa un repte per la clínica que només pot ser abordat amb una completa caracterització dels canvis identificats.

S'ha de tenir en compte que la concorrència d'una VSD amb una mutació deletèria no és informativa si apareix en *cis*, ja que independentment de que la VSD sigui o no patogènica el risc que aporta aquesta variant en el desenvolupament del càncer pot ser camuflada per la mutació identificada com a clarament patogènica. Només es pot obtenir informació sobre la patogenicitat d'una VSD si aquesta es troba amb una mutació en *trans* en individus amb fenotip de síndrome de Lynch (no de CMMR-D), en els quals la coexistència aniria a favor de la neutralitat de la VSD.

En la nostra sèrie, s'ha identificat un cas on un individu presentava les variants c.[780C>G;788A>C] en un al·lel i la variant c.702G>A en l'altre en el gen *MLH1*. Els estudis de mRNA van demostrar patogenicitat en la combinació de les variants c.[780C>G;788A>C], i per tant es va poder classificar la variant c.702G>A com a probablement neutral, degut a la seva localització en *trans* amb les altres dues.

Per altra banda, també s'ha detectat en un individu la coexistència d'una mutació patogènica (c.164+2A>G) i una VSD (c.384G>A) en *cisen* el gen *PMS2*. El fet que estiguin en *cis* no permet classificar la VSD c.384G>A. En aquest mateix gen, s'ha detectat en un altre individu la combinació en *cis* de dues VSD, la c.1789A>T i la c.538-3C>G. Després de l'estudi funcional, la VSD c.538-3C>G s'ha classificat com a patogènica, però com que la variant c.1789A>T es localitza en *cis* no s'ha pogut classificar i roman com a VSD. En el gen *MLH1*, també s'ha detectat un pacient amb dues VSD, la c.248G>T i la c.306G>C, on es desconeix la fase. L'estudi del mRNA de limfòcits del pacient va detectar alteració en l'*splicing*, malgrat no permet conoure quina de les dues VSD és la causant de l'alteració, o si es deguda a una combinació de les dues.

Finalment, en tres ocasions s'ha detectat la presència de variants en els gens *MLH1* o *PMS2* unides a mutacions o variants en altres gens de predisposició al càncer hereditari (*APC* o *MSH6*) en un mateix individu (Taula 12). Així doncs, sembla que la

coexistència de variants en el mateix gen o en d'altres gens de predisposició al càncer es detecta freqüentment. A més, en un futur pròxim, la detecció de mutacions en la rutina diagnòstica es durà a terme mitjançant el NGS. Aquesta nova tècnica pot fomentar la detecció de múltiples VSD en diferents gens de predisposició al càncer i cal estar preparats per afrontar aquest nou repte, on els estudis funcionals ens poden ser de gran ajuda.

### 3.2.2 Evaluació del defecte funcional

Per tal d'avaluar el defecte a nivell funcional de les VSD identificades en individus susceptibles de patir la síndrome de Lynch, s'han utilitzat múltiples assaigs a nivell *in silico* e *in vitro*, que avaluen diferents aspectes tant a nivell de RNA com de proteïna.

#### **Predictions *in silico***

Actualment, existeixen diferents propostes sobre com utilitzar els resultats provinents de les prediccions *in silico* en la classificació de les VSD. Hi ha grups que proposen que les prediccions *in silico* són una eina vàlida per classificar les VSD (Kansikas, et al., 2011). Altres, en canvi, utilitzen aquestes mateixes prediccions com a guia pels posteriors estudis funcionals (Rasmussen, et al., 2012; Spurdle, et al., 2008; Tavtigian, et al., 2008). Ha estat feina de la present tesi establir un criteri propi en relació a les prediccions *in silico*, avaluant la correlació entre els resultats obtinguts de forma *in silico* i els experimentals, tant a nivell de RNA (*splicing* i llocs ESE) com de proteïna.

Els nostres resultats posen de manifest que dels quatre programes *in silico* utilitzats per les prediccions de *splicing*, únicament el Spliceport (Dogan, et al., 2007) ofereix una correlació fiable entre la predicció i les observacions experimentals (en 4 de les 4 variants patogèniques analitzades a nivell de mRNA) (Taula 13). Aquesta observació no avala la recomanació de la utilització simultània de varis programes de predicció *in silico* (Arnold, et al., 2009; Betz, et al., 2010). Tot i així, aquesta és una opció més conservadora, que ha de ser tinguda en compte en els algoritmes de classificació. Per contra, els resultats obtinguts mitjançant els programes de predicció dels llocs ESE no han demostrat ser útils: ni ofereixen resultats concordants entre ells ni les seves prediccions no es correlacionen amb l'evidència experimental, confirmant les observacions fetes per altres grups (Arnold, et al., 2009; Auclair, et al., 2006; Chenevix-Trench, et al., 2006; Lastella, et al., 2006; Tournier, et al., 2008). Es desprèn que els actuals sistemes de predicció dels llocs ESE han de millorar, a fi i efecte d'obtenir una millor valor predictiu.

**Taula 13. Prediccions *in silico* a nivell de RNA.** En gris es marquen els canvis amb major patogenicitat. Abreviatures: SS, lloc de splicing; D, lloc donador; A, lloc acceptor; WT, salvatge; NR, lloc no reconegut; SD, destrucció d'un lloc ESE; SI, augment del score d'un lloc ESE; SC, creació d'un lloc ESE; ESS D, creació d'un lloc ESS (exonic enhancer silencer).

Gen	VSD	Predicció proteïna	SS	Predicció llocs splicing								Predicció llocs enhancer				Classificació final a part dels resultats experimentals	
				NNSplice		Spliceport		NetGene2		SoftBerry		Interpretació	ESEfinder	RESCUE-ESE	PEEX	Interpretació	
				WT	variant	WT	variant	WT	variant	WT	variant	No efecte	No canvi	No canvi	No canvi	No efecte	Probablement neutral
MLH1	c.25G>T	p.R9W	D	0.93	0.93	NR	NR	0.95	0.95	7.36	7.36	No efecte	No canvi	No canvi	No canvi	No efecte	Probablement neutral
MLH1	c.218T>C	p.L73P	A	0.67	0.73	0.36	0.76	0.28	0.38	6.85	6.85	No efecte	No canvi	No canvi	No canvi	1SD	Inconcloent
MLH1	c.244A>G	p.T82A	A	0.67	0.67	0.36	0.31	0.28	0.33	6.85	6.85	No efecte	1SD	2 S	No canvi	No canvi	Inconcloent
MLH1	c.[248G>T;306G>C]	p.[S83I;E102D]	A	0.67	0.67	0.36	0.55	0.28	0.31	6.85	6.85	No efecte	1SD	1 SR	No canvi	No canvi	Inconcloent
MLH1	c.306+5G>A		A	0.67	0.67	0.36	0.36	0.28	0.27	6.85	6.85	No efecte	1SD	1 DI	No canvi	No canvi	Inconcloent
MLH1	c.702G>A	p.(=)	A	0.88	0.88	0.32	0.61	0.41	0.43	NR	NR	No efecte	NA	NA	NA	NA	Patogènic
MLH1	c.[788C>G;788A>C]	p.N283T	A	0.88	0.88	0.32	0.53	0.97	0.97	13.24	13.24	SS Aberrant	NA	NA	NA	NA	Patogènic
MLH1	c.791-7T>A		A*	0.99	NR	0.48	NR	0.33	0.16	8.75	NR	No efecte	3 SD	1 SD	1 SD	1 SD	Probablement neutral
MLH1	c.974G>A	p.R325Q	A	0.86	0.86	1.13	1.13	0.95	0.95	7.47	7.47	No efecte	1 SD	1 SC	No canvi	No canvi	Inconcloent
MLH1	c.1865T>A	p.L622H	A	0.99	0.99	0.75	0.75	0.97	0.97	7.64	7.64	No efecte	1 SD	4 S	No canvi	No canvi	Inconcloent
MLH1	c.59G>A	p.R20Q	A	0.45	0.45	0.47	0.47	0.44	0.44	12.68	12.68	SS Aberrant	NA	NA	NA	NA	Patogènic
PMS2	c.137G>T	p.S46I	A	0.45	0.45	0.45	0.45	0.44	0.44	7.64	7.64	No efecte	1 SD	2 SC	No canvi	No canvi	Probablement neutral
PMS2	c.384G>A; p.=	p.(=)	A	0.68	0.68	0.85	0.85	0.83	0.83	0.89	0.89	No efecte	1 SD	1 SD	1 SD	1 SD	Probablement neutral
PMS2	c.538-3C>G		A	0.66	NR	1.05	NR	0.71	0.34	NR	NR	No efecte	2 SC	1 S	No canvi	No canvi	Inconcloent
PMS2	c.1789A>T	p.T597S	A	0.94	0.94	1.1	1.1	0.11	0.81	0.81	0.81	No efecte	1 SD	1 SD	No canvi	No canvi	Inconcloent
PMS2	c.1569C>G	p.(=)	A	0.94	0.94	1.1	1.1	0.45	0.45	0.7	0.7	No efecte	3 SD	3 SD	No canvi	No canvi	Inconcloent

Les prediccions *in silico* a nivell de proteïna mostren una forta correlació amb els resultats experimentals (Taula 14). Quan aquests programes han ofert prediccions concordants, els assajos funcionals també han anat en la mateixa direcció (6 de 6 de les variants analitzades). Aquestes observacions avalen la rellevància que Kaniskas i col·laboradors donen als programes de predicció *in silico* a nivell de proteïna (Kansikas, et al., 2011).

**Taula 14. Prediccions *in silico* a nivell de proteïna.** En gris es marquen els canvis amb major patogenicitat. Abreviatures: PrD, probablement patogènic; Ben, benigna, Aff, la proteïna es veu afectada; Tol, variant tolerant, Bor, resultat *borderline*, Del, deletèria.

Gen	VSD	Predicció proteïna	Impacte previst sobre la funció de la proteïna					Interpretació	Classificació final a partir dels resultats experimentals
			Domini Funcional	PolyPhen-2 (score)	SIFT (score)	MAPP-MMR (score)	Condel (score)		
MLH1	c.25C>T	p.R9W	ATPasa	PrD (0,97)	Aff (0,01)	Bor (4,48)	NA	Inconcloent	Inconcloent
MLH1	c.218T>C	p.L73P	ATPasa	PrD (0,94)	Aff (0,00)	Del (17,150)	NA	Patogènic	Patogènic
MLH1	c.244A>G	p.T82A	ATPasa	PrD (0,99)	Aff (0,00)	Del (36,720)	NA	Patogènic	Patogènic
MLH1	c.974G>A	p.R325Q	Transducer Domain	Ben (0,07)	Tol (0,53)	Neu (2,040)	NA	Neutral	Probablement neutral
MLH1	c.1865T>A	p.L622H	Transducer Domain	PrD (0,98)	Aff (0,00)	Del (12,920)	NA	Patogènic	Patogènic
PMS2	c.59G>A	p.R20Q	ATPasa	Ben (0,486)	Tol (0,33)	NA	Del (0,659)	Inconcloent	Probablement neutral
PMS2	c.137G>T	p.S46I	ATPasa	PrD (1,00)	Aff (0,00)	NA	Del (0,998)	Patogènic	Patogènic

Afegir finalment, que existeixen programes bioinformàtics com el PyMOL (<http://www.pymol.org>) utilitzats per localitzar la variant objecte d'estudi en l'estructura de la proteïna MLH1 o PMS2, per homologia amb MutL d'*E.Coli*, que han estat prèviament cristal·litzades (Guarne, et al., 2004; Plotz, et al., 2006). També s'utilitzen altres programes que permeten mostrar el grau de conservació dels diferents aminoàcids de la proteïna al llarg de l'evolució, com WebLogo (Crooks, et al., 2004). El coneixement de la localització i conservació d'un residu afavoreix la comprensió de les causes de la seva patogenicitat. Així per exemple, les variants p.T82A i p.L73P del gen *MLH1* i p.S46I del gen *PMS2*, mostren un defecte en el sistema de reparació degut probablement a que es localitzen en una regió altament conservada de la proteïna dins el seu domini ATPasa.

### Anàlisi a nivell de RNA

En diversos estudis s'han classificat com a probablement patogèniques, una proporció significativa de VSD identificades en els gens reparadors a causa de defectes en el processament del mRNA (Arnold, et al., 2009; Sharp, et al., 2004; Tournier, et al., 2008). D'altra banda, algunes substitucions *missense* detectades en el gen *MLH1* i en altres gens implicats en el CCR, s'han associat a diferències d'expressió a nivell de mRNA (Castellsague, et al., 2010; Perera, et al., 2010; Santibanez Koref, et al., 2010).

Degut a que aquests estudis de processament i estabilitat del mRNA representen un punt clau en la classificació de variants, es va realitzar l'anàlisi del RNA de totes les variants identificades en el present estudi.

Els assaigs per avaluar el processament i l'estabilitat del mRNA normalment es solen realitzar a partir de limfòcits de pacients, ja que aquesta és la mesura més directa d'aquest anàlisi. Tot i així, per alguns laboratoris la obtenció de RNA de la sang dels pacients implica una elevada dificultat, ja que és necessari processar aquesta sang immediatament, o bé emmagatzemar-la en uns tubs adequats. Per altra banda, si no es disposa d'aquests limfòcits hi ha descrits varis assaigs *in vitro* per analitzar el processament del mRNA (Betz, et al., 2010; Lastella, et al., 2006; Naruse, et al., 2009; Tournier, et al., 2008).

L'extracció del RNA es pot dur a terme directament dels limfòcits de la sang (frescos o emmagatzemats) o bé es pot fer un cultiu curt d'aquests limfòcits, que permetrà obtenir-ne un major quantitat. A més, aquest cultiu es pot dur a terme en presència de puromicina, per tal d'evitar el mecanisme del *Nonsense Mediated Decay* (NMD). El NMD és un mecanisme cel·lular de vigilància, que assegura la degradació d'aquells mRNA que podrien donar lloc a proteïnes truncades, amb un probable efecte patogènic dominant negatiu o guany de funció (Cartegni, et al., 2002). La inactivació d'aquest mecanisme permet observar els transcrits aberrants creats, que de funcionar correctament el NMD no serien detectables. Nosaltres recomanem, sempre que sigui possible, la utilització de cultius curts de limfòcits amb i sense puromicina. Així, obtindrem una visió completa del que provoca la variant en el mRNA del pacient, ja que veurem el seu efecte real a la cèl·lula (no puromicina) i també podrem veure quins transcrits s'estan degradant a causa del mecanisme del NMD (puromicina).

En la nostra sèrie, tots els assajos a nivell de mRNA, tant d'estabilitat com de processament, s'han dut a terme a partir del RNA de limfòcits de pacients, ja que ofereixen una mesura més fiable i nosaltres disposem d'un banc de limfòcits propi, per aquest tipus d'anàlisi. A més, aquest assajos presenten més facilitat tècnica en relació amb els assajos *in vitro*.

- **Estudi del processament del mRNA:** L'estudi del processament del mRNA es va realitzar a partir d'un disseny específic per avaluar l'efecte de cada VSD en l'*splicing* mitjançant RT-PCR en el RNA del pacient. Aquest RNA es va extreure d'un cultiu curt de limfòcits de pacients, amb i sense puromicina. Cal destacar, que moltes vegades la interpretació dels resultats dels estudis de *splicing* són complexes, ja que ens podem

trobar que en l'àrea a estudiar es produixin varis transcrits alteratius. Per aquest motiu, considerem que és important fer un disseny acurat per tal d'evitar possibles transcrits alternatius o si fos necessari utilitzar varis dissenys per obtenir una interpretació més acurada dels resultats. D'altra banda, considerem que també és important utilitzar un nombre adequat de mostres control (entre 3-5), que ens serveixin per comparar-los amb els resultats de la variant a analitzar. En alguns casos, ens podem trobar que hi hagi un augment o disminució d'un transcrit en funció de la mostra analitzada, i llavors serà necessari ampliar aquest nombre de controls (entre 8-10), per tal de veure si aquesta modificació pot ser deguda a la variant o bé si l'expressió del transcrit és variable entre individus.

L'estudi del processament del mRNA de les variants identificades en la nostra sèrie a partir de limfòcits cultivats, ha permès la classificació com a mutacions patogèniques de cinc de les setze (31%) variants estudiades en aquesta tesi (Taula 15). Aquest alt percentatge, reforça encara més el concepte que l'anàlisi del mRNA ha de ser un dels primers passos en l'avaluació de l'impacte funcional de les VSD.

- **Anàlisi de l'expressió al·lèlica diferencial:** L'estudi d'expressió al·lèlica diferencial analitza la quantificació relativa dels dos al·lels del mRNA en comparació amb el DNA de pacient. Aquest assaig es va dur a terme a partir del RNA extret d'un cultiu curt de limfòcits de pacients, amb i sense puromicina, per les raons explicades a l'apartat anterior. Per fer aquest аналisi s'han descrit varies tècniques diferents, com poden ser la comparació de la seqüència del RNA i DNA a partir de la utilització d'uns programes específics (Perera, et al., 2010), el *DHPLC primer extension* (Aceto, et al., 2009), o l'SNuPE (Castellsague, et al., 2010), entre d'altres. Nosaltres vam utilitzar la metodologia del SNuPE usant el kit SNaPshot per mesurar l'ASE de cada variant, ja que considerem que aquesta és una tècnica amb una elevada sensibilitat per detectar diferències d'expressió i a més té una dificultat tècnica relativament baixa. Per aquests motius és una de les tècniques més utilitzades per aquest tipus d'anàlisi.

En la nostra sèrie, l'ASE no va mostrar diferències en 8 de les 9 VSD analitzades (Taula 15). En canvi, aquest analisis va permetre detectar desequilibri al·lèlic en la variant c.1789A>T del gen *PMS2*, conseqüència de la transcripció aberrant causada per la variant c.538-3C>G del gen *PMS2*, que es localitza en *cisen* el mateix individu. Així doncs, la integració dels diferents resultats obtinguts a nivell de RNA (processament i estabilitat) ens han permès la classificació de la variant c.538-3C>G com a patogènica.

**Taula 15. Anàlisi a nivell de RNA de les variants estudiades en la present tesi.** Els canvis que impliquen patogenicitat es marquen en gris. \*Aquestes dues variants es localitzen en *cis* en el mateix individu.

Gen	VSD	Predictió proteïna	Anàlisi del processament del cDNA	Anàlisi de l'estabilitat de l'al·lel mutat en el cDNA	Classificació a nivell de RNA
MLH1	c.25C>T	p.R9W	canvi de C>T	NA	Probablement neutral
MLH1	c.218T>C	p.L73P	canvi de T>C	No decreix	Probablement neutral
MLH1	c.244A>G	p.T82A	canvi de G>A	No decreix	Probablement neutral
MLH1	c.[248G>T(;)306G>C]	p.[S83I(;)E102D]	r.208_306del; p.K70_E102del	NP	Patogènic
MLH1	c.306+5G>A		r.[208_306del, 208_306del]; p.[K70_E102del, K120del]	NP	Patogènic
MLH1	c.702G>A	p.(=)	canvi de G>A	No decreix	Probablement neutral
MLH1	c.[780C>G;788A>C]	p.N263T	r.678_790del; p.E227SfsX42	NP	Patogènic
MLH1	c.791-7T>A		r.791_884del; p.H264LfsX2	NP	Patogènic
MLH1	c.974G>A	p.R325Q	canvi de G>A	No decreix	Probablement neutral
MLH1	c.1865T>A	p.L622H	canvi de T>A	NP	Probablement neutral
PMS2	c.59G>A	p.R20Q	canvi de G>A	No decreix	Probablement neutral
PMS2	c.137G>T	p.S46I	canvi de G>T	No decreix	Probablement neutral
PMS2	c.384G>A; p. =	p.(=)	canvi de G>A	No decreix	Probablement neutral
PMS2	c.538-3C>G*		r.[538_586del, 538_780del]; p.[E180Qfs*5,E180L236del]	Decreix	Patogènic
PMS2	c.1789A>T *	p.T597S	canvi de A>T		Probablement neutral
PMS2	c.1569C>G	p.(=)	canvi de C>G	No efecte	Probablement neutral

### Anàlisi a nivell de proteïna

Amb l'objectiu d'analitzar l'efecte de les VSD a nivell de proteïna s'han descrit un seguit d'aproximacions que es detallen en l'apartat 6 de la introducció. Els assajos funcionals en línies cel·lulars humans, ofereixen avantatges en front d'altres sistemes, com podrien ser els llevats. El principal avantatge és la capacitat de poder analitzar totes les VSD, independentment de la seva conservació evolutiva. Un altre avantatge rau en que podem utilitzar línies cel·lulars que presentin un *background* genètic adequat per poder testar la funcionalitat de les variants en gens reparadors. Aquests assaigs han permès classificar com a patogèniques el 25% (4/16) de les variants analitzades en aquesta tesi.

A continuació es tracten els diferents assajos utilitzats per estudiar les variants a nivell de proteïna:

- **Assaig d'activitat reparadora *in vitro*:** Els assajos funcionals d'activitat reparadora *in vitro*, es consideren els més rellevants per avaluar la funcionalitat d'una

variant (Couch, et al., 2008; Heinen and Juel Rasmussen, 2012; Kansikas, et al., 2011; Rasmussen, et al., 2012), ja que representen l'avaluació de la funció principal de la proteïna reparadora. No obstant, la preparació dels reactius és un procés tediós, l'assaig requereix d'especialització tècnica, i es necessària la construcció de mutants i la seva expressió mitjançant transfecció. També cal tenir en compte, la importància dels controls interns utilitzats, ja que ens serviran de referència per comprovar el correcte funcionament de la tècnica.

Recentment, s'ha desenvolupat una nova tècnica que en redueix parcialment el temps i la dificultat, basant-se en la creació de la proteïna mutada mitjançant PCR i posterior transcripció *in vitro*, que s'utilitzarà per a la reparació del plàsmid amb el desparellament (Drost, et al., 2010; Drost, et al., 2012). Malgrat l'agilització en el procés d'anàlisi de l'activitat reparadora, aquesta nova tècnica presenta el *handicap* de que la proteïna mutada no pot ser utilitzada posteriorment per aprofundir en l'anàlisi d'expressió, interacció o localització, per exemple. Nosaltres hem utilitzat un assaig de reparació clàssic on a partir de la proteïna amb la variant clonada en un plàsmid d'expressió transfecat en una línia cel·lular, es testa la seva activitat de reparació *in vitro* reparant un plàsmid amb un desparellament en un lloc de restricció. L'assaig de reparació *in vitro*, ens va ajudar a classificar com a patogèniques 3 de les 6 variants analitzades (Taula 16), corroborant la utilitat de l'assaig d'activitat reparadora per la classificació de les VSD.

- **Estudis d'expressió:** Per avaluar l'expressió de les proteïnes MLH1 i PMS2, s'ha utilitzat la tècnica del *Wester-blot* en extractes proteics extrets de la transfecció transitòria d'aquestes proteïnes en línies cel·lulars deficientes, que en paral·lel s'han utilitzat en assajos de reparació. Aquests estudis d'expressió mesuren l'expressió relativa de la proteïna amb la variant en relació a la proteïna salvatge. És per aquest motiu que considerem de vital importància que, en un mateix experiment, hi hagi varies rèpliques de la mostra salvatge, ja que els resultats obtinguts de cada mostra seran comparats amb aquesta. Per altra banda, també és important utilitzar un control de càrrega de les proteïnes que descarti bé problemes en la càrrega del gel o bé una mala quantificació de les proteïnes totals. A més, en l'anàlisi d'expressió per *Western-blot*, és important realitzar varies rèpliques, degut a la variabilitat interexperimental.

En aquesta tesi, tres de les set variants analitzades mostraven defectes d'expressió. D'aquestes, dues presentaven activitat reparadora reduïda, i es van classificar com a

patogèniques. La variant restant no es va poder classificar a causa dels resultats no concloents d'activitat reparadora (Taula 16).

**Taula 16. Anàlisi a nivell de proteïna de les variants analitzades.** Les alteracions que impliquen major patogenicitat es marquen en gris. Abreviacions: +, nivells inferiors al 30% en comparació amb la proteïna salvatge; ++, nivells entre el 30-60% en comparació amb la proteïna salvatge; +++, nivells superiors en comparació amb la proteïna salvatge; N, localització nuclear; NP, no analitzat; \*, defecte d'expressió degut a la baixa estabilitat de la proteïna.

Gen	VSD	Predicció proteïna	Assaig MMR	Expressió MLH1	Expressió PMS2	Localització subcel·lular		Classificació a nivell de proteïna
						MLH1	PMS2	
MLH1	c.25C>T	p.R9W	++	+	+	N	N	Inconcloent
MLH1	c.218T>C	p.L73P	+	+	+	N	N	Patogènic
MLH1	c.244A>G	p.T82A	+	+++	+++	N	N	Patogènic
MLH1	c.974G>A	p.R325Q	+++	+++	+++	N	N	Probablement netural
MLH1	c.1865T>A	p.L622H	NP	+*	+*	NP	NP	Patogènic
PMS2	c.59G>A	p.R20Q	+++	+++	+++	N	N	Probablement netural
PMS2	c.137G>T	p.S46I	+	+++	+++	N	N	Patogènic

Per la mutació fundadora c.1865T>A (L622H) del gen *MLH1*, es va voler aprofundir en la causa de la seva expressió reduïda mitjançant l'estudi d'estabilitat de la proteïna, utilitzant cicloheximida a diferents temps. Els resultats van detectar una pèrdua de l'estabilitat de la proteïna MLH1, que probablement és la causa de la seva expressió reduïda. Aquest fet, ja havia estat reportat en la variant p.R265C del gen *MLH1* (Perera and Bapat, 2008).

Tot i que en la majoria de casos, l'expressió disminuïda d'una variant correlaciona amb baixos nivells d'activitat reparadora, s'han descrit VSD concretes, com la p.H329P i la p.L550P en el gen *MLH1*, o la p.M688P en *MSH2*, amb uns nivells baixos d'expressió de la proteïna reparadora a estudiar on, per contra de l'esperat, presentaven capacitat de reparació (Kansikas, et al., 2011). En la nostra sèrie, la variant p.R9W en el gen *MLH1*, mostra una expressió disminuïda de les proteïnes MLH1 i PMS2, però en canvi presenta una capacitat de reparació al voltant del 50%. Per aquest motiu, aquesta variant roman com a VSD en no ser capaços d'interpretar adequadament aquests resultats. Aquesta observació il·lustra les limitacions inherents a cadascun dels assajos utilitzats (funció i expressió) i ajuda a entendre la importància de ser capaços d'integrar tota la informació que es pot generar al laboratori d'una manera coherent.

D'altra banda, també s'han detectat algunes poques variants que mostren una expressió major en comparació amb l'expressió de la proteïna salvatge, com les variants

p.E663G, p.R755S i p.E102D del gen *MLH1* descrites a la literatura (Kosinski, et al., 2010; Takahashi, et al., 2007), o com seria el cas de la variant c.974G>A (p.R325Q) del gen *MLH1*, en la sèrie analitzada. Sorprenentment però, l'activitat reparadora de la p.R325Q no és superior a la de la proteïna salvatge.

En el nostre treball l'estudi de la interacció entre les proteïnes *MLH1* i *PMS2* no es va realitzar mitjançant els assajos clàssics d'interacció (co-inmunoprecipitació i *GST-pull down* entre d'altres). De tota manera, pensem que els defectes d'interacció podrien haver estat detectats en l'anàlisi de l'expressió, doncs esperaríem una expressió de *PMS2* disminuïda respecte la de *MLH1*, com s'havia suggerit anteriorment (Kosinski, et al., 2010; Mohd, et al., 2006).

- **Localització subcel·lular:** En últim terme, es va analitzar la localització subcel·lular de les proteïnes reparadores en cèl·lules transfectades. El transport al nucli d'aquestes proteïnes és un prerequisit per la correcta reparació dels errors del DNA (Knudsen, et al., 2009). Aquest transport disposa d'un mecanisme regulador addicional a l'expressió de la proteïna i a la interacció proteïna-proteïna, que podria ser modificat per la VSD identificada. Valorar la implicació de la variant en aquest procés requerirà d'un estudi de localització subcel·lular. Aquests assajos, a més de tenir una dificultat tècnica notable, requereixen d'especial atenció en el moment d'escollir els controls a utilitzar, la valoració dels nivells d'expressió i la naturalesa i localització del fluorocrom a emprar, a fi i efecte de no alterar els resultats obtinguts (Brieger, et al., 2012).

L'anàlisi va demostrar que cap de les VSD analitzades en aquesta tesi, mostrava un defecte en la seva localització subcel·lular (Taula 16). Aquests resultats estan en consonància amb la localització de les variants en el gen, ja que cap d'elles es troba localitzada en els senyals de localització nuclears (NLS). Tot i així, estudis recents detecten defecte en la localització subcel·lular d'algunes variants com la p.R622L i la p.C697F del gen *MSH2* o les variants p.E37K, p.G67R i p.C233del en el gen *MLH1*, que no es troben localitzades en els NLS (Andersen, et al., 2012; Lutzen, et al., 2008). Aquest fet avala la importància dels assajos de localització en la caracterització funcional de les VSD.

#### 4. ALGORITME PROPOSAT PER L'AVALUACIÓ DE LA PATOGENICITAT DE LES VSD IDENTIFICADES EN ELS GENS REPARADORS

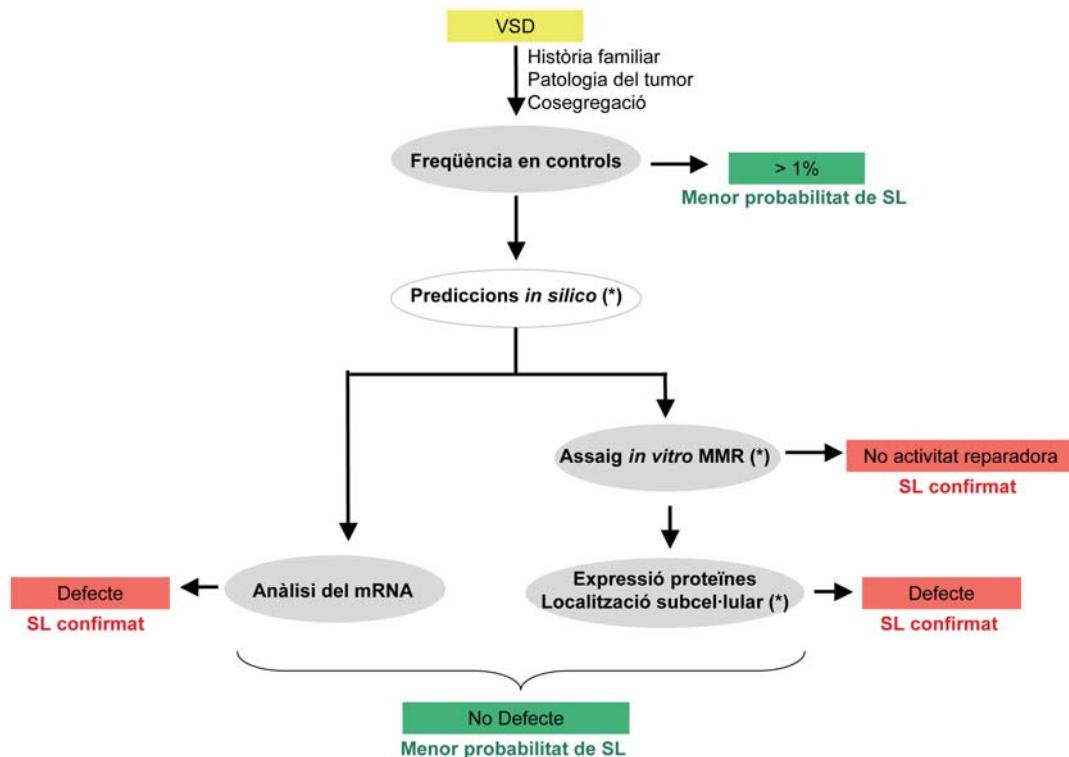
Amb l'objectiu d'interpretar les dades funcionals obtingudes en l'anàlisi de les VSD s'han proposat diferents algoritmes de classificació de variants. L'any 2008, es va proposar un primer algoritme on s'integren diferents tipus d'assajos per a l'anàlisi *in vitro* de les VSD en els gens reparadors en famílies amb sospita de síndrome de Lynch (Figura 19, introducció)(Couch, et al., 2008). Recentment aquest algoritme es va validar a partir d'un conjunt de variants en els gens *MLH1*, *MSH2* i *MSH6* (Kansikas, et al., 2011). En aquesta validació de l'algoritme es va observar un bon valor predictiu dels programes *in silico* a nivell de proteïna (SIFT i MAPP-MMR) en relació als resultats dels estudis funcionals. Per aquest motiu, els autors consideren que si un dels dos programes *in silico* (SIFT i MAPP-MMR) prediu patogenicitat, aquesta variant pot classificar-se com a patogènica i no cal continuar la confirmació mitjançant un estudi funcional.

Com s'exposa a l'article 2 de resultats, a partir de les nostres observacions i de l'algoritme proposat prèviament per Couch, et al., 2008, es va dissenyar una nova proposta. El nostre algoritme inclou la informació clínica, l'estudi de la freqüència de la variant en controls, les prediccions *in silico*, els estudis a nivell de mRNA i a nivell de proteïna, així com l'assaig d'activitat reparadora, l'expressió de les proteïnes i la localització subcel·lular d'aquestes (Figura 30).

En primer lloc, creiem fonamental recopilar una sèrie de dades com són la informació clínica personal i familiar, la patologia del tumor i la cosegregació de la variant amb la malaltia, que ens podran ajudar a classificar les VSD junt amb les dades posteriorment obtingudes dels estudis funcional. En el camp de la caracterització molecular de les mutacions i la seva possible utilització clínica és fonamental tenir en compte aquesta informació. Els clínics tendeixen a desconfiar dels resultats de tècniques experimentals *in vitro* que tot sovint són poc reproduïbles. Per tant el valor relatiu que es dóna a la informació clínica és pel moment molt important.

Posteriorment, proposem que el següent anàlisi a realitzar seria l'estudi de freqüència d'aquestes VSD, ja que poden determinar si es tracta d'una variant polimòrfica. D'aquesta manera, per les variants amb una freqüència superior al 1%, no serà necessari continuar amb els estudis posteriors.

D'acord amb els arguments exposats en l'apartat anterior, els resultats de les prediccions *in silico*, permeten prioritzar els estudis a nivell de RNA en front dels de proteïna, o a la inversa (detallat més avall). Segons el nostre criteri, en absència de sèries més grans, considerem necessari l'estudi funcional per tal de confirmar aquestes prediccions, en contraposició amb altres autors (Kansikas, et al., 2011).



**Figura 30. Algoritme proposat a Borràs et al 2012, per l'estudi de VSD en els gens reparadors.** (\*) Usat en l'anàlisi de VSD no intròniques ni silents.

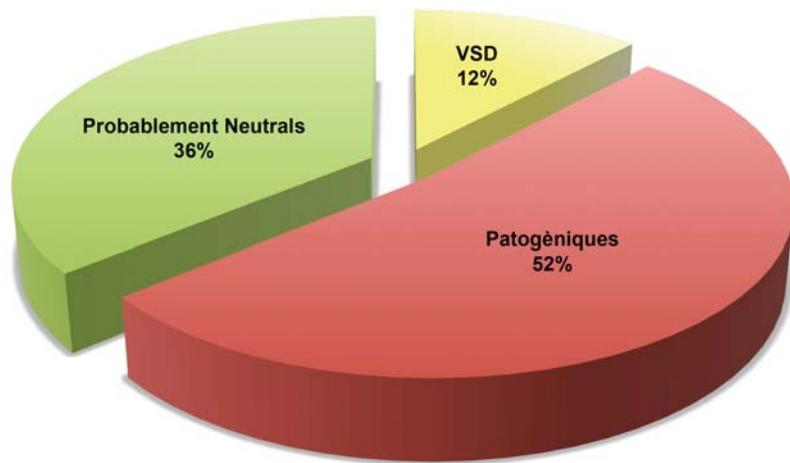
Per altra banda, considerem que l'anàlisi del mRNA ha de ser un dels primers passos en l'avaluació de l'impacte funcional de les VSD, ja que un elevat percentatge de les VSD caracteritzades en aquesta tesi (31%) mostren defectes a nivell de processament del mRNA, corroborant així la importància que alguns autors suggerien en aquest tipus d'estudis (Arnold, et al., 2009; Sharp, et al., 2004; Tournier, et al., 2008). La integració dels resultats de l'estudi de processament junt amb els resultats de l'ASE ens van permetre classificar com a patogènica una de les variants analitzades. Considerem que els estudis d'ASE ens poden ajudar a determinar la patogenicitat d'algunes variants on els resultats de l'estudi de *splicing* no han detectat patogenicitat (Perera 2010) o bé no han sigut del tot concloents (com seria el cas de la variant c.538-3C>G), i per aquest motiu els incloem en el nostre algoritme de classificació junt amb els estudis de processament del mRNA.

A nivell de proteïna, es considera que si una variant mostra una pèrdua en l'activitat reparadora *in vitro*, aquesta pèrdua és altament predictiva de la patogenicitat de la variant (Drost, et al., 2010; Drost, et al., 2012; Kansikas, et al., 2011; Kosinski, et al., 2010; Ollila, et al., 2006), per aquest motiu proposem realitzar l'assaig d'activitat reparadora *in vitro* en primer lloc. En el cas que el resultat d'aquest assaig fos no concloent o no hi hagi defecte de reparació, a la literatura s'ha proposat la utilització d'assaigs que avaluïn altres aspectes de la proteïna per tal de classificar les VSD, com podrien ser l'expressió de les proteïnes reparadores o la localització subcel·lular (Couch, et al., 2008; Ou, et al., 2007). Des del nostre punt de vista, aquests assaigs ens poden ajudar a determinar la possible patogenicitat d'una variant degut a defectes en altres aspectes o funcions de la proteïna.

En comparació amb l'algoritme proposat per (Couch, et al., 2008), aquest nou algoritme remarca la importància de la informació clínica (antecedents familiars i la patologia tumoral) i la freqüència en controls, i proporciona un marc útil per incloure tota la informació a l'hora d'avaluar les VSD. A més, suggereix que les prediccions *in silico*, poden ajudar en la prioritització dels estudis a nivell de RNA o de proteïna de manera mütuaument excloent.

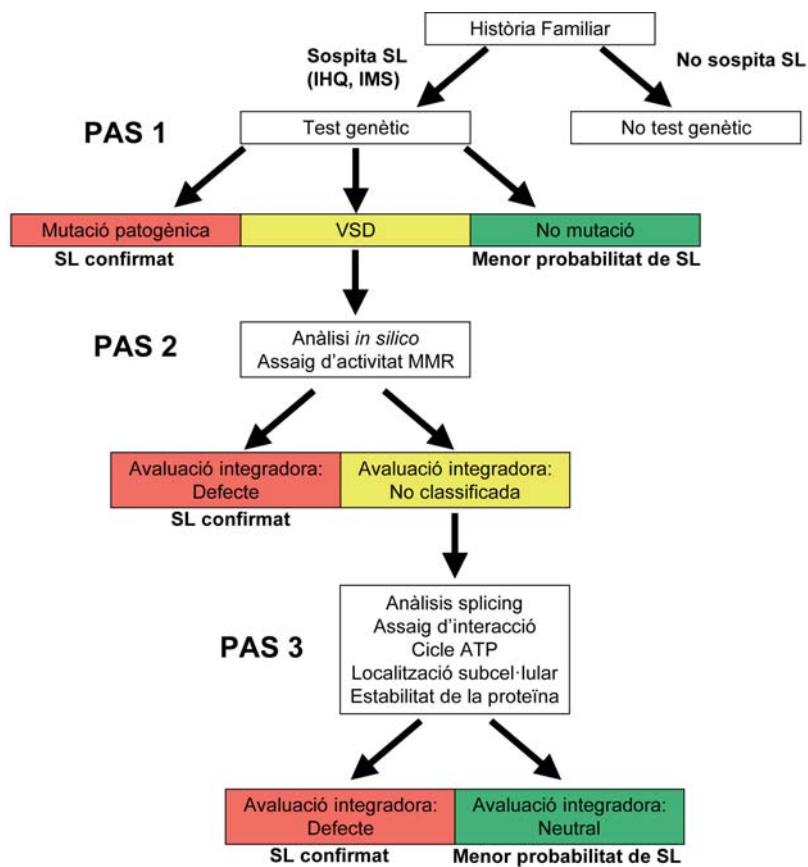
La utilitat de l'algoritme proposat es va validar en una sèrie de 25 variants en *MLH1* que prèviament havien estat analitzades tant a nivell de RNA com de proteïna (Taules S4 i S5 de l'article 2 de resultats). Si després d'haver avaluat les característiques clínicopatològiques haguéssim aplicat l'algoritme, 20 VSD d'aquesta sèrie de validació s'haguessin classificat, ja sigui com patogèniques ( $n=13$ ) o probablement neutres ( $n=7$ ). Per aquestes variants, les prediccions *in silico* de les alteracions en el processament del mRNA van mostrar una elevada correlació, ja que de les 4 VSD on almenys un dels programes *in silico* de *splicing* van predir patogenicitat, aquesta va ser confirmada experimentalment. Cal destacar, que únicament el Spliceport (Dogan , et al., 2007) ofereix una correlació fiable entre les observacions experimentals i la predicció (en 4 de les 4 variants patogèniques), igual que en la sèrie descrita en aquesta tesi. Pel que fa a les prediccions a nivell de proteïna, aquesta correlació no era tan elevada, ja que només 9 de les 13 variants predites com patogèniques van ser confirmades experimentalment. Tot i així, s'ha de tenir en compte que tots els assaigs funcionals no s'havien dut a terme per totes les variants i que a més en algunes ocasions no eren concloents. Així doncs, aquestes dades i les obtingudes en la sèrie de variants en *MLH1* i *PMS2* analitzades, donen suport a la utilització de les prediccions *in silico* per dirigir cap a un anàlisi *in vitro* a nivell de RNA o de proteïna.

En la sèrie de validació, es van classificar el 52% (13/25) de les variants com a patogèniques, el 16% (5/13) d'aquestes després dels estudis a nivell de RNA i el 61% (8/13) després dels estudis funcionals a nivell de proteïna. El 36% (9/25) van ser classificades com a probablement neutrals i el 12% (3/25) romanen com a VSD (Figura 31). Cal tenir en compte, que en algunes de les variants analitzades no es van dur a terme els estudis de localització subcel·lular. Aquest estudi de validació, reforça les observacions prèvies de l'estudi de variants en els gens *MLH1* i *PMS2*, així com suggereixen que l'algoritme proposat podria ser de gran ajuda per a la classificació de variants en el gens reparadors.



**Figura 31. Classificació de les VSD analitzades en la sèrie de validació.** Per aquest anàlisi s'han utilitzat 25 variant reportades a la literatura on s'havien analitzar a nivell de RNA i proteïna. Cal tenir en compte, en algunes de les variants analitzades no es va dur a terme l'assaig de localització subcel·lular (Taula S4, article 2 resultats).

Posteriorment a la publicació de l'algoritme proposat en el nostre estudi de VSD en el gen *MLH1*, s'ha publicat una nova modificació de l'algoritme de Couch i col·laboradors (Couch, et al., 2008). Aquesta nova versió, ha introduït les prediccions *in silico* i els assaigs *in vitro* a nivell de RNA, i els assajos basats en el cicle ATP-ADP (Rasmussen, et al., 2012)(Figura 32). Aquest nou algoritme també utilitza les prediccions *in silico* per prioritzar els estudis a nivell de RNA sobre els de proteïna, en el cas que aquestes prediquin un possible defecte a aquest nivell, tot considerant la importància dels estudis a nivell de RNA per classificar les VSD. A destacar que han introduït els assaigs del cicle ATP-ADP, normalment utilitzats per l'estudi de variants localitzades en els gens *MSH2* i *MSH6*. Aquestes dues proteïnes varien la seva conformació en funció de la seva unió al ATP o ADP i aquest canvi conformacional és necessari pel seu correcte funcionament. En afegir aquest nou aspecte del funcionament de les proteïnes permet tenir una visió més àmplia del possible impacte de la variant.



**Figura 32. Algoritme proposat per l'estudi de VSD en els gens reparadors.** Extret de Rasmussen, et al., 2012.

Els algoritmes de classificació funcional proposats fins al moment, són una eina que ens ajuda en el procés d'anàlisi de les VSD i la seva possible classificació. Tot i així, no està ben establert quins criteris seguir per classificar de forma definitiva una variant. Alguns autors han suggerit sistemes de classificació que es basen en la probabilitat de que una variant sigui patogènica a partir de models Bayesians (Plon, et al., 2008), i d'altres han proposat una classificació qualitativa (Thompson, et al., 2012b).

La classificació per probabilitat de patogenicitat, es porten a terme a partir de la utilització de models multifactorials Bayesians, que permeten integrar el conjunt d'evidències clinico-patològiques en un únic model (Goldgar, et al., 2008; Pastrello, et al., 2011; Plon, et al., 2008). Aquests models representen una alternativa a la classificació de les variants mitjançant estudis funcionals, i són especialment útils per analitzar variants identificades en un nombre important de famílies. Tot i així, és necessari tenir un grau d'evidència clínica molt sòlid per poder classificar aquestes variants. Alguns autors han intentat integrar les característiques tumorals amb altres evidències funcionals per classificar variants (Arnold, et al., 2009; Barnetson, et al.,

2008; Pastrello, et al., 2011). Així, recentment s'ha publicat un model multifactorial que incorpora les probabilitats dels estudis *in silico* junt amb les característiques del tumor i sembla oferir una bona correlació amb la clínica d'aquestes variants (Thompson, et al., 2012a).

Per altra banda, existeixen models qualitatius de classificació de VSD originalment desenvolupats en el CCFR (*Colon Cancer Family Registry*) i que segueixen els suggeriments del *InSiGHT Mutation Interpretation Committee*. Aquest sistema de classificació es basa en les 5 classes proposades per Plon, et al., 2008 i segueix els criteris descrits a la taula 17.

**Taula 17. Criteris qualitatius de classificació proposats per l'estudi de VSD.** Abreviatures: AMS, Amsterdam; MSI-H, inestabilitat de microsatèl·lits elevada. Extreta de Thompson, et al., 2012b.

Classe	Criteri utilitzat per la classificació quantitativa
Classe 5-Patogènic	S'han de complir <b>totes</b> les característiques: (I) Funció deficient de la proteïna en assajos funcionals <i>in vivo/ex vivo</i> en un sistema de mamífers (no pot ser només en llevats). (II) Cosegregació amb la malaltia en almenys una família AMS amb $\geq 4$ portadors afectats, o $\geq 2$ famílies amb $\geq 3$ portadors afectats. (III) No està present en la població general ( $>160$ individus=320 al·lels). (IV) MSI-H en $\geq 2$ tumors independents, sense resultats contradictòris de IHQ o pèrdua de l'expressió de les proteïnes reparadores en relació amb la localització de la variant en $\geq 2$ tumors independents per MLH1 o $\geq 1$ tumor per MSH2, MSH6 i PMS2.
Classe 4-Probablement Patogènic	Funció de la proteïna deficient <b>en un o més</b> assaigs <i>in vitro / ex vivo</i> en qualsevol sistema eucariota, <b>més una</b> de les següents característiques: (I) Cosegregació amb la malaltia en almenys una família AMS amb $\geq 3$ portadors afectats. (II) MSI-H en $\geq 2$ tumors independents, sense resultats contradictòris IHC o pèrdua d'expressió de les proteïnes MMR (s) en relació amb la localització de la variant en $\geq 2$ tumors independents per MLH1 o $\geq 1$ tumor per MSH2, MSH6 i PMS2.
Classe 3-Variant de significat incert	Insuficients evidències per classificar-la en cap de les altres classes.
Classe 2-Probablement neutral	Variant reportada amb una freqüència $\geq 1\%$ en un grup ètnic específic, i que no ha estat exclosa de ser una mutació fundadora coneguda. O una variant reportada amb una freqüència $<1\%$ en la població en general, amb una funció normal de la proteïna en els assajos <i>in vitro / ex vivo</i> en qualsevol línia cel·lular eucariota i sense defecte de <i>splicing</i> .
Classe 1-No Patogènica	Variant reportada en la població general amb una freqüència $\geq 1\%$ O variant present en la població en general amb una freqüència del 0,1% - 1% i determinada en sèrie gran de cas-control i associada amb un risc estimat $<1.5$ .

Aquest criteris són altament restrictius per poder classificar les variants en les classes 5, 4, 2 i 1 (les clínicament rellevants) i per tant molts cops després dels estudis funcionals les variants continuen classificades com a classe 3 (VSD). Això és degut al fet que per exemple, per poder classificar una variant en les classes 5 i 4, no només són necessaris els estudis funcionals sinó que també és de vital importància tenir una informació clínica rellevant, informació de les característiques del tumor però també de la cosegregació de la variant amb la malaltia, com s'ha comentat anteriorment, l'obtenció d'aquesta informació molts cops presenta dificultats.

Des del nostre punt de vista, aquesta classificació és de gran utilitat si es té tant la informació clínica com la informació dels estudis funcionals, però en el cas que no es disposi de la informació clínica, els algoritmes funcionals de classificació de VSD proposats són els que actualment ens poden ajudar a determinar la patogenicitat de la variant.

Tot i així, és necessari seguir treballant tant en els models bayesians com en els criteris de classificació qualitativa a nivell col·lectiu, per poder arribar a un consens de classificació de les VSD a nivell mundial. Cal remarcar, que en paral·lel al desenvolupament d'aquests models i dels criteris de classificació de les VSD, la validació dels estudis funcionals utilitzats, és fonamental per poder introduir aquesta informació de forma generalitzada a la clínica.

## **SUMARI**

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- Les mutacions c.306+5G>A i c.1865T>A (p.L622H) són les primeres mutacions fundadores en el gen *MLH1* identificades a Espanya. Les mutacions c.306+5G>A i c.1865T>A presenten elevada prevalença i penetrància moderada
- La caracterització funcional de les VSD en els gens reparadors *MLH1* i *PMS2* en la sèrie descrita en la present tesi, ha permès classificar el 56% (9/16) de les variants analitzades com a patogèniques i el 25% (4/16) com a probablement neutrals. El 19% (3/16) romanen com a VSD.
- Les prediccions *in silico* sobre l'impacte d'una variant en el processament del mRNA i en la funcionalitat de la proteïna ofereixen una bona correlació entre prediccció i resultats experimentals. Aquestes prediccions *in silico* són útils per prioritzar els estudis funcionals a nivell de RNA en front dels de proteïna, o a la inversa.
- Els estudis a nivell de mRNA han permès classificar com a patogèniques el 31%(5/16) de les variants analitzades. Aquest fet confirma l'alta prevalença d'aquestes alteracions i la importància d'aquests estudis per la classificació de VSD.
- Els assaigs *in vitro* de reparació i l'avaluació de l'expressió de proteïnes han demostrat ser útils en la classificació de les VSD identificades en gens reparadors, permetent classificar com a patogèniques el 25% (4/16) de les variants analitzades.
- S'ha proposat un algoritme de classificació per les VSD en els gens reparadors, que estableix una estratègia raonada per a l'avaluació de la seva patogenicitat, integrant la informació clínicopatològica amb estudis a nivell de RNA i proteïna, *in silico* i *in vitro*.



## **CONCLUSIONS**

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- L'elevada prevalença i la penetrància moderada de les mutacions c.306+5G>A i c.1865T>A, s'ha de tenir en consideració quan es dissenyen estratègies diagnòstiques moleculars en les famílies amb síndrome de Lynch espanyoles.
- L'anàlisi exhaustiu de les mutacions que inclou l'avaluació de la freqüència en població control, l'anàlisi de cosegregació, dades clinico-patològiques i el resultat d'assaigs funcionals a diferents nivells ha permès classificar un 81.2% de totes les VSD detectades en els gens *MLH1* i *PMS2* en la nostra sèrie. La classificació d'aquestes variants permet millorar el diagnòstic molecular i alhora el consell genètic d'aquests pacients i les seves famílies.



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## **ANNEXES**

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## ***ANNEX I: Altres publicacions***

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## **ALLEL-E-SPECIFIC EXPRESSION OF APC IN ADENOMATOUS POLYPOSIS FAMILIES**

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**Gastroenterology:**139(2):439-47, 447.e1 (2010)

**Resum del treball:** Les mutacions germinals en el gen *APC* són la principal causa de poliposi adenomatosa familiar (FAP) i, en una menor proporció, de poliposis adenomatosa familiar atenuada (AFAP). L'anàlisi sistemàtic del gen *APC* a nivell de RNA pot proporcionar informació sobre la patogenicitat de les mutacions trobades i identificar la base molecular en la famílies on no s'han identificat mutacions. En aquest treball, es va analitzar la prevalença dels desequilibris en l'expressió al·lèlica del gen *APC* en famílies amb poliposi amb mutacions en la línia germinal en el gen *APC* així com en famílies sense mutacions detectades en *APC* i/o *MUTYH*.

Per realitzar aquest anàlisis d'expressió es va utilitzar la tècnica de *single nucleotide primer extension* (SNuPE) en un polimorfisme del exó 11 del gen *APC*.

De les famílies amb mutació en el gen *APC*, la majoria de les que eren portadores d'una mutació situada abans de l'últim exó del gen, presentaven desequilibri en l'expressió al·lèlica (ASE), això és consistent amb el mecanisme de *nonsense-mediated decay*. De les famílies que no presentaven mutacions en *APC/MUTYH*, el 9% presentaven desequilibri en ASE, que podrien ser responsables de la malaltia. L'expressió normal de l'al·lel era restaurada després de cultivar els limfòcits en puromicina, recolzant la hipòtesis del *nonsense-mediated decay*.

Així doncs, l'anàlisi de ASE pot ser utilitzat per determinar la patogenicitat d'alguns casos de FAP i AFAP on no s'han identificat mutacions en el gen *APC*. A més, l'ASE també pot ser utilitzat per prioritzar l'ordre en que s'analitzin les diferents zones del gen en la cerca de mutacions. En conclusió, els estudis a nivell de RNA són importants en el diagnòstic molecular de la FAP.



## Allele-Specific Expression of APC in Adenomatous Polyposis Families

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**See related articles, Rozas DA et al, on page e85; and Ruys AT et al, on page 731 in CGH.**

**BACKGROUND & AIMS:** Germline mutations in the APC gene cause of most cases of familial adenomatous polyposis (FAP) and a lesser proportion of attenuated FAP (AFAP). Systematic analysis of APC at the RNA level could provide insight into the pathogenicity of identified mutations and the molecular basis of FAP/AFAP in families without identifiable mutations. Here, we analyzed the prevalence of imbalances in the allelic expression of APC in polyposis families with germline mutations in the gene and without detectable mutations in APC and/or MUTYH. **METHODS:** Allele-specific expression (ASE) was determined by single nucleotide primer extension using an exon 11 polymorphism as an allele-specific marker. In total, 52 APC-mutation-positive (36 families) and 24 APC/MUTYH-mutation-negative (23 families) informative patients were analyzed. Seventy-six controls also were included. **RESULTS:** Of the APC-mutation-positive families, most of those in whom the mutation was located before the last exon of the gene (12 of 14) had ASE imbalance, which is consistent with a mechanism of nonsense-mediated decay. Of the APC/MUTYH-mutation-negative families, 2 (9%) had ASE imbalance, which might cause the disease. Normal allele expression was restored shortly after lymphocytes were cultured with puromycin, supporting a ‘nonsense-mediated’ hypothesis. **CONCLUSIONS:** ASE analysis might be used to determine the pathogenesis of some cases of FAP and AFAP in which APC mutations are not found. ASE also might be used to prioritize the order in which different areas of APC are tested. RNA-level studies are important for the molecular diagnosis of FAP.

**Keywords:** Allele-Specific Expression; Nonsense-Mediated Decay.

Familial adenomatous polyposis (FAP), an autosomal-dominant disease predisposing to colorectal cancer, is caused mainly by truncating germline mutations in the APC gene (5q21-22).<sup>1</sup> Widespread use of sequencing

techniques has led to the identification of an increased number of missense mutations,<sup>2</sup> variants with a putative impact on messenger RNA (mRNA) splicing,<sup>3</sup> and cases of somatic and germline mosaisms.<sup>4</sup> Exon dose analysis has detected gross rearrangements in a minority of cases.<sup>5</sup> However, in a lesser proportion of cases, attenuated FAP (AFAP) also is associated with APC mutations and with biallelic germline mutations in the MUTYH gene, showing an autosomal-recessive pattern.<sup>6</sup>

In other cancer predisposition genes such as MSH2, MLH1, BRCA1, BRCA2, and NF1, studies at the RNA level have shown that mutations causing a premature termination codon (PTC) usually trigger nonsense-mediated decay (NMD) of the mRNA.<sup>7-11</sup> This mRNA surveillance mechanism reduces the abundance of premature stop-codon-harboring mRNA and of the corresponding truncated proteins. NMD of the affected transcript occurs if the PTC is located approximately 55 base pairs upstream of the last intron-exon boundary.<sup>12</sup> Some RNA studies of the APC gene have been published, focused mainly on the presence of aberrant splicing caused by missense, silent, or unclassified variants.<sup>3,13</sup>

The proportion of FAP families with identifiable APC mutations has shown a slight increase with the incorporation of new techniques into diagnostic algorithms. However, a large subset has undetectable pathogenic changes (designated here as APC[−]/MUTYH[−]). Small decreases in APC mRNA have been detected in APC(−)<sup>14,15</sup> and APC(−)/MUTYH(−) families.<sup>16-18</sup> In contrast, high germline levels of an APC mRNA isoform resulting from an exon 10–15 connection have been observed in a case of APC(−)/MUTYH(−) AFAP.<sup>19</sup> Germline imbalances in allele-specific expression (ASE) of the APC gene have been detected in FAP and AFAP

**Abbreviations used in this paper:** AFAP, attenuated familial adenomatous polyposis; ASE, allele-specific expression; cDNA, complementary DNA; dNTP, deoxynucleoside triphosphate; F-UM, family code of the University of Michigan; FAP, familial adenomatous polyposis; ICO, Catalan Institute of Oncology; NMD, nonsense-mediated decay; PCR, polymerase chain reaction; PTC, premature termination codon; SD, standard deviation; SNP, single nucleotide polymorphism; UM, University of Michigan.

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families,<sup>14,15,18,20</sup> but their potential contribution to diagnostic yield is unknown.

The prevalence of imbalances in *APC* allelic expression was analyzed in 2 sets of polyposis families: *APC* mutation-positive, designated here as *APC*(+), and *APC*(−)/*MUTYH*(−). Here we show that mutations outside exon 15 are associated with a clear allelic imbalance at the RNA level that is secondary to NMD, and that these imbalances also are detected in a low proportion of *APC*(−)/*MUTYH*(−) families.

## **Patients and Methods**

### **Patients**

A total of 127 FAP and AFAP families from the Catalan Institute of Oncology (ICO) and the University of Michigan (UM) initially were tested for germline *APC* and *MUTYH* mutations by comprehensive diagnostic methods (sequencing or single-strand conformation polymorphism-analysis of all exons and exon-intron boundaries, gross deletion analysis by quantitative multiplex polymerase chain reaction [PCR] of short fluorescent fragments, and/or multiplex ligation-dependent probe amplification, and/or Southern blot for the *APC* gene, presence of germline epimutations in *APC* promoter in conjunction with sequencing of the 2 most prevalent variants of the *MUTYH* gene—Y165C and G382D). Mutations were found in 76 families, although the molecular pathogenic cause of the disease remained unknown in 51 families. The mutation detection rates were 83% for FAP and 25% for AFAP.

Individuals from 59 of the families tested heterozygous for the rs2229992 *APC* coding single nucleotide polymorphism (SNP) in exon 11 of the *APC* gene and were the informative population of the present study. These families were divided according to mutational status as follows: (1) 36 *APC*(+) families (24 classic FAP, 34 carrier individuals; 12 AFAP, 18 carrier individuals); and (2) 23 *APC*(−)/*MUTYH*(−) families (5 FAP, 6 individuals; 18 AFAP, 18 individuals).

A total of 76 heterozygous controls were included: 29 noncarriers from *APC* mutation families, 6 carriers and 24 noncarriers from *MSH2* and *MLH1* mutation families, and 17 Ashkenazi Jewish individuals diagnosed with sporadic microsatellite-unstable colorectal cancer that formed part of the Molecular Epidemiology of Colorectal Cancer study. This was a population-based, case-control study of incident colorectal cancer, including histopathologically confirmed cases from all incident colorectal cancer cases diagnosed in northern Israel from March 31, 1998, onward. Informed consent was obtained from all of the subjects who participated in the study.

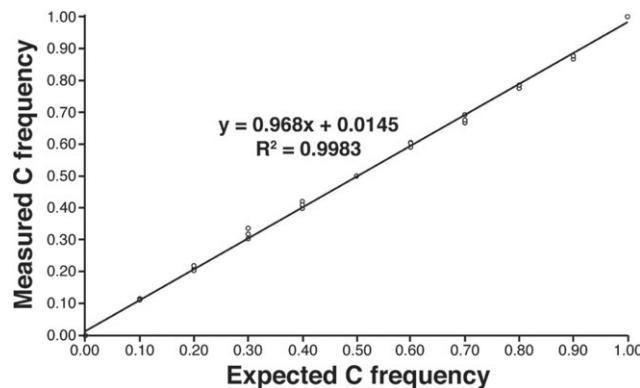
### **Genotyping: Analysis of Genomic DNA**

Peripheral blood lymphocyte DNA was obtained using the FlexiGene DNA kit (QIAGEN, Hilden, Ger-

many). A total of 25 ng of genomic DNA was amplified in a final volume of 25 μL containing 0.13 μmol/L primers, 0.2 mmol/L deoxynucleoside triphosphate (dNTP), 2.5 mmol/L MgCl<sub>2</sub>, and 1.25 U *Taq* polymerase (Thermoprim Plus DNA Polymerase; ABgene, Rochester, NY). After an initial denaturing step, samples underwent 5 initial cycles (1 min at 94°C, 30 s at 65°C, and 30 s at 72°C), 20 cycles (1 min at 94°C, 30 s at 65°C decreasing 0.5°C/cycle, and 30 s at 72°C), and 10 final cycles (1 min at 94°C, 30 s at 55°C, and 30 s at 72°C). Primers in exon 11 (forward: 5'-GGGACTACAGGCCATTGCA-3', and reverse: 5'-CAAAGTTGTCAAAGCCATTCCAGC-3') were used to amplify the rs2229992 SNP. To remove unincorporated primers and dNTPs, PCR fragments were purified using illustra GFX PCR DNA and Gel Band Purification Kit (GE Healthcare, Boston, MA). For the single nucleotide primer extension reaction, primer extension was performed with the SNaPshot Multiplex Kit (Applied Biosystems, Carlsbad, CA) with 5'-ATTGCAAGTGGACTGTGAAATGTA-3' according to the manufacturer's instructions. Briefly, reactions were performed in a total volume of 10 μL containing 1.5 μL treated PCR product, 4.5 μL SNaPshot Ready Reaction Mix, and 0.2 μmol/L extension primer. Primer extension thermocycling conditions consisted of 25 cycles of 96°C for 10 seconds, 50°C for 5 seconds, and 60°C for 30 seconds. SNaPshot reaction products were treated with 1 U shrimp alkaline phosphatase (USB Corporation, Cleveland, OH) for 60 minutes at 37°C and then 15 minutes at 75°C. Products were run in an ABI Prism 3130 DNA sequencer and analyzed by GeneMapper v4.0 (Applied Biosystems). Heterozygous samples showed a profile with 2 peaks (black and red peaks represent C and T alleles, respectively), whereas only one peak was observed for homozygous samples.

### **Measuring ASE: Analysis of Complementary DNA**

Total RNA was isolated from frozen lymphocytes using Trizol Reagent (Invitrogen, Carlsbad, CA) according to the manufacturer's instructions. A total of 250 ng of RNA were reverse-transcribed into complementary DNA (cDNA) using random hexa-nucleotide mixture primers and MMLV reverse transcriptase (Invitrogen). To specifically amplify rs2229992 SNP in cDNA, we used the same exon 11 forward primer as for DNA amplification and a reverse primer targeting the exon 11–12 junction (5'-ATAGAGCATAGCGTAGCCTTGTTG-3'). PCR reactions were performed in a final volume of 25 μL containing 2 μL of cDNA, 0.2 μmol/L primers, 0.2 mmol/L dNTPs, 2.5 mmol/L MgCl<sub>2</sub>, and 1.25 U *Taq* polymerase (Thermoprim Plus DNA Polymerase; ABgene). After a denaturing step, 35 cycles of 30 seconds at 94°C, 30 seconds at 55°C, and 30 seconds at 72°C were performed. The remaining steps were the same as described for genotyping, including purification, SNaP-



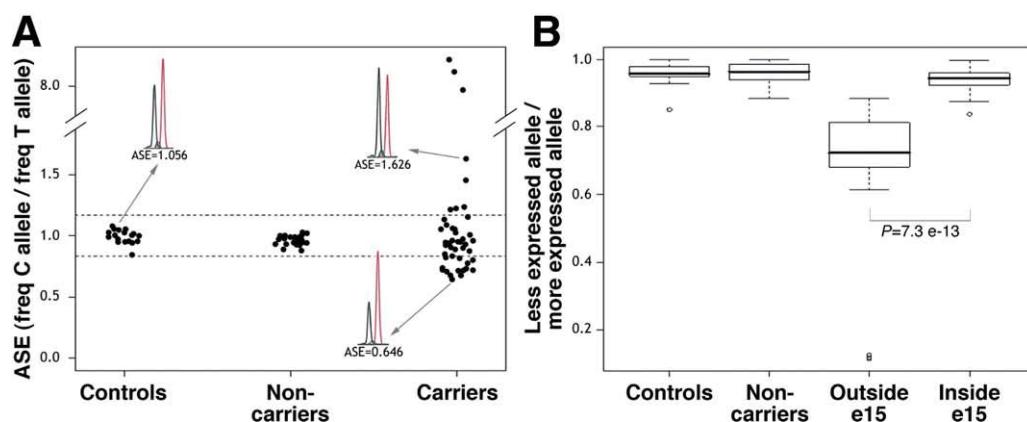
**Figure 1.** SNaPshot analysis of rs2229992 SNP as a quantitative test. Regression analysis of the amplification products of 2 homozygous control cDNAs mixed in different proportions and analyzed in triplicate by SNaPshot. Allele frequencies were calculated by the peak heights: freq. C = C/(C + kT), where the correction factor k is determined from the mix simulating an actual allele frequency of 0.5 (5:5). Measured allele frequencies were plotted against the expected values. A near-linear relationship was observed across all data points ( $R^2 = 0.9983$ ).

shot reaction, phosphatase treatment, and capillary electrophoresis.

ASE was measured using peak intensities in heterozygous samples. Allelic frequencies were calculated as frequency C = C/(C + kT) or freq. T = T/(T + k'C), where k and k' are constants given by the mean of C/T (k) and T/C (k') proportions in control samples. These constants were used to correct for unequal representation of alleles in known control heterozygotes caused by differential PCR amplification of alleles, differential efficiencies of dideoxynucleoside triphosphates incorporation in the ex-

tension reaction, unequal emission energies of fluorescent dyes,<sup>21</sup> or putative differences in physiologic RNA levels owing to alternative splicing affecting exons 1, 7, 9, 10A, and 14 of the APC gene.<sup>22-27</sup> ASE values are expressed as the proportion of frequencies of the 2 alleles (freq. C:freq. T). Three independent replicates of all experiments were obtained, and a set of controls was included in every experiment. A Mann-Whitney test was used to evaluate ASE differences among groups.

To validate the SNaPshot analysis as a quantitative technique, 2 homozygous samples representing the 2 alleles were selected. After reverse transcription, cDNAs were mixed in different proportions (0:10, 1:9, 2:8, 3:7, 4:6, 5:5, 6:4, 7:3, 8:2, 9:1, and 10:0) and analyzed in triplicate. As seen in Figure 1, a SNaPshot assay of rs2229992 SNP provides a quantitative measurement of ASE of the APC gene with an analytic sensitivity of at least 10% (Figure 1). To set the cut-off points for equal and unequal expression we used 14 controls from the ICO subset of samples and obtained a mean value of  $1.002 \pm 0.055$  (Figure 2A). From these results we established a conservative range for normal ASE values of 0.836–1.168 with a confidence of 99% (mean values  $\pm$  3 standard deviations). These values were validated independently in an additional set of 14 controls with a range for normal values of 0.732–1.198 (mean values  $\pm$  3 standard deviations), which has been replicated consistently in independent experiments using different control sets. In the presence of modest interexperiment variability, a set of control samples was always run in the same experiment. Pyrosequencing was used to confirm the range of



**Figure 2.** Quantification of ASE in APC(+) polyposis patients. (A) Distribution of APC ASE in controls, noncarriers, and carriers of a pathogenic APC mutation from the ICO and UM subsets. ASE values are the proportion between the C and T allele frequencies of the APC coding SNP rs2229992. Each point represents the mean of the triplicate measurements, and each replicate was performed for all samples in each subset. Allelic ratios ranged from 0.646 to 8.706. The normal ASE cut-off range is indicated by dashed horizontal lines (at 1.168 and 0.836) and determined by control ASE values, as indicated in the Patients and Methods section. Three sample electropherograms obtained from the SNaPshot reaction, and their corresponding ASE values, also are shown. (B) Box plots of ASE values for the location of the mutation in the APC gene. Patients were stratified into 2 groups by mutation site (OUTSIDE e15, mutation located from exons 1–14; INSIDE e15, mutation located in exon 15). To give an overview of our results, ASE values are represented as the proportion of allelic frequencies between the underexpressed and the overexpressed allele in each sample, independently of whether it is C or T. The interquartile range includes 50% of the samples and is shown by white boxes. The interdecile range includes 90% of the patients and is shown as whiskers. Outliers are shown by empty dots. Mutated groups were compared using the nonparametric Mann-Whitney test.

normal ASE values in an independent set of 18 controls. Briefly, germline cDNA and germline DNA were PCR-amplified using the forward primer (GAATTATTG-CAAGTGGACTGTGAA) and the reverse biotinylated primer (GCCATTCCAGCATATCGTCTTA) to yield an 83-base pair amplicon containing rs2229992. The PCR reaction mixture (25 μL) contained 5 ng of genomic DNA or 1 μL of cDNA, 2.5 μL of 10× PCR buffer (Applied Biosystems), 2 μL of 25 mmol/L MgCl<sub>2</sub> (Applied Biosystems), 1.25 μL of 2.5 mmol/L dNTP (New England Biolabs, Ipswich, MA), 0.5 μL of each 10 μmol/L primer, and 0.75 U of AmpliTaq Gold DNA polymerase (Applied Biosystems). Cycling conditions were as follows: initial denaturation at 95°C for 3 minutes, 50 cycles of 95°C for 34 seconds, 60°C for 45 seconds, 72°C for 45 seconds, and a final extension at 72°C for 10 minutes. A total of 5 μL of the resulting PCR product was used for pyrosequencing with the sequencing primer (GCAAGTGGACT-GTGAAT) according to the standard Qiagen protocol. Normal ASE values were similar using this technique, ranging from 0.732 to 1.198 (mean values ± 3 standard deviations).

### **Puromycin Analysis**

Frozen lymphocytes from a subset of patients and controls were short-cultured in RPMI 1640 + GlutaMAX medium (10% fetal bovine serum, 1% penicillin-streptomycin) (Invitrogen) and phytohemagglutinin (Sigma-Aldrich, St. Louis, MO). After 6–9 days, 2 subcultures were derived: one was treated with 250 μg/mL puromycin (Sigma-Aldrich) for 5 hours, and the other was left untreated. RNA was extracted and ASE was calculated as described earlier.

### **APC cDNA Molecular Analysis and Promoter Analyses**

APC cDNA molecular analysis was performed in all APC(−)/MUTYH(−) families showing ASE imbalance. Six overlapping primer pairs covering all exon-exon boundaries of the APC gene were designed to test for abnormal cDNA products in these families. Differential running patterns between test samples and controls were assessed visually using agarose gel electrophoresis and observed abnormal bands were sequenced. Sequencing of the 1A and 1B promoter regions of the APC gene also was performed. Finally, APC gene expression levels were analyzed in all ICO samples by quantitative real-time PCR using a primer pair targeting exons 2 and 3. The primer sequences and experimental conditions for these analyses are detailed in Supplementary Table 1.

## **Results**

### **ASE Analysis in APC(+) Polyposis Families**

ASE was assessed in 52 individuals (34 FAP, 18 AFAP) who were APC(+) from 36 families (24 FAP, 12

AFAP) that tested heterozygous at rs2229992, in parallel with noncarrier individuals and controls. To categorize ASE, a cut-off range was calculated as described in the Patients and Methods section. ASE values less than 0.836 designated C-allele underexpression and values greater than 1.168 designated C-allele overexpression, in both cases depicting allelic imbalance. The average and standard deviation ASE values for noncarriers (n = 24) were 0.964 ± 0.038 (Figure 2A), with none outside the normal range. Twenty-two families (24 individuals) showed normal ASE values (range, 0.876–1.155). The remaining 14 (39%) showed ASE imbalances: 5 families (8 individuals) showed C-allele overexpression (range, 1.214–8.706), 8 families (18 individuals) showed C-allele underexpression (range, 0.677–0.830), and 1 family showed the borderline value of 0.838. Another family, harboring a deletion from the promoter to exon 4, showed complete loss of T-allele expression (family code of the University of Michigan [F-UM]-8) and was used as an internal control (Figure 2A; Table 1).

In accordance with the canonical model of NMD, a strong correlation was observed between the location of the mutation outside exon 15 (leading to a premature termination codon before the last exon of the gene) and the presence of ASE imbalance. Twelve of 14 (86%) families with mutations outside exon 15 showed abnormal ASE, whereas the proportion decreased to 2 of 22 (9%) for families with exon 15 mutations ( $P = 7.3e-13$ , obtained from ASE values) (Table 1, Figure 2B). Interestingly, the 4 cases that did not fit with the model showed borderline ASE values. Thus, the ASE values for the 2 families with mutations outside exon 15 and with no imbalance (F-UM-2 and F-UM-9) were 1.155 and 1.133 (upper cut-off value, 1.168). The 2 cases with exon 15 mutations and classified as harboring putative imbalances (F-8 and F-9) showed ASE values of 0.830 and 0.838 (lower cut-off value, 0.836). F-8 harbors a mutation at intron 14 that is predicted to alter intron-exon processing, leading to a truncated protein at exon 15. F-9 has a recurrent mutation, p.Gln1062X, that was not associated with ASE in other cases in our series sharing the same alteration (F-13 and F-22). However, F-9 was classified as putatively abnormal because the imbalance essentially coincided with the diagnostic threshold value and was confirmed in cultured lymphocytes (Table 1).

Variations in ASE imbalance depending on the location of the mutation associate with different APC expression levels. Values of APC germline expression in 43 controls were  $3.793 \pm 2.933$ . Expression levels in polyposis with exon 15 mutations were similar ( $3.647 \pm 0.911$ ), whereas expression levels in polyposis with mutations outside exon 15 were lower ( $2.887 \pm 0.867$ ;  $P = .014$ ).

More than one member (range, 2–5) was analyzed in 8 FAP and AFAP families, and all carriers showed concordant ASE values in 7 of these families (Table 1). In 1 family (F-2), 2 of the 3 carriers analyzed showed abnor-

**Table 1.** Characteristics of the APC(+) Polyposis Families Analyzed for ASE

Individual	Family	Phenotype	Mutation <sup>a</sup>	Exon or intron	Type of mutation	Reference	ASE ± SD (freq C/freq T)	(-)Puromycin (freqC/freqT)	ASE (+)Puromycin (freqC/freqT)
41	8	FAP	c.1958+3A>G	i14 <sup>b</sup>	Splicing	2,3	<b>0.830 ± 0.036</b>		
UM-6	UM-6	AFAP	c.2004del; p.Leu669X	e15	Frameshift	This study	0.911 ± 0.250		
78	19	FAP	c.2116del; p.Met706CysfsX12	e15	Frameshift	This study	0.964 ± 0.010		
61	15	FAP	c.2397T>A; p.Tyr799X	e15	Nonsense	3	0.876 ± 0.018		
38	14	FAP	c.2701C>T; p.Gln901X	e15	Nonsense	This study	1.062 ± 0.025		
39	14	FAP	c.2701C>T; p.Gln901X	e15	Nonsense	This study	0.949 ± 0.010		
25	11	FAP	c.2727del; p.Thr910LeufsX6	e15	Frameshift	This study	0.964 ± 0.032		
127	27	AFAP	c.3077A>G; p.Asn1026Ser	e15	Missense	36	0.974 ± 0.049		
97	9	FAP	c.3183_3187del; p.Gln1062X	e15	Frameshift	1,2,3	<b>0.838 ± 0.030</b>	0.773	1.090
37	13	FAP	c.3183_3187del; p.Gln1062X	e15	Frameshift	1,2,3	1.055 ± 0.093		
100	22	FAP	c.3183_3187del; p.Gln1062X	e15	Frameshift	1,2,3	0.907 ± 0.042		
33	12	FAP	c.3562del; p.Pro1188LeufsX77	e15	Frameshift	This study	0.924 ± 0.073		
124	26	AFAP	c.3631A>G; p.Met1211Val	e15	Missense	This study	0.892 ± 0.050		
UM-4	UM-4	FAP	c.3688C>T; p.Gln1230X	e15	Nonsense	1,2,3	1.015 ± 0.121		
66	16	FAP	c.3838_3839del; p.Leu1280ValfsX4	e15	Frameshift	This study	0.944 ± 0.039		
77	18	FAP	c.3927_3931del; p.Glu1309AspfsX4	e15	Frameshift	1,2,3	0.950 ± 0.023		
76	17	FAP	c.4189G>T; p.Glu1397X	e15	Nonsense	1,3	0.943 ± 0.013		
81	20	FAP	c.4393_4394del; p.Ser1465TrpfsX3	e15	Frameshift	1,2,3	0.932 ± 0.045		
96	21	FAP	c.4393_4394del; p.Ser1465TrpfsX3	e15	Frameshift	1,2,3	0.951 ± 0.039		
23	10	FAP	c.4608_4614del; p.Glu1536AspfsX27	e15	Frameshift	This study	1.028 ± 0.016		
24	10	FAP	c.4608_4614del; p.Glu1536AspfsX27	e15	Frameshift	This study	1.009 ± 0.049		
UM-7	UM-7	AFAP	c.4782_4785del; p.Ala1595ArgfsX54	e15	Frameshift	This study	0.929 ± 0.029		
UM-1	UM-1	AFAP	c.5936_5939del; p.Asn1979ThrfsX64	e15	Frameshift	1	1.054 ± 0.039		
UM-10	UM-10	AFAP	c.5936_5939del; p.Asn1979ThrfsX64	e15	Frameshift	1	1.086 ± 0.099		
UM-8	UM-8	AFAP	Deletion promoter-e4	e1-e4	Gross deletion	This study	<b>Loss of T</b>		
54	1	FAP	c.423-2A>G	i3	Splicing	This study	<b>0.738 ± 0.031</b>	0.621	0.997
161	2	FAP	c.423-2A>G	i3	Splicing	This study	<b>0.823 ± 0.069</b>		
163	2	FAP	c.423-2A>G	i3	Splicing	This study	0.853 ± 0.028		
165	2	FAP	c.423-2A>G	i3	Splicing	This study	<b>0.717 ± 0.019</b>	0.497	0.952
UM-2	UM-2	AFAP	c.426_427del; p.Leu143AlafsX4	e4	Frameshift	2	1.155 ± 0.061		
UM-3	UM-3	AFAP	c.426_427del; p.Leu143AlafsX4	e4	Frameshift	2	<b>1.214 ± 0.020</b>		
UM-9	UM-9	AFAP	c.464_465del; p.Lys155ArgfsX12	e4	Frameshift	This study	1.133 ± 0.043		
46	3	FAP	c.607C>T; p.Gln203X	e5	Nonsense	1	<b>1.626 ± 0.008</b>		
47	3	FAP	c.607C>T; p.Gln203X	e5	Nonsense	1	<b>1.451 ± 0.049</b>	2.164	1.083
116	23	AFAP	c.834+1G>A	i7	Splicing	2,3	<b>0.680 ± 0.027</b>		
117	23	AFAP	c.834+1G>A	i7	Splicing	2,3	<b>0.677 ± 0.006</b>		
118	23	AFAP	c.834+1G>A	i7	Splicing	2,3	<b>0.728 ± 0.011</b>		
119	23	AFAP	c.834+1G>A	i7	Splicing	2,3	<b>0.713 ± 0.006</b>		
122	23	AFAP	c.834+1G>A	i7	Splicing	2,3	<b>0.734 ± 0.021</b>		
10	4	FAP	c.834+1G>A	i7	Splicing	2,3	<b>0.682 ± 0.029</b>		
11	4	FAP	c.834+1G>A	i7	Splicing	2,3	<b>0.722 ± 0.029</b>		
12	4	FAP	c.834+1G>A	i7	Splicing	2,3	<b>0.646 ± 0.024</b>		
13	4	FAP	c.834+1G>A	i7	Splicing	2,3	<b>0.687 ± 0.042</b>		
14	4	FAP	c.834+1G>A	i7	Splicing	2,3	<b>0.725 ± 0.014</b>		
18	5	FAP	c.1262_1263delinsAA; p.Trp421X	e9	Nonsense	This study	<b>0.779 ± 0.022</b>		
21	5	FAP	c.1262_1263delinsAA; p.Trp421X	e9	Nonsense	This study	<b>0.721 ± 0.024</b>	0.525	1.069
106	24	AFAP	c.1557_1561del; p.Cys520TyrfsX15	e12	Frameshift	This study	<b>7.911 ± 0.337</b>	13.800	6.353
107	24	AFAP	c.1557_1561del; p.Cys520TyrfsX15	e12	Frameshift	This study	<b>8.706 ± 0.468</b>		
108	24	AFAP	c.1557_1561del; p.Cys520TyrfsX15	e12	Frameshift	This study	<b>8.387 ± 0.882</b>		
105	25	AFAP	c.1699G>T; p.Gly567X	e13	Nonsense	This study	<b>0.804 ± 0.022</b>	0.692	1.090
63	6	FAP	c.1660C>T; p.Arg554X	e13	Nonsense	1,2,3	<b>1.238 ± 0.047</b>	2.152	1.069
32	7	FAP	c.1660C>T; p.Arg554X	e13	Nonsense	1,2,3	<b>1.223 ± 0.039</b>	2.255	1.153

NOTE. Imbalanced ASE values are depicted in bold letters. For further details about the mutations reported we refer to 3 APC gene mutation databases: (1) the Universal Mutation Database <http://www.umd.be/APC/>; (2) <http://www.LOVD.nl/APC>; and (3) <http://www.hgmd.cf.ac.uk/ac/index.php>.

SD, standard deviation; e, exon; i, intron.

<sup>a</sup>Reference accession number: NM\_000038.<sup>2</sup>

<sup>b</sup>Considered as exon 15 mutation because it leads to a PTC in exon 15.

mal ASE values (0.823 and 0.717) and the third carrier showed a normal borderline value (0.853), which probably reflects a degree of variability between individuals. ASE values were similar among families with the same

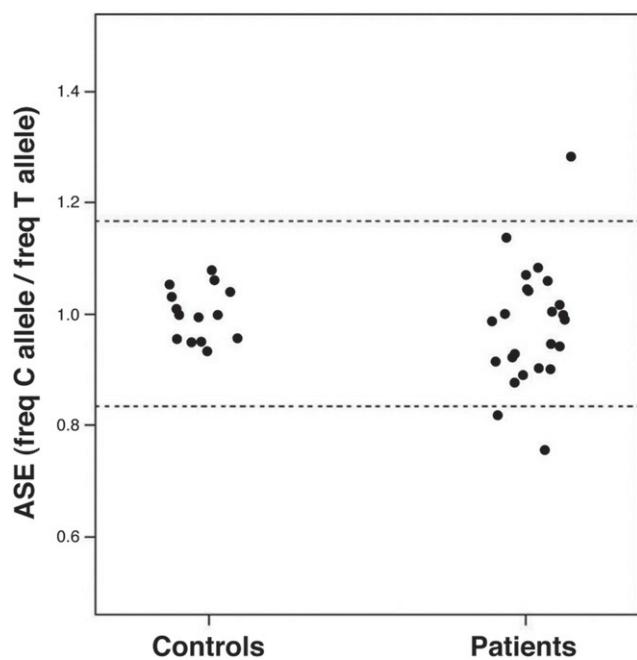
mutation. For example, in families F-4 (FAP) and F-23 (AFAP), carriers of the same splicing mutation (c.834+1G>A), ASE values were similar, although they did not account for phenotype differences. Allelic imbal-

ance cosegregated with the disease in all cases analyzed (data not shown).

In 9 of the allelic imbalance cases, short-term lymphocyte cultures showed clearer ASE imbalance than observed in cDNA from peripheral blood lymphocytes. Puromycin treatment completely reverted imbalance in 8 of the 9 cases. In the remaining case, only partial reversion was achieved (Table 1). Cultured control lymphocytes showed normal ASE values that remained unchanged after puromycin treatment (data not shown).

### ASE Analysis in APC(−)/MUTYH(−) Polyposis Families

Twenty-three (5 FAP, 18 AFAP) *APC(−)/MUTYH(−)* families (6 and 18 individuals, respectively) were heterozygous for the SNP at exon 11 and subsequently were analyzed. Mean ASE values in controls were  $1.001 \pm 0.047$ . Initially, 3 of the 23 families showed abnormal *APC* ASE values (F-29, F-39, and UM-11) (Figure 3 and Table 2). Puromycin treatment of short-term cultured lymphocytes was performed in the 2 families from whom lymphocytes were available (F-29 and F-39) (Table 2). In family F-29, the observed imbalance was more intense in cultured cells than in peripheral blood lymphocyte and was completely reverted by puromycin treatment, as expected. In F-39, with an ASE value of 0.819, the imbalance was no longer observed in cultured lymphocytes.



**Figure 3.** Quantification of ASE in *APC(−)/MUTYH(−)* polyposis patients. Distribution of *APC* ASE in controls and polyposis patients with no previously identified mutation. ASE values are the proportion between the C and T allele frequencies of the *APC* coding SNP rs2229992. Each point represents the mean of the triplicate measurements, and each replicate was performed for all samples in each subset. Allelic ratios ranged from 0.756 to 1.284. The normal ASE cut-off range is indicated by dashed horizontal lines (at 1.168 and 0.836).

Thus, 2 of the 23 (9%) *APC(−)/MUTYH(−)* families in our series (F-29 and UM-11) harbored ASE imbalance. In F-29, 2 point mutations in the promoter region were identified: g.[112043282C>G(+)] 112072889C>T]. These changes were not detected in a small set of 9 controls. However, they were not located in a conserved sequence or a sequence consensus region for transcription factors, and were not associated with clear changes in *APC* expression levels as assessed by quantitative real-time PCR. At the RNA level, the wild type transcript coexisted with a second transcript containing a 60-base pair insertion r.[=, 1408\_1409ins1408+1315\_1408+1369] in UM-11 RNA. These transcripts also were present in 3 of 5 additional samples consisting of either controls or FAP patients with no ASE imbalance. Interestingly, the UM-11 family did not express a transcript skipping exon 11 that was present in other samples (data not shown). Finally, germline *APC* expression levels were lower in *APC(−)/MUTYH(−)* polyposis families ( $2.963 \pm 1.21$ ) than in controls ( $P = .04$ ) and/or *APC(+) polyposis families with exon 15 mutations ( $P = .01$ ).*

### Discussion

In this study we adapted the single nucleotide primer extension methodology to perform a detailed allele-specific expression analysis of the *APC* gene in 59 FAP and AFAP families divided into 2 groups: those harboring a pathogenic mutation and those with no identified *APC* or *MUTYH* mutation.

In the presence of a detectable deleterious *APC* gene mutation, ASE imbalance was associated strongly with mutations located outside exon 15. Conversely, balanced ASE was common in cases with exon 15 mutations. Both observations fit, albeit imperfectly, with the nuclear scanning model of NMD in mammals.<sup>10,12</sup> Our observations are in disagreement with the findings of Renkonen et al,<sup>20</sup> who reported no ASE imbalance in a small series of 4 families harboring mutations in exons 6–9 of the *APC* gene, possibly owing to differences in technique or sample processing. To assess the contribution of NMD to the observed imbalance, lymphocytes were short-term cultured and treated with puromycin, a known inhibitor of NMD.<sup>28</sup> Similarly to previous observations, enhanced allelic expression imbalance was observed in vitro before puromycin treatment, pointing to higher degradation of nonsense transcripts associated with culture conditions.<sup>29</sup> Puromycin treatment completely restored normal ASE in most of the cases analyzed. However, only partial reversion was achieved in the case showing the greatest imbalance before treatment (F-24, I-106), and it is unclear whether this can be attributed to the intensity of allelic imbalance, the limitations of the in vitro assay, or other unknown causes.

As has been reported for *MSH2*, *MLH1*, *BRCA1-2*, and *NFI*,<sup>7–11</sup> the nuclear scanning NMD model loosely fits with our observations for the *APC* gene. One of the

**Table 2.** Characteristics of the *APC(−)/MUTYH(−)* Polyposis Families Analyzed for ASE

Individual	Family	Phenotype	ASE ± SD (freq C/freq T)	ASE (−)Puromycin (freqC/freqT)	ASE (+)Puromycin (freqC/freqT)
158	29	FAP	<b>1.284 ± 0.060</b>	2.099	0.963
166	30	FAP	1.083 ± 0.047		
170	31	FAP	1.059 ± 0.136		
171	32	FAP	1.045 ± 0.055		
172	32	FAP	1.042 ± 0.011		
175	33	FAP	0.890 ± 0.030		
129	34	AFAP	0.947 ± 0.034		
132	35	AFAP	0.903 ± 0.041		
134	36	AFAP	1.016 ± 0.066		
136	37	AFAP	0.922 ± 0.027		
138	38	AFAP	0.988 ± 0.019		
140	39	AFAP	<b>0.819 ± 0.024</b>	0.989	0.998
145	40	AFAP	0.991 ± 0.025		
147	41	AFAP	1.005 ± 0.033		
152	42	AFAP	0.901 ± 0.047		
153	43	AFAP	0.929 ± 0.015		
UM-11	UM-11	AFAP	<b>0.756 ± 0.122</b>		
UM-12	UM-12	AFAP	0.943 ± 0.024		
UM-14	UM-14	AFAP	0.914 ± 0.034		
UM-15	UM-15	AFAP	1.002 ± 0.074		
UM-16	UM-16	AFAP	1.138 ± 0.026		
UM-18	UM-18	AFAP	1.070 ± 0.061		
UM-19	UM-19	AFAP	0.877 ± 0.029		
UM-20	UM-20		0.999 ± 0.073		

NOTE. Imbalanced ASE values are depicted in bold letters.

SD, standard deviation.

exceptions is a mutation at intron 14 that creates a skipping of exon 14 and a PTC at the very beginning of exon 15 (data not shown) that associates with imbalanced ASE. This may be owing to the special position of the PTC and its sequence context, both of which are factors known to influence the extent of NMD.

NMD can be considered a modifier of the phenotypic consequences of PTC and has contributed to our understanding of genotype–phenotype correlations in various genetic disorders.<sup>30</sup> In mutations outside exon 15, NMD may promote partial loss of function or haploinsufficiency. Of note, family 24, harboring a frameshift mutation at exon 12, showed the highest ASE imbalance and an attenuated phenotype. It can be speculated that, in this case, the mutant allele is degraded, leading to a bigger proportion of wt APC homodimers and a milder phenotype. In mutations causing PTCs in exon 15, absence of NMD activity may enhance the dominant-negative effect. As such, it is notable that our preliminary observations indicate lower germline *APC* expression levels in polyposis with mutations outside exon 15. An exon 15-mutated allele is not amenable for degradation by NMD, so this mechanism cannot act as an additional regulatory mechanism. However, no clear phenotypic correlation between clinical features and location of the mutation was observed in our series.

Finally, imbalanced ASE was observed in the absence of a detectable mutation in a subset of *APC(−)/MUTYH(−)* families assessed by conventional methods. A more de-

tailed analysis of cDNA and promoter regions revealed the presence of alterations in *APC* promoter that may be functionally relevant in one family, whereas in the other family a complex pattern of *APC* transcripts in the exon 11 region was of unknown significance. We cannot rule out the possibility that a genetic change deep within an intron may account for the observed ASE imbalance. In addition, *cis*-acting regulatory SNPs with an allele-specific effect on *APC* also might be responsible for the imbalance.<sup>31</sup> However, the failure to detect imbalances in most of the AFAP cases reflects a putative mechanism for their attenuated nature, which may be related more closely to subtle changes at the RNA level that could lead to delayed phenotypic expression of symptoms. In fact, germline expression of the *APC* allele is slightly lower in these *APC(−)/MUTYH(−)* and shows no apparent correlation with ASE imbalance.

Methodologic issues should be taken into account in the interpretation of results and have both theoretical and practical implications. According to our own and others' results, the SNaPshot approach is a suitable technique with excellent analytic sensitivity.<sup>32–35</sup> Importantly, pyrosequencing (a robust technique) yielded similar normal range values. In any case, the definition of cut-off values was improved by the inclusion of a control set in every experimental run. The putative clinical relevance of borderline values emphasizes the importance of the controls. Sample processing is likely to influence the results. Aged blood has been shown to decrease NMD, thus

precluding the detection of loss of allelic imbalance in the *NF1* gene.<sup>29</sup> The balanced allelic expression of the 2 samples with mutations outside exon 15 may be owing to differences in the isolation process of peripheral blood lymphocytes. Finally, the complex pattern of transcripts at exon 11 should be considered because it might influence ASE results. Notably, transcripts with a skipping of exon 11 have been detected in controls (data not shown).

Our results may have clinical implications. Allelic imbalances detected in a small proportion of *APC*(−)/*MUTYH*(−) families point to the presence of a pathogenic event in the *APC* gene. Although further studies are needed to elucidate the correlation between allelic imbalance and the disease in families with no detectable mutation, it could be used as a diagnostic marker of elusive mutations in the *APC* gene that otherwise might be detected only by the more costly conversion approach<sup>33</sup> or by next-generation sequencing when implemented in the routine clinical setting. Based on our results, we propose a new molecular diagnostic algorithm for polyposis families who undergo *APC* mutation screening beginning with analysis of gross rearrangements (Figure 4). In samples with ASE imbalance, sequencing of exons 1–14 at the cDNA or genomic DNA level would be followed by analysis of the promoter region and, possibly, the 3' untranslated region. This strategy would have made it unneces-

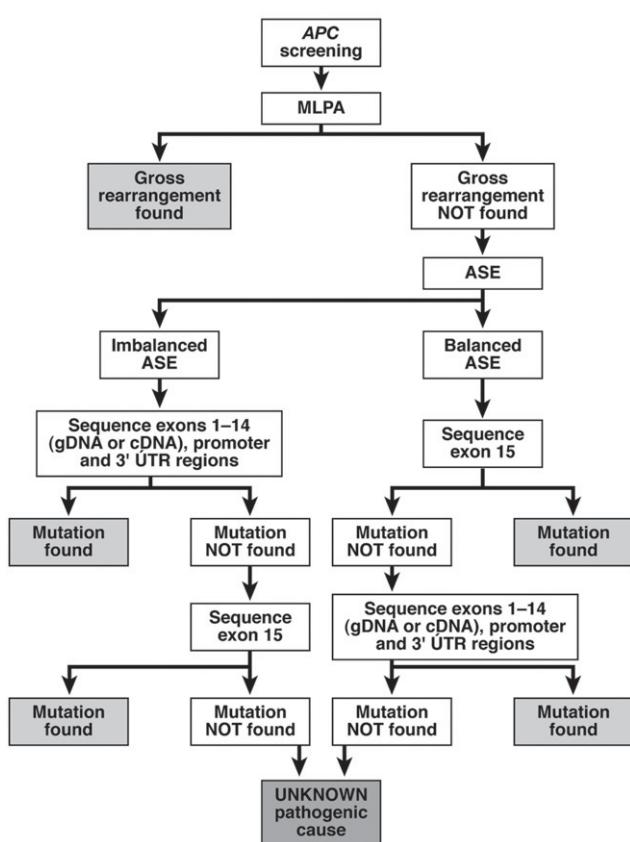
sary to sequence the largest exon of the gene (exon 15 with 6574 base pairs) in approximately 21% of the families included in the present study (12 of 58). The pathogenic cause of the disease would remain unknown if sequencing of the whole coding region of *APC* and the promoter and 3' untranslated regions and cDNA transcript analyses targeting aberrant skipping or cryptic exons revealed no functionally relevant changes. However, a processing protocol for preserving lymphocytes and RNA would be needed to incorporate this RNA-based assay into routine screening. Also, the addition of more polymorphisms as allele-specific markers would improve informativeness and dependability. We do not know whether missense or unclassified variants from introns 1–14 are associated with ASE imbalance. However, transcript-level analysis has shown that a significant proportion of these mutations can be classified as pathogenic and has revealed the presence of PTCs in some cases.<sup>3</sup>

Taken together, our results reinforce the importance of RNA-level studies not only for a better understanding of the disease but also for optimizing the molecular diagnostic algorithm for FAP.

## Supplementary Material

Note: To access the supplementary material accompanying this article, visit the online version of *Gastroenterology* at [www.gastrojournal.org](http://www.gastrojournal.org), and at doi: [10.1053/j.gastro.2010.04.047](https://doi.org/10.1053/j.gastro.2010.04.047).

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**Figure 4.** Proposed diagnostic algorithm for *APC* mutation screening including ASE measurement in polyposis families.

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#### Conflicts of interest

The authors disclose no conflicts.

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**Supplementary Table 1.** Primer Pairs Used for cDNA and Promoter Analysis

Covered region	Primer forward	Primer reverse
<b>cDNA sequencing</b>		
exons 1 to 5	5'-AAAAGTGGAAACTGAGGCATCT-3'	5'-TCCAATTGCCTTCTGGTCAT-3'
exons 3 to 7	5'-GAGGGTTGTAAATGGAAGCA-3'	5'-CGGTTCATGCTTGTCTGA-3'
exons 5 to 9	5'-GCCAGGATATGAAACGCA-3'	5'-GCAAAGTTCGCGACATATCA-3'
exons 7 to 11	5'-GGCAGAATGAAGGTCAAGGA-3'	5'-TTCTGCAATGGCCTGTAGTC-3'
exons 9 to 13	5'-GCATGGACCAGGACAAAAT-3'	5'-TTTACATCTGCTCGCCAAGA-3'
exons 11 to 15	5'-CGATATGCTGGAATGGCTTT-3'	5'-CCACAAAGTCCACATGCGATTA-3'
<b>APC gene expression levels</b>		
APC gene	5'-GCTATGGCTTCTCTGGACA-3'	5'-AACGGAGGGACATTTGACC-3'
b2-microglobulin	5'-CCAGCAGAGAATGGAAAGTC-3'	5'-GATGCTGCTTACATGTCTCG-3'
<b>Analysis of promoter regions</b>		
promoter 1B_1	5'-CGGAAACCCAAATGATATTGT-3'	5'-GCCATCTTGTGGGAGGTG-3'
promoter 1B_2	5'-AAGCCAGCAACACCTCTCAC-3'	5'-CGGGAGGAGACGTCATG-3'
promoter 1A_1	5'-GGATTTGTCCTAACCTCA-3'	5'-CAGGGCAGAAGGATTAGCAC-3'
promotor 1A_2	5'-TGCAAAAATCATAGCAATCGAG-3'	5'-AAGACAGTGCAGGGAAAAC-3'

**NOTE.** cDNA sequencing: PCR was performed in a final volume of 25  $\mu$ L using 2  $\mu$ L of cDNA, 0.2  $\mu$ mol/L primers, 0.2 mmol/L dNTPs, 2.5 mmol/L MgCl<sub>2</sub>, and 1.25 U Taq polymerase (Thermoprime Plus DNA Polymerase; ABgene). For fragments e1-5, e3-7, e5-9 and e9-13, 35 cycles of 30 s at 94°C, 30 s at 63°C, and 30 s at 72°C were performed. For fragments e7-11 and e11-15, 10 cycles of 1 min at 94°C, 1 min at 60°C decreasing 0.5°C/cycle, and 1 min at 72°C were followed by 30 cycles of 1 min at 94°C, 1 min at 55°C, and 1 min at 72°C. Differential running patterns between samples and controls were assessed visually at agarose gel resolution. APC gene expression levels: We performed quantitative PCR with the Roche LightCycler® 480 real-time PCR detection system in all ICO samples analyzed. The same cDNA used for the SNaPshot analysis was used as the template of the three replicates. A pair of primers targeting exon 2 and 3 of the APC gene were used. Reactions were performed in a volume of 10  $\mu$ L containing 5  $\mu$ L LightCycler 480 SYBR Green I Master (Roche), 1  $\mu$ M of each primer and 1  $\mu$ L cDNA for 45 cycles (95°C for 10 s; 63°C for 10 s; 72°C for 13 s). Then, the samples were subjected to melting curve analysis from 65 to 97°C. Crossing points, i.e. the cycle number when the amplification becomes exponential, were determined using the LightCycler software.  $\beta$ 2-microglobulin was used as the housekeeping gene. To evaluate the differences in expression of the APC gene between samples and controls we fitted a linear regression model. Data was conveniently log-scaled (base 2) to improve normality. Analysis of promoter regions: Promoter was analyzed in four reactions. Each PCR were performed in a final volume of 10  $\mu$ L using 50 ng de DNA, 0.2  $\mu$ mol/L primers, 5  $\mu$ L megamix double (Microzone Limited). For fragments 1A, 2A, 1B, 12 cycles of 30 s at 94°C, 30 s at 59°C decreasing 0.5°C/cycle, and 30 s at 72°C and 23 cycles of 30 s at 94°C, 30 s at 53°C, and 30 s at 72°C were performed. For fragment promoter 1B, 12 cycles of 30 s at 94°C, 30 s at 61°C decreasing 0.5°C/cycle, and 30 s at 72°C and 23 cycles of 30 s at 94°C, 30 s at 55°C, and 30 s at 72°C were performed.

**CHARACTERIZATION OF NEW FOUNDER ALU-MEDIATED REARRANGEMENTS IN MSH2 GENE ASSOCIATED WITH A LYNCH SYNDROME PHENOTYPE**

Lucia Pérez-Cabornero, **Ester Borràs Flores**, Mar Infante Sanz, Eladio Velasco Sampedro, Alberto Acedo Becares, Enrique Lastra Aras, Jorge Cuevas González, Marta Pineda Riu, Teresa Ramón i Cajal Asensio, Gabriel Capellá Munar, Cristina Miner Pino i Mercedes Durán Domínguez.

**Cancer Prevention Research:** 4(10):1546-55 (2012).

**Resum del treball:** S'ha reportat que, en determinades poblacions, les grans deleccions genòmiques en els gens *MLH1* i *PMS2* són una causa freqüent de la síndrome de Lynch. En la cohort estudiada, s'han identificat dues noves grans deleccions fundadores en el gen *MSH2*. Aquestes mutacions s'han caracteritzat per la determinació de punt de ruptura, l'anàlisi d'haplotips i la correlació genotip-fenotip. A més, s'han identificat mutacions en els gens *MLH1*, *MSH2* i *MSH6* en 303 pacients de 160 famílies amb sospita de la síndrome de Lynch no relacionats.

Més de 16% (24 de 160) de les famílies tenien mutacions patogèniques (8 en *MLH1*, 15 en *MSH2*, i 1 en *MSH6*). Dotze d'aquestes famílies (50%) són portadores de mutacions que no havien estat prèviament reportades. El 47% de les famílies amb mutació en el gen *MSH2*, presentaven grans reordenaments. A més, la deleció de l'exó 7 i la deleció del exó 4-8 en el gen *MSH2* són mutacions fundadores en la població espanyola. En aquestes famílies, es va observar una segregació d'un haplotip comú, un fenotip similar, i efectes d'anticipació genètica.

Aquestes troballes simplificaran el diagnòstic, l'assessorament i l'atenció clínica de les famílies amb sospita de síndrome de Lynch en la població espanyola.



## Research Article

See perspective on p. 1527

## Characterization of New Founder Alu-Mediated Rearrangements in *MSH2* Gene Associated with a Lynch Syndrome Phenotype

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### Abstract

It has been reported that large genomic deletions in the *MLH1* and *MSH2* genes are a frequent cause of Lynch syndrome in certain populations. Here, a cohort has been screened and two new founder rearrangements have been found in the *MSH2* gene. These mutations have been characterized by break point determination, haplotype analysis, and genotype–phenotype correlation. Mutations have been identified in the *MLH1*, *MSH2*, and *MSH6* genes in 303 subjects from 160 suspected Lynch syndrome unrelated families. All subjects were tested using heteroduplex analysis by capillary array electrophoresis. Multiplex ligation-dependent probe amplification was used to detect rearrangements in mutation-negative index patients and confirmed by reverse transcriptase PCR. The break point of the deletions was further characterized by the array comparative genomic hybridization method. Immunohistochemical staining and microsatellite instability were studied in tumor samples. Hereditary nonpolyposis colorectal cancer-related phenotypes were evaluated. More than 16% (24 of 160) of the families had pathogenic mutations (8 *MLH1*, 15 *MSH2*, and 1 *MSH6*). Twelve of these families (50%) are carriers of a novel mutation. Seven of the 15 positive *MSH2* families (47%) are carriers of a rearrangement. The exon 7 deletion and exon 4 to 8 deletion of *MSH2* are new founder mutations. The segregation of a common haplotype, a similar phenotype, and anticipation effects were observed in these families. These findings will greatly simplify the diagnosis, counseling, and clinical care in suspected Lynch syndrome families and not just in specific geographic areas, so wide distribution may be explained by migration patterns. *Cancer Prev Res*; 4(10); 1546–55. ©2011 AACR.

### Introduction

Heredity nonpolyposis colorectal cancer (HNPCC) syndrome, or Lynch syndrome (MIM 120435), is probably the most common form of inherited colorectal cancer (CRC), accounting for 1% to 5% of cases (1). Affected individuals have a family history of CRC at an early age, characterized by tumor predominance in the proximal colon and an association with extracolonic tumors. Germ-line mutations in at least 5 mismatch repair (MMR) genes

(*MLH1*, *MSH2*, *MSH6*, *PMS1*, and *PMS2*) have been identified in families fulfilling international criteria for the syndrome, namely, Amsterdam criteria I or II (2–4), or less stringent criteria referred to as the Bethesda guidelines (5), which lead to tumors characterized by widespread microsatellite instability (MSI).

Identification of inherited predisposition is important because it enables targeted clinical surveillance, which significantly reduces cancer morbidity and mortality in Lynch syndrome families (6).

Nearly 90% of the mutations in databases (Leiden Open Variation Database, LOVD) affect either *MLH1* (MIM 120436) or *MSH2* (MIM 609309; refs. 7, 8). The vast majority of mutations are nonsense, missense, splicing, or frameshift mutations, but a recent report (9) indicates that a substantial percentage of HNPCCs are caused by gross genomic rearrangements in MMR gene alterations undetectable with traditional methods of mutation analysis. They account for up to 15% of all pathogenic mutations in *MSH2* and *MLH1* (10). The frequency of large rearrangements in *MSH2* as compared with *MLH1* depends on the studied population (11). Different prescreening methods have been proposed.

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Here, a combined method of heteroduplex analysis by capillary array electrophoresis (HA-CAE) and multiplex ligation-dependent probe amplification (MLPA) has been used to screen our HNPCC population (12). Using this protocol, numerous different mutations have been detected and a high proportion has rearrangements, all in the *MSH2* gene.

Two founder mutations in the *MSH2* gene are presented and characterized here. A similar break point was found in all index cases of the carrier families. These mutations seem to be associated with founder effects, as a common haplotype was associated with each; besides, the novel exon 4 to 8 deletion seems to be associated with anticipation.

Our findings have important implications in the diagnosis and management of such families, and these results will help to simplify genetic testing for Lynch syndrome.

## Patients and Methods

### Participants

Patients were recruited through the Regional Hereditary Cancer Prevention Program of Castilla y León (Spain). Informed consent was obtained from 303 subjects belonging to 160 unrelated suspected Lynch syndrome families. The control population used was from the National DNA Bank, a collection of representative DNA samples of the Spanish population. Available DNA, RNA, and tumor blocks were obtained for at least one affected person in each family.

### Mutation analysis

General screening of point mutation of the *MLH1*, *MSH2*, and *MSH6* genes was carried out using HA-CAE. This method was developed in our laboratory (13), and the validation for *MMR* genes has recently been published (12).

Fragments showing an HA-CAE-altered pattern were sequenced with the BigDye Terminator Sequencing Kit v3.1 (Applied Biosystems) with unlabeled forward and reverse primers on the ABI 3100 DNA Sequencer (4 capillaries; Applied Biosystems).

### Genomic rearrangement screening

Negative samples by HA-CAE were tested using MLPA. Genomic rearrangements were evaluated by MLPA (MRC). MLPA test kits P003 for *MLH1/MSH2* and P008 for *MSH6/PMS2* were used according to the supplied protocol. Fragment analysis of the PCR products was carried out on the ABI 3130 Genetic Analyzer and gene dosage calculation and analysis were done using GeneMapper software (Applied Biosystems).

### Reverse transcriptase PCR

All the alterations detected by MLPA were confirmed by reverse transcriptase PCR (RT-PCR). Three microliters of total RNA was used to synthesize cDNA with a High Capacity cDNA Reverse Transcription kit (Applied Biosystems) using random primers. RT-PCR was carried out with specific

primers designed for the coding sequences flanking the putative mutation. To confirm deletions, short amplicons from RT-PCR were sequenced with the following primers:

Exon 4 to 8 deletion: forward in exon 3, 5'-gttggagttggatgtggatt-3'; reverse in exon 9, 5'-tggtgactgcatctctttcc-3'

Exon 7 deletion: forward in exon 6, 5'-tgctgaataagtgtaa-aacccc-3'; reverse in exon 8, 5'-ggagaagtcagaacgaagatcag-3'.

### Immunohistochemical and tumor MSI testing

Immunohistochemical (IHC) staining for *MLH1*, *MSH2*, and *MSH6* genes in tissue from at least one individual of the genomic rearrangement families was analyzed by a pathologist in the General Yagüe Hospital, Burgos, Spain.

Paraffin-embedded tumors from these cases were received in our laboratory for MSI study. Paired colon tumor tissues and normal tissues were microdissected and DNA was extracted using the DNAeasy Tissue Kit (Qiagen). A fluorescence multiplex PCR to amplify BAT25, BAT26, D2S123, D5S346, and D17S250 was carried out as described before (14, 15) with slight modifications. Fluorescently labeled PCR products were detected using the ABI 3130 Genetic Analyzer and the GeneScan software. The tumor was categorized as microsatellite stable if none of the 5 markers showed instability, as MSI-low if one of the 5 markers showed instability, and as MSI-high if 2 or more markers showed instability (14).

### Break point characterization

An array comparative genomic hybridization (aCGH) strategy was used to confirm the deletions identified by MLPA and to identify the location of deletion break points.

One positive sample, from each different family with a rearrangement, was tested by a human aCGH 44K specially designed by Nimgenetics for coverage of chromosome 2: 47419322-47580004 (NCBI 36).

On the basis of the information obtained from aCGH, primers were designed spanning the putative break points for each case and used in long-range PCR.

### Haplotype analysis

Index cases and their relatives were genotyped with 8 microsatellite polymorphic markers surrounding the *MSH2* gene and 2 single-nucleotide polymorphisms in the *MSH2* gene. As controls, 96 unrelated DNA samples from the general population of Castilla y Leon (National DNA Bank) were also genotyped, and allele frequencies were estimated.

The 10 markers used were localized in an approximately 3.6-Mb region encompassing *MSH2* (locus order: c-D2S123-D2S1248-D2S1247-Clen30-rs3771278-rs2162123-D2S2227-D2S391-Clen27-D2S119-tel) on chromosome 2: 47419322-47580004. Fluorescently labeled primers were used to amplify the microsatellite polymorphic regions. PCR products were analyzed on the Genetic Analyzer 3130 using GenMapper 3.7 software (Applied Biosystems).

The 2 intragenic single base substitutions located within intron 1 and intron 9 of *MSH2* (rs2162123 and rs3771278)

were screened through high-resolution melting technology (LightCycler 480 Instrument), and samples with altered curves were sequenced.

### **Phenotypic characterization**

Genealogic data and phenotype characteristics (gender, age at onset, cancer history, and characteristic feature of tumors) were evaluated in all rearrangement carrier families.

### **Statistical method**

Comparisons between MMR mutation types (point mutations vs. deletions) in the *MSH2* gene were assessed using the Web resource GraphPad Software.

The variables related to the proband family members included type and number of CRC, endometrial cancer, and/or other Lynch syndrome-related cancers and the corresponding ages of diagnosis.

The number and type of cancers were treated as a categorical variable. Categorical data were reported as absolute values (*n*) and relative frequencies (%), whereas groups were compared by analyzing a  $2 \times 2$  contingency table using Fisher's exact test. Age was treated as a continuous variable and thereafter dichotomized to less than 50 or 50 years or more. Continuous data were reported in mean values with their corresponding SD, and groups were compared using Student's *t* test. A 2-sided  $P < 0.05$  was considered statistically significant.

## **Results**

### **Molecular mutation identification**

A total of 24 families with a pathogenic germline mutation were detected in *MSH2*, *MLH1*, and *MSH6* by combined HA-CAE-MLPA analysis. Clinicopathologic features, molecular findings of the index patients, and sample numbers are listed in Table 1. Twelve of these families (50%) are carriers of a novel mutation. Seven of them (29.2%) have a rearrangement, all in *MSH2*. Two new rearrangements encompassing exon 7 and exon 4 to 8 deletions were detected in 3 and 4 nonrelated families, respectively.

### **Identification of the *MSH2* recurrent mutations**

One family had previously been detected with exon 7 deletion and 3 families with exon 4 to 8 deletion. (The MLPA assay, RT-PCR products, and sequencing pattern are shown in ref. 12). New cases of this detection are presented here, and the recurrence of these mutations and the founder effect has been investigated. In total, 4 families (VA17, VA20, VA32, and VA134) were studied for exon 4 to 8 deletion and 3 families (VA4, VA169, and VA247) for exon 7 deletion.

Table 1 shows that 58 patients (19% of our tested population) were analyzed for a rearrangement, 60% of whom are carriers.

A high frequency of *MSH2* exon 4 to 8 deletion was observed in *MSH2* mutation carrier families, which accounted for more than 26% (4 of 15).

In total, 33 probands were analyzed, 22 of which are carriers and 11 are not (double the number of carriers).

IHC and MIS were analyzed in 2 families and both presented no staining of the *MSH2* protein and MSI-H (see Table 1).

### **Break point identification**

A customized  $4 \times 44$  Agilent platform was used to map somatic rearrangements (designed by NimGenetics). The aCGH assay provides a prediction of rearrangement break points for the convenient design of primers and sequencing. Eight hundred twenty-two oligonucleotide probes were used to cover *MSH2*. A median distance between nonoverlapping array probes of 500 bp was obtained.

The break points predicted from aCGH are shown in Figure 1. For exon 7, aCGH predicts a 0.01 Mb deletion at the position 47507393-47515906 and presents 71 probes lost (Fig. 1A); for the rearrangement of encoding exons 4 to 8, a 0.04 Mb deletion is predicted between positions 47492237-47527926, with 284 probes lost (Fig. 1B).

PCR primers were designed in the first probe before and after the deletion. To confirm common deletions, PCR was carried out for several index cases of each family with exon 7 deletion and exon 4 to 8 deletion. These resulted in a deletion product of 36.7 kb in exon 4 to 8 deletion (g.13272\_49953del36681; NG\_007110.1.gb) and 9.4 kb in exon 7 deletion (g.28106\_37472del9366; NG\_0071-10.1.gb), exclusively observed in carriers of the common deletion and not in deletion-negative controls (see Fig. 1A and B).

The same mutation was found in exon 7 deletion carrier families and in exon 4 to 8 deletion carrier families. The identical break points are positioned within 2 *Alu* elements (Fig. 1A and B). Thus, the deletion is likely to have arisen through an *Alu*-mediated recombination. The presence of identical break point sequences in all cases (ID proband in Table 1) is suggestive of a founder mutation because a frequently recurring recombination event would likely result in at least a few single-nucleotide differences.

### **Haplotype analysis**

Haplotype analysis was carried out to confirm the common genetic origin of the deletion rearrangements (Fig. 2). Most of the positive families for the deletion are from a small area in Castilla y León (in central of Spain), ancestors of families carrying the exon 4 to 8 deletion came from Valle de las Navas and exon 7 deletion ancestors came from Lerma, both in the province of Burgos.

A shared haplotype was observed cosegregating with the mutation (Fig. 2), which was absent in noncarriers of these families. It provides evidence for a common ancestry among these families.

Figures 2A and B represent the pedigrees of the 4 Del4\_8 families and the 3 Del\_7 families. The phenotype observed in these families is shown, as are the results of the common haplotype segregation.

**Table 1.** Pathogenic mutations, clinicopathologic features, and molecular findings of the 24 carrier families

Gene	Family ID proband	Gender (male, female)	Cancer site and age at diagnosis	Mutation designation	Exon/ intron	Mutation type	Mutation status	Loss of protein expression	MSI status	No. of carrier	No. of noncarrier
<i>MLH1</i>	VA279	483	M	Colon adenoma, 46	c.23del16; STOP11	E-1	Frameshift	New	MLH1/PMIS2-	MSI-H 1	2
	VA296	518	M	Colon carcinoma, 36	c.77delA; STOP35	E-1	Frameshift	New	MLH1_00899	MSI-H 1	
	VA170	300	M	Colon carcinoma, 45	c.119 delT; p.L40fsX	E-2	Frameshift	MLH1_00175	MLH1-	MSI-H 5	3
	VA44	77	F	Rectum, 27	c.306+5G>A	I-3	Splicing	MLH1_00175	MLH1-	MSI-H 5	9
	VA67	102	M	Colon carcinoma, 59	c.306+5G>A	I-3	Splicing	MLH1_00175	MLH1-	MSI-H 3	4
	VA175	309	M	Colon carcinoma, 37	c.306+5G>A	I-3	Splicing	MLH1_00175	MLH1-	MSI-H 3	3
	VA275	429	M	Colon carcinoma, 37-42	c.1865T>A; Leu622His	E-16	Missense	MLH1_00643	MLH1-	MSI-H 1	
	VA2	2	M	Transverse colon, 31	c.2221-2224	E-19	Frameshift	MLH1_01285 <sup>a</sup>		4	3
<i>MSH2</i>	VA117	194	F	Uterine-colon, 47-53	c.229_230delAG; p.Ser77CysfsX4	E-2	Frameshift	MSH2_00100		5	3
	VA22	438	M	Colon-rectum, 43-63	c.229_230delAG; p.Ser77CysfsX4	E-2	Frameshift	MSH2_00100	MSH2/MSH6-	MSI-H 7	6
	VA17	86	F	Endometrium, 60	c.646-1019_1386+2420del	E-4-8	Exon 4-8 deletion	New	MSH2-	MSI-H 6	6
	VA20	29	M	Colon carcinoma, 31	p.Ile216_Gln462del	E-4-8	Exon 4-8 deletion	New	MSH2-	MSI-H 6	6
	VA32	52	F	Endometrium, 51	c.646-1019_1386+2420del	E-4-8	Exon 4-8 deletion	New	MSH2/MSH6-	MSI-H 5	3
	VA134	246	F	Endometrium, 42	p.Ile216_Gln462del	E-4-8	Exon 4-8 deletion	New	MSH2/MSH6-	MSI-H 5	3
	VA4	4	F	Colon carcinoma, 39	c.646-1019_1386+2420del	E-4-8	Exon 4-8 deletion	New	MSH2/MSH6-	MSI-H 5	3
	VA169	299	M	Colon carcinoma, 29-37	p.Ile216_Gln462del	E-4-8	Exon 4-8 deletion	New	MSH2/MSH6-	MSI-H 1	2
	VA247	413	M	Colon/prostate/urinary, 47-57-60	c.1077-3513_1276+5655	E-7	Exon 7 deletion	New	MSH2/MSH6-	MSI-H 1	2
	VA188	326	F	Colon carcinoma, 68	c.1077-3513_1276+5655	E-7	Exon 7 deletion	New	MSH2/MSH6-	MSI-H 4	2
	VA251	432	F	Colon/endometrium, 23-35	p.R859RfsX16	E-7	Exon 7 deletion	MSH2_00323	MSH2/MSH6-	MSI-H 4	4
	VA6	75	M	Colon-rectum, rectum-sigma, 47	c.1216C>T; p.Arg406X	E-7	Nonsense	MSH2_00312		1	
				C.1661G>A; p.Ser554Thr	E-10	Splicing	MSH2_0099a	MSH2/MSH6-	MSI-H 18	26	

(Continued on the following page)

**Table 1.** Pathogenic mutations, clinicopathologic features, and molecular findings of the 24 carrier families (Cont'd)

Gene	Family ID proband	Gender (male, female)	Cancer site and age at diagnosis	Mutation designation	Exon/ intron type	Mutation status	Loss of protein expression	MSI status	No. of carrier	No. of noncarrier
VA174	305	M	Colon carcinoma, 41	c.2240_2241delTA p.Ile747ArgfsX2	E-14	Frameshift	MSH2_01137	MSH2/MSH6-	MSI-H	2
VA199	368	M	Urinary tract/colon carcinoma, 50-52	c.2470C>T; p.Gln824X	E-15	Nonsense	MSH2_01163	MSH2/MSH6-	MSI-H	3
VA191	430	F	Endometrium, 40	c.2634G>A skipping exon 15	E-15	Splicing	MSH2_00823			2
MSH6	VA142	265	F	Endometrium, Breast, 59-61	E-4	Frameshift	New	MSH6-		4

NOTE: In gray, mutations not described before.  
<sup>a</sup>Mutations described by us in LOVD.

Ninety-six unrelated samples were also genotyped as controls and allele frequencies were estimated; these are also shown in Figure 2A and B.

#### Screening of founder rearrangement deletion in MSH2

A PCR test was designed to screen these deletions in first-degree relatives. A routine PCR procedure was optimized. Three primer sequences were used: 1 forward and 2 reverse. The product is a multiplex PCR with the presence of 1 band in the wild type and 2 bands in deletion carrier samples (Fig. 3). This procedure is faster, cheaper, and easier than MLPA.

#### Genotype–phenotype correlation

Taking into account the fact that 62.5% of mutations we have found are in *MSH2*, largely due to the existence of 2 recurrent mutations in this gene that represent approximately half the mutations in *MSH2*; we have correlated the type of mutation (punctual or rearrangement) with the occurrence of extracolonic tumors and the age at diagnosis (Table 2).

The results of Table 2 show no differences between the 2 groups in the number of tumors developed ( $P = 1.0000$ ) or the age at diagnosis, using the threshold of 50 years ( $P = 1.0000$ ), unlike the tumor type where the prevalence of endometrial and urinary system tumors is higher in founder mutation carriers than in point mutation carriers ( $P = 0.47279$  and  $0.1247$ ).

On the other hand, it must be emphasized that the median age at onset is different in both groups; 46.7 versus 40.67 years in CRC males and 50 versus 33 years in CRC females. These data, however, were not statistically significant.

#### Discussion

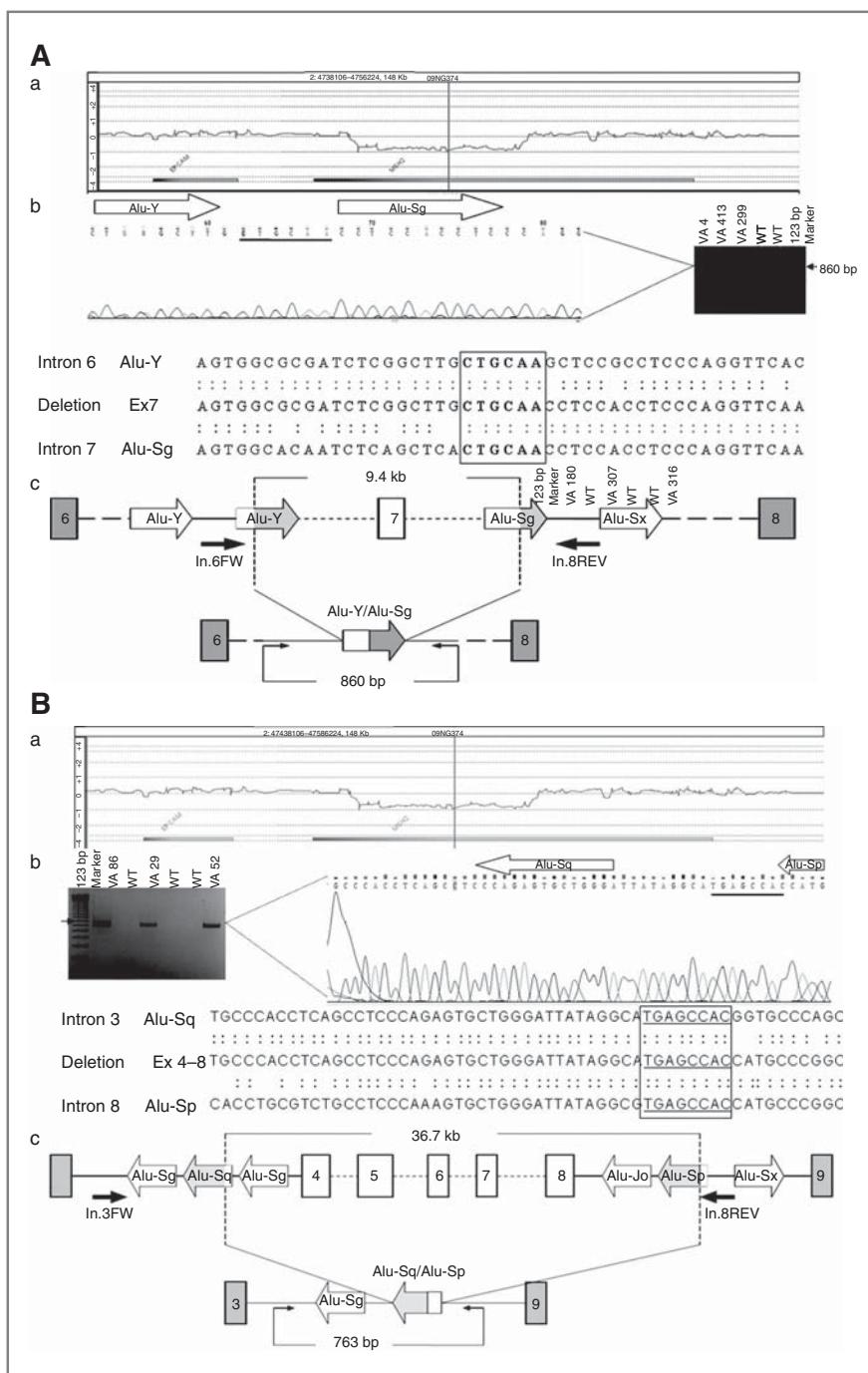
Lynch syndrome is a heterogeneous disorder with respect to its molecular basis as well as its phenotypic expression.

A variety of point mutations, as well as large genomic rearrangements, have been reported in LOVD (ref. 16).

Here, 160 families were screened using a combined method previously published by our group (12). The overall mutation detection rate in our study is 15% (24 of 160 positive families).

We have found 8 *MLH1* mutation carrier families (Table 1), 3 of which have the *c.306+5G>A* mutation, which has been described as a founder mutation in Spain, and our group has participated in the work (17). Two truncated mutations in exon 1 at *MLH1* are novel, both appearing in a proband with colon cancer before 50 years of age, whereas the *MLH1\_01285* mutation has been described by us before (18).

Only one family is an *MSH6* mutation carrier, this is a novel truncated variant. The carrier shows a late-onset endometrial cancer with the absence of any staining of the *MSH6* protein and MSS tumor. Similar results have been reported in several studies (19).

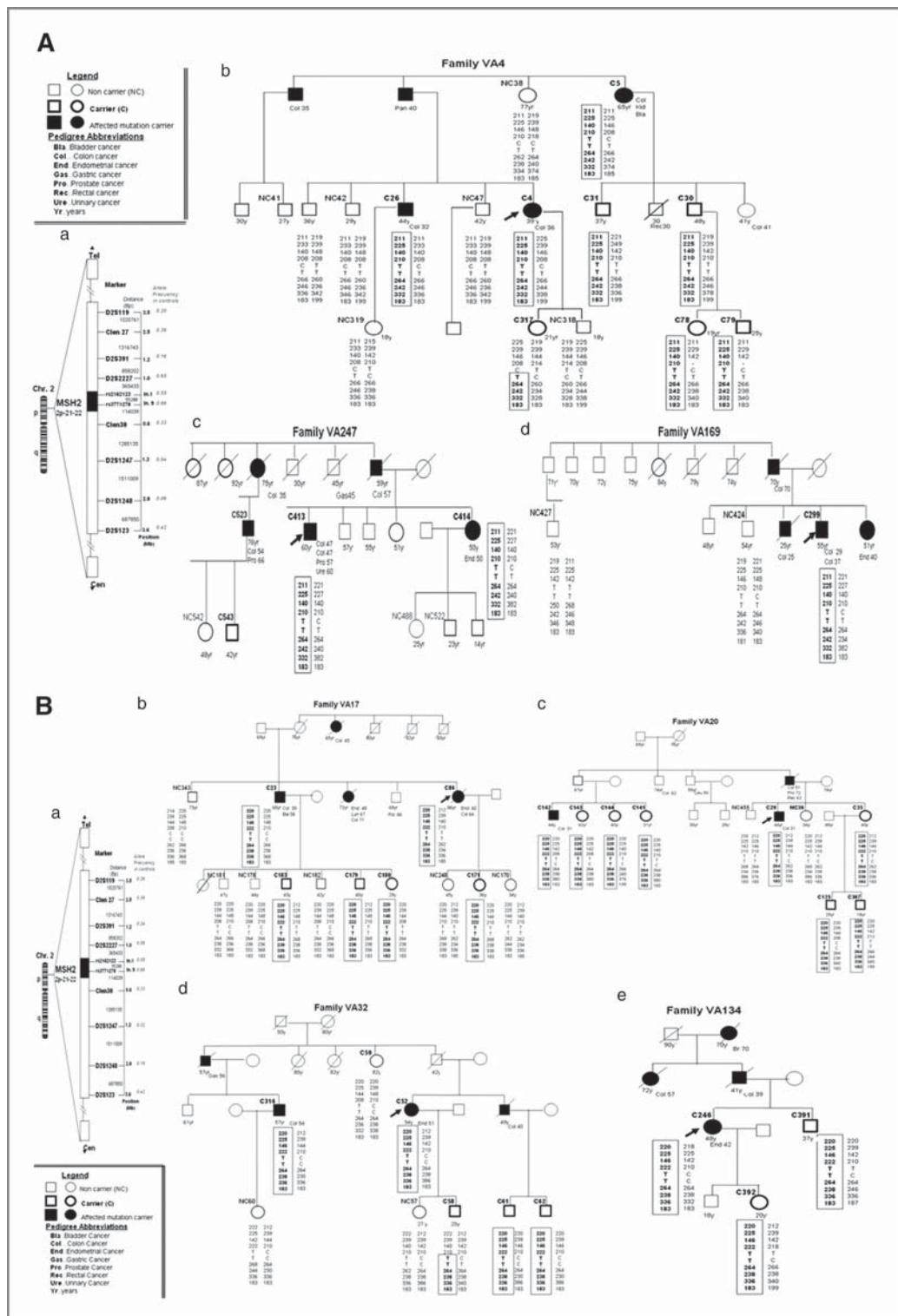


**Figure 1. A, exon 7 deletion rearrangement.** a, the break points predicted from aCGH. b, rearrangement characterization: PCR amplification, sequencing, and break point sequence. c, break point location and identification of Alu elements. **B, exon 4 to 8 deletion rearrangement.** a, the break points predicted from aCGH. b, rearrangement characterization: PCR amplification, sequencing, and break point sequence. c, break point location and identification of Alu elements.

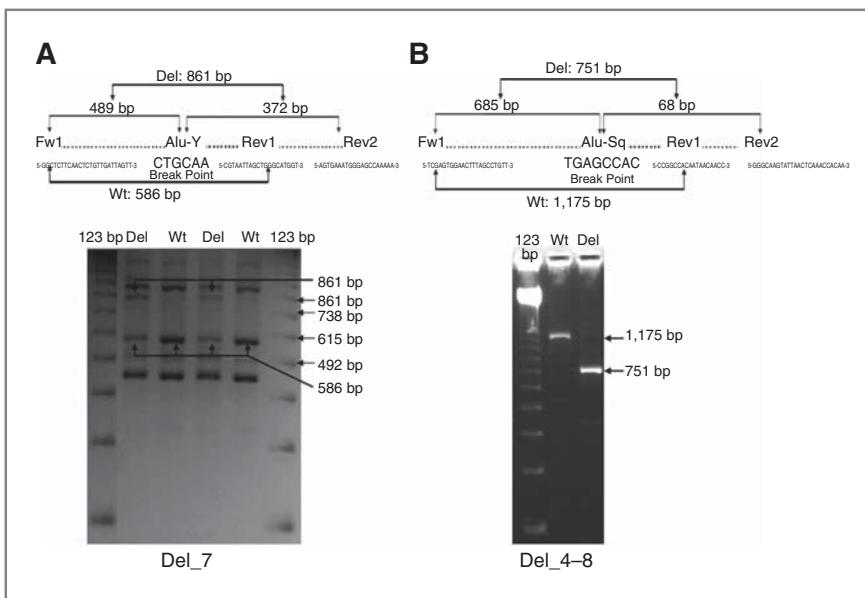
The higher rate of mutations in this study appears in the *MSH2* gene (nearly double that in *MLH1*); this is probably because of the high number of recurrent mutations in this gene.

Two mutations that affect the splicing are reported here and which we have described in LOVD. The *c.1661G>A*

mutation is present in family VA6, which is a large family; we have studied 44 members, of which 18 are carriers (Table 1). This alteration is associated with the absence of MSH2/MSH6 staining, MSI-H tumor, and a phenotype with colon rectum cancer before the age of 50. The mutation *c.2634G>A* in exon 15 of *MSH2* caused



**Figure 2.** A, a, marker localization and allele frequency in control population of the *MSH2* gene in chromosome 2. b-d, representative pedigrees of exon 7 deletion carrier families. Legend and tumor phenotype are included. B, a, marker localization and allele frequency in control population of the *MSH2* gene in chromosome 2. b-e, representative pedigrees of exon 4 to 8 deletion carrier families. Legend and tumor phenotype are included.



**Figure 3.** Multiplex PCR of screening rearrangements. A, exon 7 deletion, the wild-type samples show one 586-bp band and deletion samples show 2 bands: 586 bp and an extra band of 861 bp. B, exon 4 to 8 deletion, the wild-type samples show one 1,175-bp band and deletion samples show 2 bands: 1,175 bp and an extra band of 751 bp.

endometrial cancer in a mother and her daughter at 43 and 40 years of age, respectively.

Two recurrent rearrangements have been detected in 7 of 15 *MSH2* carrier mutation families (>46%). Genome rearrangements represent a significant proportion of all pathogenic mutations in the *MMR* genes of patients with colon cancer (20).

An exon 7 deletion was found in 3 nonrelated families, the deletion producing a change in the reading frame and a truncated protein. Other rearrangements in this exon have been described previously (9), but here we exactly characterize this mutation in every index case of the 3 families and all of them have the identical mutation and a shared haplotype (Fig. 2A). The identification of the break points, within the Y and Sg Alu elements, supports the hypothesis that the recurrent exon 7 deletion is due to an *Alu* repeat-mediated recombination event. These 3 families had a high prevalence of CRC, followed by endometrial cancer and prostate tumor, with the majority of cancers diagnosed before the age of 50 (Fig. 2A). In recent years, recurring mutations for various hereditary cancer syndromes have been identified around the world (21).

The most important evidence in our study is a big deletion that includes 5 exons in the *MSH2* gene, an in-frame mutation that produces a shorter protein and appears in 4 nonrelated Amsterdam criteria fulfilled families.

The study of the break point in index cases of each of the 4 families and the haplotype analyzed confirms the same mutation in all families (Fig. 2B).

We identified that another *Alu* repeat event that was involved in the exon 4 to 8 deletion (Fig. 2B).

The significant proportion of families in our population with one of these founder rearrangements (near 50% of the

families) suggests the need to design a simpler, faster, and cheaper method to detect these mutations. Thus, our sample study protocol could be changed and a prescreening of founder mutations can be started.

We have carried out a multiplex PCR (Fig. 3) that is cheaper than the MLPA method.

Table 2 describes the cancer types in affected carriers and the average age of onset. Most of them developed colon cancer before the age of 45, and the women developed endometrial cancer before the age of 50.

Our data indicate that the anticipation phenomenon is associated with exon 4 to 8 *MSH2* deletion. This is a subjective observation based on evidence from the age of onset and an exhibition of a more aggressive disease severity (number of cancers/tumors or the stage of the tumor) over successive generations (Fig. 2B).

The anticipation phenomenon has been described in other syndromes (22). The presence of anticipation in HNPCC is more controversial, and some reports provide significant evidence that *MHL1* mutations, in particular, may be associated with anticipation (23). Our data suggest that *MSH2* deletions may well be associated with anticipation effects. The median age at colon cancer diagnosis was 44 years in the 4 families (Table 2), but in family VA20, we observed that the difference at onset over 2 generations was 20 years. In family VA17, the number of cancers increased over the generations.

Both *MSH2* rearrangements observed here occurred between intron 4 and 8. It has been described that this is an *Alu*-rich region because approximately 84% of *Alu* sequences in *MSH2* are located between the promoter and exon 9 and it is possible that these sequences mediate the recombination observed in this gene (24, 25).

Estimating the age of founder mutations is generally an inexact task (26). It was therefore impossible to estimate

**Table 2.** Genotype–phenotype correlation in *MSH2* mutation carriers

	Punctual (truncating)	Rearrangements (founder)	Statistical comparison	P
Number of families	8	7		
Number of affected	19	15		
Males, n (%)	10 (52.6) <i>n</i> (%)	6 (40) <i>n</i> (%)		
Number of tumors			Several tumors (yes/no)	1.0000
1 tumor	11 (57.9)	8 (53.3)		
>1 tumor	8 (42.1)	7 (46.7)		
Tumor type				
Colon (CRC)	17 (58.6)	13 (50.0)	CRC/EC	0.4727
Endometrial cancer (EC)	4 (13.8)	6 (23.1)		
Urinary system (US)	2 (6.9)	7 (26.9)	CRC/US	
Other cancers	6 (20.7)	—		0.1247
Age at diagnosis, y				
<50	15 (78.9)	11 (73.3%)	Age at diagnosis <50 (yes/no)	1.0000
>50	4 (21.1)	4 (26.7%)		
Age at diagnosis, y	Average (SD) <sup>a</sup>	Average (SD) <sup>a</sup>		
Total (males and females)	46.37 ± 10.75	40.13 ± 10.46	Average age	0.0987
CRC (males)	46.71 ± 6.24	40.67 ± 9.05	Median age	0.1827
CRC (females)	50.00 ± 23.72	33.00 ± 6.89	Median age	0.1657
Endometrial (females)	43.00 ± 1.41	50.75 ± 7.37	Median age	0.2357

NOTE: <sup>a</sup>Standard deviation.

whether all our founder carrier families share the same haplotype. The fraction of haplotype can be inadequate for recombination events, as we have a small number of carrier families and these show a short genealogy length. The evidence suggests that the origin of these mutations is a recent event.

Given the high proportion of rearrangements, we have evaluated the phenotype in *MSH2* mutation carriers. A more severe phenotype (more different tumors, less onset, etc.) in rearrangement carriers was expected (25), but differences were not found. We only noticed a less primary CRC tumor onset in rearrangement carriers than in other *MSH2* mutations carriers.

We detected rearrangements more often in families with endometrial or urinary cancer. These data are similar to those published by Geray and colleagues (27). A possible explanation could be that both rearrangements occur in the *MSH2* gene and both are founder mutations in our population.

The differences found are not statistically significant, and this does not allow us to orient the diagnosis based on mutation type (punctual or rearrangement) in clinicopathologically suspect patients of *MSH2* mutation.

In conclusion, we have provided genetic evidence that the exon 7 deletion and exon 4 to 8 deletion are both pathogenic founder mutations involved in causing HNPCC in a territory in central Spain. Our data show that large genomic rearrangements occur in these genes with a high frequency and emphasize the need to incorporate techni-

ques to routinely detect them. This should facilitate the genetic diagnosis of Lynch syndrome in our population. The origin of the *MSH2* founder rearrangement can be linked to specific geographic areas, and their current distribution is compatible with the presumed migration pattern in our country. In fact, members of our families have been studied in other cities of Spain (Madrid, Barcelona, and Seville), and it is for this reason that our results are very important.

Our findings will greatly simplify the diagnosis, counseling, and clinical care in suspected families.

#### Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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## SUPPLEMENTARY MATERIAL

### Page 8

Based on the information obtained from the CGH array, primers were designed spanning the putative breakpoints for each case and used in long-range PCR

Mutation	From	To	5'-3' primer sequence	maximum amplicon size
<b>Exon 7 deletion</b>	MSH2-47507393	MSH2-47515906	Intr6-MSH2-S- GGCTCTTCAACTCTGTTGATTAGTT Intr8-MSH2-A- AGTGAAATGGGAGCCAAAA	<b>860bp</b>
<b>Exon 4_8 deletion</b>	MSH2-47492237	MSH2-47527926	Intr3-MSH2-S- TCGAGTGGAACCTTAGCCTGTT Intr8-MSH2- A- GGGCAAGTATTAACCTCAAACCACAA	<b>1979bp</b>

### PCR conditions

		°C	Time	Cicles
Buffer Kappa Taq 1X	2,5 µl	94	3	min
Mix dNTPs 0,2 mM/cada uno	1,5 µl	94	30	sec
Primers 12 pmol/ each	1-1 µl	60	30	sec
Kappa Taq polimerase	0,5 µl	72	1	min/kb* cicles
300 ng DNA	2 µl	72	5	min
H2O		15	∞	
<b>final volume</b>	<b>25 µl</b>			

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Fluorescently labeled primers were used to amplify the microsatellite polymorphic regions

multiplex	Microsatellite	oligonucleotide	5'-3' sequence	Amplicon size	Td (°C)
A	<i>D2S119</i>	MSH2-D2S119-S	CTTGGGGAACAGAGGTCATT	214-232bp	61
		MSH2-D2S119-A-FAM	GAGAATCCCTCAATTCTTTGGA		
B	<i>Clen27</i>	MSH2-Clen27-S-FAM	AACACTGCCATAGTCACAACGTGCA	241bp	
		MSH2-Clen27-A	ACCAATTACATGCACTTAACGTGAT		
B	<i>D2S391</i>	MSH2-D2S391-S-HEX	GTAATGGAGCCAGTAGGTTACA	149 bp	60
		MSH2-D2S391-A	AGAGGGTATGATGGAAAGC		
D	<i>D2S2227</i>	MSH2-D2S2227-S	CACGCTGCCATCTCTGAAT	179-221bp	
		MSH2-D2S2227-A-HEX	GCAGTTCTCGGAATAACCA		
C	<i>Clen30</i>	MSH2-Clen30-S	ATTTGTGACTGTTCAGCTGCTCT	268 bp	58
		MSH2-Clen30-A-HEX	ACCAGCACCATACAGTCAGCTCCTA		
C	<i>D2S1248</i>	MSH2-D2S1248-S-FAM	GCTCCCATACTCTCACTTG	380bp	
		MSH2-D2S1248-A	TTAACACCATCCTCAGTAACC		
D	<i>D2S1247</i>	MSH2-D2S1247-S	TTTCCTGCTTCCCACCTG	250 bp	61
		MSH2-D2S1247-A-FAM	GCAAAAAGGGGCTGTCTTC		
D	<i>D2S123</i>	MSH2-D2S123-S	TCAACATTGCTGGAAGTTCT		196bp
		MSH2-D2S123-A-HEX	GACTTCCACCTATGGGACT		

## PCR conditions

Buffer Kappa Taq 1X	2,5 µl	°C	Time	Cicles
Mix dNTPs 0.2 mM/each	1 µl			
oligonucleotides pmoles/each:	12	94	3 min	X40 cicles
1 (F, R)				
2 (F, R)	1-1 µl /each	94	30 sec	
3 (F, R)		X*	30 sec	
Kappa Taq polimerasa	0,2 µl	72	30 sec	
300 ng DNA	2 µl	72	5 min	
H2O		15	∞	
Final volume	25 µl			

The two intragenic single base substitutions located within intron 1 and intron 9 of MSH2 (rs2162123 and rs3771278) were screened through High Resolution Melting (HRM) technology [LightCycler® 480 Instrument (Roche)]

marker	change	position	Primer sequence	T°C	size
<b>rs2162122</b>	T/C	Intron 1	<b>MSH2-In1-S-</b> GTCCCTCCCACAATACATGG	60	191pb
			<b>MSH2-In1-A-</b> ACAGGCTCATATGCGGAAAG		
<b>rs3771278</b>	C/T	Intron 9	<b>MSH2-In9-S-</b> GAATGGGTCAATTGGAGGTTG	60	256pb
			<b>MSH2-In9-A-</b> ATCATACAAGGGCCTGTTGG		

**PCR conditions**

<b>LightCycler®480 High Resolution Meeting X2</b>	
Oligonucleotides 0,2 µM/each (S,A)	5 µl
Cl <sub>2</sub> Mg(25mM)	0,25-0,25 µl
30 ng ADN	1 µl
H <sub>2</sub> O	2 µl
<b>Final volume</b>	<b>10 µl</b>

**Programs**

Program Name	Cycles	Analysis Mode
DESnaturalización	1	None
PCR	40	Quantification
MELTING	1	Melting Curves
HOLD	1	None

**DESNATURALIZACION Temperature Targets**

Target (°C)	Acquisition Mode	Hold (hh:mm:ss)	Ramp Rate (°C/s)	Acquisitions (per °C)	Sec Target (°C)	Step Size (°C)	Step Delay (cycles)
95	None	00:00:01	4,4	0	0	0	

**PCR Temperature Targets**

Target (°C)	Acquisition Mode	Hold (hh:mm:ss)	Ramp Rate (°C/s)	Acquisitions (per °C)	Sec Target (°C)	Step Size (°C)	Step Delay (cycles)
95	None	00:00:10	4,4	0	0	0	
62	None	00:00:13	2,2	0	0	0	
72	Single	00:00:14	4,4	0	0	0	

**MELTING Temperature Targets**

Target (°C)	Acquisition Mode	Hold (hh:mm:ss)	Ramp Rate (°C/s)	Acquisitions (per °C)	Sec Target (°C)	Step Size (°C)	Step Delay (cycles)
95	None	00:01:00	4,4	0	0	0	
40	None	00:01:00	1,5	0	0	0	
60	None	00:00:01	1	0	0	0	
90	Continuous		0,02	25	0	0	

**HOLD Temperature Targets**

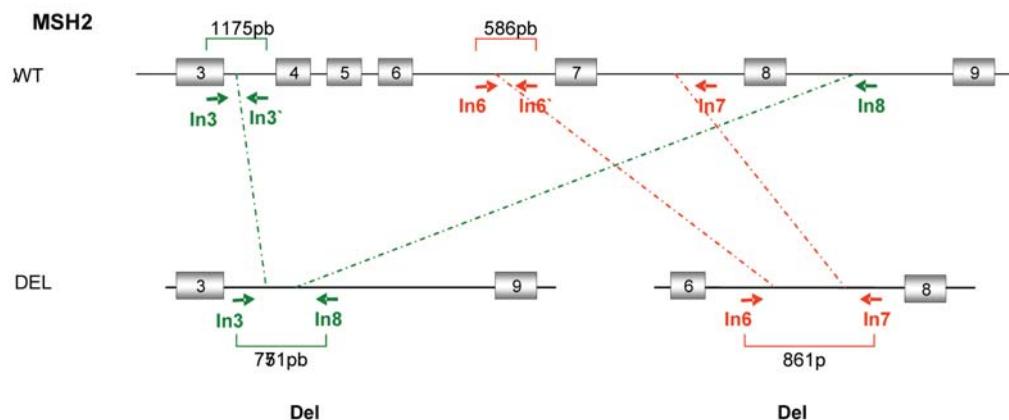
Target (°C)	Acquisition Mode	Hold (hh:mm:ss)	Ramp Rate (°C/s)	Acquisitions (per °C)	Sec Target (°C)	Step Size (°C)	Step Delay (cycles)
40	None	00:00:10	1,5	0	0	0	

**Overview**

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We designed a PCR test to screen these deletions in first degree relatives. A routine PCR procedure was optimized. We used three primer sequences: one forward and two reverse

EXONS 4-8 MSH2 primer sequence		Amplicon size
MSH2-In3S: TCGAGTGGAACTTTAGCCTGTT		WT: 1175pb
MSH2-In8A: GGGCAAGTATTAACCTAACACCACAA		Del: 751pb
MSH2-In3'A: CCGGCCACAATAACAAACC		
EXON 7 MSH2 primer sequence		Amplicon size
MSH2-In6S: GGCTCTTCAAACCTCTGTTGATTAGTT		WT: 586pb
MSH2-In8A: CGTAATTAGCTGGGCATGGT		Del: 861pb
MSH2-In6'A: AGTGAAATGGGAGCCAAAAAA		
PCR conditions		
MegaMix-double (microzone)	15ul	
MSH2-InXS (8 $\mu$ M) S:	2ul	
MSH2-InXA (8 $\mu$ M) S':	2ul	
MSH2-InX'A (8 $\mu$ M) A:	0.5ul	X35
DNA(150ng)	2ul	
H <sub>2</sub> O		
Final Volume	25 $\mu$ l	
	T°C	time
	94	9 min
	94	1 min
	60	1 min
	72	2 min
	72	10 min
	15	$\infty$



## **MLH1 METHYLATION SCREENING IS EFFECTIVE IN IDENTIFYING EPIMUTATION CARRIERS**

Marta Pineda\*, Pilar Mur\*, María Dolores Iniesta, **Ester Borràs**, Olga Campos, Gardenia Vargas, Sílvia Iglesias, Anna Fernández, Stephen B Gruber, Conxi Lázaro, Joan Brunet, Matilde Navarro, Ignacio Blanco i Gabriel Capellá. (\*) Aquests autors han contribuït equitativament en aquest treball.

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**Resum del treball:** Recentment, s'han identificat epimutacions constitucionals en el gen *MLH1* en pacients amb la síndrome de Lynch. L'objectiu d'aquest estudi va ser identificar epimutacions constitucionals en el gen *MLH1*, en un conjunt de pacients amb sospita de la síndrome de Lynch, on *MLH1* es trobava metilat en els tumors i no s'havien detectat mutacions germinals en els gens de reparadors (MMR).

L'anàlisi per MS-MLPA va permetre identificar dues epimutacions constitucionals en el promotor del gen *MLH1* en dos pacients amb càncer colorectal (5,9%). Aquests resultats van ser confirmats a partir de la seqüènciació amb bisulfit. Els dos individus portadors de les epimutacions havien desenvolupat tumors metacrònics de l'espectre de la síndrome de Lynch a edats joves, i no presentaven història familiar associada.

En un dels casos, la metilació constitucional identificada era monoal·lèlica i mostrava un silenciament transcripcional específic d'al·lel en *MLH1* i *EPM2AIP1*. Aquesta metilació era present en els teixits somàtics normals i era absents els espermatozoides. L'al·lel metilat va ser transmès per via materna i la metilació es va revertir en una filla que havia heretat el mateix al·lel.

El cribatge de la metilació de *MLH1* en el DNA de limfòcits de pacients amb tumors associats a la síndrome de Lynch, que han estat diagnosticats a edat jove i presenten metilació de *MLH1*, permet la identificació d'individus portadors d'epimutacions en aquest gen. Per altra banda, aquest estudi afegeix evidència a la nova entitat que conformen les epimutacions constitucionals en el gen *MLH1* i la seva herència.



ARTICLE

# MLH1 methylation screening is effective in identifying epimutation carriers

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Recently, constitutional *MLH1* epimutations have been identified in a subset of Lynch syndrome (LS) cases. The aim of this study was the identification of patients harboring constitutional *MLH1* epimutations in a set of 34 patients with a clinical suspicion of LS, *MLH1*-methylated tumors and non-detected germline mutations in mismatch repair (MMR) genes. *MLH1* promoter methylation was analyzed in lymphocyte DNA samples by MS-MLPA (Methylation-specific multiplex ligation-dependent probe amplification). Confirmation of *MLH1* constitutional methylation was performed by MS-MCA (Methylation-specific melting curve analysis), bisulfite sequencing and pyrosequencing in different biological samples. Allelic expression was determined using heterozygous polymorphisms. Vertical transmission was evaluated by MS-MLPA and haplotype analyses. MS-MLPA analysis detected constitutional *MLH1* methylation in 2 of the 34 individuals whose colorectal cancers showed *MLH1* methylation (5.9%). These results were confirmed by bisulfite-based methods. Both epimutation carriers had developed metachronous early-onset LS tumors, with no family history of LS-associated cancers in their first-degree relatives. In one of the cases, the identified *MLH1* constitutional methylation was monoallelic and results in *MLH1* and *EPM2AIP1* allele-specific transcriptional silencing. It was present in normal somatic tissues and absent in spermatozoa. The methylated *MLH1* allele was maternally transmitted and methylation was reversed in a daughter who inherited the same allele. *MLH1* methylation screening in lymphocyte DNA from patients with early-onset *MLH1*-methylated LS-associated tumors allows the identification of epimutation carriers. The present study adds further evidence to the emerging entity of soma-wide *MLH1* epimutation and its heritability.

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**Keywords:** Lynch syndrome; constitutional epimutation; *MLH1*; methylation; MS-MLPA; pyrosequencing

## INTRODUCTION

Lynch syndrome (LS) is characterized by an autosomal dominant inheritance of early-onset colorectal cancer (CRC) and increased risk of other cancers.<sup>1,2</sup> It is caused by germline mutations in DNA mismatch repair (MMR) genes. *MLH1* or *MSH2* are the most commonly mutated MMR genes in LS, whereas mutations in *MSH6* or *PMS2* are significantly less common.<sup>3,4</sup> Occasionally, the presence of constitutional epimutations in *MSH2* and *MLH1* has been reported (reviewed in Hitchins and Ward<sup>5</sup> and Kuiper *et al*<sup>6</sup>).

Constitutional epimutations are those stable changes in gene expression that do not affect DNA sequence and that are present in normal tissues of a given individual.<sup>7</sup> An epimutation that occurs in the germline or early embryo can affect all or most of the soma, and phenocopy genetic disease. *MSH2* epimutations, associated with a strong heritability, have been shown secondary to the presence of deletions in the neighboring *EPCAM* gene.<sup>6</sup> The mutations lead to mosaic methylation of *MSH2* in *EPCAM*-expressing cells.<sup>8</sup>

Approximately 40 index cases of constitutional *MLH1* methylation have been reported.<sup>9–23</sup> However, the prevalence of *MLH1* constitutional

epimutations is still unknown. Most studies addressing this issue have enriched their sampling with patients affected with CRC showing loss of *MLH1* protein expression.<sup>13,17,20,22</sup> In other cases, series were enriched for patients with CRC at an age of onset below 50 years.<sup>9,14,17,23</sup>

In a very few cases genetic alterations in *cis* (gross rearrangements and variants in the promoter region) have been identified as responsible for the methylation.<sup>13,16,19</sup> In these cases, an autosomal dominant pattern is readily observed. However, in most cases no genetic cause for the epimutation has been identified (reviewed in Hitchins and Ward<sup>5</sup>). In this context, the inheritance of the epimutation has only been experimentally confirmed in three cases.<sup>10,17,20</sup> The functional impact of these epimutations seems clear. In the few cases analyzed, methylation has been linked to allele-specific silencing of *MLH1* and *EPM2AIP1*.<sup>12,13,15,17</sup> This associates with an allele-specific methylation pattern.<sup>11,17,20,21</sup> In these cases, methylation seems to be widespread affecting all embryonic layers being mosaicism reported.<sup>10,12,20</sup>

The aim of our study was to investigate the prevalence of *MLH1* epimutations in a series of 34 patients with *MLH1*-methylated

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CRC and no detected germline *MLH1* mutations. We identified two *bona fide* *MLH1* epimutation carriers and extensively characterized one of them. The epimutated allele is maternally transmitted, methylation is present in all embryonic layers, erased in spermatozoa and not transmitted to the next generation.

## MATERIALS AND METHODS

### Patients and samples

Patients were assessed through Cancer Genetic Counselling Units of the Institut Català d'Oncologia (ICO) and the University of Michigan (UM) from 1998 to 2010. A total of 34 individuals (30 ICO, 4 UM) presenting *MLH1*-methylated tumors (methylation levels above 20% in C or D regions) were included in this study (Table 1). The ICO patients were selected from a series of 56 individuals with *MLH1*-deficient CRC and no germline mutations identified in *MLH1*.<sup>24</sup> In all, 29 patients met Bethesda criteria, 1 case met Amsterdam criteria and 4 cases showed other types of CRC familial aggregation. Clinico-pathological information was recorded. Informed

consent was obtained from all individuals, and ethics committee approved this study. Sample processing is detailed in Supplementary Methods.

### *MLH1* promoter methylation analyses

DNA from RKO colorectal tumor cell line (American Type Culture Collection, Manassas, VA, USA) was used as a biallelic *MLH1* methylation control. To generate unmethylated DNA, peripheral blood lymphocyte (PBL) DNA was amplified using the REPLI-g kit (Qiagen, Valencia, CA, USA). A sample of CEPH DNA from the Coriell Institute was used as an unmethylated control in pyrosequencing analyses.<sup>25</sup>

### Methylation-specific multiplex ligation-dependent probe amplification (MS-MLPA)

SALSA MS-MLPA ME011 kit (MRC Holland, Amsterdam) is based on the use of probes that contain a digestion site specific for the methylation-sensitive *Hha*I enzyme. All reactions were carried out using 100 ng of DNA. The kit includes five probe pairs in *MLH1* promoter (with the respective *Hha*I sites

**Table 1** Clinical and molecular features of patients with *MLH1*-methylated CRC

Case	Gender	Clinical criteria	CRC age of onset	CRC		Grade	Mucinous component	Other tumors (age of onset)	BRAF	% somatic <i>MLH1</i> methylation		<i>MLH1</i> rs1800734 (c. -93G/A)
				location	TNM					C region (-246)	D region (-13)	
1	M	BC	32	L	T3N0MO	G1	No	CRC (34)	wt	57.6	59.7	GA
2	F	BC	49	R	T2N2MO	G3	Yes		V600E	24.9	36.9	GA
3	M	BC	37	L	T3N0MO	G2	No		wt	29.3	31.7	na
4	F	BC	73	R	T4aN0MO	na	Yes		wt	73.5	70.5	GA
5	M	BC	50	R	T3N1MO	G2	No		wt	28.6	33.6	na
6	F	FA	62	R	T3N0MO	G3	No		wt	61.5	78.5	AA
7	M	BC	42	R	T4N2MO	G2	No	CRC (synch)	wt	24.1	25.2	GG
8	M	BC	29	R	T3N0MO	G2	No		wt	25.1	27.6	na
9	F	BC	47	L	T3N1MO	G2	Yes		wt	38.5	34.9	na
10	F	BC	77	R	T3N0MO	na	Yes		wt	38.2	24.1	GG
11	M	BC	52	R	T3N0MO	G2	No		V600E	35.4	4.4	AA
12	F	BC	62	L	T3N0MO	G2	No		wt	53.7	76.7	GA
13	F	BC	59	R	T3N0MO	G2	No		V600E	39.4	45.8	GG
14	F	BC	77	R	T3N0MO	G2	No		V600E	34.5	28.4	GG
15	F	BC	52	R	T4aN0MO	G2	Yes		V600E	22.9	41.4	GG
16	F	BC	24	R	T3N0MO	G3	No		V600E	57.5	75.1	GG
17	M	FA	78	R	T3N0MO	G2	No		wt	12.5	24.0	GG
18	M	BC	48	R	na	na	No		wt	32.8	34.8	GA
19	M	FA	73	R	T3N0MO	G3	Yes		V600E	19.4	31.2	GA
20	F	BC	50	R	T3N0MO	G2	Yes		V600E	35.8	27.0	GG
21	F	BC	58	R	T3N0MO	G2	No	3 CRC (synch)	V600E	40.6	66.6	GG
22	M	FA	85	R	T4bN0MO	G3	No		V600E	41.4	42.5	GA
23	F	BC	47	L	T3N0MO	G3	Yes		V600E	20.3	39.3	AA
24	F	BC	59	R	T1N0MO	G2	No	CRC (29)	V600E	11.4	20.6	AA
25	M	BC	69	R	T4N0MO	G3	Yes		CRC (synch)	wt	50.3	43.1
26	F	BC	75	R	T2N0MO	G2	No	CRC (64)	V600E	27.1	30.3	GA
27	M	BC	47	L	T3N0MO	G1	No		V600E	40.1	21.6	AA
28	M	BC	31	L	T4N0MO	G2	Yes		wt	26.2	32.7	GG
29	F	BC	23	L	T4N1MO	G2	No	GC (26) BrC (69); RC (78) M (80)	wt	79.8	50.4	GA
30	M	BC	86	R	T3N0MO	na	na		wt	na	na	na
31	M	AMS	68	R	T3N0MO	na	na		wt	na	na	na
32	F	BC	55	R	T2N0MO	na	na		wt	na	na	na
33	F	BC	52	R	T3N1MO	G3	na		wt	na	na	na
34	F	BC	47	R	T1N0MO	na	No	CRC (29), EC (49)	wt	26.1	37.3	GG
									wt			

Abbreviations: AMS, Amsterdam criteria; BC, Bethesda criteria; FA, Familial aggregation; M, male; F, female; R, right; L, left; CRC, colorectal cancer; EC, endometrial cancer; GC, gastric cancer; BrC, breast cancer; RC, renal cancer; M, mesothelioma; synch, synchronous; wt, wild-type; na, not available.

located at -659, -383, -246, -13 and +208 relative to the start codon; GenBank accession number U26559) that cover five independent regions: regions A to D of the promoter and intron 1.<sup>26</sup>

### Methylation-specific melting curve analysis

Methylation-specific melting curve analysis method consists in a real-time PCR followed by temperature dissociation on DNA previously treated with sodium bisulfite,<sup>27</sup> using the EZ DNA Methylation-Gold Kit (Zymo Research, Orange, CA, USA). Experimental conditions and primers are detailed in Supplementary Methods, Figure S1 and Table S1.

### Bisulfite sequencing

A total of 1 µl of bisulfite-converted DNA was used in a PCR reaction for the amplification and subsequently sequencing of *MLH1* promoter regions C and D.<sup>26</sup> Experimental conditions and primers are detailed in Supplementary Methods, Figure S1 and Table S1.

### Clonal bisulfite sequencing

A total of 1 µl of bisulfite-modified DNA was amplified, cloned and sequenced. Experimental conditions and primers are detailed in Supplementary Methods, Figure S1 and Table S1.

### Pyrosequencing

In all, 2 µl of bisulfite-converted DNA were used in a PCR reaction for the amplification of regions C and D of the *MLH1* promoter<sup>26</sup> using HotStarTaq master mix (Qiagen) and biotinylated primers (Supplementary Table S1 and Figure S1). Primers were designed using the Pyromark Assay Design Software 2.0 (Qiagen). Experimental conditions are detailed in Supplementary Methods.

### *MLH1* allelic expression analyses

For allelic expression analyses at the c.655A>G SNP (rs1799977) within *MLH1* exon 8, the relative levels of the A/G alleles were determined in genomic DNA and cDNA by single-nucleotide primer extension (SNuPE) and pyrosequencing, as described in Supplementary Methods.

### *EPM2AIP1* allelic expression analysis

Amplification and sequencing of rs9311149 flanking region, within *EPM2AIP1* gene, was performed as previously described.<sup>12</sup> For allelic expression analysis at rs9311149, the relative levels of G/T alleles were determined in genomic DNA and cDNA by SNuPE as described in Supplementary Methods, using primers listed in Supplementary Table S1.

### Direct sequencing of *MLH1* promoter

Screening for mutations within the *MLH1* promoter was performed by PCR amplification and sequencing as described.<sup>28</sup> One reverse amplification primer has been modified (Supplementary Table S1).

### Haplotype analysis

Haplotype analysis was performed using four intragenic *MLH1* single-nucleotide polymorphisms (rs1800734, rs9876116, rs1799977 and rs4234259) and seven microsatellite markers (D3S1609, D3S1612, D3S2369, D3S1611, D3S3623, D3S1298, D3S3564) covering 12 Mb around *MLH1*, as previously described.<sup>29</sup> To deduce the methylation-associated haplotype, intrafamilial segregation analysis was performed under the assumption that the number of crossovers between adjacent markers was minimal.

### Second hit analysis

Loss-of-heterozygosity (LOH) analysis was performed on DNA extracted from paraffin-embedded tumor tissue and compared with PBL DNA at informative microsatellites (see haplotype analysis) and SNP rs1799977, either by genotyping or SNuPE (see Supplementary Methods), respectively. *MLH1* somatic mutation status was assessed in tumor DNA by direct sequencing and multiplex ligation-dependent probe amplification (SALSA MLPA P003-B1; MRC Holland).

### *BRAF V600E* screening

A 196-bp region of human *BRAF* gene spanning the hotspot mutation c.1799T>A (V600E) was amplified by PCR (Supplementary Table S1) as described.<sup>24</sup> The PCR products were purified using Illustra GFX DNA and Gel Band Purification kit (GE Healthcare, Buckinghamshire, UK). *BRAF* V600E mutation detection was performed by SNuPE using the ABI PRISM SNaPshot Multiplex Kit (Applied Biosystems, Foster City, CA, USA) and a specific primer.

## RESULTS

### Clinical and molecular features of patients with *MLH1*-methylated CRC

In all, 34 patients (15 males; 19 females) were analyzed (Table 1). Mean age at diagnosis was 55 (range 23–86 years). Twenty-six tumors (76%) were located in the right colon and ten (33%) were classified as mucinous. Only six patients (18%) had lymph node involvement and none of them had distal metastasis. *BRAF* mutations were detected in 13 tumors (38%). A common SNP rs1800734 (c.-93G>A) within the *MLH1* promoter was found to be heterozygote in 10 cases (38%) and homozygote A in 5 (19%). In eight individuals (24%), additional LS-associated tumors were diagnosed, three synchronous and five metachronous (Table 1). Molecular characterization of these additional tumors (Table 2) allowed demonstrating the existence of two *MLH1*-methylated tumors in four individuals (cases 1, 7, 29 and 34).

### Identification of new LS cases harboring a constitutional *MLH1* epimutation

The methylation status of *MLH1* promoter was analyzed by MS-MLPA in DNA extracted from PBLs. Constitutional methylation was only detected in 2 individuals (cases 1 and 34) of the 34 patients included (5.9%). It represented 2 out of 100 LS cases in ICO series (2%). In both cases, methylation in *MLH1* promoter was detected in the five regions analyzed, including C and D promoter regions, which was correlated with transcriptional silencing<sup>26</sup> (Table 3).

Sequencing analysis of the whole *MLH1* promoter (from c.-1469 to intron 1) in PBL DNA from cases 1 and 34 did not detect any variant affecting the binding of MLPA probes nor *Hha*I restriction sites. Likewise, it revealed that case 1 was heterozygous for SNP rs1800734 (c.-93G>A) and case 34 was heterozygous for SNP rs34566456 (c.-607G>C). No other variants – including c.-27C>A and c.85G>T<sup>16</sup> – were identified within the promoter region.

Case 1 is a 47-year-old male who underwent urgent sigmoidectomy due to intestinal occlusion secondary to a sigmoid adenocarcinoma (pT3N0M0, stage II) at the age of 32. After 2 years, the patient was diagnosed with an adenocarcinoma of the hepatic flexure (pT3N0M0, stage II) and a subtotal colectomy was carried out. Microsatellite analysis showed MSI, loss of *MLH1* and *PMS2* expression, absence of *BRAF* V600E mutation and somatic *MLH1* methylation in both tumors (Table 2). The patient had no family history of cancer in his first-degree relatives as it is shown in his pedigree (Figure 1a).

Case 34 is a 55-year-old female who was diagnosed of a sigmoid adenocarcinoma (pT3N1M0, stage III) at the age of 29 years and underwent a sigmoidectomy. After 15 years, the patient was diagnosed with an adenocarcinoma of the hepatic flexure (pT1N0M0, stage I). At the age of 49 years, she was diagnosed of an endometrial adenocarcinoma (pT1N0M0). Microsatellite analysis showed instability of the five analyzed markers in the second CRC, and instability of bat26 and MONO-27 in the endometrial cancer. Both second colorectal and endometrial tumors showed loss of *MLH1* and

**Table 2 Molecular features of tumors from patients affected by multiple LS-associated tumors**

Case	Tumor type	Age of onset	MSI analysis	IHC					% somatic <i>MLH1</i> methylation	
				<i>MLH1</i>	<i>MSH2</i>	<i>MSH6</i>	<i>PMS2</i>	<i>BRAF</i>	<i>C</i> region (-246)	<i>D</i> region (-13)
1	CRC <sup>a</sup>	32	+	-	+	+	-	wt	57.6	59.7
	CRC	34	+	-	+	+	-	wt	60.5	62.8
7	CRC <sup>a</sup>	42	+	-	+	+	ND	wt	24.1	25.2
	CRC	42	+	-	+	+	-	wt	28.9	24.2
21	CRC <sup>a</sup>	58	+	-	+	+	ND	V600E	40.6	66.6
	CRC	58	-	+	+	+	+	ND	ND	ND
	CRC	58	-	+	+	+	+	ND	ND	ND
	CRC	58	-	ND	ND	ND	ND	ND	ND	ND
24	CRC	29	NA	NA	NA	NA	NA	NA	NA	NA
	CRC <sup>a</sup>	59	+	-	+	+	ND	V600E	11.4	20.6
25	CRC <sup>a</sup>	69	+	-	+	+	ND	wt	50.3	43.1
	CRC	69	NA	NA	NA	NA	NA	NA	NA	NA
26	CRC	64	NA	NA	NA	NA	NA	NA	NA	NA
	CRC <sup>a</sup>	75	+	-	+	+	-	V600E	27.1	30.1
	CRC <sup>a</sup>	23	+	-	+	+	ND	ND	79.8	50.4
29	GC	26	+	-	+	+	ND	ND	63.0	73.0
	CRC	29	NA	NA	NA	NA	NA	NA	NA	NA
	CRC <sup>a</sup>	47	ND	-	ND	ND	-	wt	55.5	48.8
	EC	49	+	-	+	+	ND	wt	26.1	37.3

Abbreviations: NA, not available; ND, not done.

<sup>a</sup>Tumors included in the initial series listed in Table 1.**Table 3 Analysis of *MLH1* methylation using MS-MLPA in samples from the proband and relatives**

Family	Individual	Sample	% <i>MLH1</i> methylation				
			A region (-659)	B region (-383)	C region (-246)	D region (-13)	Intron 1 (+208)
			0	0	0	0	0
A	I.1	PBL	<b>61.2</b>	<b>83.7</b>	<b>57.6</b>	<b>59.7</b>	<b>60.9</b>
(case 1)	II.1	CRC 1	<b>62.3</b>	<b>86.9</b>	<b>60.5</b>	<b>62.8</b>	<b>63.5</b>
		CRC 2	<b>60.5</b>	<b>76.7</b>	<b>56.0</b>	<b>56.2</b>	<b>60.2</b>
		PBL	<b>55.8</b>	<b>53.2</b>	<b>64.0</b>	<b>52.4</b>	<b>63.0</b>
		fibroblasts	<b>52.3</b>	<b>78.9</b>	<b>58.3</b>	<b>48.5</b>	<b>62.6</b>
		colonic mucosa	0	0	0	0	0
		sperm	0	0	0	0	0
	II.2	PBL	0	0	0	0	0
B	III.1	PBL	0	0	0	0	0
	III.2	PBL	0	0	0	0	0
	case 34	EC	<b>33.6</b>	<b>59.4</b>	<b>26.1</b>	<b>37.3</b>	<b>28.5</b>
		CRC	<b>58.0</b>	<b>56.3</b>	<b>55.5</b>	<b>48.8</b>	<b>56.4</b>
		PBL	<b>35.9</b>	<b>45.3</b>	<b>25.1</b>	<b>27.6</b>	<b>27.7</b>
		RKO	<b>110.1</b>	<b>113.2</b>	<b>103.0</b>	<b>88.2</b>	<b>103.4</b>

Peripheral blood lymphocytes (PBL), skin fibroblasts, colorectal tumors (CRC 1 and 2), normal adjacent mucosa and sperm from case 1 (II.1), PBL from his relatives, and PBL, CRC and endometrial cancer (EC) from case 34, were analyzed. DNA from RKO cell line (methylated in *MLH1*) is used as a positive control. Representative data from two independent experiments is shown. Methylation levels above 20% are shown in bold.

PMS2 expression, absence of *BRAF* V600E mutation and somatic *MLH1* methylation (Table 2). Patient's mother was affected by a breast cancer at the age of 77 years (Figure 1b).

Methylation-specific melting curve analysis confirmed the presence of a methylated peak in C and D promoter regions in both cases

(Figure 2a and Supplementary Figure S2). Likewise, bisulfite sequencing showed the presence of both methylated C as well as non-methylated T (bisulfite-converted non-methylated C) alleles at each CpG site in the samples of interest (Figure 2a and Supplementary Figure S2). Average methylation levels in PBL of the case 1 were 34% and 39% in C and D regions, respectively, as assessed by pyrosequencing (Figure 2a; Table 4). Clonal bisulfite sequence analysis confirmed hemiallelic methylation in PBL DNA confined to allele A of the rs1800734 (Figure 2b). In case 34, average methylation levels in PBL were 20% and 19% in C and D regions, respectively (Supplementary Figure S2; Table 4).

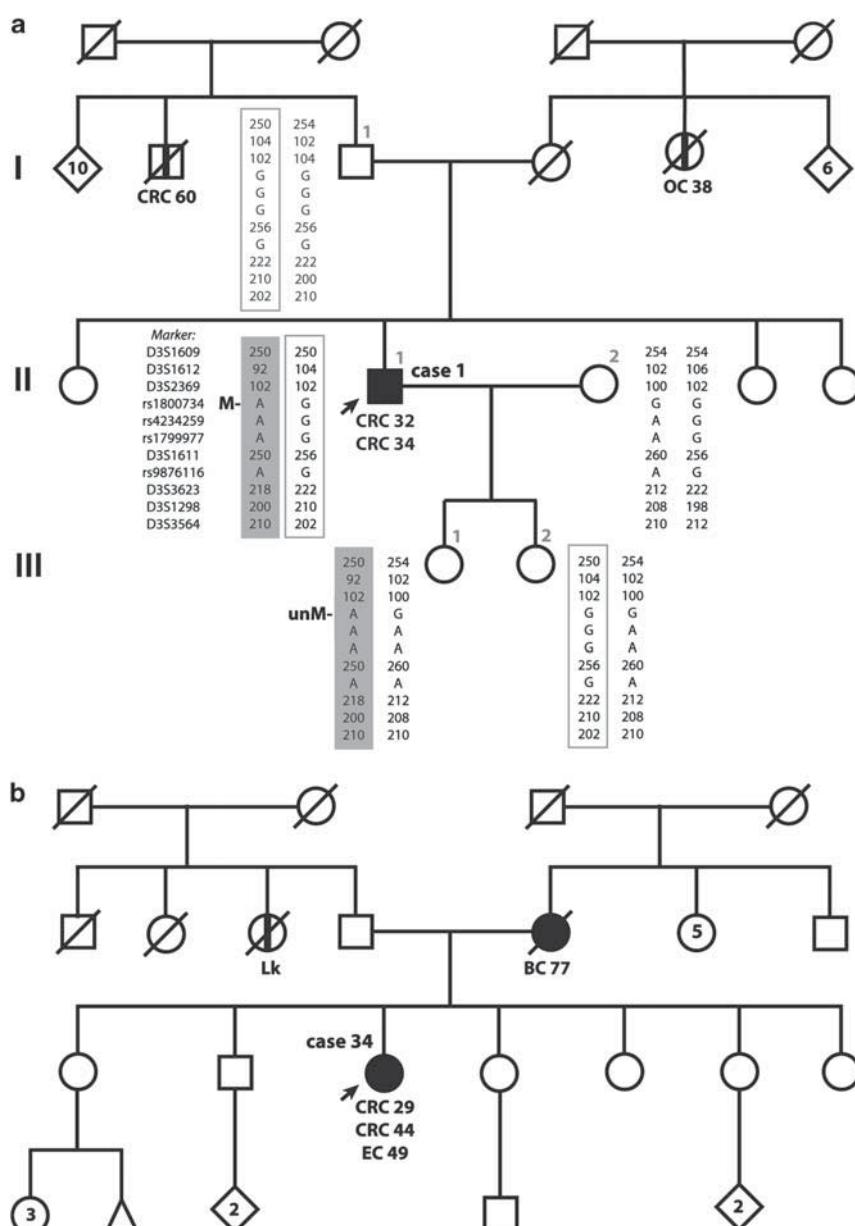
### Functional impact of the *MLH1* epimutations

The *MLH1* promoter is bi-directional for transcription of *MLH1* and *EPM2AIP1* genes. In case 1, the neutral heterozygous polymorphism c.655G>A (rs1799977) within *MLH1* exon 8 was used to determine the effect of the epimutation on *MLH1* transcriptional activity. Monoallelic expression of *MLH1* transcript, associated to G allele, was demonstrated by pyrosequencing and SNuPE (Figure 3). ASE (allele-specific expression) values obtained in patient and control sample were 0.05 and 1.17 when analyzed by pyrosequencing, and 0.02 and 0.98 by SNuPE, respectively. In case 34, the absence of coding heterozygous polymorphisms in *MLH1* prevented its transcriptional analysis.

SNuPE analysis at rs9311149 of *EPM2AIP1* evidenced complete silencing of *EPM2AIP1* G allele in case 1 (Figure 3b, right panel) and partial silencing of the same allele in case 34 (Supplementary Figure S2b), further reinforcing the functional impact of the constitutional methylation. The obtained ASE values were 0.02 in case 1, 0.48 in case 34 and 1.00 in control sample.

### Characterization of the *MLH1* epimutation

*MLH1* methylation pattern. Follow-up of case 34 and her family has proved difficult. Thus, for the purpose of detailed characterization, we

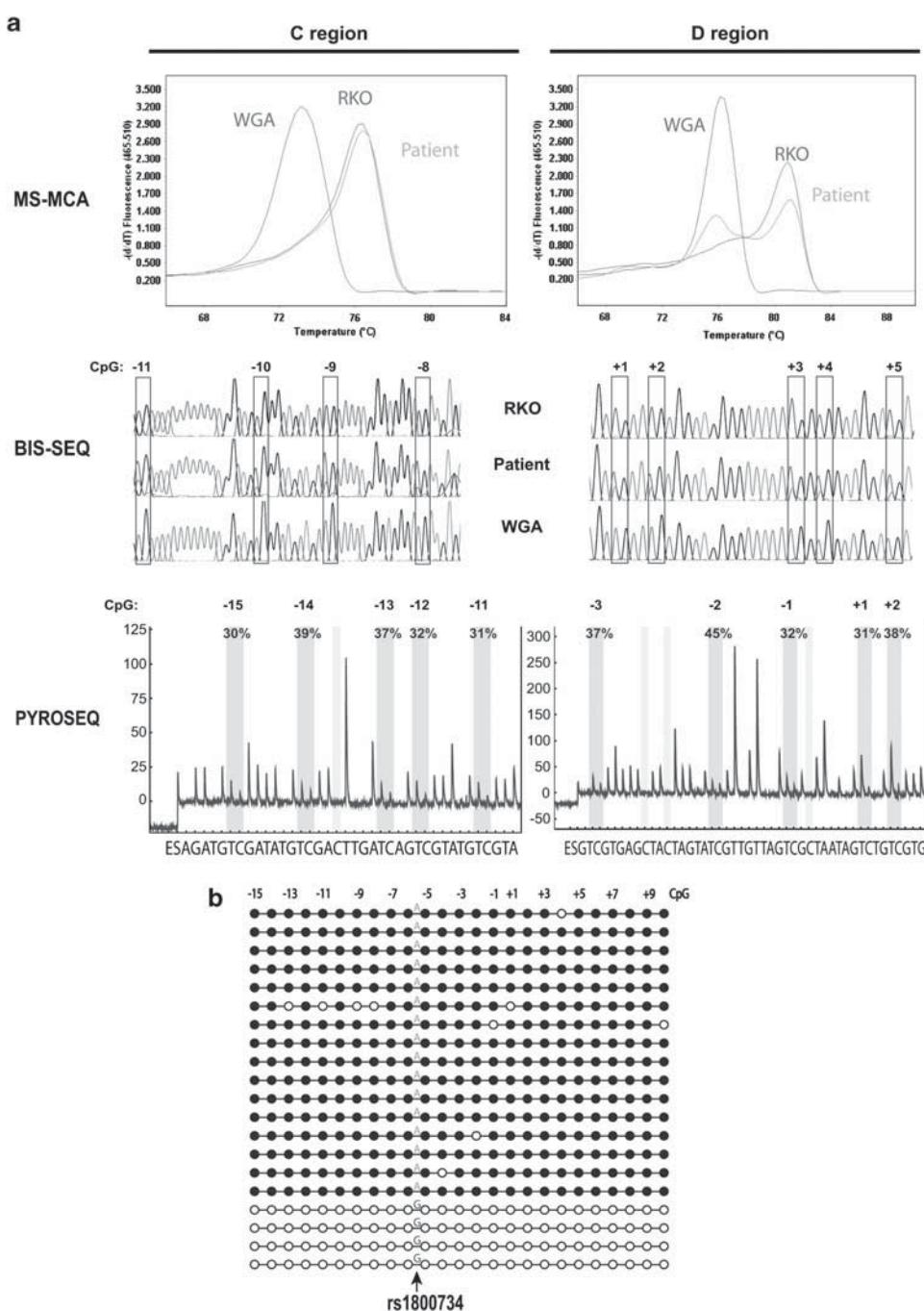


**Figure 1** Family pedigree of the epimutation carriers. Circles, females; squares, males; filled, cancer affected; vertical line at center, non-confirmed cancer affected. Cancer localization (CRC, colorectal cancer; OC, ovarian cancer; EC, endometrial cancer; BC, breast cancer; Lk, leukemia) and age at diagnosis are indicated. (a) Pedigree and haplotypes of case 1. The epimutation carrier (II.1) is indicated by an arrow. Generations are indicated on the left margin in Roman numerals and analyzed relatives are identified by numbers. Haplotypes, generated by analyzing SNP and microsatellite markers flanking or within *MLH1*, are detailed according to the key indicated in individual II.1. The paternally inherited allele in II.1 is in a square and the maternally derived allele is highlighted in dark gray. The presence of methylation (M) or its absence (unM) is indicated. (b) Pedigree of case 34. The epimutation carrier is indicated by an arrow.

have focused in the characterization of case 1. First, we wanted to explore whether methylation was present in all embryonic layers and in the germline of case 1. MS-MLPA analysis in skin fibroblasts (ectoderm) and colorectal mucosa (endoderm) revealed similar levels of *MLH1* methylation than in PBL (Table 3), indicating hemiallelic methylation in all embryonic layers. In contrast, no methylation was detected in patient sperm as evidenced by MS-MLPA and pyrosequencing analyses (Tables 3 and 4). Direct sequencing of the PBL and sperm for *MLH1* promoter C region evidenced the presence of both

alleles at rs1800734 in both samples (data not shown). These results indicate the reversion of the epimutation in patient spermatozoa.

**Inheritance pattern of the epimutant allele.** To further investigate the inheritance pattern of the allele harboring the epimutation, we analyzed the *MLH1* promoter methylation status as well as a haplotype of 12 Mb around *MLH1* in available PBL DNA from patient's first-degree relatives. MS-MLPA analysis showed no evidence of *MLH1* methylation in relatives (Table 3). Haplotype analysis revealed that the



**Figure 2** Confirmation of the constitutional *MLH1* epimutation of case 1. (a) Analysis of the *MLH1* promoter C and D regions by methylation-specific melting curve analysis (MS-MCA), bisulfite sequencing (BIS-SEQ) and pyrosequencing (PYROSEQ). Top panel: MS-MCA of *MLH1* promoter. In the analysis of C region, WGA DNA (unmethylated control) and RKO DNA (methylated control) show single melting peaks at 73 and 77°C, respectively. In D region, WGA and RKO melting peaks temperature are 76 and 82°C, respectively. Analysis by MS-MCA in PBL DNA from the patient 1 (green line) shows the presence of the methylated peak in both regions. Middle panel: sequence analysis of bisulfite-converted DNA. WGA DNA shows T at each CpG analyzed, consistent with complete modification of the DNA. RKO DNA shows C at each CpG. Patient DNA shows a mixture of T and C at CpG sites, attributable to partial methylation. Bottom panel: representative pyrograms obtained in the analysis of C and D *MLH1* promoter regions in PBL DNA from the patient. The peaks within the shaded area of the pyrogram correspond to the CpG interrogated. Percentage methylation at each site is calculated as the C:T ratio of peak heights (representing methylated:unmethylated cytosine). x axis represents the nucleotide dispensation order. y axis units are arbitrary representing light intensity. (b) Clonal bisulfite sequencing of the *MLH1* promoter in PBL DNA from the epimutation carrier 1. Each horizontal line represents a single allele. CpG dinucleotides are depicted by circles. Black and white circles indicate methylated and unmethylated CpG, respectively. The allele at rs1800734 (c. -93G>A) is indicated as A or G. Methylation is confined to the A allele. Each CpG analyzed is numbered according to its position relative to the translation initiation codon.

epimutated allele is only shared by the patient and one of his daughters (Figure 1a). The lack of availability of biological material from the mother has precluded us from analyzing the presence of the

epimutation in her. These results confirmed that the epimutated allele is maternally inherited in the patient, and that methylation is erased in the patient's daughter who inherited the same allele.

**Table 4 Quantification of *MLH1* promoter methylation by pyrosequencing**

MLH1 promoter C region																
Family	Individual	Sample	CpG position										Mean	SD	Min	Max
			-15	-14	-13	-12	-11									
A	II.1 (case 1)	PBL	32.0	38.1	36.1	31.7	33.6	34.3	2.8	31.7	38.1					
		sperm	2.1	0.0	3.8	2.1	1.4	1.9	1.4	0.0	3.8					
B	case 34	PBL	22.1	21.6	20.1	17.1	17.7	19.7	2.3	17.1	22.1					
		RKO	95.5	96.5	94.2	92.6	95.9	94.9	1.6	92.6	96.5					
		CEPH	2.2	2.15	3.6	2.55	2.3	2.6	0.6	2.2	3.6					
MLH1 promoter D region																
Family	Individual	Sample	-6	-5	-4	-3	-2	-1	1	2	Mean	SD	Min	Max		
			39.0	50.0	38.9	36.4	43.8	33.3	32.1	39.4	39.1	5.8	32.1	50.0		
A	II.1 (case 1)	PBL	0.0	5.3	0.0	1.6	6.5	2.9	0.0	1.7	2.3	2.5	0.0	6.5		
		sperm	19.7	20.5	19.1	19.2	17.2	18.3	19.8	19.2	19.1	1.0	17.2	20.6		
	case 34	RKO	95.5	92.6	84.0	90.2	76.1	72.7	81.0	93.7	85.7	8.6	72.7	95.5		
		CEPH	3.4	5.7	0.0	0.0	9.4	3.8	2.7	2.9	3.5	3.0	0.0	9.4		

Each sample was run in triplicates. Methylation at each specific CpG was calculated as the mean of the triplicates. Values for each specific CpG within the region are given in percentage. Average percentage of methylation of the whole region was calculated as the mean for the five CpGs analyzed in C region and the eight CpGs in the D region. Both peripheral blood lymphocytes (PBL) and sperm from the proband (II.1) were analyzed. DNA from the colorectal cancer cell line RKO was used as positive control. CEPH DNA was used as negative control. Each CpG analyzed is numbered according to its position relative to the translation initiation codon.

**Inactivation of the non-methylated allele in tumor tissue.** We explored the nature of the putative second hit in the patient's sigmoid colon cancer. Full exonic sequencing of the *MLH1*-coding region did not identify any additional mutation. LOH was evidenced at *MLH1* rs1799977 and D3S1611 (data not shown). Retention of heterozygosity was observed at the distal marker D3S3564, whereas LOH was not evaluable at markers D3S1612, D3S3623 and D3S1298 due to their instability. These results point to the loss of the wild-type *MLH1* allele in tumor DNA. MLPA analysis in tumor DNA was not conclusive, probably owing to the poor quality of tumor FFPE-DNA.

## DISCUSSION

We identified two *bona fide* *MLH1* epimutations and one of them has been extensively characterized. In previous reports, *MLH1* epimutations were detected in 8–13% of patients with tumors showing *MLH1* loss of expression.<sup>13,17,20,22</sup> We have detected this alteration in 2 out of 30 patients with *MLH1*-methylated CRC meeting Bethesda or Amsterdam criteria (6.7%) and in 2 of 14 patients with an age of onset below 50 years (14.2%), in whom no germline *MLH1* mutation was identified. This is in line with the prevalence reported by van Roon *et al*<sup>23</sup> in patients with *MLH1*-methylated tumors enriched for cases with an early age of onset. If we take into consideration only the ICO series, *MLH1* epimutations represent so far 2% of all LS cases.

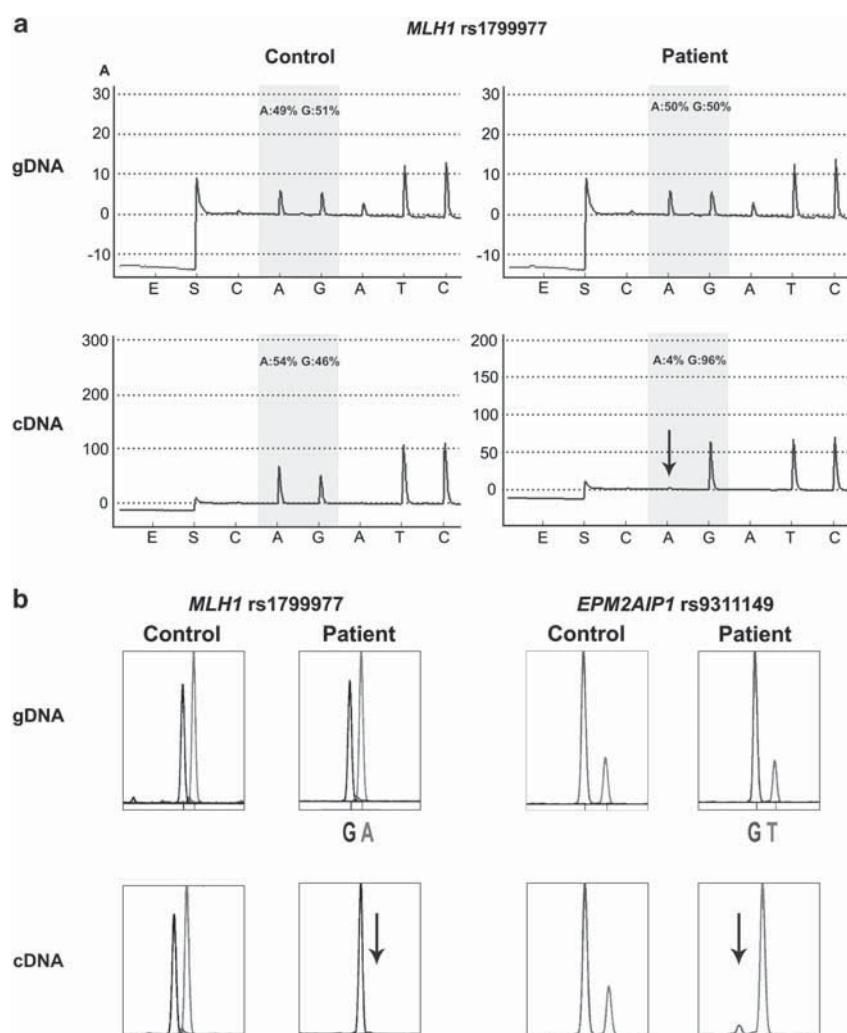
In accordance with previous reports (reviewed in Hitchins and Ward<sup>5</sup>), the cases identified in this study had developed multiple LS tumors at an early age. This may not only reflect the phenotype associated with the epimutation but also the selection criteria used so far in most studies. Of note, methylation was not only detected in metachronous colon tumors but also in endometrial carcinomas as well. *BRAF* mutation was absent in four analyzed tumors from the identified epimutation carriers. However, the presence of somatic *BRAF* V600E mutation has been previously reported in tumors from three epimutation carriers,<sup>10,12,23</sup> representing 15.8% (3/22) of the reported cases. In our set of cases, the degree of *MLH1* methylation is highly variable among tumors from both epimutation carriers and the remaining patients. Epimutations have been detected in

two of four cases where multiple tumors showed somatic *MLH1* hypermethylation.

PBL methylation levels correlated with the observed transcriptional silencing, suggesting the presence of mosaicism in case 34. Dosage of the methylated allele is important. In line with previous observations, approximately 50% of the alleles were methylated in case 1.<sup>10,11,14,17,20,21</sup> As reported, the functional impact of the epimutation seems clear, as it associates with monoallelic expression of *MLH1* and *EPM2AIP1* transcripts<sup>12,13,15,17</sup> and an allele-specific methylation pattern.<sup>14,17,20,21</sup> LOH in an intragenic *MLH1* microsatellite marker was detected, consistent with somatic loss of the unmethylated allele. In fact, LOH has been found to be the most frequent mechanism of inactivation of wild-type allele in tumors from epimutation carriers.<sup>12</sup>

So far, in all cases identified but one, the methylated allele was of maternal origin.<sup>10,12,15,17,20</sup> The epimutation was found in the maternally inherited allele. Although we were unable to definitively demonstrate whether the epimutation was inherited or *de novo*, this may further support the notion that this type of aberration is more likely to accumulate during the oogenesis. We were able to perform a more detailed study of the index case and descendants. While *MLH1* methylation was present in every embryonic layer of the index case, a complete erasure was observed in the spermatozoa, as reported by Hitchins *et al*.<sup>17,30</sup> The lack of methylation in spermatozoa does not necessarily mean that inheritance cannot occur. In fact, this was clearly demonstrated in one descendant who inherited the epimutation out of three harboring the same allele.<sup>17</sup> In our case, the epimutated allele was transmitted unmethylated to one of his daughters.

In spite of an extensive search, we have not been able to identify a genetic alteration underlying the epimutated allele. Genetic aberrations in *cis* (gross rearrangements in two cases (one deletion of *MLH1* exons 1 and 2, and one duplication involving the whole gene) and in a third one the variant c.-27C>A within the promoter region) have been identified as responsible for *MLH1* methylation.<sup>13,16,19</sup> Dominant transmission pattern is observed in these cases.



**Figure 3** Transcriptional inactivation of *MLH1* and *EPM2AIP1* alleles. (a) Illustrative example of the pyrogram across the expressible *MLH1* rs1799977 (c.655A>G) in genomic DNA (gDNA) (top panels) and cDNA (bottom panels) derived from a heterozygous healthy control (left panels) and the epimutation carrier (right panels). The peaks within the shaded area of the pyrogram are the nucleotides at the SNP site, quantified with respect to neighboring nucleotides. Their relative values are given as percentage values above the pyrogram trace. There was a transcriptional inactivation of the 'A' allele (indicated with a downward arrow) in the cDNA of the patient with the *MLH1* epimutation. x axis represents the nucleotide dispensation order. y axis units are arbitrary representing light intensity. (b) Representative results of the SNUPE analysis at *MLH1* rs1799977 (c.655A>G) (left panel) and *EPM2AIP1* rs9311149 (right panel) in gDNA and cDNA derived from a heterozygous control and the epimutation carrier. Transcriptional silencing of the A allele at *MLH1* rs1799977 and T allele at *EPM2AIP1* rs9311149 in the cDNA of the patient was observed.

Dominant inheritance has been also observed in cases where no genetic alterations are detected.<sup>10,12,15,17,20</sup> In these cases, methylation was mosaic and associated to a shared haplotype.

Although we cannot completely rule out that aberrations have been missed, the lack of family history and the lack of vertical transmission are compatible with a *de novo* methylation occurred in the early embryo, where there is no apparent predisposing genetic mechanism that would allow for the restoration of methylation after the gametogenesis. However, this is an unsettled issue. The epimutation carrier identified in this study showed methylation confined to the A allele at rs1800734, although allele-specific methylation is not restricted to either A or G allele in other reported cases.<sup>14,17,20,21</sup> It is intriguing that the A allele at rs1800734 associates with somatic *MLH1* promoter methylation and increased risk of MSI CRC.<sup>23,31–35</sup> In addition, it has been shown that this polymorphism modifies the efficiency of *MLH1/EPM2AIP1* transcription.<sup>36</sup>

It is difficult to translate these findings into specific recommendations for these patients and their relatives. At this time caution is mandatory. In the presence of a detected constitutional epimutation, genetic screening of descendants is important. However, in the presence of an inherited non-methylated allele in lymphocyte DNA, two options are available. On the one hand, descendants can be counseled as relatives of a LS case where direct genetic testing has been non-informative. In this setting, it is assumed that lack of methylation in the inherited allele does not rule out that a mosaic status is present in the patient or that a non-detected genetic alteration predisposing to a late acquisition of methylation is present in this family. Alternatively, recommendation can be made based on the degree of personal and familial history of cancer. Further knowledge is needed to translate these research findings into useful information for management of patients and families.

The increasing detection of epimutations has lead to the suggestion that the diagnostic algorithm of LS might be improved. So far, the detection of somatic *MLH1* hypermethylation is often used to exclude patients from further MMR mutation analysis, based on cost effectiveness considerations.<sup>24,37</sup> The patients with somatic *MLH1* hypermethylation could now be considered as candidates to screen for constitutional *MLH1* epimutations. Based on the clinical presentation of the reported cases<sup>5</sup> and our experience, this screening could be restricted to those diagnosed earlier than 50 years or with multiple tumors the first one before the age of 60. If this was the case, MS-MLPA could be a good methodological approach. The robustness and informativeness already shown for paraffin-embedded tissues<sup>24</sup> has been confirmed when being used in the germline. In any case, confirmation with at least another technique (ie, pyrosequencing) would be mandatory.

In summary, *MLH1* methylation screening in PBL from patients with early-onset *MLH1*-methylated CRC allows the identification of epimutation carriers. Using this strategy we have identified two *bona fide* *MLH1* epimutations. In one of them, the methylated allele is from maternal origin, is present in all embryonic layers and is absent in spermatozoa. The characterization of these cases provides further evidence of the emerging entity of soma-wide *MLH1* epimutation and its heritability.

## CONFLICT OF INTEREST

The authors declare no conflict of interest.

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Supplementary Information accompanies the paper on European Journal of Human Genetics website (<http://www.nature.com/ejhg>)

## **SUPPLEMENTARY MATERIAL**

### **SUPPLEMENTARY METHODS:**

#### **Samples**

DNA extraction of colorectal mucosa and tumour tissue from paraffin-embedded material was done after enrichment for normal and tumour cells using the QIAamp DNA Mini Kit (Qiagen). Microsatellite instability testing was performed in tumor DNA using the MSI Analysis System (Promega). Genomic DNA was extracted from peripheral blood lymphocytes (PBL) using the FlexiGene DNA kit (Qiagen, Hilden, Germany). Different samples were acquired from the epimutation carrier: skin fibroblasts, peripheral blood lymphocytes, colorectal tumor and normal adjacent mucosa, and sperm. For fibroblast isolation, a skin biopsy was cut into small pieces and digested with 160 U/ml collagenase type 1 (Sigma, St. Louis, MO) and 0.8 U/ml dispase grade 1 (Roche Diagnostics, Penzberg, Germany).<sup>1</sup> Fibroblasts were grown with Dulbecco's modified Eagle's medium (Gibco, Invitrogen), 10% fetal bovine serum (Gibco, Invitrogen), and penicillin/streptomycin (Gibco, Invitrogen) at 37°C and 5% CO<sub>2</sub>. DNA from cultured fibroblasts was extracted using the Gentra Puregene Cell Kit. Sperm was washed twice in 1x SSC/ 1% SDS, then washed in 1x SSC and incubated in 0.2x SSC/ 1% SDS/ 1M 2-mercaptoethanol for 1 hr at room temperature. DNA was extracted from spermatozoa using a standard phenol-chloroform method and ethanol precipitation. Total RNA was extracted from PBL using Trizol reagent (Invitrogen, Carlsbad, CA), according to the manufacturer's instructions. cDNA was synthesized using SuperScript II reverse transcriptase (Invitrogen) and random primers (Invitrogen).

#### **MLH1 promoter methylation analyses**

**MS-MCA.** Bisulfite converted DNA was used in a nested PCR reaction for the amplification of regions C and D of the *MLH1* promoter.<sup>2</sup> Each promoter region was preamplified using external primers (Table S1). Eighty ng of bisulfite modified DNA was added to MegaMix double solution (Microzone Ltd., UK) containing 2µM of each primer. PCR conditions were: 95 °C at 10 min followed by 15 cycles of 30s at 95 °C, 30s at 50°C, 30s at 72°C and a final elongation step at 72 °C for 10min. The nested PCR was carried out in a LightCycler 480 II (Roche) using 1µl of amplified *MLH1* promoter fragments in 9µl of Light Cycler 480 SYBR Green I Master Kit (Roche) containing 0.5µM of internal primers. The amplification protocol was: 95°C for 10min, followed by 40 cycles of 95°C for 10s, 50°C for 20s, and 72°C for 25s. Melting curve analysis was performed by heating the PCR products from 60°C to 98°C with an increase of 0.2°C/s whereas fluorescence was monitored continuously.

**Bisulfite-Sequencing.** One µl of bisulfite converted DNA was used in a 10ul-PCR reaction for the amplification of *MLH1* promoter regions C and D<sup>2</sup> using Double Megamix (Microzone Ltd., UK) and 0,2µM of primers (Table S1). The cycling program included 10 min at 94°C, 35 cycles of 30s at 95°C, 30s at 50°C and 30s at 72°C and final extension at 72°C for 10 min. PCR products were

purified using ExoSAP-it (Affymetrix, Inc.) and sequenced using the amplification primers and BigDye Terminator v.3.1 Sequencing Kit (Applied Biosystems, Carlsbad, CA).

**Clonal Bisulfite-Sequencing.** One  $\mu$ l of bisulfite modified DNA was amplified in a PCR reaction using EcoStar DNA polymerase (Ecogen, Spain) and 0.3 $\mu$ M of primers (Table S1). PCR products were purified by ExoSAP-it (Affymetrix, Inc.) and cloned into pGEM-T vector (PromegaCorp, Madison, WI). In order to confirm that transformed cells contained the fragment of interest we performed a colony-PCR using M13 primers. Amplification conditions were: 10 min at 94°C; and 35 cycles of 1min at 94°C, 1min at 55°C, 1min at 72°C and final extension at 72°C for 10 min. The PCR products were analyzed by agarose gel electrophoresis. Twenty individual clones were sequenced using M13 primers and BigDye Terminator v.3.1 Sequencing Kit (Applied Biosystems).

**Pyrosequencing.** In the case of region D, we designed 2 different sequencing primers, the first primer called as “D1” analyzed the first 3 CpGs and the second primer “D2” analyzed the last 5 CpGs in the region of interest. The program used for amplification was: 95°C for 15 min, 35 cycles of 94°C for 1 min, 1 min at the annealing temperature (Table S1), 72°C for 1 min and a final extension at 72°C for 10 min. Five  $\mu$ l of PCR product were evaluated for % methylation using the PyroMark Q96 MD pyrosequencer (Qiagen, Valencia, CA). If the sample failed at more than one site, it was repeated using 10  $\mu$ l of PCR product. Purification and subsequent processing of the biotinylated single-stranded DNA was performed according to the manufacturer's recommendations at the PyroMark Q96 Vacuum Prep Workstation (Qiagen). The pyrosequencing primers were used in a final concentration of 0.3 $\mu$ M. The pyrosequencing reaction was performed using each specific sequencing primer on a PyroMark Q96 MD pyrosequencer system with the Pyromark Gold Q96 reagents kit. The sequences interrogated were GAGYGGATAGYGATTTAAAYGYGTAAGYGTATTTTTAGGTAG for promoter C region, GATGGYGTAAAGTTATAGTTGAAGGAAGAAYGTGAGTAYGAGGTATTGAGGTGATTGGTTGAA GG for promoter “D1” region, and YGTTGAGTATTAGAYGTTTTGGTTTTGGYGTAAATGTYGTTGTGGTAGGGTTA TT for promoter “D2” region. The relative levels of the C (representing methylated) and T (representing unmethylated) nucleotides at Y positions of target CpGs sites were determined using the Pyro Q-CpG Software (Qiagen). Each sample was run in triplicates. Methylation at each specific CpG was calculated as the mean of all triplicates. Average % methylation of the whole region was calculated as the mean for the 5 CpGs in C region and the 8 CpGs at the D region.

### **MLH1 allelic expression analyses**

**SNuPE:** PCR flanking the rs1799977 of genomic DNA and cDNA was performed using Double Megamix (Microzone Ltd., UK) and 0.1  $\mu$ M of primers (Table S1). cDNA amplification conditions were: 5 min at 94°C, 35 cycles of 1 min at 94°C, 1 min at 59°C and 1 min at 72°C and final extension at 72°C for 7 min. For genomic DNA the cycling program included 10 min at 94°C, 35 cycles of 30s at 95°C, 30s at 55°C and 30s at 72°C and final extension at 72°C for 10 min. PCR

products were purified using ExoSAP-it (Affymetrix, Inc.) and sequenced if necessary using amplification primers and BigDye Terminator v.3.1 Sequencing Kit (Applied Biosystems). The amplified band was analyzed using the ABI PRISM SNaPshot kit (Applied Biosystems) and a specific primer (Table S1). SNaPshot reactions were carried out in a 10 $\mu$ l volume containing SNaPshot Multiplex Ready Reaction Mix, specific primer (0.2 $\mu$ M) and the purified PCR product. The cycling program included 25 cycles of 96°C for 10s, 50°C for 5s and 60°C for 30s. Extension products were purified with 1U of shrimp alkaline phosphatase (Amersham, UK) for 15 min at 37°C and 15min at 75°C. The purified products were run in an ABI Prism 3130 DNA sequencer and analyzed by GeneMapper v4.0 (Applied Biosystems).

**Pyrosequencing:** Quantitative pyrosequencing assays were designed as previously described.<sup>3</sup> PCR and sequencing primers are shown in Table S1. After PCR amplification of genomic DNA and cDNA, products were sequenced on a PyroMark Q24 pyrosequencing instrument (Qiagen, Valencia, CA). A control in which the template was omitted was used to detect background signal. A nucleotide dispensation order of CAGATCTGA was used to interrogate the sequence of interest A/GTCTTGAA. The proportion of A allele versus G alleles of rs1799977 were obtained using PyroMark Q24 AQ software calculations. The mean of triplicates for both DNA and cDNA were calculated for each sample.

To obtain ASE (allele-specific expression) values, both for SNuPE and pyrosequencing, we used the previously described method: cDNA (peak height major allele/ peak height minor allele) / gDNA (peak height major allele/ peak height minor allele). The final ASE value was calculated as the mean of the ASE values obtained for the triplicates studied in each sample.<sup>4</sup> ASE values of 1.0 indicate equal levels of expression form both alleles. ASE values <<1.0 indicate reduced expression.

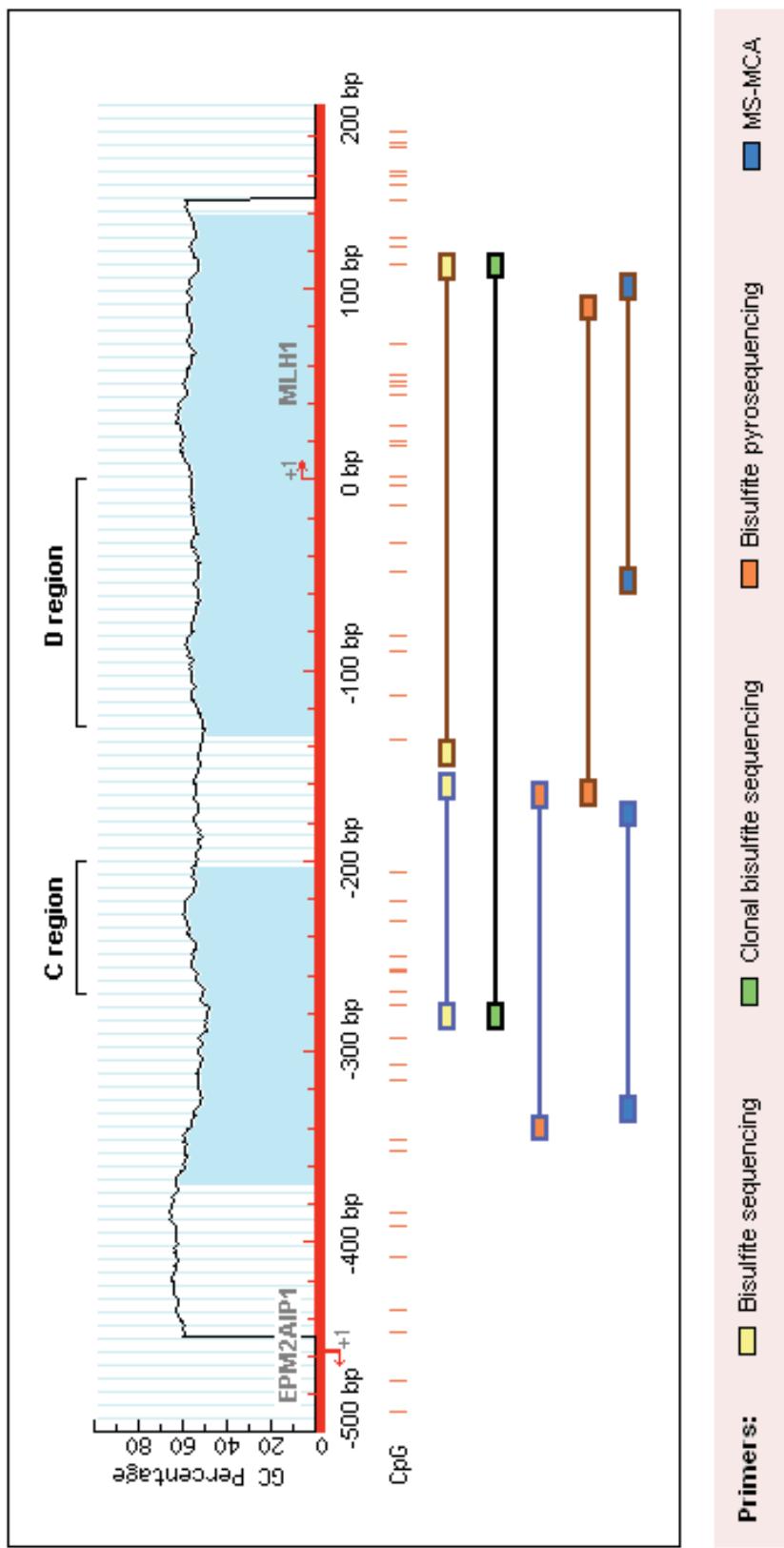
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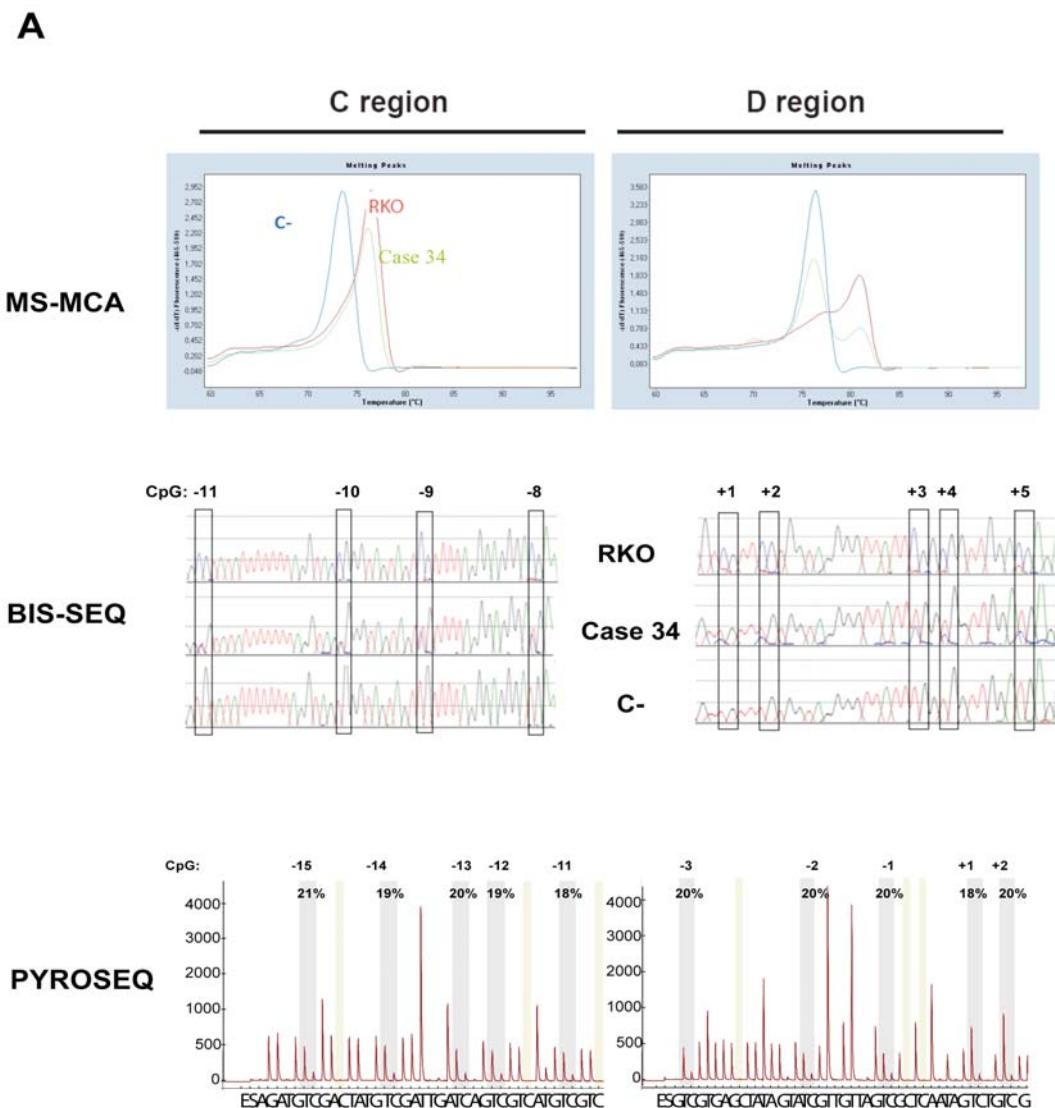
**Table S1. Primers used in the current study.** (\*) Biotinylated labeled primer. The location of primers used in the study of the *MLH1* promoter methylation is shown in Figure S1.

Gene	Analysis	Primer name	Forward primer (5'-3')	Reverse primer (5'-3')	T <sub>a</sub> (°C)
<i>MLH1</i>					
MS-MCA	MLH1C_PCR_ext	TATTTTTGTTTATTGGTTGG	TAAATACCAATCAAATTCTCAA	50	
	MLH1C_PCR_int	TGTTTTTATGGGGATATT	CCAATCAAATTCTCAACTCTATA	50	
	MLH1D_PCR_ext	AGGTATTGAGGTGATTGGTT	CAATTCTCAATCATCTCTTAATAACA	50	
	MLH1D_PCR_int	GGTGAATTGGTTGAAGGTATT	ATCATCTCTTTAATAACATTAACCA	50	
Promoter bisulfite sequencing	MLH1C_BS	TTTTAAAAAYGAATTAAATAGGA	AAATACCAATCAAATTCTCAA	50	
	MLH1D_BS	AAATTGATTTGTTATTAAGTT	CATTCTCAATCTCTTTAA	50	
	MLH1C-D_BS	TTTTAAAAYGAATTAAATAGGA	CATTCTCAATCTCTTTAA	50	
	MLH1C_PCR	GGTATTGTTGTTTATTGGTTGGATAT	ACTCTATAAAATTACTAAATCTCTT*	57	
Promoter bisulfite sequencing	MLH1C_Seq	TAAAAAGAATTAAATAGGA	ACATTAACTAACCCCTAAATAACCTCCCC*	57	
	MLH1D_PCR	TGAGGAAATTGATTGGTATTAAAGTTG	58		
	MLH1D1_Seq	GATGGTTGAAGGTATT	58		
	MLH1D2_Seq	TTGAGGGGGGGTTG	58		
Promoter sequencing	MLH1promoter_PCR	AACCCCTTACCATGCTCTG	CCTCGTGCTCACGTTCTTC	59	
	MLH1promoter_Seq1	TACATGCTGGGCAGTACCT		54	
	MLH1promoter_Seq2	TGAAAGAGAGAGCTGCTGTG		54	
	rs179997_PCR_cDNA	CACAACTGAGGCATTAGTTCTC	AGGTACAGGAATGGGTGTGT	59	
ASE (SNuPE)	rs179997_PCR_gDNA	GTTTCAGTCTCAGCCATGAG	ACACATGATTGAGGCCACAG	55	
	rs179997_snupe		TTCTCGACTAACAGCATTCCAAAAGA	50	
	rs179997_Pyr_cDNA	GGCTCAACCGTGGACAAATTC	GCTACGGTTTATCCTCACATCCA*	64	
	rs179997_Pyr_gDNA	GGCTCAACCGTGGACAAATTC	CGACATACCGACTAACAGCATTTC*	56	
ASE (pyrosequencing)	rs179997_Pyr_Seq	GGACAATATTGCTCC		50	
	rs9311149_PCR	GTCCCTGTTTAGCAGTGAATAT	GCAGCATTGGAGAATTGGA	59	
	rs9311149_Seq1	TAGGTCTTACCACTTACTG		54	
	rs9311149_Seq2	TCCTTGAACACCTTGAACACTTGT	CATCATAGGGAAAGATCTAG	54	
<i>EPM2AIP1</i>	rs9311149_SnuPE			50	
	rs9311149_SnuPE			50	
<i>BRAF</i>	BRAF_PCR	CCTAAACTCTCATATGCTT	ATAGCCCTCAATTCTTACCAT	55	
	BRAF_snupe	TAAAAATAGGTGATTGGTCTAGCTACA		50	

**Figure S1. Location of primers used in the study of *MLH1* promoter methylation.** Map of the CpG islands encompassing the *MLH1* and *EPM2AIP1* promoters (adapted from MethPrimer program). Two CpG islands are identified (in blue), comprising the C and D promoter regions. Each small vertical red line represents a CpG site. Primer position is indicated by squares. Amplified bands covering regions C, D or both are shown as blue, brown and black lines, respectively. The translation start sites of *MLH1* and *EPM2AIP1* are indicated by +1.

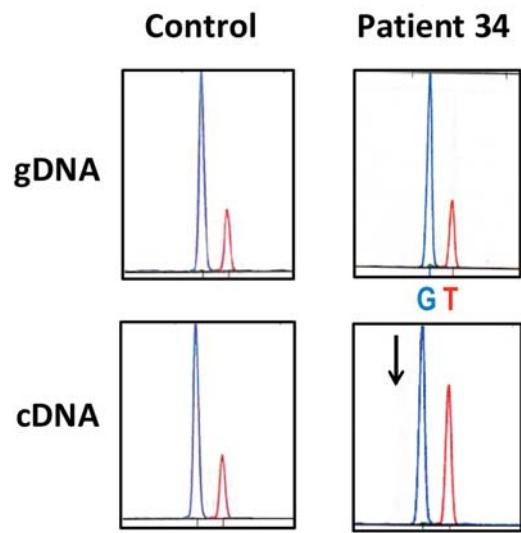


**Figure S2. Confirmation of the constitutional *MLH1* epimutation of case 34.** **A.** Analysis of the *MLH1* promoter C and D regions by MS-MCA, bisulfite sequencing (BIS-SEQ) and pyrosequencing (PYROSEQ). Top panel: MS-MCA of *MLH1* promoter. In the analysis of C region, unmethylated control (C-) and RKO DNA (methylated control) show single melting peaks at 73°C and 77°C, respectively. In D region, unmethylated control and RKO melting peaks temperature are 76°C and 82°C, respectively. Analysis by MS-MCA in PBL DNA from the patient 34 (green line) shows the presence of the methylated peak in both regions. Middle panel: Sequence analysis of bisulfite converted DNA. Unmethylated control shows T at each CpG analyzed, consistent with complete modification of the DNA. RKO DNA shows C at each CpG. Patient DNA shows a mixture of T and C at CpG sites, attributable to partial methylation. Bottom panel: Representative pyrograms obtained in the analysis of C and D *MLH1* promoter regions in PBL DNA from the patient. The peaks within the shaded area of the pyrogram correspond to the CpG interrogated. Percentage methylation at each site is calculated as the C:T ratio of peak heights (representing methylated:unmethylated cytosine). X-axis represents the nucleotide dispensation order. Y-axis units are arbitrary representing light intensity. **B. Transcriptional inactivation of *EPM2AIP1* allele.** Representative results of the SNuPE analysis at *EPM2AIP1* rs9311149 in genomic DNA and cDNA derived from a heterozygous control and the epimutation carrier. Partial transcriptional silencing of the T allele at *EPM2AIP1* rs9311149 in the cDNA of the patient was observed.



**B**

*EPM2AIP1* rs9311149



## **IDENTIFICATION OF A FOUNDER *EPCAM* DELETION IN SPANISH LYNCH SYNDROME FAMILIES**

Pilar Mur\*, Marta Pineda\*, Atocha Romero, Jesús del Valle, **Ester Borràs**, Carla Guarinos, Alicia Canales, Matilde Navarro, Joan Brunet, Daniel Rueda, Teresa Ramón y Cajal, Víctor Moreno, Conxi Lázaro, José Luis Soto, Trinidad Caldés, Ignacio Blanco, Gabriel Capellá (\*) *Aquests autors han contribuït equitativament en aquest treball.*

Manuscrit sotmès a publicació

**Resum del treball:** Les deleccions en línia germinal en l'extrem 3' del gen *EPCAM* s'han involucrant amb la síndrome de Lynch. L'objectiu d'aquest treball va ser caracteritzar a nivell molecular les famílies espanyoles portadores de deleccions en *EPCAM*. Caracterització de la deleció en *EPCAM* en 3 famílies no relacionades que procedien del sud-est d'Espanya va demostrar que compartien una deleció de 8,7 Kb, que abasta els exons 8 i 9 (g.77526\_86198del8673). La mateixa deleció s'havia identificat anteriorment en una altra família espanyola. Els tumors diagnosticats en aquestes famílies eren gairebé exclusivament tumors colorectal. El patró de metilació en *MSH2* va mostrar mosaisme: els nivells mitjans de metilació van ser majors en el colon normal i en els tumors colorectals (mitjana de 27,6 i 22,3%, respectivament), al comparar-los amb els valors de metilació dels limfòcits i de les mostres de mucosa bucal (mitjana de 1,3 i 0,7%, respectivament). A més, es va detectar un haplotip comú associat a la mutació que comprèn 9.9Mb, en quatre famílies portadores de la deleció g.77526\_86198del8673 del gen *EPCAM*. Aquest estudi proporciona coneixements sobre les característiques clíniques i moleculars de les epimutacions en mosaic al gen *MSH2* associades a deleccions en el gen *EPCAM*. La identificació de la primera mutació fundadora a Espanya identificada en el gen *EPCAM* té implicacions útils per al diagnòstic molecular de síndrome de Lynch en la població espanyola.



# Identification of a Founder *EPCAM* Deletion in Spanish Lynch Syndrome Families

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## ABSTRACT

**BACKGROUND & AIM:** Germline deletions at the 3' end of *EPCAM* have been involved in the etiology of Lynch syndrome. The aim of this study was to characterize at the molecular level Spanish families harboring *EPCAM* deletions. **METHODS:** Non-commercial MLPA probes and long-range PCR amplification were used to characterize each deletion. A multiplex PCR-based test was designed to specifically amplify the mutated or the wildtype allele. Haplotyping was performed by analyzing 8 extragenic microsatellite markers and 5 intragenic *MSH2* SNPs. Methylation of *MSH2* promoter was analyzed by Methylation Specific-MLPA. **RESULTS:** Tumors diagnosed in 7 Spanish families harboring *EPCAM* deletions were almost exclusively colorectal. Mosaicism in *MSH2* methylation in *EPCAM* deletion carrier samples was observed being average methylation levels higher in normal colon and colorectal tumors (27.6 and 31.1%, respectively), than in lymphocytes and oral mucosa (1.1 and 0.7%, respectively). Characterization of the *EPCAM* deletion in 3 unrelated families coming from Southeastern Spain demonstrated that they shared an 8.7 Kb deletion encompassing exons 8 and 9 (g.77526\_86198del8673). The same rearrangement was previously reported in another Spanish family (Guarinos et al., J Mol Diagn 2010). Carriers of the deletion shared a common mutation-associated haplotype comprising 9.9Mb. Additionally, in two independent families, a novel deletion of 11.6 kb in the *EPCAM* gene, g.77446\_89071del11626, was identified. **CONCLUSION:** The present study provides knowledge on the clinical and molecular characteristics of mosaic *MSH2* epimutations associated with deletions in the *EPCAM* gene. The identification of an *EPCAM* founder mutation has useful implications for the molecular diagnosis of Lynch syndrome in Spain.

## INTRODUCTION

Lynch syndrome (LS), caused by germline mutations in DNA mismatch repair (MMR) genes, is characterized by an autosomal dominant inheritance of early-onset colorectal cancer (CRC) and increased risk of other cancers (1, 2). Tumors from LS patients are characterized by microsatellite instability (MSI) and loss of MMR protein expression. *MLH1* and *MSH2* are the most commonly mutated MMR genes in LS, whereas mutations in *MSH6* or *PMS2* are significantly less common (3, 4). Occasionally, the presence of constitutional epimutations in *MSH2* and *MLH1* has been reported (5, 6).

Constitutional epimutations are those stable changes in gene expression that do not affect DNA sequence and that are present in normal tissues of a given individual. Approximately 45 families with *MSH2* epimutations have been

reported so far (6). They associate with an autosomal dominant inheritance and have been shown secondary to the presence of deletions in the neighbouring *EPCAM* gene (formerly known as *TACSTD1*), located upstream of *MSH2*, that codifies for the EPCAM protein (6, 7). Germline deletions at the 3' end of *EPCAM* eliminate the transcription termination signal inducing the expression of a *EPCAM-MSH2* fusion transcript resulting in silencing of *MSH2* by the hypermethylation of its promoter (7, 8). The level of *MSH2* methylation correlates with *EPCAM* expression levels, usually high in those epithelial target tissues of Lynch syndrome (7). Tumors developed in *EPCAM* deletion carriers are almost exclusively colorectal (19-21). Twenty different deletions of variable lengths involving at least exons 8 and 9 of *EPCAM* gene have been identified (6, 9). All breakpoints involved *Alu* sequences and most of them appeared to occur by homologous recombination [reviewed in (6)]. Recently, the extent of the *EPCAM* deletions

has been related to the phenotype of deletion carriers (10).

Founder mutations are a characteristic of isolated populations and have presumably descended from a common ancestor. A number of founder mutations have been identified in MMR genes [reviewed in (11); (7, 12-21)]. Only one of them affected the *EPCAM* gene (7). In certain populations, founder mutations in MMR genes explain a substantial fraction of Lynch syndrome, providing important targets for molecular diagnostics in these particular populations (22-24).

The aim of our study was to characterize at the molecular level Spanish families harboring a deletion in the *EPCAM* gene. By doing so we identified the first founder *EPCAM* mutation reported in Spanish LS families.

## METHODS

### Patients and samples

Mutational screening of MMR genes were performed in suspected Lynch syndrome patients from the Catalan Institute of Oncology, either fulfilling Amsterdam or Bethesda criterias and associated with MSI phenotype or loss of MMR protein expression in tumors. In all, 139 pathogenic mutations in any of the MMR genes were identified (unpublished results). Patients were assessed at Genetic Cancer Counseling Units of ICO (Catalonia, north-east Spain). In addition, probands from 5 unrelated *EPCAM* carrier families identified at other Spanish centers (the Hereditary Cancer Biobank of Valencia and Genetics Cancer Units of Barcelona and Madrid) were included. Analyzed cases were selected on the basis of a loss of *MSH2* protein and/or inconclusive immunostaining in Lynch suspected cases with no *MSH2* gene mutations detected.

Detailed family histories from at least three generations and geographic origins were obtained. Genealogical investigations based on interviews failed to identify relationships between individuals from different families. An informed consent was obtained from all subjects evaluated, and an ethics committee approved this study.

Clinical data collection included the location of tumors, the age at diagnosis, and the result of MSI testing and immunohistochemistry analysis. DNA extraction of colorectal mucosa and tumour tissue from paraffin-embedded material was done after enrichment for normal and tumour cells using the QIAamp DNA Mini Kit (Qiagen, Hilden, Germany). Genomic DNA from peripheral blood lymphocytes (PBL) was

extracted using the FlexiGene DNA kit (Qiagen). DNA extraction of oral mucosa was performed by Gentra Puregene Buccal Cell Kit (Qiagen).

Forty-eight DNA samples from healthy control individuals randomly selected from a hospital based CRC case-control study (25) were used in the haplotype analysis (see below).

### ***MSH2* promoter methylation analyses**

Hypermethylation of *MSH2* promoter was analyzed by means of SALSA MS-MLPA probemix (ME011 kit; MRC Holland, Amsterdam) according to the manufacturer's recommended protocol. MS-MLPA is based on the use of probes that contain a digestion site specific to the methylation-sensitive *Hha*I enzyme. All reactions were carried out using 100 ng of DNA. The kit includes 3 probe pairs in the *MSH2* (with the respective *Hha*I sites located at -269, -193 and +124 relative to the start codon; GenBank NM\_000251.1). MS-MLPA experiments were performed in duplicate. To generate unmethylated DNA, peripheral blood lymphocyte (PBL) DNA was amplified using the REPLI-g kit (Qiagen).

### **Analysis of germline rearrangements in *EPCAM* gene**

Analysis of germline rearrangements in *EPCAM* gene was performed by MLPA (Multiplex Ligation-dependent Probe Amplification) technique, using SALSA MLPA kit P003-B1 (MRC-Holland, Amsterdam, The Netherlands) and 100 ng of PBL DNA. Fragment analysis was performed on an ABI 310 Genetic Analyzer and the results analyzed using the GeneScan and Genotyper software (Applied Biosystems, Foster City, CA). Calculations using peak height were performed as described (26). An average dosage quotient close to 1 is expected for individuals with two copies, whereas values close to 0.5 indicate loss of one copy. Confirmation of *EPCAM* deletions in exon 9 was achieved using SALSA MLPA kit P008 (MRC-Holland).

### **Characterization of *EPCAM* rearrangements**

To better characterize the *EPCAM* rearrangements, we designed 3 home-made MLPA probes as described (27). MLPA reaction was performed as reported (28). The signal of each probe was adjusted after visual examination of preliminary results by modifying the concentration of the probe-mix.

In order to determine the deletion breakpoints, we performed long-range PCR of gDNA using the Expand Long Template PCR System (Roche Diagnostics GmbH, Mannheim, Germany) or

TaKaRa LA Taq (Takara Bio Inc., Otsu, Shiga Japan) using different combinations of primers pairs (**Supplementary Table S1**). PCR products containing the expected rearrangement were purified using GFX PCR DNA and the Gel Band Purification Kit (Illustra, GE Healthcare UK limited, Buckinghamshire, United Kingdom). Isolated PCR fragments were sequenced by walking on both strands using the Big Dye V3.1 Terminator Kit (Applied Biosystems, Forster City, CA, USA) and an automated sequencer (**Supplementary Table S1**). The interspersed repeats in regions around the breakpoints were examined using the Repeat Masker Program. A multiplex PCR-based test was designed using a set of primers that specifically amplified the mutated or the wild-type allele. Primer sequences are detailed in **Supplementary Table S1** (conditions available upon request).

### Haplotype analysis

Haplotype analysis was performed using 8 extragenic microsatellite markers (D2S2331, D2S2328, D2S119, D2S288, D2S1248, D2S123, D2S378, D2S2957) and 5 intragenic *MSH2* single nucleotide polymorphisms (rs2303426, rs3732182, rs12998837, rs3732183, rs2303428) covering 21 Mb around *EPCAM-MSH2* (**Supplementary Table S1**; conditions available on request). DNA samples from 17 *EPCAM* deletion carriers and 5 non-carriers of the four Lynch syndrome families and 50 control individuals were analyzed, including individuals that come from the same area of origin of the *EPCAM* families. To deduce the mutation-associated haplotype, intrafamilial segregation analysis was performed under the assumption that the number of crossovers between adjacent markers was minimal. The frequency of disease haplotype in the control population was estimated using PHASE2 [10 000 iterations after 50 000 burn-in and thinning factor 5; (29)].

## RESULTS

MLPA analysis of *MMR* genes in Lynch syndrome suspected patients lead to the identification of 7 *EPCAM* deletion probands (**Figure 1**). Further DNA testing in these families identified 17 additional *EPCAM* mutation carriers, 4 obligated carriers and 6 non-carriers (**Table 1**). Tumors diagnosed in carriers were of the digestive tract and primarily colorectal (**Table 1**). The mean age at first diagnosis was 43.7 years (SD 8.3, range 30-56). At the time of the study 7 deletion carriers were asymptomatic; 2 of them older than 60 years (**Table 1**).

The hypermethylation status of *MSH2* gene promoter was analyzed by MS-MLPA in different samples (2 oral mucosa, 6 PBL, 6 normal colon

or 6 CRC) from some of the *EPCAM* deletion carriers (3 carriers of family B, 2 carriers from families A and C and 1 carrier from families D, F and G) (**Table 2**). *MSH2* methylation levels were higher than 10% in at least one of the 3 MLPA probes in the 6 normal colonic tissues and in 5 of the 6 tumors analyzed. The average methylation of all probes in normal colon samples and colorectal tumors was 27.6% (mean range 11.8-55.5%) and 31.1% (mean range 2.4-72.5%), respectively. In contrast, lower levels of methylation were found in 6 PBL (mean range 0-3.6%) and 2 oral mucosa samples (0-1.3%).

Home-made MLPA analysis was used to initially characterize the *EPCAM* deletion in 3 unrelated families coming from Southeastern Spain, confirming their extension to the 3'UTR of *EPCAM* (**Table 1**, A to C, and **Figure 2**). Subsequently, long-range PCR analysis in genomic DNA from the 3 probands identified the same rearrangement. It was an 8.7Kb deletion encompassing *EPCAM* exons 8 and 9 and the 3'UTR (g.77526\_86198del8673 from AC079775.6) (**Figure 2**). The breakpoint junction involved *Alu* elements (*AluSp* and *AluSx*) and revealed a 32bp stretch of microhomology, suggesting homologous recombination as a likely mechanism involved in this rearrangement (**Supplementary Figure S1**).

A multiplex PCR assay was designed for the diagnostic testing of the identified g.77526\_86198del8673 mutation (**Supplementary Figure S2**), ruling out its presence in the other *EPCAM* positive families (**Table 1**, D to G). In two of these cases (D and E) further characterization identified a novel *EPCAM* deletion of 11.6kb (g.7746\_89071del11626) (**Figure 2**). Both families met Amsterdam I criteria (**Table 1**). The breakpoint was located within two *AluSp* elements and revealed a 19bp stretch of microhomology (**Supplementary Figure S1**).

At the time of characterization, the *EPCAM* g.77526\_86198del8673 deletion was reported in another Spanish family (9). The identification of the same *EPCAM* rearrangement in 4 Spanish families pointed to a common ancestor. Of note, ancestors of these families came from Southeastern Spain (**Table 1**). To confirm this hypothesis, available DNA samples from mutation carriers and non-carriers from the 4 families were used in the haplotype analysis. A mutation-associated haplotype comprising 9.9Mb on chromosome 2 was shared by all carriers (**Table 3**). The haplotype stretched from microsatellite D2S2328 upstream of *EPCAM* to microsatellite D2S1248 downstream of *MSH2*. In families A, B and C the shared haplotype

extended approximately 11Mb (from marker D2S2328 to D2S123). In the family reported by Guarinos and collaborators (9), the common haplotype was reduced due to an intrafamilial recombination event at marker D2S123. The haplotype identified among carriers was not observed in the control population studied (data not shown). The obtained results confirmed the founder origin of the *EPCAM* mutation g.77526\_86198del8673 in Spanish Lynch syndrome families. Unfortunately, the age of the mutation could not be estimated due to the small number of families.

## DISCUSSION

Molecular characterization of *EPCAM* deletions led to the identification of g.77526\_86198del8673 and g.77446\_89071del11626 in 3 and 2 unrelated Spanish LS families, respectively. Here we also describe the *EPCAM* g.77526\_86198del8673 deletion as the first reported *EPCAM* founder mutation in Spanish LS families. It is the only *EPCAM* mutation identified so far in the series at the Catalan Institute of Oncology (north-eastern Spain), accounting for 2.2% of all LS cases. This is in line with the prevalence of *EPCAM* deletions reported in south-eastern Spain, Germany and The Netherlands (1.9%, 2.8% and 1.1%, respectively) (6, 9).

The results obtained in the methylation analysis of *EPCAM* deletion carrier samples confirmed the previously reported mosaicism in the *MSH2* methylation pattern (7, 30). Minimal or undetectable *MSH2* promoter methylation levels were detected in PBL and oral mucosa samples, whereas methylation was evident in all colonic tissue samples and in 5 of the 6 tumors analyzed. Interestingly, in one CRC *MSH2* methylation level was low (average mean 2.4%). This fact might be caused by loss of the methylated allele due to a third hit. Unfortunately, the small amount of available sample prevented further analyses. It has been proposed that the analysis of *MSH2* methylation status in tumors for the confirmation of *EPCAM* mutations as a LS cause (9) as well as for the selection of candidate patients to *EPCAM* mutational analysis (31, 32). Based on our results, we recommend the use of normal colonic tissues instead of tumors for the aforementioned studies.

Some studies have demonstrated that the loss of *EPCAM* protein expression detected by immunohistochemistry (IHC) in tumors showing loss of *MSH2* protein expression is highly specific in the identification of *EPCAM* deletion carriers (33-35), suggesting *EPCAM* IHC might be included in the diagnostic algorithm of LS. In our study, patients diagnosed with tumors

showing loss of *MSH2* expression were initially screened for germline rearrangements using an MLPA kit that includes probes for the analysis of both *MSH2* and *EPCAM* rearrangements. In this context, *EPCAM* IHC should be relevant in cases with a non-evaluable *MSH2* IHC result.

The spectrum of tumors developed in carriers from Spanish families harboring the *EPCAM* deletions was mostly colorectal, with no endometrial cancer reported. Our results add further evidence to previous studies concluding that *EPCAM* deletion carriers have high risk of colorectal tumors and low risk of endometrial cancer compared to carriers of *MSH2* mutations (10, 14, 36-39). This observation might support the adaptation of screening recommendations in *EPCAM* female carriers with a focus on CRC (37). Indeed, endometrial cancer has been reported in only 3 from a series of 94 female *EPCAM* carriers, all of them harboring large *EPCAM* deletions extending close to the *MSH2* promoter. This suggests that the extent of the deletion could be related to the risk of endometrial cancer (10). If this is eventually confirmed, molecular characterization of *EPCAM* deletions should be mandatory to ensure proper risk assessment of female carrier patients.

The novel *EPCAM* deletion g.77446\_89071del11626 and the founder g.77526\_86198del8673 shared the same *AluSp* sequence at the 5' breakpoint. Moreover, the founder g.77526\_86198del8673 *EPCAM* mutation involves the same *Alu* repeat pair than the g.77436\_86109del8674 deletion, previously reported in 3 unrelated families (6, 8). The microhomology observed at the breakpoints has been associated with deletions of various lengths, probably originating from recombination events at different positions within the same *Alu* repeat pair (40).

Previous to this study, only one founder *EPCAM* mutation (c.859-1462\_\*1999del) has been reported (7). It was a 4.9kb deletion found in 16 Dutch and 1 American families (6, 7, 37). In that case, the mutation-associated haplotype comprised 6.2Mb (7). The mutation-associated haplotype reported here comprises a bigger 9.9Mb region. In Spain, six MMR founder mutations have been previously described: 4 in *MSH2* and 2 in *MLH1* (12, 16, 41, 42). Interestingly, the founder *EPCAM* g.77526\_86198del8673 represents the third Alu-mediated founder deletion within *EPCAM-MSH2* region identified in the Spanish Lynch syndrome population (42, 43). The increasing number of MMR founder mutations identified and the consequent design of quick and cheaper tests to detect them, will contribute to the development of population-specific cost-effective screening strategies for LS.

In conclusion, we have provided genetic evidence that the *EPCAM* g.77526\_86198del8673 deletion is a Spanish founder mutation causing LS. Our findings will have useful implications for molecular diagnosis of LS in our setting.

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## ACKNOWLEDGMENTS

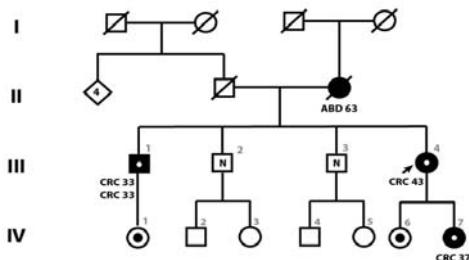
We thank the patients who participated in this study and Gemma Aiza for technical assistance.

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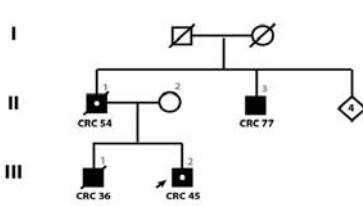
## FIGURES

**Figure 1.** Pedigrees of *EPCAM* deletion carrier families. Probands are indicated by an arrow. Cancer localization (CRC, colorectal cancer; GC, gastric cancer; DC, duodenal cancer; ABD, abdominal cancer; LC, lung cancer; CR-p, colorectal polyp) and age at diagnosis are indicated in affected members. Carriers are depicted by dots, obligate carriers by stars and noncarriers by the symbol N.

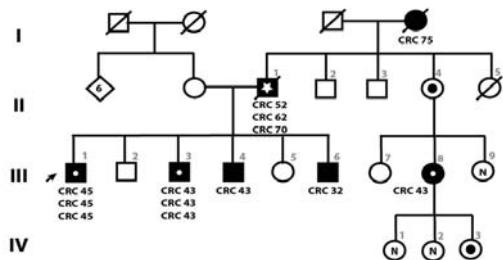
**Family A**



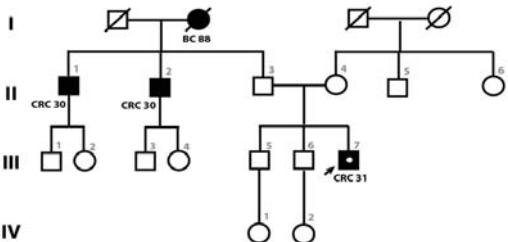
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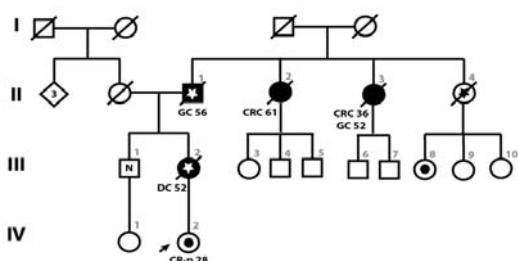
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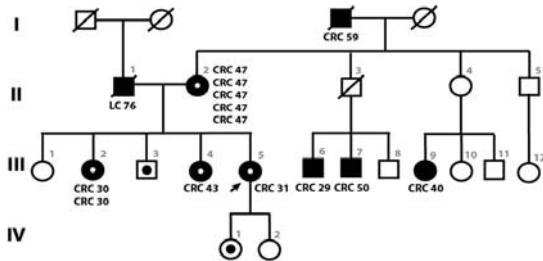
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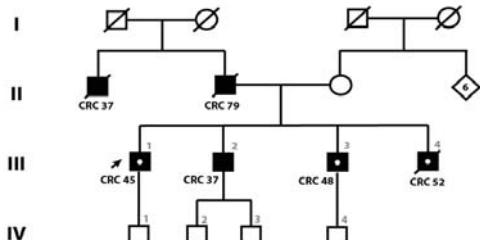
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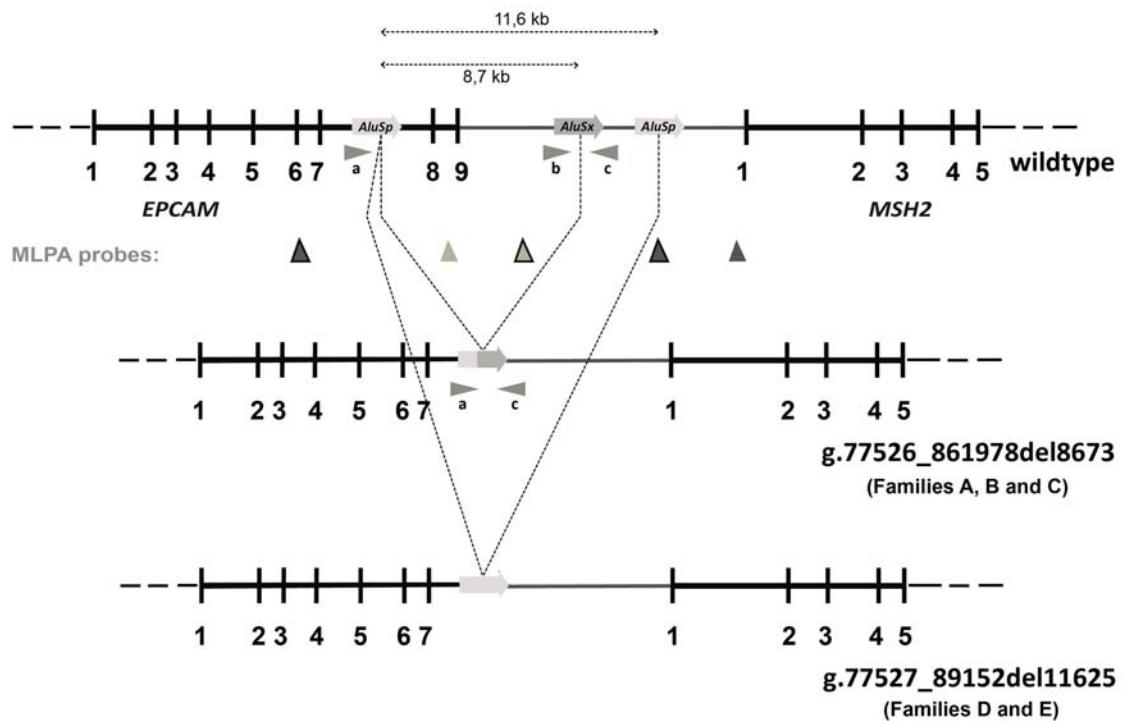
**Family G**



**Family D**



**Figure 2.** Characterization of the *EPCAM* g.77526\_86198del8673 and g.77446\_89071del11626 rearrangements. Schematic representation of the genomic structures of the wild-type and deleted *EPCAM* alleles. Positions of the used MLPA probes are indicated by arrowheads (home-made probes are outlined in black), and arrowheads located within the deleted region are shown in light gray. Primers used in the diagnostic predictive test of g.77526\_86198del8673 are shown as dark gray triangles.



**TABLES**

**Table 1.** Clinical and molecular characteristics of carriers of *EPCAM* deletions. \*Index case; Abbreviations: ICO, Institut Català d'Oncologia; HCSC, Hospital Clínico San Carlos; HSP, Hospital Sant Pau; HU12O, Hospital Universitario 12 de Octubre; AC, Amsterdam criteria; BC, Bethesda criteria; M, male; F, female; CRC, colorectal cancer; DC, duodenal cancer; GC, gastric cancer; h-g ad, high-grade colorectal adenoma; MSI, microsatellite instability: +, instable; IHC, immunohistochemical analysis of MMR proteins in tumor tissue: + expression; - loss of expression; NE, not evaluable result; ND, not determined.

Family	Referral center	Region of origin	<i>EPCAM</i> deletion	Clinical criteria	Individual	Gender	Current age/ Age at death	Mutational status	Type of tumor	Age at diagnosis			MSI analysis	MLH1	MSH2	MSH6	IHC
										MSI	MLH1	MSH2					
A	ICO, Girona	Almería, Andalucía	g.77526_86198del8673	AC	III-1 III-4* IV-1 IV-6	M F F F	37 43 22 31	Carrier Carrier Carrier Carrier	CRC CRC CRC CRC	33 33 45 45	+	+	NE	NE	NE	NE	
					IV-7	F	42	Carrier	CRC	37							
					II-1	M	71	Obligated c.	CRC	52	+	+	-	-			
					II-4	F	73	Carrier	CRC	62	+	+	-	-			
B	ICO, Badalona	Almería, Andalucía	g.77526_86198del8673	AC	III-1*	M	56	Carrier	CRC	70							
					III-3	M	44	Carrier	CRC	43	+	+	-	-			
					III-8	F	45	Carrier	CRC	43							
					IV-3	F	20	Carrier	CRC	43							
C	ICO, Barcelona	Jaén, Andalucía	g.77526_86198del8673	AC	II-1 II-4 III-2 III-8 IV-2*	M F F F F	56 55 52 52 28	Obligated c. Obligated c.	GC DC Carrier Carrier Carrier	56 52 52 52 28	+	+	-	-	-	-	
					III-1*	M	52	Carrier	CRC	45	+	+	-	-	-	-	
					III-3	M	61	Carrier	CRC	48	+	+	-	-	-	-	
					III-4	M	52	Carrier	CRC	54	+	+	-	-	-	-	
D	HCSC and HU12O, Madrid	Cuenca, Castilla la Mancha	g.77527-89152_del11625	AC	II-1 III-1 III-2*	M M M	54 54 57	Carrier Carrier Carrier	CRC CRC CRC	52 54 45	+	+	-	-	-	-	
					III-2*	M	35	Carrier	CRC	31	+	+	-	-	-	-	
E	HCSC, Madrid	Madrid	g.77527-89152_del11625	AC	II-2	F	56	Carrier	CRC	47	+	+	-	-	-	-	
					ND				Carrier	47							
F	HSP, Barcelona	Tarragona, Catalunya	ND	BC	III-7*	M			Carrier	47							
					II-2	F			Carrier	47							
G	HU12O, Madrid	ND	ND	AC	III-2 III-3 III-4 III-5*	M F F F	54 53 51 21	Carrier Carrier Carrier Carrier	CRC CRC CRC CRC	43 31 31 -	+	+	-	-	-	-	
					IV-1	F			Carrier	30							

**Table 2.** MS-MLPA methylation analysis of the *MSH2* promoter in lymphocyte, oral mucosa, normal colon and CRC DNA from *EPCAM* deletion carriers. Methylation levels above 25% and in the range 10-25% are highlighted in dark and light grey, respectively. (\*) Nucleotide position relative to the translation start codon.

Family	Individual	Sample	<i>MSH2</i> methylation (%) MLPA probe position*:			<i>MSH2</i> methylation mean (%)
			-270	-193	+126	
<b>A</b>	III-1	Lymphocyte	0.0	0.0	0.0	0.0
		Colorectal tumor	18.9	85.5	34.4	46.3
		Lymphocyte	0.0	0.0	0.0	0.0
	III-4	Oral mucosa	0.0	0.0	0.0	0.0
		Normal colon	12.8	24.3	18.2	18.4
		Colorectal tumor	8.9	18.2	27.6	18.2
<b>B</b>	II-3	Normal colon	9.7	27.2	12.2	16.4
	III-1	Normal colon	17.9	58.6	38.5	38.4
		Lymphocyte	7.5	3.2	0.0	3.6
	III-3	Normal colon	21.3	34.8	18.5	24.9
		Colorectal tumor	7.1	0.0	0.0	2.4
<b>C</b>	III-2	Normal colon	5.1	21.7	8.6	11.8
		Lymphocyte	5.2	0.0	0.0	1.7
	IV-2	Oral mucosa	0.0	0.0	4.0	1.3
		Normal colon	56.2	57.0	53.3	55.5
<b>D</b>	III-2	Lymphocyte	0.9	0.0	2.5	1.1
		Colorectal tumor	25.7	10.1	26.9	20.9
<b>F</b>	III-7	Colorectal tumor	26.2	27.4	24.8	26.1
<b>G</b>	III-5	Lymphocyte	0.0	0.0	0.0	0.0
		Colorectal tumor	72.3	11.9	133.3	72.5

**Table 3.** Haplotypes associated to *EPCAM* 9\_77526\_86198del8673 mutation in the identified families. Position on chromosome 2 is according to NC\_000002.11. Intragenic *MSH2* markers are highlighted in light gray. Dark gray shading indicates shared haplotype. The symbol “/” indicates that the phase of the mutation haplotype cannot be established. The symbol “//” indicates that recombination has been occurred within the family. The frequencies of disease-associated alleles among a panel of control DNAs are shown at the bottom. (\*) Previously described by Guarinos and collaborators (9).

Marker on chr 2)	(Mb	D2S2331 (38.94)	D2S2328 (40.67)	D2S119 (44.07)	D2S288 (46.54)	9_77526_86198del8673 (47.61-47.62)	rs2303426 (47.63)	rs3732182 (47.69)	rs12998837 (47.69)	rs3732183 (47.69)	rs2303428 (47.70)	D2S1248 (50.60)	D2S123 (51.28)	D2S378 (57.30)	D2S2957 (60.47)
<b>Family</b>															
A	131	128/132	212/220	101/103	Yes	C/G	G	A	G	T	344/352	178	117	226	
B	129/131/133	132	220	103	Yes	G	G	A	G	T	344	178	123	234	
C	129/131	132	220	103	Yes	G	T/G	T/A	A/G	T	344	178	109/123	222/234	
Guarinos, 2010 (*)	121//125/131	132	220	103	Yes	G	G	A	G	T	344	178/194	123//117	234//226	
<b>Shared haplotype</b>															
Shared alleles		132	220	103	Yes	G	G	A	G	T	344				
Control frequencies (%)		9.4	31.3	44.8	0	41.7	NA	NA	NA	NA	88.5	15.6			

## SUPPLEMENTARY MATERIAL

**Supplementary Table S1.** Primers used in the current study.

Analysis	Application	Primer name	Sense	Sequence (5'-3')	Ta (°C)
BREAKPOINT	LR-PCR	EPCAM_LR1_F	forward	CCCGTACTTCTACTTACCTCTAGCCTAGTCT	60
		EPCAM_LR1_R	reverse	ACCAAATGGTTCGCTGCTGCCGTTAAT	
		EPCAM_LR3_F	forward	TTGTGCTGGTAGTACAGAACAG	60
		EPCAM_LR3_R	reverse	CAACCCCACTTGGCTAAATA	
		EPCAM_LR6_F	forward	CAGAATTACAGAAAGAGGTTGAGG	60
		EPCAM_LR6_R	reverse	CAGGCCAGCCTTTAACAT	
		EPCAM_LRHCSC_F	forward	TTGCTTGAAAGGTGAATCTGG	55
		EPCAM_LRHCSC_R	reverse	TTATTGCAAATCGAGAAGTACG	
	Sequencing	EPCAM_SQ_2F	forward	GGTGGTTACTTAGTTGTGCAT	58
		EPCAM_SQ_2R	reverse	TTCCACTCCCACATGGTAA	59
		EPCAM_SQ_4F	forward	GCCTGTAATCGCAGCACTT	59
		EPCAM_SQ_4R	reverse	CTTGTGCCAAGCTGGAGT	62
		EPCAM_SQ_5F	forward	GTGGCTCATGCCTGTAATCC	59
		EPCAM_SQ_5R	reverse	CCCTTGACAACCGCTAATCT	58
		EPCAM_SQ_6F	forward	CAGAATTACAGAAAGAGGTTGAGG	59
		EPCAM_SQ6_F2	forward	GGCTCAAGCAGTTCTTTGG	55
		EPCAM_SQ6_R	reverse	CAGGCCAGCCTTTAACAT	60
		EPCAM_SQ6_R2	reverse	CATGTTGCCAGGCTGGTCT	65
		EPCAM_SQ7_F	forward	TGACCAACACGGAGAAACCC	62
		EPCAM_SQ7_R	reverse	ATGAGCCAAGATCGGCCACT	67
	Diagnostic PCR	EPCAM_SQ8_F	forward	AATGGAGTCTCGCTGGCCG	65
		EPCAM_SQ8_R	reverse	GAGATACAGTTCGCTCTTGGCCC	65
		EPCAM_SQ9_F	forward	GTAGAGATGGGTTTGCC	56
		EPCAM_SQ9_R	reverse	CCTCCCAGGTTCAAGCAATT	62
		EPCAM_SQ10_F	forward	GCATCCAGGAAGAACAGGAC	60
HAPLOTYPE	Microsatellite analysis	EPCAM_MP9_R2	reverse	AGAGGGCTAAGATCAGTGGGAAT	55
		EPCAM_MP6_F3	forward	GCATTGCTGTAAAGGTGAATCTG	
		EPCAM_MP6_F4	forward	TGGGTTCTATCCTGCCCTTG	
		D2S2331_F	forward	PET-ATTAGCACTTACCTGGCACA	
		D2S2331_R	reverse	AGTTTATGCTGTGATTAATACCTGG	54
		D2S2328_F	forward	NED-CCGAGCAATTCACTCTGG	
		D2S2328_R	reverse	CTTGGCAAACGAGCG	53
		D2S119_F	forward	HEX-CTTGGGGAACAGAGGTCTT	
		D2S119_R	reverse	GAGAATCCTCAATTCTTGG	54
		D2S288_F	forward	FAM-GTCTCAGGGAATAGGAAGGA	
		D2S288_R	reverse	ACTGGCCAGTGTGTTTC	52
		D2S1248_F	forward	PET-GCTCCCATCTCACTTG	
		D2S1248_R	reverse	PETTTAATACCACCTCAGTAACC	
		D2S123_F	forward	FAM-TCAACATTGCTGAAAGTTCT	51
		D2S123_R	reverse	FAM-GACTTCCACCTATGGGACT	
	SNP analysis	D2S378_F	forward	HEX-TTCCTTGGAGGAAGAGTG	51
		D2S378_R	reverse	HEX-TTATTACACTGCTTACAGCG	
		D2S2957_F	forward	NED-TGGAATGGGAGAAGGTAA	53
		D2S2957_R	reverse	NED-CTGTCCTACACATCCTGTTG	
		MSH2_Exon1_F	forward	TCGCGCATTTCTCAACCA	67
		MSH2_Exon1_R	reverse	GTCCTCCCCAGCACG	
		MSH2_Exon10_F	forward	GTTGCCAGTGTGGCTC	60
		MSH2_Exon10_R	reverse	TGTTGCGACAGCTGACTGCTC	
		MSH2_Exon13_F	forward	CGCGATTAATCATCAGTGT	57
		MSH2_Exon13_R	reverse	CACAGGACAGAGACATACATT	

**Supplementary Figure S1.** Alignment of the deleted *EPCAM* alleles with *EPCAM* intron 7 and 3'UTR sequences. The red square indicates the microhomology at the breakpoint region. AluSp and AluSx sequences are highlighted in light and dark grey, respectively. A. Alignment of the *EPCAM* g.77526\_86198del8673 allele. B. Alignment of the *EPCAM* g. 77446\_89071del 11626 allele.

**A**

<b>Intron 7</b>	CTATAATCCCAGCAGCTTTGGGAGGCTGAGGTGGCAGATCACCTGAGGCTGGGAGTCGGAGACCAGCCTGACCAACAC
<b>g.77526_86198del</b>	CTATAATCCCAGCAGCTTTGGGAGGCTGAGGTGGCAGATCACCTGAGGCTGGGAGTCGGAGACCAGCCTGACCAACAC
<b>3'UTR</b>	CTCTGTAGTCCCAGCAGCTTTGGGAGGCTGAGGTGGCAGGCGATCACCTGAGGCTCAGGAGTTGAGACCAGCCTGACCAACAT

<b>Intron 7</b>	GGAGAAAACCCCGTCTCTACTAAAAATACAAAATGAGCCAGGCATGGTGGTGCATGCCCTGTCAATTCTGGCTACTCAGGA
<b>g.77526_86198del</b>	GGAGAAAACCCCGTCTCTACTAAAAATACAAAATTAGCTGGCATGGTGGTGCATGCCCTGTAAATCCCAGCTACTCAG
<b>3'UTR</b>	GGAGAAAACCCCGTCTCTACTAAAAATACAAAATTAGCTGGCATGGTGGTGCATGCCCTGTAAATCCCAGCTACTCAG

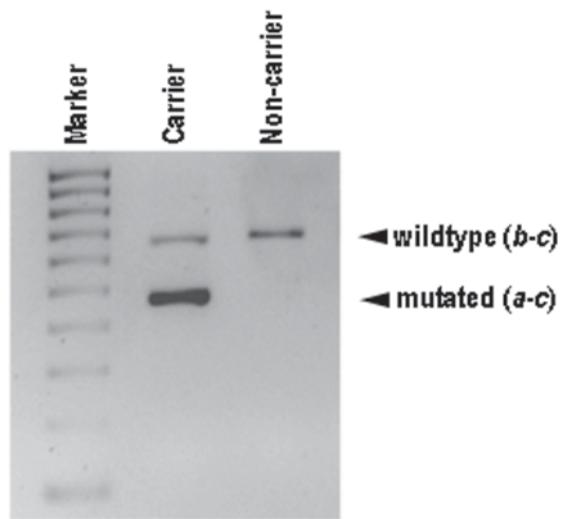
**B**

<b>Intron 7</b>	CTA <b>TAATCCCAGCAGCTTTGGGAGGCTGAGG</b> CTGGCAGATCACCTGAGGCTGGGAGTCGGAGACCAGCCTGACCAACAC
<b>g.77446_89071del</b>	CTA <b>TAATCCCAGCAGCTTTGGGAGGCTGAGG</b> CAGGCAGATCACCTGAGGCTGGGAGTCGGAGACCAGCCTGACCAACAC
<b>3'UTR</b>	CTG <b>TAATCCCAGCAGCTTTGGGAGGCTGAGG</b> CAGGCAGATCACCTGAGGCTGGGAGTTCCAGACCAGCCTGACCAACA

<b>Intron 7</b>	GGAGAAAACCCCGTCTCTACTAAAAATACAAAATGAGCCAGGCATGGTGGTGCATGCCCTGTCAATTCTGGCTACTCAGGA
<b>g.77446_89071del</b>	GGAGAAAACCCCGTCTCTACTAAAAATACAAAATTAGCTGGCATGGTGGTGCATGCCCTGTAAATCCCAGCTACTCAGAA
<b>3'UTR</b>	TGGAGAAA <b>ACTCATTCTACTAAAAATACAAAATTAGCTGGCATGGTGGTGCATGCCCTGTAAATCCCAGCTACTCAGAA</b>

**Supplementary Figure S2.** Result of the multiplex PCR-based diagnostic test of the *EPCAM* g.77526\_86198del8673 in a carrier and a non-carrier individual. Primers *b* and *c* amplify a 686-bp fragment specific to the wildtype allele, and primers *a* and *c* amplify a 488-bp fragment specific to the deleted allele (location of primers is shown in Figure 2). Marker lane represents the 100-bp DNA ladder.



## **TELOMERE LENGTH IN LYNCH SYNDROME: DYNAMICS AND ASSOCIATION WITH GENETIC ANTICIPATION**

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Manuscrit sotmès a publicació

**Resum del treball:** La variació en la longitud dels telòmers s'ha associat amb un major risc de malignitat, encara que els estudis en el càncer colorectal són controvertits. A més, l'escurçament dels telòmers s'ha associat amb l'anticipació genètica en un nombre de malalties genètiques, incloent varis síndromes de càncer hereditari. No obstant això, no hi ha estudis concluents realitzats la síndrome de Lynch. Aquesta síndrome està causada per mutacions germinals en els gens de reparació del DNA. El nostre objectiu és estudiar la longitud dels telòmers en la síndrome de Lynch i avaluar la seva associació amb l'anticipació genètica. La longitud dels telòmers de leucòcits es va mesurar en 244 portadors de la mutació en els gens reparadors en 96 famílies amb síndrome de Lynch i en 234 controls utilitzant un mètode de PCR múltiple quantitativa. Els individus portadors de mutació afectes de càncer mostraven telòmers significativament més curts que els portadors de la mutació sense càncer. A més, els individus portadors afectats de càncer, i en particular aquells amb una mutació *MSH2*, van mostrar la disminució més pronunciada en la longitud dels telòmers en relació amb l'edat. L'estudi de l'anticipació en parelles de pares i fills, es va dur a terme en 59 famílies, i va mostrar els fills desenvolupaven el càncer una mitjana de 10-12 anys abans que els seus pares. No obstant això, l'anticipació observada no es va associar amb escurçament dels telòmers. En conclusió, els nostres resultats demostren que els individus portadors de mutacions en els gens reparadors i afectes de càncer mostren diferents patrons i dinàmiques en la longitud dels telòmers. A més, l'anticipació en l'edat del diagnòstic observada en la síndrome de Lynch no es pot explicar per la longitud telomèrica



# Telomere Length in Lynch Syndrome: Role as Cancer Risk Factor and Association with Genetic Anticipation

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**Short title:** Telomere Length in Lynch Syndrome

## ABSTRACT

Telomere length variation has been associated with increased risk of several types of tumors, and telomere shortening, with genetic anticipation in a number of genetic diseases including hereditary cancer syndromes. No conclusive studies have been performed for Lynch syndrome (LS), a hereditary colorectal cancer syndrome caused by germline mutations in the DNA mismatch repair (*MMR*) genes. Here we evaluate telomere length in LS, both as a cancer risk factor and as the mechanism involved in the anticipation in the age of cancer onset observed in successive generations of LS families. Leukocyte telomere length was measured in 244 *MMR* gene mutation carriers from 96 LS families and in 234 controls using a monochrome multiplex quantitative PCR method. Cancer-affected mutation carriers showed significantly shorter telomeres than cancer-free mutation carriers. Also, cancer-affected carriers showed the most pronounced decline of telomere length with age, compared with unaffected carriers. The study of age anticipation in parent-child pairs from 59 families showed that children developed cancer at an average of 12 years earlier than their parents. However, the observed anticipation was not associated with telomere shortening. Nevertheless, our findings suggest that telomere attrition might explain the dependence of cancer risk on the parent-of-origin of *MMR* gene mutations previously reported. In conclusion, cancer-affected *MMR* gene mutation carriers have distinct telomere-length pattern and dynamics, suggesting a role as cancer risk factor for LS. However, the anticipation in the age of onset observed in LS is not explained by telomere shortening.

## AUTHOR SUMMARY

Hereditary colorectal cancer (CRC) constitutes about 5% of all diagnosed CRC cases. Among CRC hereditary syndromes, Lynch syndrome (LS) is the most common one, predisposing families to colorectal, endometrial and other extracolonic tumors. The cause of the increased cancer risk in these families is the presence of a mutation in one gene involved in the repair of DNA errors: *MLH1*, *MSH2*, *MSH6* or *PMS2*. Nevertheless, there is large variation in disease expression, e.g. in the age of cancer onset, among and within families, where the same gene mutation is present, suggesting the presence of risk modifying factors. This variability is also represented by the anticipation in the age of cancer onset that occurs in successive generations. Telomeres are repetitive DNA sequences at the end of chromosomes that protect them, guaranteeing chromosome stability and integrity. Telomere length alterations have been proposed as cancer risk

factors and telomere shortening explains genetic anticipation in several diseases including some hereditary cancer disorders. Here we find that shortened telomeres may act as a cancer risk

factor in LS, and we discard the association of telomere shortening with the anticipation in the age of cancer onset across generations observed in LS families.

## INTRODUCTION

Lynch syndrome (LS) (MIM 120435) is the most common hereditary syndrome that predisposes to colorectal cancer (CRC) and other extracolonic tumors, accounting for 2%–5% of the total burden of CRC. It is caused by germline mutations in the DNA mismatch repair (*MMR*) genes *MLH1* (MIM 120436), *MSH2* (MIM 609309), *MSH6* (MIM 600678) and *PMS2* (MIM 600259), as well as in *EpCAM* (MIM 185535). There is large phenotypic variation in the age of onset among and within families [1, 2]. Moreover, progressively earlier age of cancer onset in successive generations has been reported [3–10]. However, the underlying molecular mechanisms of both the overall phenotypic variation and the anticipation in the age of onset are still unknown.

Telomere shortening has gained considerable interest as a potential biomarker of cancer risk and also as a mechanism associated with

genetic anticipation. Moreover, we have recently shown that a common genetic variant located in the telomerase gene (*hTERT*; MIM 187270) increases cancer risk in LS patients at early ages, and that this SNP is associated with shortened telomere length in cancer-affected *MMR* gene mutation carriers [11]. Telomeres consist of multiple short repeats (TTT<sub>n</sub>AGG) at the end of chromosomes and protect them against large-scale genomic rearrangements. In most somatic cells, telomeres shorten with each replication cycle, eventually leading to cellular senescence or apoptosis. Interestingly, telomere length anomaly appears to be one of the earliest and most prevalent genetic alterations in the process of malignant transformation [12, 13]. Given the proposed role of telomere shortening in early processes of carcinogenesis, attention has been directed to its potential role as a cancer risk biomarker. With this purpose, a number of retrospective and prospective observational studies have been conducted, although results are inconsistent among studies and tumor types [14]. Regarding CRC, despite the conflicting results found in retrospective studies, the prospective ones have found no convincing association between leukocyte telomere length and CRC risk [14-16].

Also, telomere length attrition has been proposed as a mechanism of anticipation in different inherited diseases, being associated with early onset and/or severity of disease in genetic disorders such as dyskeratosis congenita [17-19], Li-Fraumeni [20, 21] and familial breast and ovarian cancer [22, 23].

Here we aim to elucidate the role of telomere length in LS-associated cancer risk and to study its implication in the anticipation in the age of onset observed in successive generations of LS families.

## RESULTS

### Telomere length and shortening dynamics

Relative telomere length (RTL) was assessed in 244 *MMR* gene mutation carriers, both cancer-affected (n=144) and unaffected (n=100), and in 234 controls, including non-carriers of the same LS families (n=144) and unrelated cancer-free controls (n=90) (Figure 1). We found that among mutation carriers, cancer cases had significantly shorter age-adjusted telomeres than unaffected individuals ( $p=0.032$ ). Although statistically non-significant, worthy of note is the fact that unaffected mutation carriers tend to have longer telomeres than unaffected controls ( $p=0.069$ , including non-carriers and cancer-free controls within the control group).

The negative slope of the linear regression line that best fit the relative telomere length data for

the controls, as expected, indicated that telomere length shortened with age (Pearson's correlation coefficient  $r=-0.187$ ;  $p=0.004$ ), as did for *MMR* gene mutation carriers ( $r=-0.297$ ;  $p=2.37 \times 10^{-6}$ ). The differences observed between both groups were not statistically significant ( $p=0.204$ ) (Figure 2A). Among *MMR* gene mutation carriers, cancer-affected individuals showed faster telomere attrition with age ( $r=-0.344$ ;  $p=2.4 \times 10^{-5}$ ), than cancer-free carriers ( $r=-0.094$ ;  $p=0.351$ ) (for the difference,  $p=0.045$ ) (Figure 2B). No statistically significant differences were observed between *MSH2* ( $r=-0.446$ ;  $p=0.003$ ) and *MLH1* ( $r=-0.318$ ;  $p=0.003$ ) mutation carriers (for the difference,  $p=0.435$ ).

### Telomere length and anticipation in the age of cancer onset

The occurrence of age anticipation in cancer-affected mutation carriers was analyzed in 59 LS families, classified as *MLH1* (32 families), *MSH2* (20 families) and *MSH6* (7 families). The distribution of age at cancer diagnosis for parents and children showed a consistent shift to early ages in children ( $p=2.5 \times 10^{-7}$ ) (Figure 3). In all, cancer was diagnosed at an average of 12 years earlier in children (Table 2). Five out of 65 parents and 3 out of 30 children had been diagnosed with cancer (1<sup>st</sup> LS-related cancer diagnosed) during the follow-up period or clinical surveillance of mutation carriers. Their exclusion from the analysis did not modify the results obtained (data not shown). The information available from polyp removal was incomplete and was not included in the study.

The relationship between telomere length and anticipation in Lynch syndrome was next investigated. Differences between parents and children resulted non-significant in cancer-affected *MMR* gene mutation carriers ( $p=0.867$ ) (Figure 4). Looking into the association between telomere length and anticipation in more detail, we compared changes in telomere length in individual parent-child pairs (Table 3). In all instances, the child was diagnosed with cancer at earlier ages than his/her corresponding LS parent. Children showed shorter telomeres than their parents in 10 out of 21 (47.6%) cancer-affected carrier pairs, in 6 out of 9 (66.7%) cancer-free carrier pairs, and in 1 out of 3 (33.3%) cancer-free non-carriers evaluated. The results obtained showed no statistically significant differences among groups ( $p=0.562$ ). Cancer-affected and unaffected individuals were not combined (e.g. affected parent vs. unaffected child) because, as shown in Figure 1, cancer affection itself affects telomere length measured in blood cells.

## DISCUSSION

In this study we found that cancer-affected *MMR* gene mutation carriers have shorter telomeres and faster telomere attrition rate (accelerated telomere shortening with age) than unaffected mutation carriers and controls, and that telomere shortening is not associated with anticipation in the age of cancer onset in successive generations.

After evaluating the reported retrospective and prospective studies carried out on sporadic CRC risk and leukocyte telomere length, it has been recently suggested that the shorter telomere length observed in retrospectively collected samples from CRC patients is not a cancer risk factor, but arises as a result of disease (from treatment or disease burden) [14, 15]. However, a recent prospective study has shown that both extremely long and short telomeres increase CRC risk [16]. Our observation in hereditary cases, where shorter telomeres are identified in cancer-affected compared with cancer-free *MMR* gene mutation carriers, suggests either that the shortened telomere length is a result of the pathophysiological effects of the disease, as suggested for sporadic cases, or that shorter telomeres is an additional risk factor for LS patients, i.e., a modifier of risk. The role of shortened telomeres as cancer risk factor in LS is supported by our recent observation that a variant in the telomerase gene, *hTERT*, increases cancer risk in young LS patients (<45 years of age), being the this variant associated with shortened telomeres in cancer-affected *MMR* gene mutation carriers [11]. Further studies assessing telomere length before and after cancer diagnosis in CRC patients are required to provide a definitive answer to the suggested effect of cancer affection in the telomere length of blood cells.

Interestingly, cancer-free *MMR* gene mutation carriers showed a tendency to have longer telomeres than at-population-risk individuals ( $p=0.07$ ). Jones et al. [15] proposed that risk genetic factors, in particular a variant in the telomerase RNA component, *TERC* (MIM 602322), cause longer telomeres. It assumes that this is mirrored in colorectal crypt stem cells and proposes that CRC might be influenced by longer telomeres, possibly through effects on stem cell numbers or longevity. If the tendency we observe in our LS series reaches statistical significance in larger samples, this model might be adapted to LS and therefore, further studies would be needed to explain the mechanism how *MMR* haploinsufficiency in the germline can induce a lengthening effect in telomeres. Another plausible explanation to this observation, and in line with the fact that short telomeres act as factors of increased cancer risk, is that longer telomeres in *MMR* gene

mutation carriers protect them from developing cancer.

We also observed that leukocyte telomere dynamics with age is different in cancer-free mutation carriers than in cancer-affected carriers, who showed faster telomere shortening with age. Bozzao et al., studying a smaller LS sample (43 cancer-affected carriers, 31 unaffected carriers and 50 controls), found that affected carriers showed accelerated attrition rate compared to controls [24]. Based on previously published data on *MMR*-deficiency and telomeres [25-29], they suggested that *MMR* haploinsufficiency in LS individuals may lead somehow to tolerance to short telomeres causing faster telomere shortening in the course of life. However, according to our results, this increased shortening rate does not occur in cancer-free carriers, invalidating their hypothesis. Therefore, this effect may be either the result of a telomere shortening occurring in cancer-affected individuals, or a marker of increased cancer risk among *MMR* gene mutation carriers, supporting the idea of short telomere length or accelerated telomere attrition rate as a factor of increased cancer risk in LS. Knowing the dynamics of leukocyte telomere length with age in sporadic cases from retrospective and prospective studies may solve this question, but to our knowledge, this description has not been included in previous publications.

We next investigated whether the differences observed in telomere length in LS somehow translated in the anticipation in the age of onset observed in successive generations, but found no association. For years and despite the numerous reports identifying anticipation in LS, it was uncertain whether true genetic anticipation contributed to the young diagnosis observed in LS. Recently, a Bayesian method correcting for random effects, isolating the confounding effect of changes in secular trends, screening, and medical practices, and adjusting for changes in age-specific incidence across birth cohorts, confirmed the presence of anticipation in the age of onset between successive generations in LS families [10]. Nevertheless, so far the molecular mechanism underlying this observation has not been identified. Here, for the first time, we discard the role of telomere shortening in anticipation in LS. As previously suggested, other molecular mechanisms such as the accumulation of mismatch repair slippage events through generations or other genetic or environmental factors might explain age anticipation in successive generations in LS families [30, 31].

Van Vliet et al. recently suggested that CRC risks for carriers of mutations in *MMR* genes depend on the parent-of-origin of their mutation.

In particular, they suggested a maternally transmitted mechanism modifying cancer risk for male *MMR* gene mutation carriers [32]. Here we observe anticipation associated with shorter telomeres in all (5/5) mother-son cancer-affected *MMR* gene mutation carriers, compared to 33% (4/15) in the other combinations altogether (Table 3). Although the sample size of the parent-child pairs studied is very limited, this observation might suggest that the maternally transmitted risk mechanism is shortened telomeres. Studies assessing the whether telomere shortening has a parental control in humans, in this instance whether telomere shortening occurs in the female germline, as recently demonstrated in other mammals [33], together with the analysis of larger samples of LS parent-child pairs, are granted to verify this hypothesis.

In conclusion, our findings indicate that cancer-affected *MMR* gene mutation carriers show distinct features and dynamics in telomere length measured in blood DNA than controls and unaffected mutation carriers. Pending of additional studies, our observations suggest that telomere length may be a risk factor for Lynch syndrome and that it may explain the dependence of cancer risk on the parent-of-origin of *MMR* gene mutations previously observed. We discard telomere length attrition as the common cause of the anticipation in the age of cancer onset observed across generations in LS.

## MATERIAL AND METHODS

### Ethics statement

This study received the approval of the Ethics Committee of IDIBELL (ref. PR221/09).

### Study participants

A total of 388 individuals, 244 *MMR* gene mutation carriers and 144 non-carriers, from 96 Lynch syndrome families were included in the study. They were assessed through the Hereditary Cancer Program of the Catalan Institute of Oncology, ICO-IDIBELL, from 1998 to 2012. *MMR* gene mutation analysis was performed on genomic DNA extracted from peripheral blood. Large genomic alterations in the genes were studied using MLPA (Multiplex Ligation-dependent Probe Amplification) (SALSA MLPA Kits, MRC-Holland, The Netherlands). Mutation screening was carried out by direct sequencing after PCR amplification (primers and conditions available upon request). Ninety cancer-free individuals were included as controls. All individuals are of Caucasian origin. Informed consent was obtained from all subjects, even though the data were analyzed anonymously. Table 1 shows a summary of the

genetic and clinical characteristics of the groups studied.

Cases and controls were recruited from the same homogeneous population, and storage and DNA extraction from peripheral blood were performed at the same facility and using the same extraction methods. We have observed that blood DNA samples coming from different sources (extracted at different laboratories, using different extraction methods, etc.) show large non-genetic variation in telomere length (data not shown). Thus, only samples (cases and controls) that underwent the exact same DNA extraction protocol (Flexigene DNA kit, Qiagen, Hilden, Germany) performed by the personnel of the Unit of Molecular Diagnostics of the Hereditary Cancer Program at ICO-IDIBELL, 15/11/2012conditions, were included in the study, as recommended by Prescott et al. for retrospective studies [14].

### Telomere length assessment

Telomere length quantification was performed using the monochrome multiplex quantitative PCR method previously described by Cawthon et al. [34]. It has been found to provide greater consistency than other methods used to measure telomere length [15]. The assays were performed using the Quantifast SybrGreen PCR Master Mix (Qiagen, Hamburg, Germany), beta-globin as single copy gene, and the LightCycler 480 real-time PCR detection system (Roche Diagnostics GmbH, Mannheim, Germany). All samples were assayed in triplicate. A standard curve with 7 concentrations spanning an 81-fold range (60ng, 40ng, 20ng, 6.7ng, 4ng, 2.2ng and 0.74ng), also in triplicate, of an anonymous standard DNA (healthy and cancer-free 45 year-old individual) was included in every 384-well plate. Good replicates of the standard curves (for telomeres (T) and single copy gene (S)) and subsequent fitted linear regression lines were obtained among plates. Whenever possible, equal numbers of samples from different clinical groups were run in each 384-well plate. The relative telomere length (RTL) value for each sample was calculated according to the standard lines (for T and S) of the corresponding plate. Therefore, the value obtained was relative to the value of the anonymous standard DNA in the same plate (inter-run calibration). The average telomere length of each sample is expected to be proportional to its RTL value.

### Statistical analyses

Shortening of telomere length is observed with increasing age in cancer-free individuals [35]. In our control set (controls plus non-carriers, n=234), relative telomere length (RTL) was inversely correlated with age (Pearson's

correlation  $r=-0.187$ ;  $p=0.004$ ). Therefore, in order to assess telomere length differences, RTL measurements were adjusted for age using the line of best fit for controls, as described previously [23]. Thus, the difference between the observed and the predicted value was calculated for each sample. There were no telomere length differences between sexes (data not shown), therefore, sex was not included as a confounding factor in the statistical tests.

Differences in age-adjusted telomere lengths were analyzed using the Wilcoxon rank sum test (Mann Whitney U). Pearson's test was used to assess the correlation between telomere length and age (telomere length dynamics). To measure the significance of the difference

between two correlation's coefficients (Pearson's  $r$ ), a Fisher r-to-z transformation was performed. Anticipation was represented by Kaplan-Meier curves and the differences between survival curves were studied using a log-rank test. Proportions were compared by Fisher's exact test (expected cell count  $<5$ ). All tests were two-sided and p-values below 0.05 were considered statistically significant. The analyses were performed using R.

## ACKNOWLEDGEMENTS

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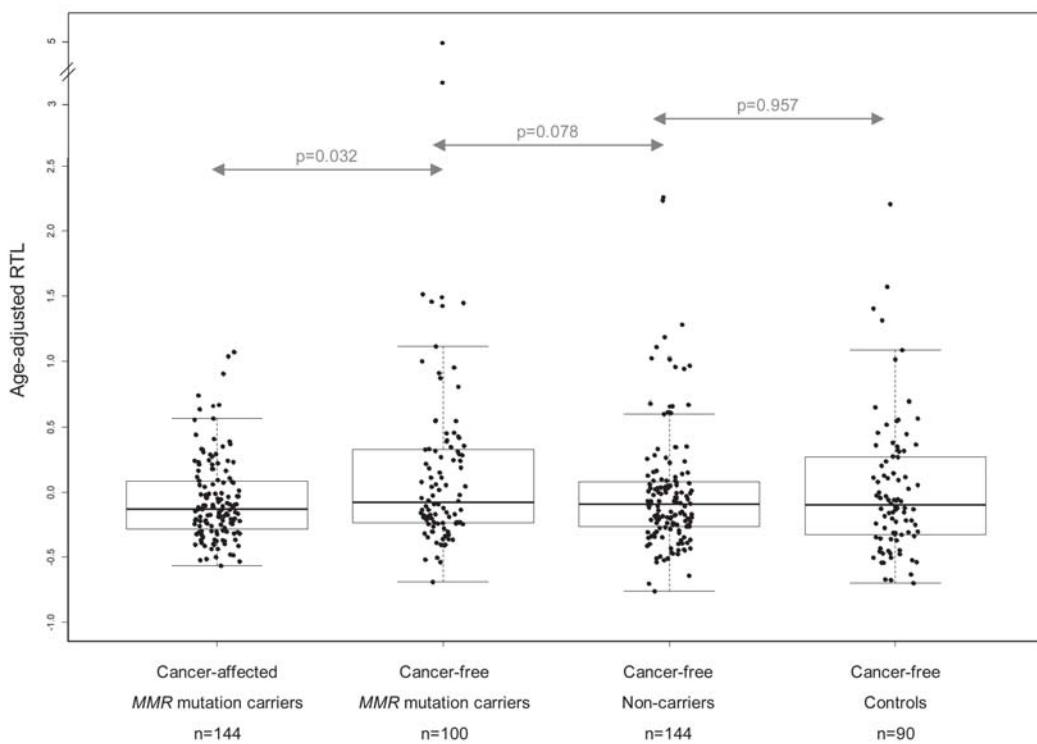
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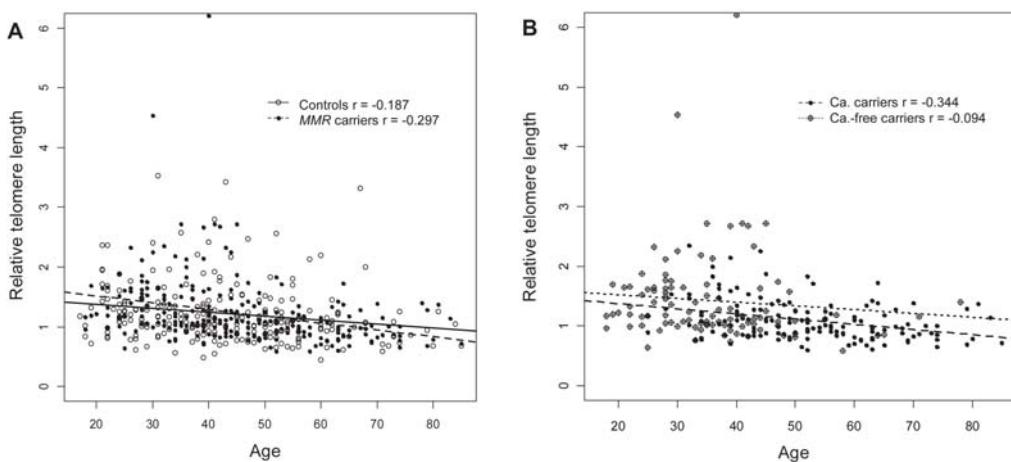
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## FIGURES

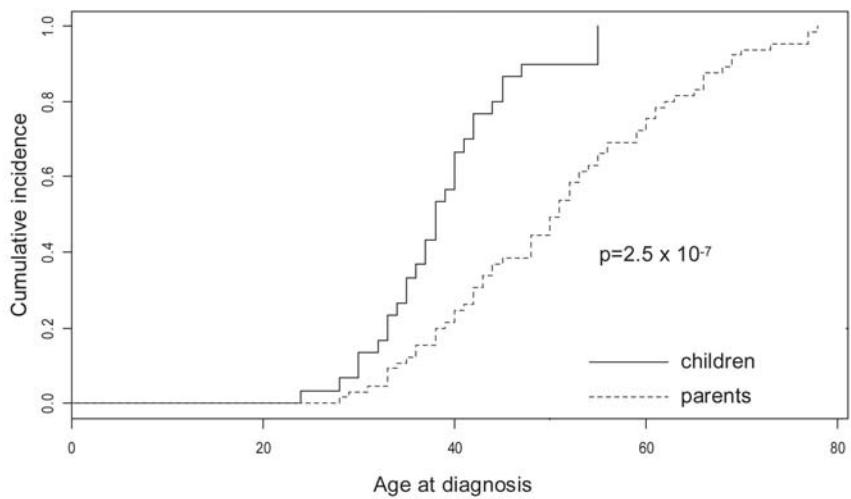
**Figure 1. Age-adjusted relative telomere length** in subjects belonging to LS families: *MMR* gene mutation carriers affected with cancer (median: -0.131), *MMR* gene mutation carriers with no diagnosed cancer (median: -0.079) and cancer-free non-carriers (median: -0.091); and to cancer-free controls (median: -0.097). The boxes represent the interquartile range of distributions (25<sup>th</sup> and 75<sup>th</sup> percentile); the horizontal lines within the boxes, the medians; and the vertical lines, the 5<sup>th</sup> and 95<sup>th</sup> percentiles.



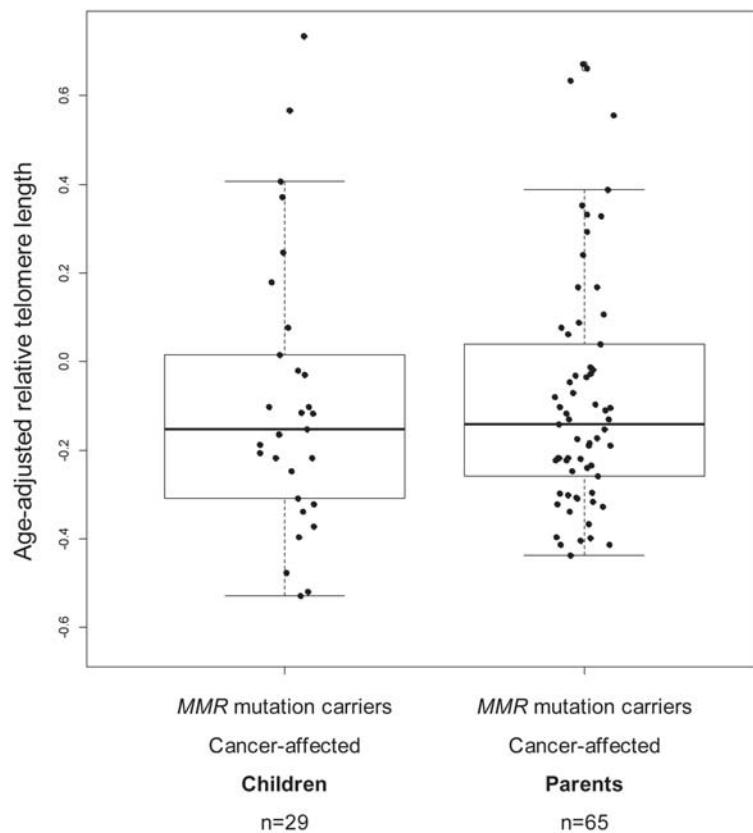
**Figure 2. Correlation of relative telomere length (RTL) with age at blood collection.** (A) RTL distribution as a function of age for controls (n=234; white circles) and all *MMR* gene mutation carriers (n=244; black circles). (B) RTL distribution as a function of age for cancer-affected *MMR* gene mutation carriers (n=144; black circles) and cancer-free *MMR* gene mutation carriers (n=100; crossed circles). r: Pearson's correlation coefficient.



**Figure 3. Anticipation in the age of cancer onset in LS families.** Kaplan-Meier curves and associated p-values showing the differences in age of cancer onset between parents and children, all of them cancer-affected *MMR* gene mutation carriers.



**Figure 4. Changes in age-adjusted RTL between parents and children.** Box plots representing age-adjusted RTL distributions in cancer-affected parents and children with *MMR* gene mutations. The boxes represent the interquartile range of distributions (25<sup>th</sup> and 75<sup>th</sup> percentile); the horizontal lines within the boxes, the medians; and the vertical lines, the 5<sup>th</sup> and 95<sup>th</sup> percentiles.



## TABLES

**Table 1.** Genetic and clinical characteristics of the studied groups.

	Lynch syndrome families (n=96)			Controls
	MMR gene mutation carriers		Non-carriers	
	Cancer	Cancer-free		
N	144	100	144	90
MMR gene mutated: n (%)	MLH1: 87 (60.4) MSH2: 42 (29.2) MSH6: 9 (6.3) PMS2: 5 (3.5) EpCAM: 1 (0.7)	MLH1: 62 (62.0) MSH2: 29 (29.0) MSH6: 9 (9.0) PMS2: 0 EpCAM: 0	-	-
Mean age at blood extraction ( $\pm$ SD)	51.8 ( $\pm$ 13.4)	35.7 ( $\pm$ 11.5)	43.4 ( $\pm$ 14.9)	43.6 ( $\pm$ 15.1)
Sex: n (%)	M: 74 (51.4) F: 70 (48.6)	M: 40 (40.0) F: 60 (60.0)	M: 64 (44.4) F: 80 (55.6)	M: 28 (31.1) F: 62 (68.9)
Mean age at cancer diagnosis ( $\pm$ SD)	45.3 ( $\pm$ 12.9)	-	-	-

N: number of subjects; SD: standard deviation; M: male; F: female

**Table 2.** Differences in the average age of onset of cancer (1<sup>st</sup> LS-related tumor diagnosed) between parents and children in Lynch syndrome.

	Parents		Children		Mean age difference	t-statistic	p-value
	N	Mean age of onset ( $\pm$ SD)	N	Mean age of onset ( $\pm$ SD)			
All	65	50.9 ( $\pm$ 12.8)	30	38.9 ( $\pm$ 7.6)	12.0	4.74	$7.5 \times 10^{-6}$
MLH1	36	50.9 ( $\pm$ 11.7)	20	38.0 ( $\pm$ 6.8)	12.9	4.53	$3.3 \times 10^{-5}$
MSH2	21	51.4 ( $\pm$ 15.4)	7	41.4 ( $\pm$ 10.4)	10	1.59	0.124
MSH6	8	49.6 ( $\pm$ 11.5)	2	37.5 ( $\pm$ 6.4)	12.1	1.40	0.200

N: number of subjects; SD: standard deviation.

**Table 3.** Age-adjusted RTL and anticipation in the age of cancer diagnosis in parent-child pairs.

Family	MMR gene	PARENT				CHILD				*Tel <sub>child</sub> < Tel <sub>parent</sub>
		Tumor	Age at diagnosis	Gender	Age-adjusted RTL	Tumor	Age at diagnosis	Gender	Age-adjusted RTL	
<i>Cancer-affected carriers</i>										
Fam-1	MSH2	CRC	73	F	-0.172	CRC	45	M	-0.216	yes
Fam-2	MSH2	EC	78	F	0.167	CRC	55	F	-0.339	yes
Fam-2	MSH2	CRC	55	F	-0.339	CRC	33	F	-0.188	no
Fam-2	MSH2	EC	78	F	0.167	CRC	55	F	-0.217	yes
Fam-3	MSH2	CRC	60	F	-0.098	CRC	35	F	0.407	no
Fam-4	MLH1	CRC	53	F	-0.143	CRC	40 (in surv)	F	0.372	no
Fam-4	MLH1	CRC	53	F	-0.143	CRC	32	M	-0.521	yes
Fam-5	MLH1	CRC	48	M	0.328	CRC	35	M	0.567	no
Fam-6	MLH1	CRC	52	F	0.635	CRC	34	F	0.736	no
Fam-7	MLH1	CRC	50	F	0.388	CRC	55 (in surv)	F	-0.103	yes
Fam-8	MLH1	CRC	51	M	-0.240	CRC	28	F	-0.022	no
Fam-9	MLH1	CRC	51	M	0.332	CRC	45	F	0.178	yes
Fam-10	MLH1	CRC	33	M	-0.398	CRC	24	F	-0.154	no
Fam-11	MLH1	CRC	69 (in surv)	M	-0.298	CRC	37	F	-0.206	no
Fam-11	MLH1	CRC	69 (in surv)	M	-0.298	CRC	41 (in surv)	F	-0.247	no
Fam-12	MLH1	CRC	66	F	-0.014	CRC	47	M	-0.373	yes
Fam-12	MLH1	CRC	66	F	-0.014	CRC	39	M	-0.322	yes
Fam-12	MLH1	CRC	66	F	-0.014	CRC	40	M	-0.103	yes
Fam-13	MLH1	CRC	60	F	-0.081	CRC	38	F	-0.030	no
Fam-14	MLH1	CRC	77	F	-0.235	CRC	38	F	-0.076	no
Fam-15	MSH6	UC	69	M	-0.032	CRC	33	M	-0.478	yes
<i>Cancer-free carriers</i>										
		Age at blood extraction				Age at blood extraction				
Fam-16	MLH1	-	48	M	-0.347	-	24	M	-0.327	no
Fam-16	MLH1	-	48	M	-0.347	-	25	F	-0.688	yes
Fam-17	MLH1	-	47	M	-0.121	-	27	F	-0.387	yes
Fam-18	MLH1	-	64	F	-0.244	-	36	M	-0.095	no
Fam-18	MLH1	-	64	F	-0.244	-	39	M	-0.134	no
Fam-19	MLH1	-	45	F	0.048	-	18	M	-0.202	yes
Fam-20	MLH1	-	78	M	0.399	-	37	F	-0.186	yes
Fam-21	MSH2	-	47	F	0.552	-	22	M	-0.021	yes
Fam-22	MSH6	-	65	F	-0.230	-	30	M	-0.300	yes
<i>**Cancer-free non-carriers</i>										
Fam-23	-	-	71	F	-0.336	-	45	M	0,000	no
Fam-20	-	-	74	F	-0.284	-	50	M	-0,181	no
Fam-24	-	-	85	F	-0.268	-	53	F	-0,432	yes

MMR: DNA mismatch repair; RTL: relative telomere length; CRC: colorectal cancer; EC: endometrial cancer; UC: urinary tract cancer; M: male; F: female; in surv: cancer diagnosed as a consequence of the extensive clinical surveillance of LS families.

\*Tel<sub>child</sub> < Tel<sub>parent</sub>, age-adjusted telomere length is shorter in the child than in the parent. "Yes" indicates that age-adjusted RTL is smaller in the child than in the parent, indicating therefore association with anticipation when cancer-affected carriers are studied.

\*\*Cancer-free non-carriers are individuals who belong to Lynch syndrome families but do not carry the pathogenic MMR gene mutation.

## ***ANNEX II: Informes dels directors***

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## INFORME DELS DIRECTORS SOBRE EL FACTOR D'IMPACTE DELS ARTICLES PUBLICATS

Com a directors de la tesi doctoral de l'Ester Borràs Flores, titulada “Estudi de variants de significat desconegut en la síndrome de Lynch”, fem constar que la doctoranda ha participat activament en el disseny i realització experimental dels treballs que s'inclouen en aquesta tesi, l'anàlisi de resultats, la seva discussió i obtenció de conclusions, i en la preparació dels manuscrits finals. Les contribucions concretes de cada treball s'especifiquen a continuació, conjuntament amb els factors d'impacte dels articles a la data de la seva publicació.

### **ARTICLES PUBLICATS**

#### **ARTICLE 1:**

##### ***MLH1 Founder Mutations with Moderate Penetrance in Spanish Lynch Syndrome Families***

**Ester Borràs(\*)**, Marta Pineda(\*), Ignacio Blanco, Ethan M. Jewett, Fei Wang, Àlex Teulé, Trinidad Caldés, Miguel Urioste, Cristina Martínez-Bouzas, Joan Brunet, Judith Balmaña, Asunción Torres, Teresa Ramón y Cajal, Judit Sanz, Lucía Pérez-Cabornero, Sergi Castellví-Bel, Ángel Alonso, Ángel Lanas, Sara González, Víctor Moreno, Stephen B. Gruber, Noah A. Rosenberg, Bhramar Mukherjee, Conxi Lázaro, i Gabriel Capellá. (\*) Aquests autors han contribuït equitativament en aquest treball.

**Cancer Research:** 70(19); 7379–91 (2010)

**Factor d'impacte (2009 JCR Science Edition): 7.543**

**Contribució de la doctoranda:** Posada a punt de la metodologia i cribratge de les dues mutacions en una sèrie cas-control. Anàlisis de la pèrdua de LOH en el tumor, estudi *in silico* a nivell de RNA i proteïna, cultiu curt de limfòcits dels pacients amb la mutació, disseny i anàlisi dels estudis de processament i estabilitat a nivell de RNA, modificació d'un plàsmid d'expressió en cèl·lules humanes per introduir al mutació a estudiar, transfecció i estudis d'expressió i estabilitat a nivell de proteïna. Realització de l'estudi d'haplotips en els diferents individus portadors, així com dels familiars disponibles i d'una sèrie d'individus control. Anàlisi i interpretació dels resultats obtinguts, així com l'escriptura del primer esborrany de l'article i preparació de la versió final del manuscrit. Marta Pineda, amb qui la doctoranda comparteix primera autoria, va aportar els resultats preliminars de l'estudi d'haplotips, i va participar en l'escriptura i revisió del manuscrit, així com en la supervisió de tot el treball, juntament amb el Dr. Gabriel Capellá.

**ARTICLE 2:**

***Comprehensive Functional Assessment of MLH1 Variants of Unknown Significance***

**Ester Borràs**, Marta Pineda, Angela Brieger, Inga Hinrichsen, Carolina Gómez, Matilde Navarro, Judit Balmaña, Teresa Ramón y Cajal, Asunción Torres, Joan Brunet, Ignacio Blanco, Guido Plotz, Conxi Lázaro i Gabriel Capellá

**Human Mutation:** 33(11): 1576-88 (2012).

**Factor d'impacte (2011 JCR Science Edition): 5.686**

**Contribució de la doctoranda:** Posada a punt de la metodologia i anàlisi de la freqüència de les VSD identificades en una sèrie control, estudi *in silico* a nivell de RNA i proteïna, cultiu curt de limfòcits amb i sense puromicina, disseny i posada a punt de l'anàlisi del processament i l'estabilitat del RNA, modificació d'un plàsmid d'expressió específic per cada variant i la seva posterior transfecció, extracció de proteïna, estudi de l'activitat reparadora, anàlisi d'expressió de les variants i localització subcel·lular. Aquests últims tres punts es van dur a terme a la Universitat Johann Wolfgang Goethe, Frankfurt. Finalment, escriptura del primer esborrany de l'article i preparació de la versió final del manuscrit.

**MANUSCRIT EN PREPARACIÓ**

**ARTICLE 3:**

***Refining the role of PMS2 in Lynch syndrome: germline mutation analysis improved by comprehensive assessment of variants***

**Ester Borràs**, Marta Pineda, Juan Cadiñanos, Jesús del Valle, Angela Brieger, Inga Hinrichsen, Rubén Cabanillas, Matilde Navarro, Joan Brunet, Helen van der Klift, Conxi Lázaro, Guido Plotz, Ignacio Blanco i Gabriel Capellá.

**Manuscrit sotmès a publicació**

**Contribució de la doctoranda:** Posada a punt al laboratori de l'estudi mutacional del gen PMS2 mitjançant long-range PCR (tant a nivell de DNA com de RNA), estudi de la freqüència en una sèrie de controls de totes les VSD detectades, disseny i anàlisi de l'estudi de la fase al·lèlica per dues VSD identificades en un mateix pacient, anàlisi bioinformàtica a nivell de RNA i proteïna, cultiu de limfòcits tractats amb i sense puromicina, extracció de RNA, disseny i anàlisi del processament i estabilitat del RNA, modificació del plàsmid d'expressió per l'estudi de les VSD a nivell de proteïna, transfecció de les VSD en una línia cel·lular estable, extracció de proteïna, estudi de l'activitat reparadora, anàlisi d'expressió de les variants i posada a punt i estudi de la localització subcel·lular. Part dels últims tres punts, es van dur a terme a la Universitat Johann Wolfgang Goethe, Frankfurt. Finalment, preparació i escriptura del manuscrit.

## **ARTICLES PUBLICATS (ANNEXES)**

### **ARTICLE 4:**

#### ***Allele-specific expression of APC in Adenomatous polyposis families***

Ester Castellsagué, Sara González, Elisabet Guinó, Kristen N. Stevens, **Ester Borràs**, Victoria M. Raymond, Conxi Lázaro, Ignacio Blanco, Stephen B. Gruber Gabriel Capellá.

**Gastroenterology:** 139 (2): 439-47, 447.e1 (2010)

**Factor d'impacte (2009 JCR Science Edition): 12.899**

**Contribució de la doctoranda:** Extracció del RNA, RT-PCR, estudi del cDNA del gen APC i mesura de l'expressió específica d'al·lel a partir d'un polimorfisme conegut amb la tècnica del SNuPE, en una sèrie de limfòcits control. Participació en la redacció del manuscrit.

### **ARTICLE 5:**

#### ***Characterization of new founderAlu-mediated rearrangements in MSH2 gene associated with a Lynch syndrome phenotype***

Lucia Pérez-Cabornero, **Ester Borràs Flores**, Mar Infante Sanz, Eladio Velasco Sampedro, Alberto Acedo Becares, Enrique Lastra Aras, Jorge Cuevas González, Marta Pineda Riu, Teresa Ramón y Cajal Asensio, Gabriel Capellá Munar, Cristina Miner Pino, and Mercedes Durán Domínguez.

**Cancer Prevention Research:** 4(10):1546-55 (2012).

**Factor d'impacte (2011 JCR Science Edition): 4,908**

**Contribució de la doctoranda:** Caracterització del punt de ruptura de la deleció dels exons 4-8 del gen *MSH2* mitjançant LR-PCR i posterior seqüenciació. Disseny d'una PCR múltiple que permet amplificar específicament l'al·lel mutat i l'al·lel salvatge. Així, com també va participar en la revisió crítica del manuscrit.

### **ARTICLE 6:**

#### ***MLH1 methylation screening is effective in identifying epimutation carriers***

Marta Pineda(\*), Pilar Mur(\*), María Dolores Iniesta, **Ester Borràs**, Olga Campos, Gardenia Vargas, Sílvia Iglesias, Anna Fernández, Stephen B Gruber, Conxi Lázaro, Joan Brunet, Matilde Navarro, Ignacio Blanco and Gabriel Capellá.

(\*) Aquests autors han contribuït equitativament en aquest treball.

**European Journal of Human Genetics:** doi: 10.1038/ejhg.2012.136 (2012)

**Factor d'impacte (2011 JCR Science Edition): 4.400**

**Contribució de la doctoranda:** Participació en l'anàlisi d'expressió específica d'al·lel i suport en l'estudi de seqüenciació clonal. Participació en l'anàlisi i interpretació dels resultats i revisió crítica del manuscrit.

## **MANUSCRITS EN PREPARACIÓ (ANNEXES)**

### **ARTICLE 7:**

#### ***Identification of a founder EPCAM deletion in Spanish Lynch syndrome families***

Pilar Mur\*, Marta Pineda\*, Atocha Romero, Jesús del Valle, **Ester Borràs**, Carla Guarinos, Alicia Canales, Matilde Navarro, Joan Brunet, Daniel Rueda, Teresa Ramón y Cajal, Víctor Moreno, Conxi Lázaro, José Luis Soto, Trini Caldés Ignacio Blanco, Gabriel Capellá.

(\*) Aquests autors han contribuït equitativament en aquest treball.

#### **Manuscrit sotmès a publicació**

**Contribució de la doctoranda:** Participació en l'anàlisi d'haplotips en individus control i portadors de la mutació. Diagnòstic predictiu de la mutació fundadora mitjançant PCR múltiple. Participació en l'anàlisi i interpretació dels resultats, així com en la revisió crítica del manuscrit.

### **ARTICLE 8:**

#### ***Telomere length in Lynch syndrome: dynamics and association with genetic anticipation***

Nuria Seguí, Marta Pineda, Elisabet Guinó, **Ester Borràs**, Matilde Navarro, Fernando Bellido, Victor Moreno, Conxi Lázaro, Ignacio Blanco, Gabriel Capellá, Laura Valle.

#### **Manuscrit sotmès a publicació**

**Contribució de la doctoranda:** Participació en la selecció de parelles pares-fills. Preparació dels DNAs d'individus control i parelles pares-fills amb síndrome de Lynch. Participació en l'anàlisi i interpretació dels resultats, així com en la revisió crítica del manuscrit.

Atentament,

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## INFORME DELS DIRECTORS SOBRE COAUTORIA D'UN DELS ARTICLES PUBLICATS

Com a directors de la tesi doctoral de l'Ester Borràs Flores, titulada "Estudi de variants de significat desconegut en la síndrome de Lynch", fem constar que la Dra. Marta Pineda, coautora de l'article *MLH1 Founder Mutations with Moderate Penetrance in Spanish Lynch Syndrome Families* presentat en aquesta tesi, no n'ha utilitzat, ni implícitament ni explícitament, els resultats per a la realització d'una tesi doctoral. Seguidament confirmem la participació de la doctoranda en el treball.

### ***MLH1 Founder Mutations with Moderate Penetrance in Spanish Lynch Syndrome Families***

**Ester Borràs(\*)**, Marta Pineda(\*), Ignacio Blanco, Ethan M. Jewett, Fei Wang, Àlex Teulé, Trinidad Caldés, Miguel Urioste, Cristina Martínez-Bouzas, Joan Brunet, Judith Balmaña, Asunción Torres, Teresa Ramón y Cajal, Judit Sanz, Lucía Pérez-Cabornero, Sergi Castellví-Bel, Ángel Alonso, Ángel Lanas, Sara González, Víctor Moreno, Stephen B. Gruber, Noah A. Rosenberg, Bhramar Mukherjee, Conxi Lázaro, i Gabriel Capellá.(\*) *Aquests autors han contribuït equitativament en aquest treball.*

**Cancer Research:** 70(19); 7379–91 (2010)

**Factor d'impacte (2009 JCR Science Edition): 7.543**

**Contribució de la doctoranda:** Posada a punt de la metodologia i cribratge de les dues mutacions en una sèrie cas-control. Anàlisis de la pèrdua de LOH en el tumor, estudi *in silico* a nivell de RNA i proteïna, cultiu curt de limfòcits dels pacients amb la mutació, disseny i anàlisi dels estudis de processament i estabilitat a nivell de RNA, modificació d'un plàsmid d'expressió en cèl·lules humanes per introduir al mutació a estudiar, transfecció i estudis d'expressió i estabilitat a nivell de proteïna. Realització de l'estudi d'haplòtipos en els diferents individus portadors, així com dels familiars disponibles i d'una sèrie d'individus control. Escriptura del primer esborrany de l'article i preparació de la versió final del manuscrit. Marta Pineda, amb qui la doctoranda comparteix primera autoria, va aportar els resultats preliminars de l'estudi d'haplòtipos, i va participar en l'escriptura i revisió del manuscrit, així com en la supervisió de tot el treball, juntament amb el Dr. Gabriel Capellá.

Atentament,

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